

# Quantum Flips

Chin-Lung Wang  
National Taiwan University  
(A joint project with Y.-P. Lee and H.-W. Lin)

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## 1. What is Quantum Cohomology?

**A: Deformation of  $(H(X), \cup)$  by rational curves.**

- ▶ Let  $X/\mathbb{C}$  be a projective manifold,  $\overline{M}_n(X, \beta)$  be the moduli space of stable maps

$$f : (C, p_1, \dots, p_n) \rightarrow X$$

from  $n$ -pointed rational nodal curves to  $X$  with image class  $\beta \in NE(X)$ , the Mori cone of effective 1-cycles.

- ▶ For  $i \in [1, n]$ , let  $e_i : \overline{M}_n(X, \beta) \rightarrow X$  be the evaluation map

$$e_i(f) := f(p_i) \in X.$$

- ▶ Let  $\mathbf{t} \in H = H(X)$ . The  $g = 0$  Gromov–Witten potential

$$\begin{aligned} F(\mathbf{t}) = \langle\langle - \rangle\rangle(\mathbf{t}) &:= \sum_{n, \beta} \frac{q^\beta}{n!} \langle \mathbf{t}^{\otimes n} \rangle_{n, \beta}^X \\ &= \sum_{n \geq 0, \beta \in NE(X)} \frac{q^\beta}{n!} \int_{[\overline{M}_n(X, \beta)]^{vir}} \prod_{i=1}^n e_i^* \mathbf{t} \end{aligned}$$

is a formal function in  $\mathbf{t}$  and  $q^{\beta'}$ 's (Novikov variables).

- ▶ We call  $\mathcal{R} := \mathbb{C}[[q^\bullet]]$  the (formal) Kähler moduli and denote

$$H_{\mathcal{R}} = H \otimes \mathcal{R}.$$

- ▶ Let  $\{T_\mu\}$  be a basis of  $H$  and  $\{T^\mu := \sum g^{\mu\nu} T_\nu\}$  the dual basis with respect to the Poincaré pairing

$$g_{\mu\nu} = (T_\mu \cdot T_\nu), \quad (g^{\mu\nu}) = (g_{\mu\nu})^{-1}.$$

- ▶ Let  $\mathbf{t} = \sum t^\mu T_\mu$ . The *big quantum ring*  $(QH(X), *)$  is a  $\mathbf{t}$ -family of rings  $Q_{\mathbf{t}}H(X) = (T_{\mathbf{t}}H_{\mathcal{R}}, *_{\mathbf{t}})$ :

$$\begin{aligned} T_\mu *_{\mathbf{t}} T_\nu &:= \sum_{\epsilon, \kappa} \partial_\mu \partial_\nu \partial_\epsilon F(\mathbf{t}) g^{\epsilon\kappa} T_\kappa \equiv \sum F_{\mu\nu\epsilon} g^{\epsilon\kappa} T_\kappa \\ &= \sum_{\epsilon, \kappa} \langle\langle T_\mu, T_\nu, T_\epsilon \rangle\rangle(\mathbf{t}) g^{\epsilon\kappa} T_\kappa \\ &= \sum_{\kappa, n \geq 0, \beta \in NE(X)} \frac{q^\beta}{n!} \langle T_\mu, T_\nu, T^\kappa, \mathbf{t}^{\otimes n} \rangle_{n+3, \beta}^X T_\kappa. \end{aligned}$$

- ▶ The WDVV associativity equations equip  $(H_{\mathcal{R}}, g_{\mu\nu}, F_{ijk}, T_0 = \mathbf{1})$  a structure of *formal Frobenius manifold* over  $\mathcal{R}$ .
- ▶ It is equivalent to the flatness of the Dubrovin connection

$$\nabla^z = d - \frac{1}{z}A := d - \frac{1}{z} \sum_{\mu} dt^{\mu} \otimes T_{\mu} * \mathbf{t}$$

on the formal relative tangent bundle  $TH_{\mathcal{R}}$  for all  $z \in \mathbb{C}^{\times}$ :

$$\partial_{\mu} A_{\nu} = \partial_{\nu} A_{\mu}, \quad [A_{\mu}, A_{\nu}] = 0,$$

- ▶ where the (connection) matrix  $A_{\mu}$  for  $z\nabla_{\mu}^z$  is  $z$ -free:

$$A_{\mu}(\mathbf{t}) = T_{\mu} * \mathbf{t}.$$

- ▶ This  $z$ -free property uniquely characterizes the constant frame  $\{T_{\mu}\}$  among all frames  $\{\tilde{T}_{\mu}\}$  with

$$\tilde{T}_{\mu}(q^{\bullet}, \mathbf{t}, z) \equiv T_{\mu} \pmod{\mathcal{R}}.$$

- ▶ Let  $\psi = c_1(\mathbf{p}_1^* \omega_{\mathcal{C}/\overline{M}_n})$  be the class of cotangent line at the first marked section  $\mathbf{p}_1 : \overline{M}_n \rightarrow \mathcal{C}$  of  $\mathcal{C} \rightarrow \overline{M}_n$ , then

$$J(\mathbf{t}, z^{-1}) := 1 + \frac{\mathbf{t}}{z} + \sum_{\beta, n, \mu} \frac{q^\beta}{n!} T^\mu \left\langle \frac{T^\mu}{z(z - \psi)}, \mathbf{t}^{\otimes n} \right\rangle_{n+1, \beta}^X$$

encodes invariants with one descendent insertion.

- ▶ The topological recursion relation (TRR):

$$\langle\langle \tau_{d+1} T_i, T_j, T_k \rangle\rangle = \sum_{\mu} \langle\langle \tau_d T_i, T_\mu \rangle\rangle \langle\langle T^\mu, T_j, T_k \rangle\rangle$$

implies the quantum differential equation (QDE):

$$z \partial_\mu z \partial_\nu J = \sum_{\kappa} A_{\mu\nu}^\kappa z \partial_\kappa J.$$

- ▶ Let  $\mathcal{D}^z$  be the ring of differential operators generated by  $z \partial_i$  with coefficients in  $\mathcal{O} = \mathbb{C}[z][[q^\bullet, \mathbf{t}]]$ . The  $\mathcal{D}^z$ -module  $\mathcal{O}^{\dim H}$  associated to  $z \partial_i \mapsto z \nabla_