

Solutions of nonlinear dispersive shallow water equations: analytical and numerical study

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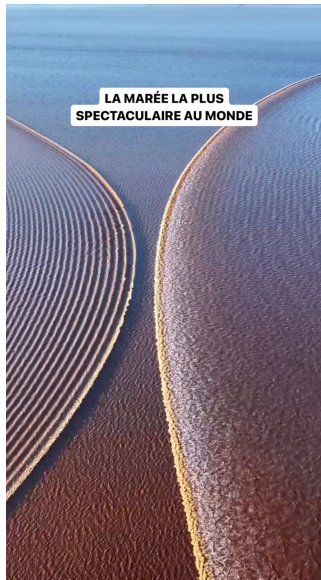
08:30-09:30, October 5, 2025

Dispersive shallow water flow: undular bore

Undular bore (Favre wave) on Severn river near Gloucester, UK



Qiantang river bore (from Dailymotion)



Talk outline

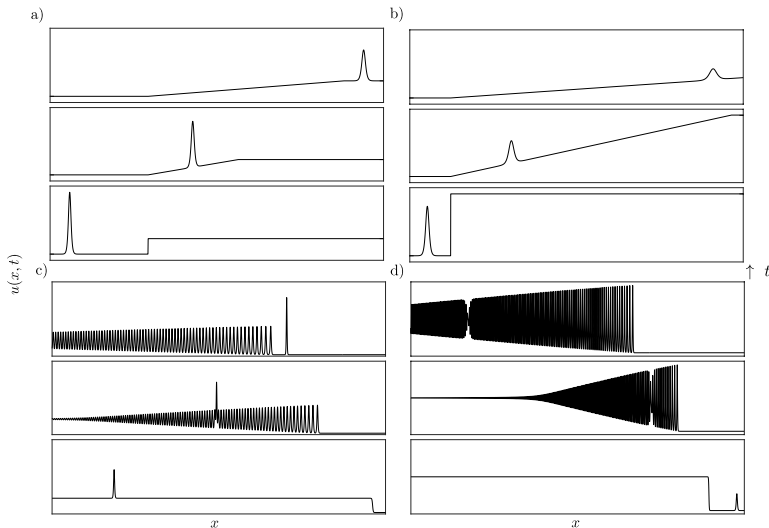
1. Whitham modulation theory for solitary wave-mean flow interaction for dispersive equations
 - Benjamin-Bona-Mahony (BBM) equation
 - Serre-Green-Naghdi (SGN) equations
2. Numerical algorithm for $2D$ SGN equations with bottom topography

Dispersive shallow water flow applications include:

tsunami modeling, river hydraulics, & study of geohazards like avalanches, debris flows

Joint work with S. Gavriluk, B. Nkonga, G. El, M. Hoefer, T. Congy and many others

Solitary wave-mean flow interaction scenarios



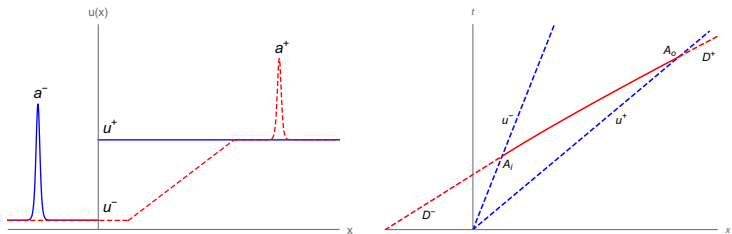
Figures referred from Ablowitz *et al.* 2023 for Korteweg-de Vries (KdV) equation

Solitary wave-mean flow: dispersive equations

For dispersive solitary wave-mean flow interaction, consider initial condition:

$$(\bar{u}, a)(x, 0) = \begin{cases} (u^-, a^-), & x < 0 \\ (u^+, a^+), & x > 0 \end{cases} \quad (1)$$

Sketch of positive rarefaction wave (RW) case: $0 < u^- < u^+$



Could one can obtain analytical formula for a^+ ? Yes, for KdV, BBM, SGN, conduit equation, ...

BBM equation

BBM equation was proposed as a unidirectional model of weakly non-linear waves in shallow water:

$$v_t + v_x + vv_x - v_{xxt} = 0$$

After change of variable $v = u - 1$ one gets

$$u_t + uu_x - u_{xxt} = 0 \quad (2)$$

BBM (2) admits only three independent conservation laws:

$$(u - u_{xx})_t + \left(\frac{u^2}{2}\right)_x = 0 \quad (3a)$$

$$\left(\frac{u^2}{2} + \frac{u_x^2}{2}\right)_t + \left(\frac{u^3}{3} - uu_{tx}\right)_x = 0 \quad (3b)$$

$$\left(\frac{u^3}{3}\right)_t - \left(u_t^2 - u_{xt}^2 + u^2 u_{xt} - \frac{u^4}{4}\right)_x = 0 \quad (3c)$$

Periodic travelling wave solutions: BBM equation

BBM **periodic travelling wave solutions** $u(x, t) = u(\xi)$,
 $\xi = x - Dt$, satisfies

$$(u')^2 = \frac{2}{D} \left(-\frac{u^3}{6} + D\frac{u^2}{2} + c_1 u + c_2 \right) = \frac{1}{3D} P(u) \quad (4a)$$

$$P(u) = (u - u_1)(u - u_2)(u_3 - u), \quad D > 0$$

Its solution (three-parameter family) is:

$$u(\xi) = u_2 + a \operatorname{cn}^2 \left(\frac{1}{2} \sqrt{\frac{u_3 - u_1}{u_1 + u_2 + u_3}} \xi, m \right) \quad (4b)$$

cn is Jacobi function,

$$a = u_3 - u_2, \quad m = (u_3 - u_2)/(u_3 - u_1), \quad D = (u_1 + u_2 + u_3)/3$$

Define wave averaged of any function $f(u)$ as

$$\overline{f(u)} = \frac{1}{L} \int_{\xi_2}^{\xi_3} f(u) d\xi = \frac{2}{L} \int_{u_2}^{u_3} f(u) \frac{\sqrt{3D}}{\sqrt{P(u)}} du$$

Wave averaged of u is

$$\bar{u} = \int_{u_2}^{u_3} \frac{u du}{\sqrt{P(u)}} \bigg/ \int_{u_2}^{u_3} \frac{du}{\sqrt{P(u)}} = u_1 + (u_3 - u_1) \frac{E(m)}{K(m)}$$

Wavelength L is

$$L = \int_{\xi_2}^{\xi_3} d\xi = 2 \int_{u_2}^{u_3} \frac{\sqrt{3D}}{\sqrt{P(u)}} du = 4\sqrt{3} \sqrt{\frac{Dm}{a}} K(m)$$

$K(m)$ & $E(m)$: first & second type complete elliptic integrals

Solitary wave solution obtained in $L \rightarrow \infty$, $u_1 = u_2 > 0$ is

$$u(\xi) = u_2 + a \operatorname{sech}^2 \left(\frac{1}{2} \sqrt{\frac{u_3 - u_2}{2u_2 + u_3}} \xi \right)$$

Whitham modulation system: BBM equation

Assume BBM solution $u(\xi, X, T, \varepsilon)$; L -periodic with respect to ξ & varies slowly to X & T :

$$\xi = \frac{X - DT}{\varepsilon} = x - Dt, \quad X = \varepsilon x, \quad T = \varepsilon t, \quad \varepsilon \ll 1$$

One can obtain modulation system using two equivalent methods: averaging of conservation laws & method of averaged Lagrangian (Whitham 1965, 1974)

For BBM equation, from (3), we have modulation system:

$$\left[\begin{array}{c} \overline{u} \\ \frac{\overline{u^2}}{2} + \frac{\overline{P(u)}}{6D} \\ \frac{1}{L} \end{array} \right]_T + \left[\begin{array}{c} \frac{\overline{u^2}}{2} \\ \frac{\overline{u^3}}{3} - \frac{\overline{P(u)}}{3} \\ \frac{D}{L} \end{array} \right]_X = 0 \quad (5)$$

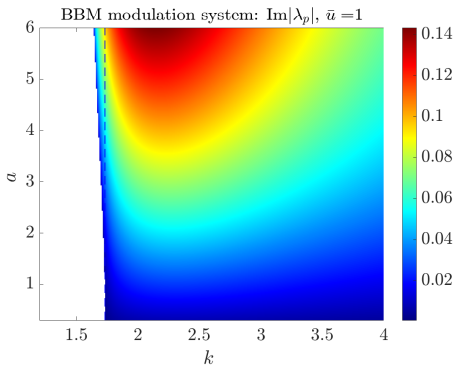
In quasi-linear form, (5) is written as

$$Aq_T + Bq_X = 0 \quad (6)$$

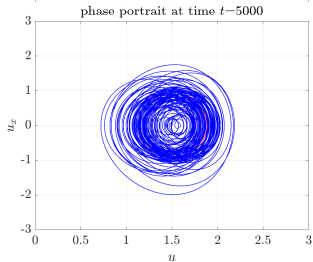
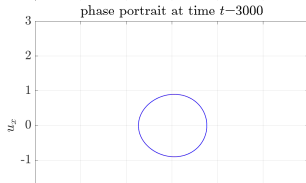
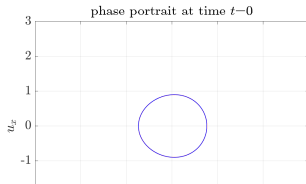
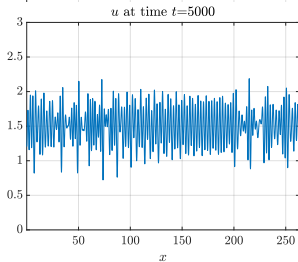
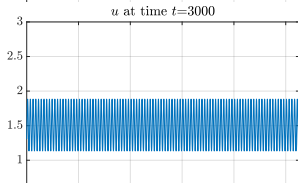
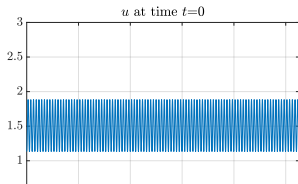
Let λ_j be eigenvalue & r_j be associated right eigenvector:

$$(B - \lambda_j A) r_j = 0, \quad j = 1, 2, 3$$

It is known that (6) is **mixed elliptic-hyperbolic system**, *i.e.*, there exists $\lambda_j \in \mathbb{C}$ (Congy, Gavriluk, Tso, ...).



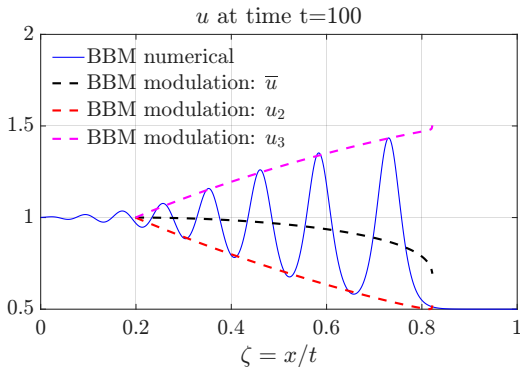
Modulational instability ($\bar{u} = 1$, $a = \frac{1}{2}$, $k \approx 2.389$)



BBM modulation system: simple wave solution

Assume solution is **self-similar**: $q(x, t) = q(\zeta)$, $\zeta = x/t$. If $\nabla_q \lambda_j \cdot r_j \neq 0$, one obtains $q(\zeta)$ by solving ODEs numerically:

$$\frac{dq}{d\zeta} = r_j / (\nabla_q \lambda_j \cdot r_j), \quad \zeta = \lambda_j, \quad j = 1, 2, 3$$



Amplitude modulation equation: BBM equation

Taking $L \rightarrow \infty$ to modulation system (5) (**solitary limit**), one obtains **only** equations for $\bar{u}$

$$\bar{u}_T + \left(\frac{(\bar{u})^2}{2} \right)_X = 0, \quad \left(\frac{(\bar{u})^2}{2} \right)_T + \left(\frac{(\bar{u})^3}{3} \right)_X = 0$$

from first two equations & **trivial identity** from third

To find equation for **amplitude** $a(\bar{u})$, employing method of **averaged Lagrangian**, we have **action conservation laws**:

$$\bar{u}_T + \left(\frac{\bar{u}^2}{2} \right)_X = 0 \tag{7a}$$

$$\left(\frac{\bar{u}^2 - (\bar{u})^2}{2k} + \frac{\overline{P(u)}}{6Dk} \right)_T + \left(D \left(\frac{\bar{u}^2 - (\bar{u})^2}{2k} - \frac{\overline{P(u)}}{6Dk} \right) \right)_X = 0 \tag{7b}$$

BBM modulation system: solitary limit

Now taking $L \rightarrow \infty$ to (7) we have governing equations for Riemann problem (1):

$$\bar{u}_T + \left(\frac{(\bar{u})^2}{2} \right)_X = 0 \quad (8a)$$

$$F(\bar{u}, a)_T + G(\bar{u}, a)_X = 0 \quad (8b)$$

$$F(\bar{u}, a) = \frac{a^{3/2}(2a + 5\bar{u})}{\sqrt{a + 3\bar{u}}}, \quad G(\bar{u}, a) = \frac{a^{3/2}(4a + 15\bar{u})\sqrt{a + 3\bar{u}}}{9}$$

Quasilinear form of (8) is with $D = \bar{u} + \frac{a}{3}$:

$$\bar{u}_T + \bar{u} \bar{u}_X = 0 \quad (9a)$$

$$a_T + Da_X + \frac{a}{3} \frac{14a^2 + 75a\bar{u} + 90\bar{u}^2}{8a^2 + 40a\bar{u} + 45\bar{u}^2} \bar{u}_X = 0 \quad (9b)$$

BBM solitary limit: self-similar solution

Assuming solution in (8) is smooth & self-similar in $\zeta = X/T$.
Let $z = a/\bar{u}$. From (8), we have separable ODE:

$$\bar{u} \frac{dz}{d\bar{u}} = -f(z), \quad f(z) = z + \frac{14z^2 + 75z + 90}{8z^2 + 40z + 45}$$

For Riemann problem (1), perform integration, we have algebraic equation to be solved for z^+ :

$$\ln \left(\frac{u^+}{u^-} \right) = \Psi(z^-) - \Psi(z^+), \quad z^\pm = (a/u)^\pm$$

$$\Psi(z) = -\frac{1}{12} \sqrt{15} \tan^{-1} \left(\frac{15 + 8z}{\sqrt{15}} \right) - \frac{1}{4} \ln(3 + z) + \frac{5}{8} \ln(15 + 15z + 4z^2)$$

Approximate BBM solitary limit: fitting method

Dispersion relation to BBM equation linearized on constant background $u = \bar{u}$ is

$$c_p = \frac{\omega_0}{k} = \frac{\bar{u}}{1 + k^2} \quad (c_p \text{ phase velocity})$$

DSW fitting method of G. El (2005) is to assume that **solitary wave motion on simple-wave background** is governed by

$$\bar{u}_T + \left(\frac{\bar{u}^2}{2} \right)_X = 0 \quad (10a)$$

$$\tilde{k}_T + \widetilde{\omega_0}(\bar{u}, \tilde{k})_X = 0 \quad (10b)$$

$$\widetilde{\omega_0}(\bar{u}, \tilde{k}) = -i\omega_0(\bar{u}, i\tilde{k}) = \frac{\bar{u}\tilde{k}}{1 - \tilde{k}^2}, \quad D = \frac{\widetilde{\omega_0}}{\tilde{k}} = \bar{u} + \frac{a}{3}$$

$\widetilde{\omega_0}$ conjugate dispersion relation & $\tilde{k}$ conjugate wave number

It follows from (10) that one obtains ODE

$$\frac{d\tilde{k}}{d\bar{u}} = \frac{(\tilde{\omega}_0)_{\bar{u}}}{\bar{u} - (\tilde{\omega}_0)_{\tilde{k}}} = \frac{1 - \tilde{k}^2}{\bar{u}(-3\tilde{k} + \tilde{k}^3)}$$

For Riemann problem (1), perform integration, we have algebraic equation to be solved for $\tilde{k}^+$:

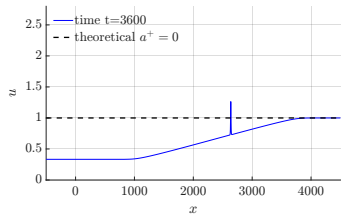
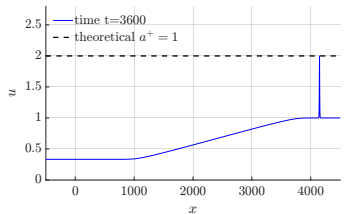
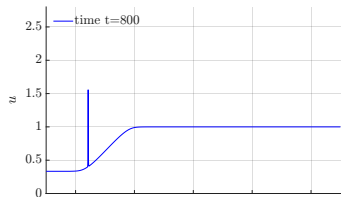
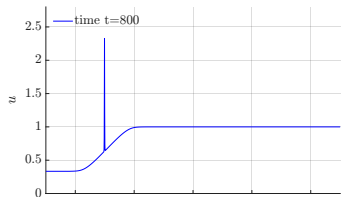
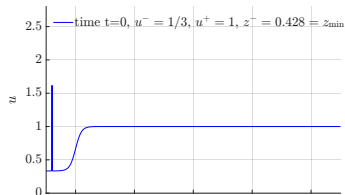
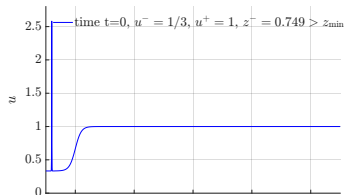
$$\ln \left(\frac{u^+}{u^-} \right) = \Psi \left(\tilde{k}^+ \right) - \Psi \left(\tilde{k}^- \right), \quad \tilde{k}^- = 0$$

$$\Psi \left(\tilde{k} \right) = -\frac{\tilde{k}^2}{2} + \ln \left(\tilde{k}^2 - 1 \right)$$

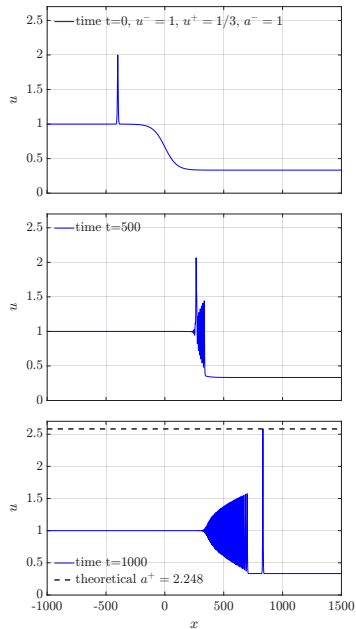
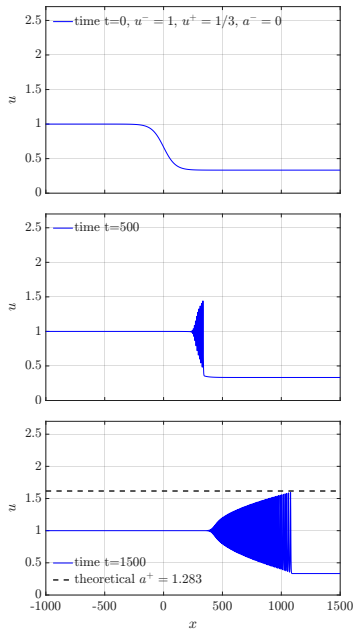
Solitary wave amplitude a^+ is:

$$a^+ = 3 \left(\frac{\tilde{\omega}_0 \left(u^+, \tilde{k}^+ \right)}{\tilde{k}^+} - u^+ \right) = 3 \frac{u^+ (\tilde{k}^+)^2}{1 - (\tilde{k}^+)^2}$$

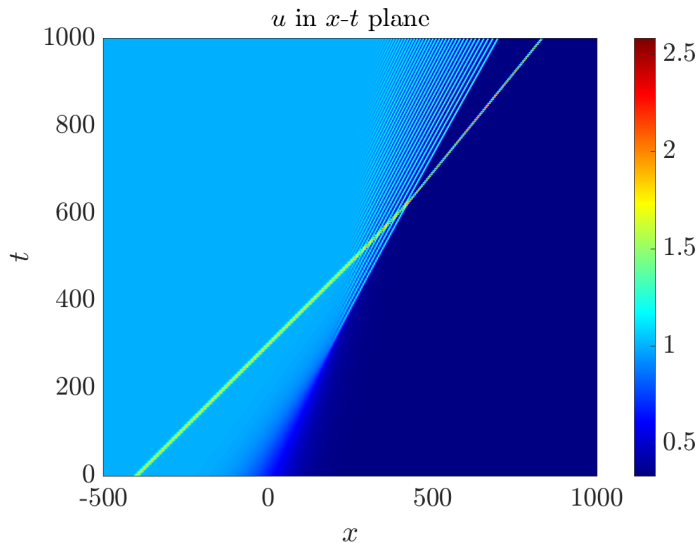
Solitary wave over RW: BBM equation



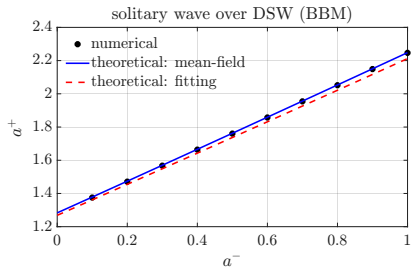
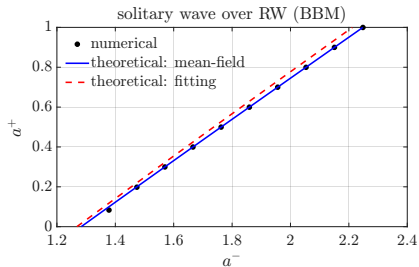
Solitary wave over DSW: BBM equation



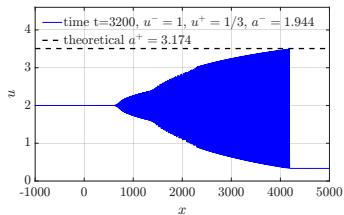
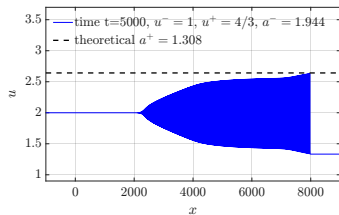
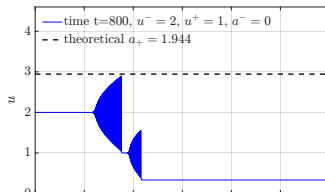
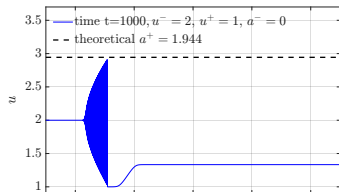
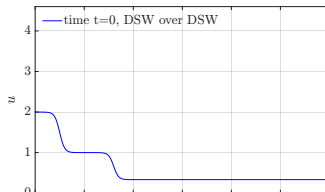
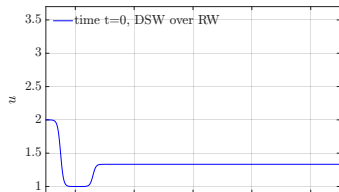
Solitary wave over DSW: BBM equation



Transmission: analytical & numerical comparision



DSW over RW/DSW: BBM equation



Numerical approximation: BBM equation

BBM equation is

$$u_t + \left(\frac{1}{2} u^2 \right)_x - u_{xxt} = 0$$

- u -based elliptic operator inversion

$$K_t + \left(\frac{1}{2} u^2 \right)_x = 0 \quad (\text{hyperbolic step})$$

$$-u_{xx} + u = K \quad (\text{elliptic step})$$

- ϖ -based elliptic operator inversion

$$u_t + \left(\frac{1}{2} u^2 + \varpi \right)_x = 0 \quad (\text{hyperbolic step})$$

$$-\varpi_{xx} + \varpi = \left(\frac{u^2}{2} \right)_{xx} \quad (\text{elliptic step})$$

SGN equations

SGN equations over a **flat bottom** approximating free-surface Euler equations in **long wave limit** are

$$h_t + (hu)_x = 0 \quad (11a)$$

$$u_t + uu_x + gh_x = \frac{1}{h} \left(\frac{h^3}{3} (u_{xt} + uu_{xx} - u_x^2) \right)_x \quad (11b)$$

h is total depth, u is depth-averaged horizontal velocity, and g is acceleration due to gravity (set $g = 1$ in what follows)

SGN equations admit energy conservation law:

$$\left(\frac{1}{2}h \left(h + u^2 + \frac{1}{3}h^2u_x^2 \right) \right)_t + \left(hu \left(h + \frac{1}{2}u^2 + \frac{1}{2}h^2u_x^2 - \frac{1}{3}h^2(u_{xt} + uu_{xx}) \right) \right)_x = 0 \quad (11c)$$

Periodic travelling wave solutions: SGN equations

Periodic travelling wave solutions $h(x, t) = h(\xi)$,
 $u(x, t) = u(\xi)$, $\xi = x - Dt$ to SGN equations (11) follows

$$\left(h'\right)^2 = \frac{3}{h_1 h_2 h_3} (h - h_1)(h - h_2)(h_3 - h) \quad (12a)$$

$$u = D - \sigma \frac{\sqrt{h_1 h_2 h_3}}{h} \quad (12b)$$

We have periodic travelling wave solution (4-parameter family):

$$h(\xi) = h_2 + a \operatorname{cn}^2 \left(\frac{1}{2} \sqrt{\frac{3(h_3 - h_1)}{h_1 h_2 h_3}} \xi, m \right) \quad (13)$$

$$a = h_3 - h_2, \quad m = \frac{h_3 - h_2}{h_3 - h_1}, \quad \sigma = +1(\text{fast}), \quad \sigma = -1(\text{slow})$$

Averages of depth $\bar{h}$ & velocity $\bar{u}$ can be written as

$$\bar{h} = h_1 + (h_3 - h_1) \frac{E(m)}{K(m)}, \quad \bar{u} = D - \sigma \sqrt{h_1 h_2 h_3} \frac{\Pi\left(1 - \frac{h_2}{h_3}, m\right)}{h_3 K(m)}$$

When $h_2 \rightarrow h_1$ ($m \rightarrow 1$), **solitary wave limit** of (13) on background $h = \bar{h}$, $u = \bar{u}$ is

$$h(x, t) = \bar{h} + a \operatorname{sech}^2 \left(\frac{\sqrt{3}a}{\bar{h}\sqrt{\bar{h} + a}} (x - Dt) \right) \quad (14a)$$

$$u(x, t) = D - \sigma \frac{\bar{h}\sqrt{\bar{h} + a}}{h(x, t)}, \quad D = \bar{u} + \sigma\sqrt{\bar{h} + a} \quad (14b)$$

When $h_2 \rightarrow h_3$ ($m \rightarrow 0$), **harmonic limit** of (13) yields small-amplitude linear wave characterised by dispersion relation

$$\omega = kD = \omega_0(k, \bar{h}, \bar{u}) \equiv k\bar{u} + \sigma k \sqrt{\frac{\bar{h}}{1 + \bar{h}^2 k^2 / 3}} \quad (15)$$

Whitham modulation system: SGN equation

Assume SGN periodic solution $h(\xi, X, T, \varepsilon)$, $u(\xi, X, T, \varepsilon)$ with respect to ξ & slowly varying to X & T . Applying Whitham averaging procedure to three SGN conservation laws (11) & augmenting them by [wave conservation equation](#), one obtains SGN modulation system (El *et al.* 2006):

$$\overline{h}_T + (\overline{hu})_X = 0$$

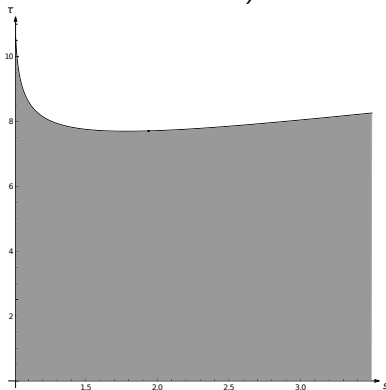
$$(\overline{hu})_T + \left(\overline{hu^2} + \frac{1}{2}\overline{h^2} - \frac{1}{3}\overline{h^3((u-D)u'' - (u')^2)} \right)_X = 0$$

$$\left(\frac{1}{2}\overline{h \left(h + u^2 + \frac{1}{3}h^2(u')^2 \right)} \right)_T + \left(\overline{hu \left(h + \frac{1}{2}u^2 + \frac{1}{2}h^2(u')^2 - \frac{1}{3}h^2(u-D)u'' \right)} \right)_X = 0$$

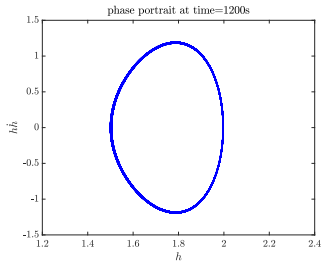
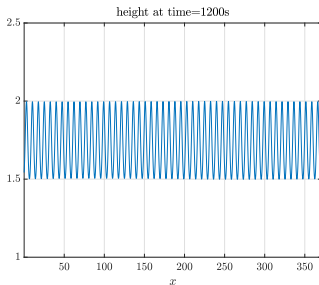
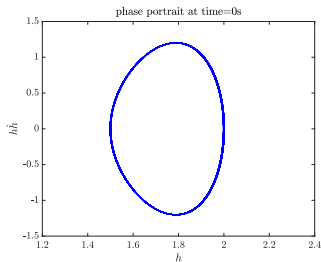
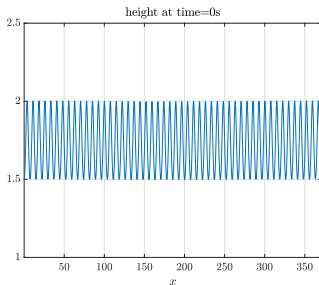
$$k_T + (kD)_X = 0$$

SGN modulational system: hyperbolicity

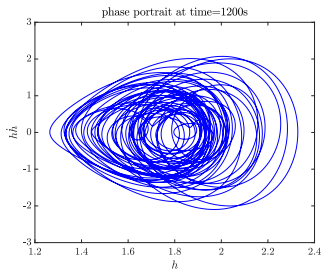
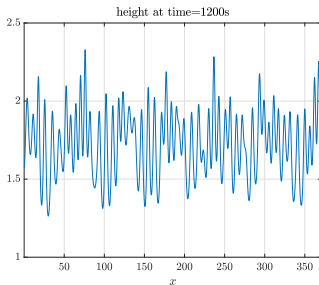
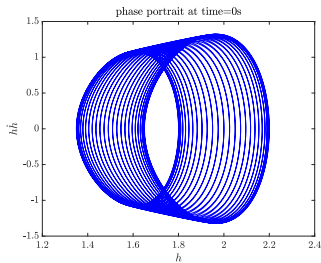
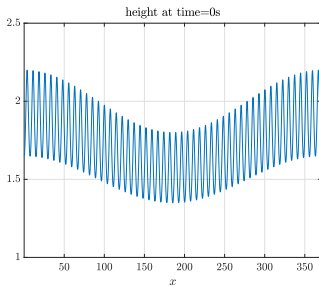
Region $s = h_2 > 1$, $\tau = h_3 - h_2 > 0$ is divided by smooth curve into two sub-regions: grey & white, corresponds to eigenvalues sign change. In both regions, all eigenvalues are real & distinct; system is genuinely nonlinear & strictly hyperbolic (Tkachenko *et al.* 2020)



Modulational instability: small amplitude $a = 10^{-3}$



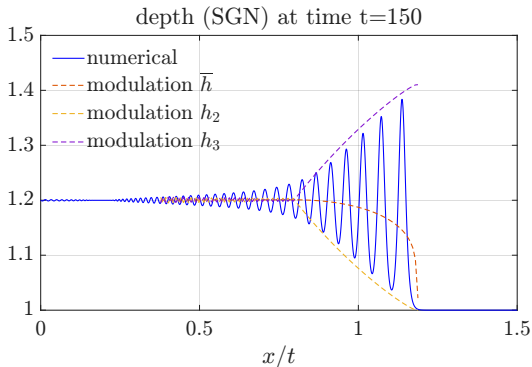
Modulational instability: large amplitude $a = 10^{-1}$



SGN modulation system: simple wave solution

Assume solution is **self-similar**: $q(x, t) = q(\zeta)$, $\zeta = x/t$. If $\nabla_q \lambda_j \cdot r_j \neq 0$, one obtains $q(\zeta)$ by solving ODEs numerically:

$$\frac{dq}{d\zeta} = r_j / (\nabla_q \lambda_j \cdot r_j), \quad \zeta = \lambda_j, \quad j = 1, 2, 3$$



SGN modulation system: solitary limit

Without going into details, we write down exact solitary limit for SGN modulation system as follows (Congy *et al.* 2025):

$$\bar{h}_T + (\bar{h}\bar{u})_X = 0 \quad (16a)$$

$$\bar{u}_T + \bar{u}\bar{u}_X + \bar{h}_X = 0 \quad (16b)$$

$$z_T + \left(\bar{u} + \sigma \sqrt{\bar{h}(1+z^2)} \right) z_X + \quad (16c)$$

$$\sigma \left(\frac{3(z^2+1)^{3/2}}{2z} \frac{z\sqrt{z^2+1} - \sinh^{-1}(z)}{2z\sqrt{z^2+1} - \sinh^{-1}(z)} \frac{1}{\sqrt{\bar{h}}} \right) \bar{h}_X +$$
$$\left(-\frac{\sqrt{z^2+1}}{2z} \frac{3z + 2z^3 - 3\sqrt{z^2+1} \sinh^{-1}(z)}{2z\sqrt{z^2+1} - \sinh^{-1}(z)} \right) \bar{u}_X = 0$$

Here $z^2 = a/\bar{h}$ & $\sigma = \pm 1$

SGN solitary limit: self-similar solution

Riemann invariants for (16a), (16b) are $r_{\pm} = \bar{u} \pm 2\sqrt{\bar{h}}$,

$$(r_{\pm})_T + V_{\pm} (r_{\pm})_X = 0, \quad V_{\pm} = \bar{u} \pm \sqrt{\bar{h}}$$

Let $z^2 = a/\bar{h}$. Assume solution in (16) is self-similar in $\zeta = X/T = V_+ = r_- + 3\sqrt{\bar{h}}$ (**2-wave**) with $r_- = \text{const.}$
From (16), we have

$$\begin{aligned} \bar{h}_T + (r_- + 3\sqrt{\bar{h}}) \bar{h}_X &= 0 \\ z_T + \left(r_- + 2\sqrt{\bar{h}} + \sigma \sqrt{\bar{h}(1+z^2)} \right) z_X + \sigma \frac{g_{\sigma}(z)}{\sqrt{\bar{h}}} \bar{h}_X &= 0 \end{aligned} \tag{17}$$

$$\begin{aligned} g_{\sigma}(z) = & \frac{3(z^2+1)^{3/2}}{2z} \frac{z\sqrt{z^2+1} - \sinh^{-1}(z)}{2z\sqrt{z^2+1} - \sinh^{-1}(z)} - \\ & \sigma \frac{1+z^2}{2z} \frac{(3z+2z^3)(1+z^2)^{-1/2} - 3\sinh^{-1}(z)}{2z\sqrt{1+z^2} - \sinh^{-1}(z)} \end{aligned}$$

$\bar{h}$ is one Riemann invariant of (17). Employing simple-wave ansatz $z = z(\bar{h})$ in (17), we have ODE

$$\frac{dz}{d\bar{h}} + \frac{g_\sigma(z)}{\bar{h}(\sqrt{1+z^2} - \sigma)} = 0 \quad (18a)$$

Perform integration, we have

$$\ln \bar{h} = \Psi(z), \quad \Psi(z) = \int^z -\frac{\sqrt{1+s^2} - \sigma}{g_\sigma(s)} ds \quad (18b)$$

As in **DSW fitting method** for BBM equation, using approximate solitary limit equation (10b), one obtains

$$\frac{d\tilde{k}}{d\bar{h}} = \frac{\tilde{\omega}_{\bar{h}}}{V_+(\bar{h}) - \tilde{\omega}_{\tilde{k}}} = \frac{\tilde{k} \left(\left(1 + \frac{\bar{h}^2 \tilde{k}^2}{3}\right) + 2 \left(1 - \frac{\bar{h}^2 \tilde{k}^2}{3}\right)^{3/2} + 1 \right)}{2\bar{h} \left(\left(1 - \frac{\bar{h}^2 \tilde{k}^2}{3}\right)^{3/2} - 1 \right)} \quad (19)$$

Solitary wave-mean flow: SGN equations

To solve SGN solitary wave-mean flow interaction problem with initial condition:

$$(\bar{h}, \bar{u}, a)(x, 0) = \begin{cases} (h^-, u^-, a^-), & x < 0, \\ (h^+, u^+, a^+ (?)), & x > 0 \end{cases}$$

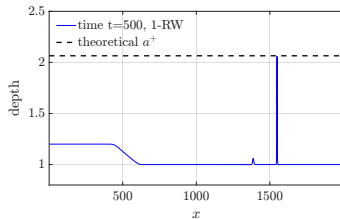
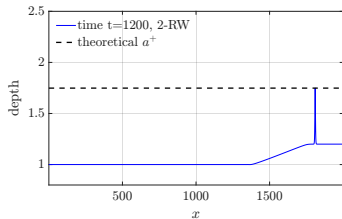
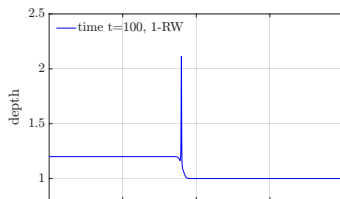
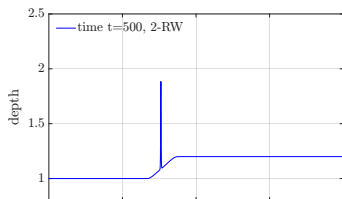
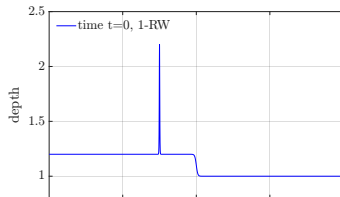
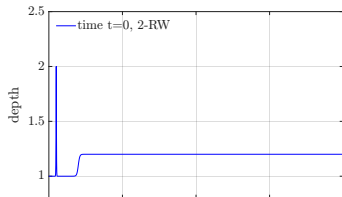
one may use (18) or (19) for governing equation

For 2-wave case,, condition for **solitary wave trapping** is $z^+ = 0$. One need to take $z^- > z_{\min}^-$ which is a unique root of

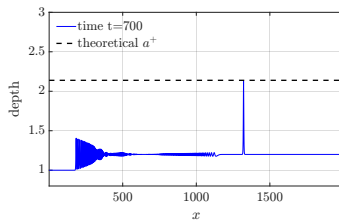
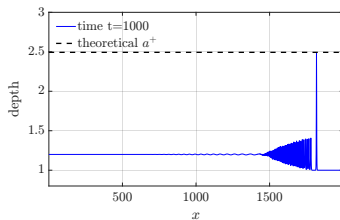
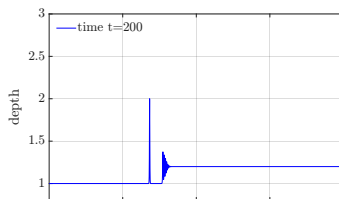
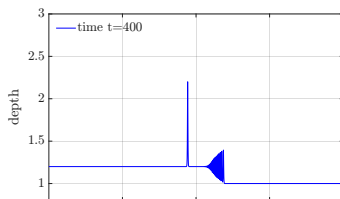
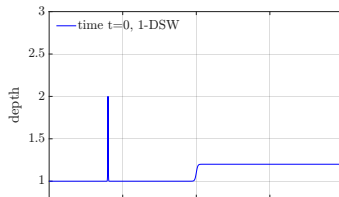
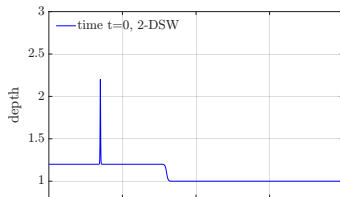
$$\Psi(z_{\min}^-) - \Psi(0) - \ln\left(\frac{h^+}{h^-}\right) = 0$$

to have solitary wave transmission through initial step function

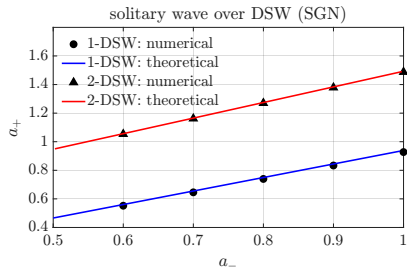
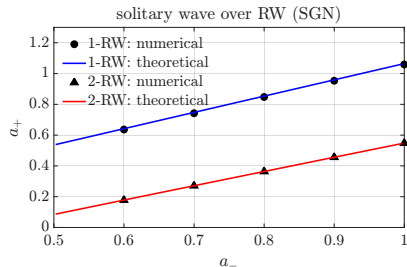
Solitary wave over RW: SGN equations



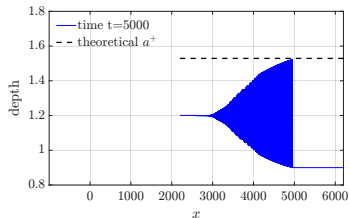
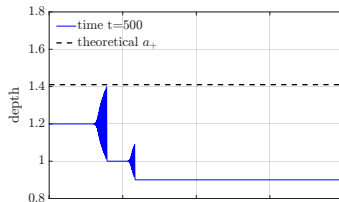
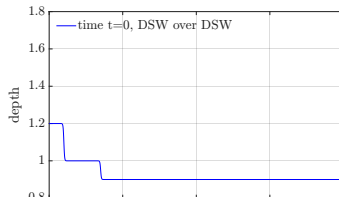
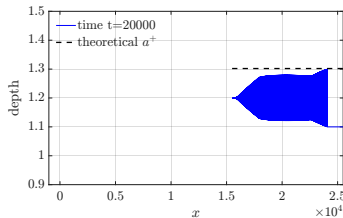
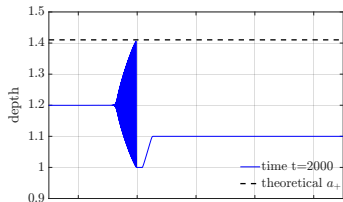
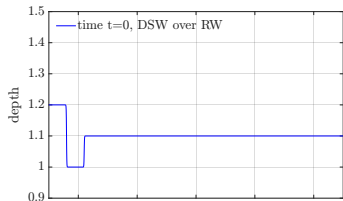
Solitary wave over DSW: SGN equations



Transmission: analytical & numerical comparison



DSW over RW/DSW: SGN equations

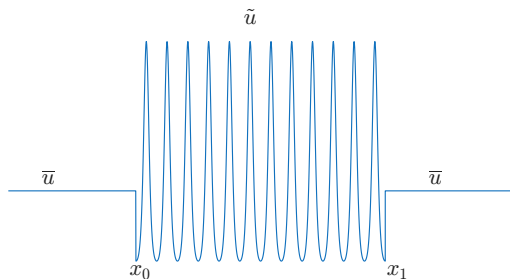


Generalized Riemann problem: dispersive equations

Generalized Riemann problem (GRP) for dispersive equations is Cauchy problem with initial condition:

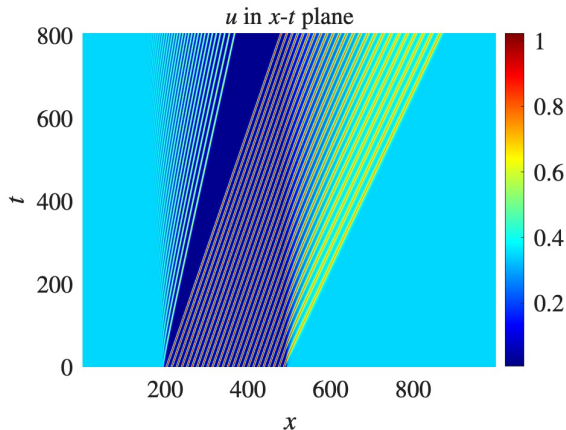
$$u(x, 0) = \begin{cases} \tilde{u}(x), & x \in (x_0, x_1), \\ \bar{u}, & x \in]x_0, x_1[. \end{cases}$$

$\tilde{u}(x)$ is wave train of periodic travelling wave solution & $\bar{u}$ is wave average of $\tilde{u}$

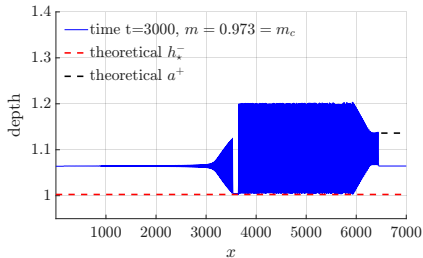
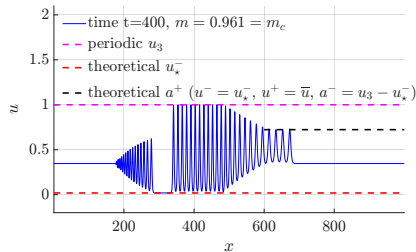
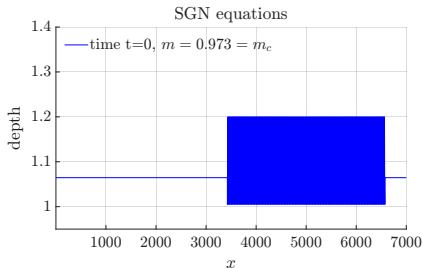
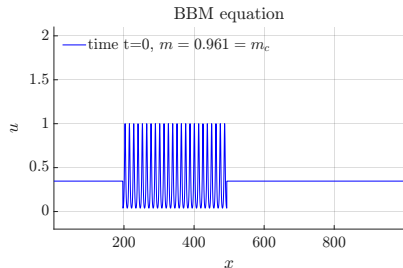


GRP solution structure: dispersive equations

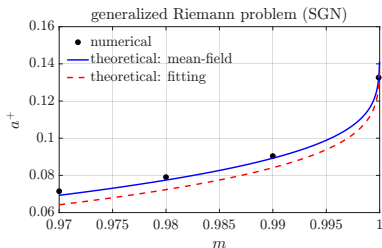
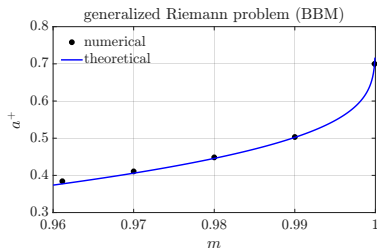
On the right, a **right-facing DSW** is formed followed by a **left-facing RW**. A constant state, denoted by u_*^- , is formed on the left of the initial periodic wave train



GRP solutions: dispersive equations



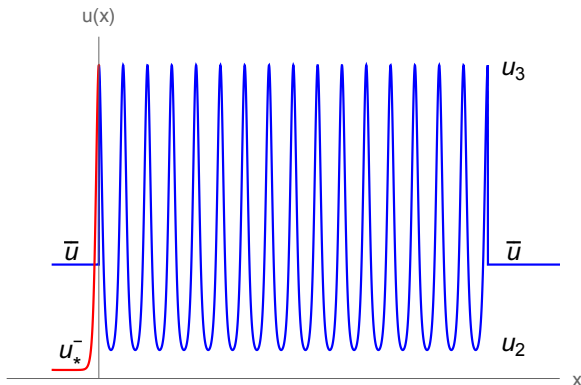
Transmission: analytical & numerical comparison



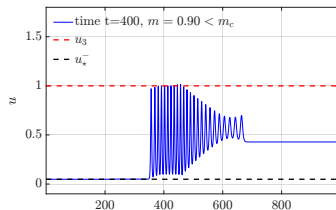
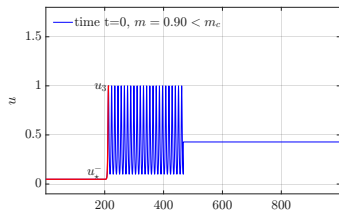
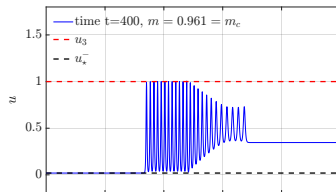
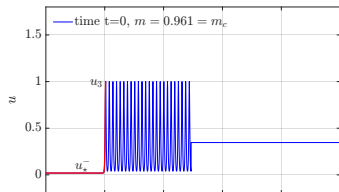
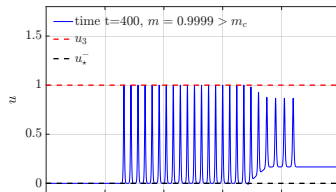
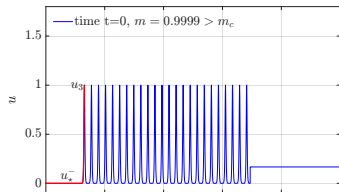
- $u_{\star}^{-}$ & $h_{\star}^{-}$ are solutions of **generalized Rankine-Hugoniot conditions** for BBM & SGN modulation equations, respectively
- Recall $m = \frac{u_3 - u_2}{u_3 - u_1}$ or $m = \frac{h_3 - h_2}{h_3 - h_1}$. Critical value $m = m_c$ satisfies $D = \bar{\lambda}$ (phase speed = dispersiveless characteristic velocity)

Stable shock-like travelling front

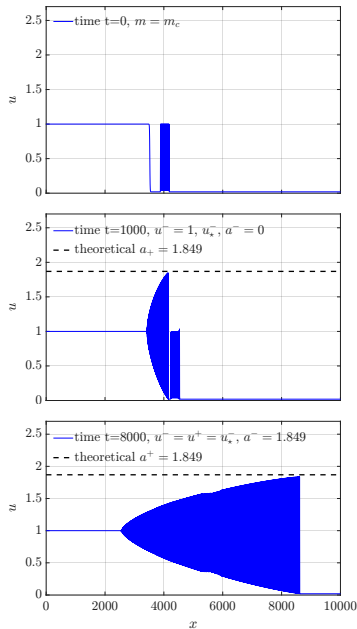
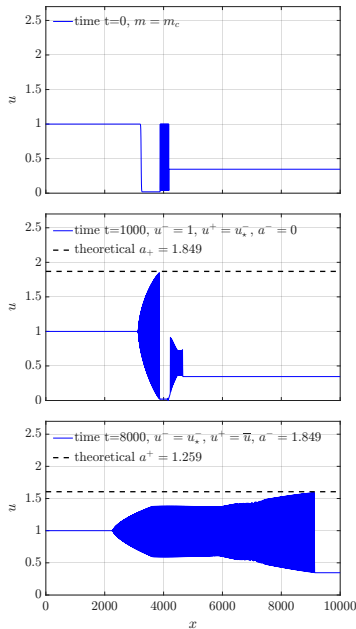
If, initially, instead of $\bar{u}$, we put $u_{\star}^{-}$ on left connected with wave train by half-solitary wave (red curve), would left boundary of wave train remain invariable in time ?



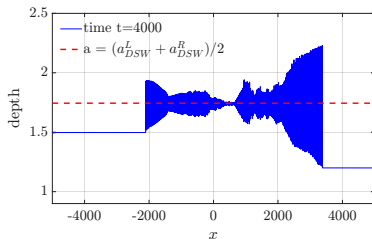
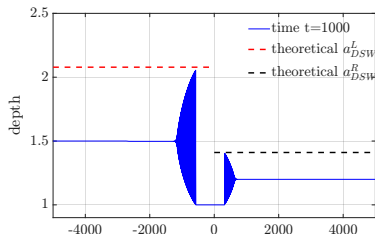
Stable shock-like travelling front: BBM equation



DSW over multi-hump: BBM equation

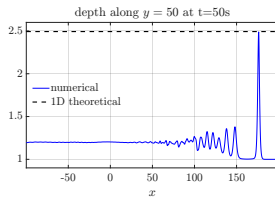
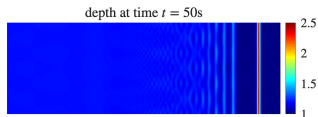
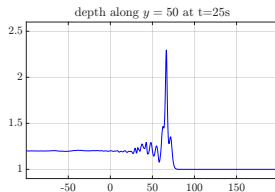
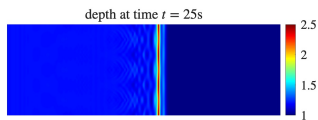
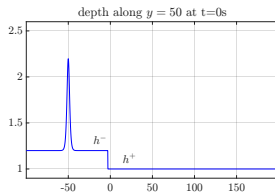
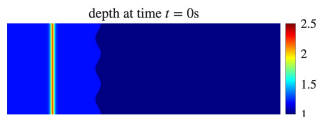


Head-on DSW–DSW interaction: SGN equations

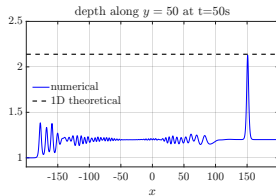
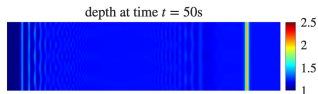
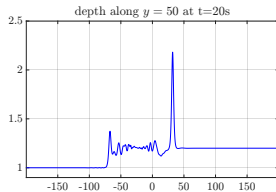
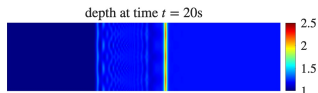
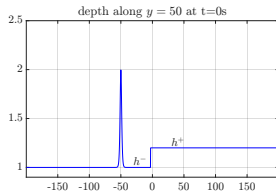
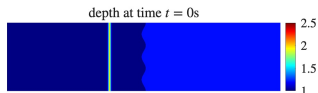


- Solitary limit construction **does not apply to head-on DSW interaction**, due to unidirectional nature of wave generation in our Cauchy problems
- Solitary wave limit solution **works only outside self-similar fan**, but **not within fan**

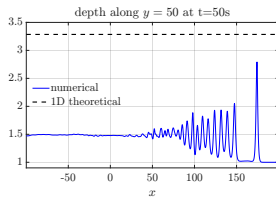
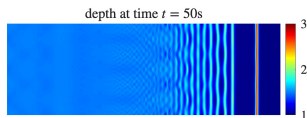
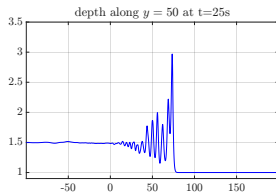
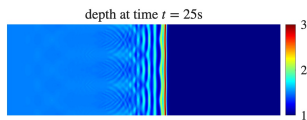
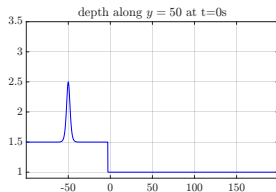
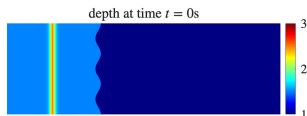
Solitary wave over perturbed 2-DSW: $h^+/h^- = 1.2$



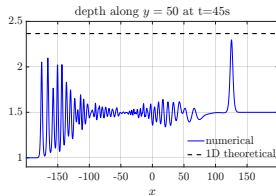
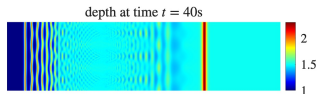
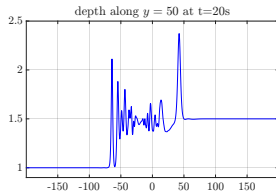
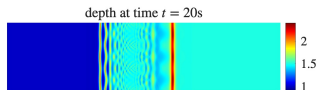
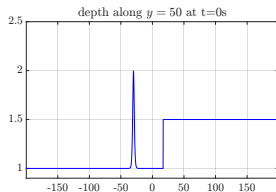
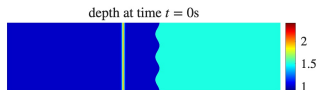
Solitary wave over perturbed 1-DSW: $h^+/h^- = 1.2$



Solitary wave over perturbed 2-DSW: $h^+/h^- = 1.5$



Solitary wave over perturbed 1-DSW: $h^+/h^- = 1.5$



SGN equations: alternative form

Recall SGN momentum equation (11b) reads

$$u_t + uu_x + gh_x = \frac{1}{h} \left(\frac{h^3}{3} (u_{xt} + uu_{xx} - u_x^2) \right)_x$$

Equivalent form of (11b) is

$$(hu)_t + (hu^2 + p)_x = 0, \quad p = \frac{1}{2}gh^2 + \frac{1}{3}h^2\ddot{h}$$

p is integrated fluid pressure divided by constant density ρ ,

$$\dot{h} = h_t + uh_x, \quad \ddot{h} = \dot{h}_t + u\dot{h}_x$$

Bernoulli conservation law for $\mathcal{K}$ representing tangent component of fluid velocity at free surface (Gavrilyuk *et al.* 2015) is:

$$\mathcal{K}_t + \left(\mathcal{K}u + gh - \frac{u^2}{2} - \frac{1}{2}h_x^2u^2 \right)_x = 0, \quad \mathcal{K} = u - \frac{1}{3h} (h^3u_x)_x$$

Numerical approximation: SGN equation

1. u -based elliptic operator inversion

- Hyperbolic step for $(h, h\mathcal{K})$

$$h_t + (hu)_x = 0, \quad (h\mathcal{K})_t + \left(h\mathcal{K}u + \frac{1}{2}gh^2 \right) = \left(\frac{2}{3}h^3 (u_x)^2 \right)_x$$

- Elliptic step for u

$$u - \frac{1}{3h} (h^3 u_x)_x = \mathcal{K}$$

2. ϖ -based elliptic operator inversion

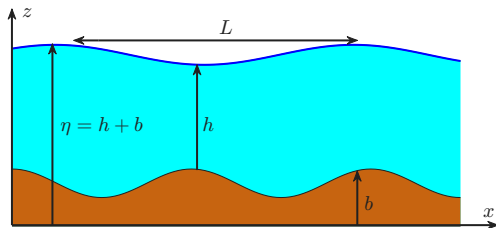
- Hyperbolic step for (h, hu)

$$h_t + (hu)_x = 0, \quad (hu)_t + \left(hu^2 + \frac{1}{2}gh^2 \right)_x = -\varpi_x$$

- Elliptic step for ϖ ($p = \varpi + \frac{1}{2}gh^2$)

$$-\frac{h^3}{3} \left(\frac{\varpi_x}{h} \right)_x + \varpi = \frac{2}{3}h^3 u_x^2 + \frac{h^3}{3}gh_{xx}$$

SGN equations over topography



SGN equations are fully nonlinear Boussinesq-type model (cf. weakly nonlinear models: Nwogu and Madsen & Sørensen).
With bottom topography, it can be written as:

$$h_t + \operatorname{div}(h\mathbf{u}) = 0 \quad (20a)$$

$$(h\mathbf{u})_t + \operatorname{div}(h\mathbf{u} \otimes \mathbf{u}) + \nabla p = -p|_{z=b} \nabla b \quad (20b)$$

$$p = \frac{gh^2}{2} + \frac{h^2}{3} \left(\ddot{h} + \frac{3}{2} \ddot{b} \right), \quad p|_{z=b} = gh + h \left(\ddot{b} + \frac{1}{2} \ddot{h} \right)$$

Enhanced SGN equations with topography

Improved formulation of SGN equations (cf. Bonneton *et al.* 2011, Tissier *et al.* 2012, Berger & LeVeque 2024) is:

$$h_t + (hu)_x + (hv)_y = 0 \quad (21a)$$

$$(hu)_t + (hu^2)_x + (huv)_y + gh\eta_x = h \left(\frac{g}{\alpha} \eta_x - \psi_1 \right) \quad (21b)$$

$$(hv)_t + (huv)_x + (hv^2)_y + gh\eta_y = h \left(\frac{g}{\alpha} \eta_y - \psi_2 \right) \quad (21c)$$

Vector $\boldsymbol{\psi} = [\psi_1, \psi_2]$ satisfies elliptic equation of form

$$(I + \alpha \mathcal{T}) \boldsymbol{\psi} = \mathbf{Z}, \quad \mathcal{T} = \begin{bmatrix} \mathcal{T}_{11} & \mathcal{T}_{12} \\ \mathcal{T}_{21} & \mathcal{T}_{22} \end{bmatrix}, \quad \mathbf{Z} = [Z_1, Z_2]$$

$$\mathcal{T}_{11} = -\frac{h^2}{3} \partial_x^2 - hh_x \partial_x + \frac{h}{2} b_{xx} + b_x \eta_x$$

$$\mathcal{T}_{12} = -\frac{h^2}{3} \partial_x \partial_y + \frac{h}{2} b_y \partial_x - h \left(h_x + \frac{1}{2} b_x \right) \partial_y + \frac{h}{2} b_{xy} + b_y \eta_x$$

$$\mathcal{T}_{21} = -\frac{h^2}{3}\partial_x\partial_y - h\left(h_y + \frac{1}{2}b_y\right)\partial_x + \frac{h}{2}b_x\partial_y + \frac{h}{2}b_{xy} + b_y\eta_y$$

$$\mathcal{T}_{22} = -\frac{h^2}{3}\partial_y^2 - hh_y\partial_y + \frac{h}{2}b_{yy} + b_y\eta_y$$

$$Z_1 = \frac{g}{\alpha}\eta_x + 2h\left(\frac{h}{3}\phi_x + \phi\left(h_x + \frac{1}{2}b_x\right)\right) + \frac{h}{2}w_x + w\eta_x$$

$$Z_2 = \frac{g}{\alpha}\eta_y + 2h\left(\frac{h}{3}\phi_y + \phi\left(h_y + \frac{1}{2}b_y\right)\right) + \frac{h}{2}w_y + w\eta_y$$

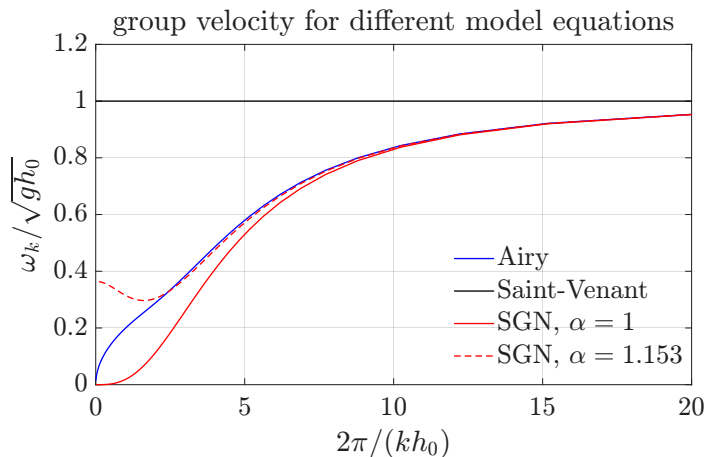
$$\phi = v_x u_y - u_x v_y + (u_x + v_y)^2, \quad w = u^2 b_{xx} + 2uv b_{xy} + v^2 b_{yy}$$

To solve (21) numerically, one approach is fractional-step method:

- Elliptic step for dispersive source term ψ
- Hyperbolic step for h , hu , & hv

Detailed numerical procedures would vary depending on employed discretization methods

Shallow water models: group velocity comparison



Numerical SGN model: pressure-based formulation

To **simplify elliptic-step** computation in 2D SGN, Gavriluk & Shyue (2023) derived **scalar elliptic equation** for averaged pressure p defined in (20) as

$$-\frac{h^3}{3}\nabla\cdot\left(\frac{\nabla p}{h}\right)-\frac{h^3}{2}\nabla\cdot\left(\frac{p\nabla b}{h^2}\right)+p=\\ \frac{2h^3}{3}\left[(\nabla\cdot\mathbf{u})^2-\det\left(\frac{\partial\mathbf{u}}{\partial\mathbf{x}}\right)\right]+\frac{1}{2}gh^2+\frac{1}{2}h^2\ddot{b}-\frac{h^3}{3}\nabla\cdot\Upsilon$$

with

$$\Upsilon=\begin{bmatrix}-\left(g+\ddot{b}\right)b_x/4\\-\left(g+\ddot{b}\right)b_y/4\end{bmatrix}$$

Numerical SGN model: ϖ -based formulation

Let $\varpi = p - \frac{1}{2}gh^2 = \frac{h^2}{3} \left(\ddot{h} + \frac{3}{2}\ddot{b} \right)$ be non-hydrostatic part of averaged pressure p

Alternative elliptic equation written in ϖ is:

$$\begin{aligned} -\frac{h^3}{3} \left[\left(\frac{\varpi_x}{h} \right)_x + \left(\frac{\varpi_y}{h} \right)_y \right] - \frac{h^3}{2} \left[\left(\frac{b_x}{h^2} \varpi \right)_x + \left(\frac{b_y}{h^2} \varpi \right)_y \right] + \varpi = \\ \frac{2h^3}{3} [(U_x + V_y)^2 - (U_x V_y - U_y V_x)] + \frac{h^2}{2} \ddot{b} + \\ \frac{h^3}{3} \left[\left(g(h+b)_x + \frac{1}{4} \ddot{b} b_x \right)_x + \left(g(h+b)_y + \frac{1}{4} \ddot{b} b_y \right)_y \right] \end{aligned}$$

Separating ϖ from p has advantage in hyperbolic-step approximation. Here $\mathbf{u} = (U, V)^T$ & $\mathbf{x} = (x, y)^T$

Numerical method for ϖ -based SGN model

We employ hyperbolic-elliptic splitting in solving SGN model

- **Hyperbolic step:** well-balanced scheme

$$\mathbf{q}_t + \operatorname{div} \mathcal{F}(\mathbf{q}) + \zeta(\mathbf{q}, \nabla b) = \psi(\mathbf{q}, \nabla \mathbf{q}, \nabla b, \nabla^2 b, \varpi, \nabla \varpi)$$

$$\mathbf{q} = \begin{bmatrix} h \\ hU \\ hV \\ b \end{bmatrix}, \quad \mathcal{F} = [\mathbf{F} \quad \mathbf{G}] = \begin{bmatrix} hU & hV \\ hU^2 + \frac{1}{2}gh^2 & hUV \\ hUV & hV^2 + \frac{1}{2}gh^2 \\ 0 & 0 \end{bmatrix}$$
$$\zeta = \begin{bmatrix} 0 \\ -ghb_x \\ -ghb_y \\ 0 \end{bmatrix}, \quad \psi = \begin{bmatrix} 0 \\ -\frac{3\varpi}{2h}b_x - \frac{h}{4}\ddot{b}b_x - \varpi_x \\ -\frac{3\varpi}{2h}b_y - \frac{h}{4}\ddot{b}b_y - \varpi_y \\ 0 \end{bmatrix}$$

- **Elliptic step**

$$-\frac{h^3}{3}\nabla \cdot \left(\frac{1}{h}\nabla \varpi \right) - \frac{h^3}{2}\nabla \cdot \left(\frac{\nabla b}{h^2}\varpi \right) + \varpi = \varphi(\mathbf{q}, \nabla \mathbf{q}, \dots)$$

Derivation of SGN averaged pressure equation

SGN equations (20) in 2D are:

$$h_t + (hU)_x + (hV)_y = 0$$

$$(hU)_t + (hU^2 + p)_x + (hUV)_y = - \left[gh + h \left(\ddot{b} + \frac{1}{2} \ddot{h} \right) \right] b_x$$

$$(hV)_t + (hUV)_x + (hV^2 + p)_y = - \left[gh + h \left(\ddot{b} + \frac{1}{2} \ddot{h} \right) \right] b_y$$

With fluid pressure p defined by $p = \frac{gh^2}{2} + h^2 \left(\frac{1}{2} \ddot{b} + \frac{1}{3} \ddot{h} \right)$,

rewrite momentum equations as:

$$\dot{U} + \frac{p_x}{h} = -\frac{1}{4} \left(g + \ddot{b} + \frac{6p}{h^2} \right) b_x$$

$$\dot{V} + \frac{p_y}{h} = -\frac{1}{4} \left(g + \ddot{b} + \frac{6p}{h^2} \right) b_y$$

Taking divergence of above system, we find

$$-\nabla \cdot \left(\frac{\nabla p}{h} \right) + \nabla \cdot \Psi = \nabla \cdot (\dot{\mathbf{u}}), \quad \Psi = \begin{bmatrix} - \left(g + \ddot{b} + 6p/h^2 \right) b_x/4 \\ - \left(g + \ddot{b} + 6p/h^2 \right) b_y/4 \end{bmatrix}$$

Using vector calculus, we have

$$\nabla \cdot (\dot{\mathbf{u}}) = \overbrace{(\nabla \cdot \mathbf{u})}^{\dot{}} + (\nabla \cdot \mathbf{u})^2 - 2 \det \left(\frac{\partial \mathbf{u}}{\partial \mathbf{x}} \right), \quad \frac{\partial \mathbf{u}}{\partial \mathbf{x}} \in \mathbf{R}^{2 \times 2}$$

Thus one obtains:

$$-\nabla \cdot \left(\frac{\nabla p}{h} \right) + \nabla \cdot \Psi = \overbrace{(\nabla \cdot \mathbf{u})}^{\dot{}} + (\nabla \cdot \mathbf{u})^2 - 2 \det \left(\frac{\partial \mathbf{u}}{\partial \mathbf{x}} \right)$$

which with $\nabla \cdot \mathbf{u} = -\dot{h}/h$ gives

$$-\nabla \cdot \left(\frac{\nabla p}{h} \right) + \nabla \cdot \Psi = -\frac{\ddot{h}}{h} + 2\frac{\dot{h}^2}{h^2} - 2 \det \left(\frac{\partial \mathbf{u}}{\partial \mathbf{x}} \right)$$

This leads to

$$\begin{aligned}\frac{1}{3}h^2\ddot{h} &= \frac{2}{3}h^3 \left(\frac{\dot{h}^2}{h^2} - \det \left(\frac{\partial \mathbf{u}}{\partial \mathbf{x}} \right) \right) + \frac{1}{3}h^3 \nabla \cdot \left(\frac{\nabla p}{h} \right) - \frac{1}{3}h^3 \nabla \cdot \Psi \\ &= p - \frac{1}{2}gh^2 - \frac{1}{2}h^2\ddot{b}\end{aligned}$$

Thus we arrive at

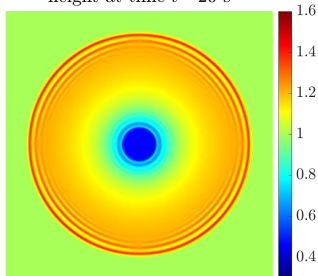
$$\begin{aligned}-\frac{h^3}{3} \nabla \cdot \left(\frac{\nabla p}{h} \right) - \frac{h^3}{2} \nabla \cdot \left(\frac{p \nabla b}{h^2} \right) + p = \\ \frac{2h^3}{3} \left[(\nabla \cdot \mathbf{u})^2 - \det \left(\frac{\partial \mathbf{u}}{\partial \mathbf{x}} \right) \right] + \frac{1}{2}gh^2 + \frac{1}{2}h^2\ddot{b} - \frac{h^3}{3} \nabla \cdot \Upsilon\end{aligned}$$

with

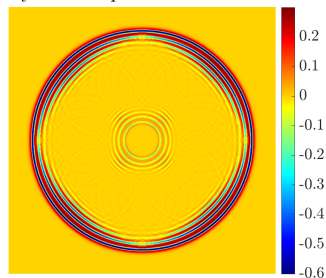
$$\Upsilon = \begin{bmatrix} - \left(g + \ddot{b} \right) b_x / 4 \\ - \left(g + \ddot{b} \right) b_y / 4 \end{bmatrix}$$

Radially symmetric problems: SGN equations

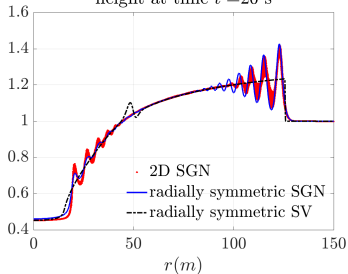
height at time $t=20$ s



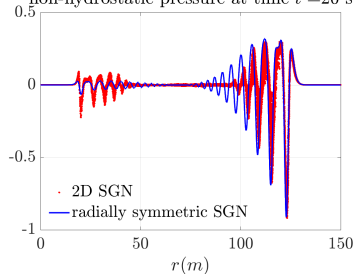
non-hydrostatic pressure at time $t=20$ s



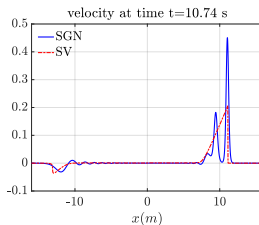
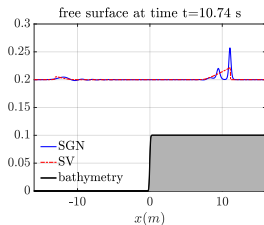
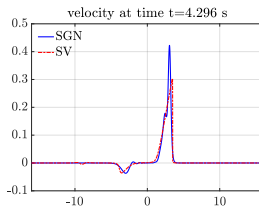
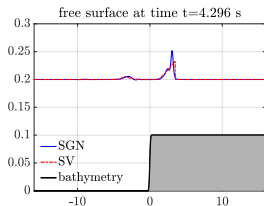
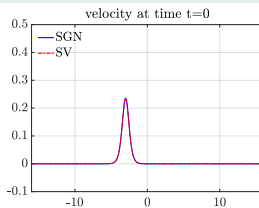
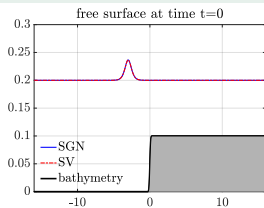
height at time $t=20$ s



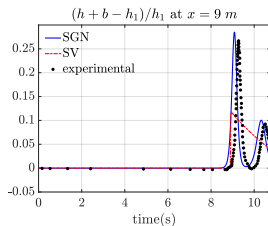
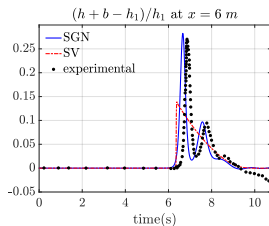
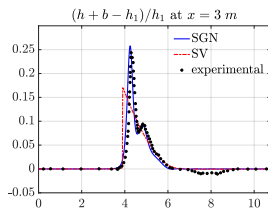
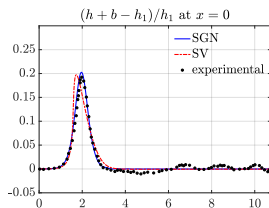
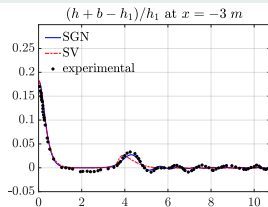
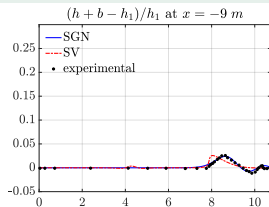
non-hydrostatic pressure at time $t=20$ s



Solitary wave over bottom step: SGN equations

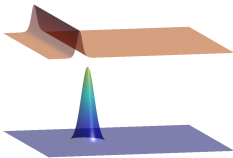


Solitary wave over bottom step: time history

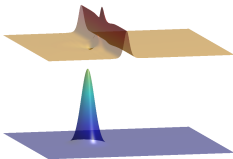


Solitary wave over Gaussian hump

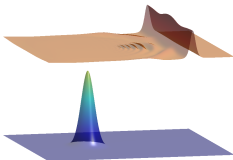
free surface at $t=0$



free surface at $t=5$ s



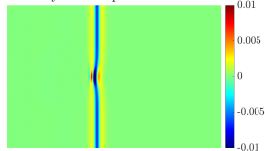
free surface at $t=12$ s



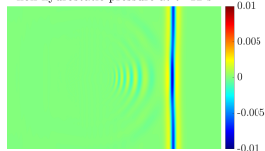
non-hydrostatic pressure at $t=0$



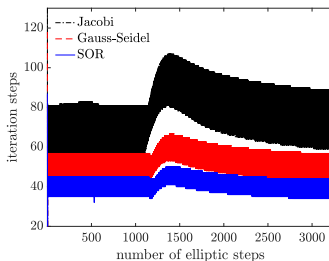
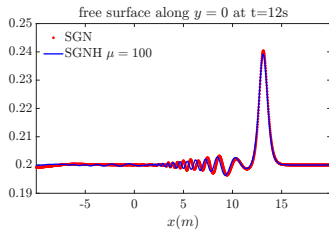
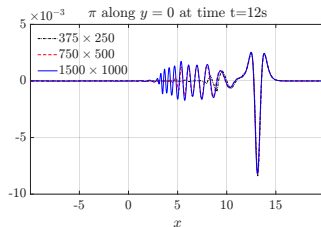
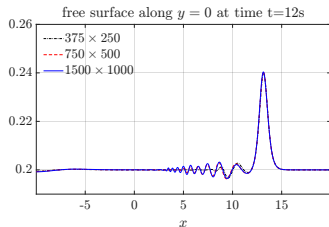
non-hydrostatic pressure at $t=5$ s



non-hydrostatic pressure at $t=12$ s



Solitary wave over Gaussian hump: Convergence



Hyperbolic SGN (SGNH) model over topography

To avoid elliptic operator inversion Favrie & Gavriluk (2016) considered hyperbolic approximation of SGN equations:

$$h_t + \operatorname{div}(h\mathbf{u}) = 0$$

$$(h\mathbf{u})_t + \operatorname{div}(h\mathbf{u} \otimes \mathbf{u}) + \nabla p_\mu = - \left[gh + \frac{\mu}{2} \left(\frac{\eta}{h} - 1 \right) \right] \nabla b$$

$$(h\eta)_t + \operatorname{div}(h\eta\mathbf{u}) = h\omega$$

$$(h\omega)_t + \operatorname{div}(h\omega\mathbf{u}) = -\mu \left(\frac{\eta}{h} - 1 \right)$$

$\mu \in \mathbf{R}_+$ relaxation parameter & p_μ relaxed pressure

$$p_\mu = \frac{1}{2}gh^2 - \frac{\mu}{3} \left(\frac{\eta}{h} - 1 \right) \eta$$

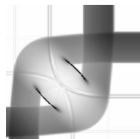
Model is **hyperbolic** with real eigenvalues & complete set of eigenvectors

Duchene (2019) gave rigorous mathematical justification of hyperbolic model ($b = 0$ case) to SGN as $\mu \rightarrow \infty$

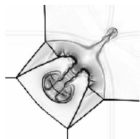
Compressible gas dynamics: 2D Riemann problems



Configuration 1



Configuration 2



Configuration 3



Configuration 4



Configuration 5



Configuration 6



Configuration 7



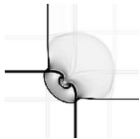
Configuration 8



Configuration 9

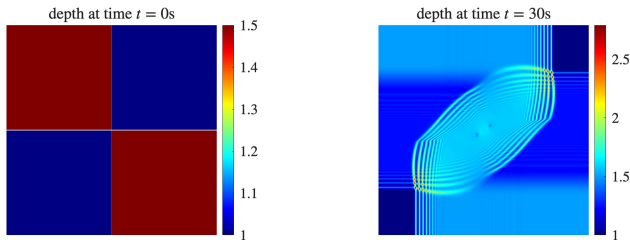


Configuration 10

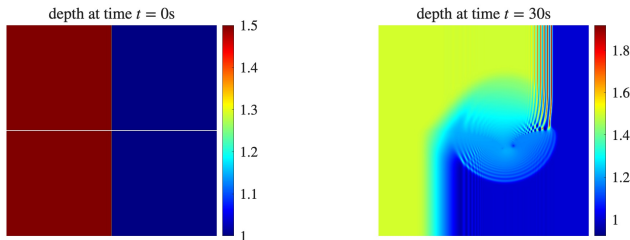


SGN equations: 2D Riemann problems

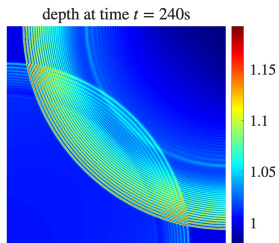
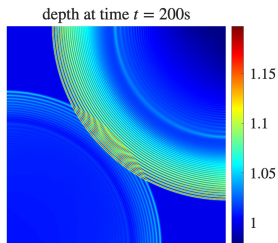
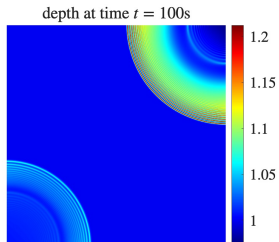
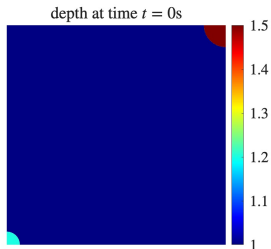
2 DSW-1 DSW case



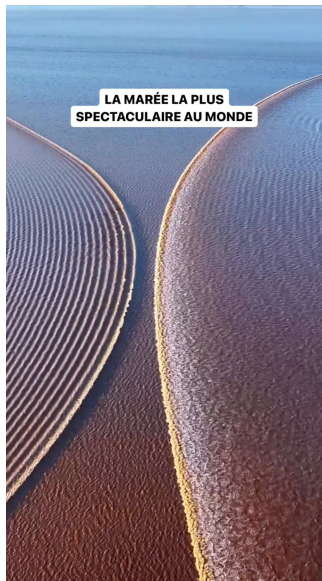
2 DSW-1 RW case



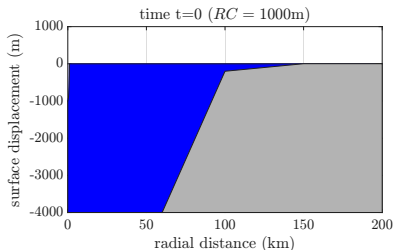
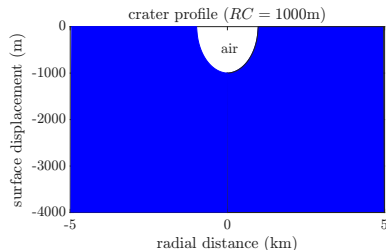
Headon DSW–DSW interaction



Qiantang river bore (from Dailymotion)



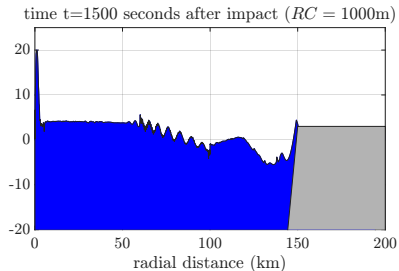
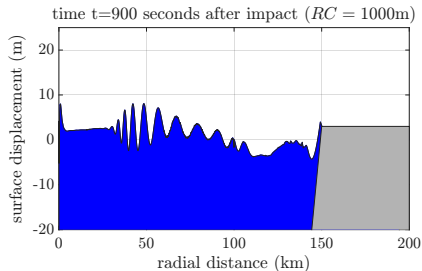
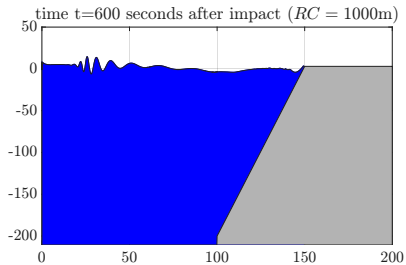
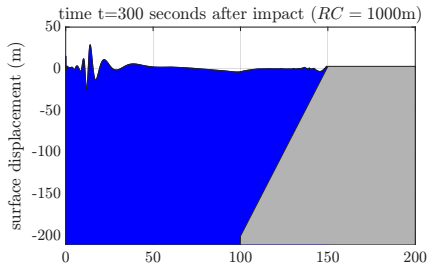
Impact induced tsunami wave over reef



Numerical modelling approach:

- (i) Initial wave generation
Direct numerical impact simulation
- (ii) Wave propagation & run-up
Depth-average Boussinesq simulation

Impact induced waves: direct numerical simulation



- 5-equation transport model

$$(\alpha_1 \rho_1)_t + (\alpha_1 \rho_1 u)_r + (\alpha_1 \rho_1 v)_z = -\frac{1}{r} \alpha_1 \rho_1 u$$

$$(\alpha_2 \rho_2)_t + (\alpha_2 \rho_2 u)_r + (\alpha_2 \rho_2 v)_z = -\frac{1}{r} \alpha_2 \rho_2 u$$

$$(\rho u)_t + (\rho u^2 + p)_r + (\rho u v)_z = -\frac{1}{r} \rho u^2$$

$$(\rho v)_t + (\rho u v)_r + (\rho v^2 + p)_z = -\frac{1}{r} \rho u v - \rho g$$

$$(\rho E)_t + (\rho H u)_r + (\rho H v)_z = -\frac{1}{r} \rho H u - \rho v g$$

$$\alpha_{1,t} + u \alpha_{1,r} + v \alpha_{1,z} = 0$$

- Equation of state: stiffened gas

$$\rho e(p, \alpha) = \alpha \left(\frac{p + \gamma_1 p_{\infty,1}}{\gamma_1 - 1} \right) + (1 - \alpha) \left(\frac{p + \gamma_2 p_{\infty,2}}{\gamma_2 - 1} \right)$$

- $\rho = \alpha_1 \rho_1 + \alpha_2 \rho_2, \quad \alpha_1 + \alpha_2 = 1$

Hyperbolic-step solver for SGN equations: remark

1. Solitary wave problem

	Godunov		MUSCL		WENO 3	
N	$E^1(h)$	order	$E^1(h)$	order	$E^1(h)$	order
1200	2.595e+02		4.894e+00		2.088e-01	
2400	1.470e+02	0.82	1.210e+00	2.02	5.237e-02	2.00
4800	7.834e+01	0.91	3.005e-01	2.01	1.310e-02	2.00
9600	4.044e+01	0.95	7.487e-02	2.01	3.273e-03	2.04

2. Periodic wave problem

	Godunov		MUSCL		WENO 3	
N	$E^1(h)$	order	$E^1(h)$	order	$E^1(h)$	order
300	1.346e-01		5.250e-03		3.521e-03	
600	7.749e-02	0.83	1.094e-03	2.37	4.563e-04	3.09
1200	4.100e-02	0.92	2.482e-04	2.15	5.927e-05	2.96
2400	2.112e-02	0.96	6.072e-05	2.03	7.923e-06	2.90

Adaptive reconstruction scheme

Let q_L^ι & q_R^ι be interpolated states at left & right cell edges of numerical reconstruction scheme $Q_j^\iota(x)$ for $\iota = A, B$

Boundary variation diminishing (BVD) selection algorithm consists of two steps (Deng *et al.* 2018):

1. Compute values of minimum total boundary variation (mTBV) for schemes ι for $\iota = A$ & B

$$\text{mTBV}_j^\iota = \min \left(\begin{aligned} &|q_{L,j-1/2}^A - q_{R,j-1/2}^\iota| + |q_{L,j+1/2}^\iota - q_{R,j+1/2}^A|, \\ &|q_{L,j-1/2}^A - q_{R,j-1/2}^\iota| + |q_{L,j+1/2}^\iota - q_{R,j+1/2}^B|, \\ &|q_{L,j-1/2}^B - q_{R,j-1/2}^\iota| + |q_{L,j+1/2}^\iota - q_{R,j+1/2}^A|, \\ &|q_{L,j-1/2}^B - q_{R,j-1/2}^\iota| + |q_{L,j+1/2}^\iota - q_{R,j+1/2}^B| \end{aligned} \right)$$

2. Compare values of mTBV^A & mTBV^B

$$Q_j(x) = \begin{cases} Q_j^A(x), & \text{if } \text{mTBV}^A < \text{mTBV}^B, \\ Q_j^B(x), & \text{otherwise} \end{cases}$$

Thank you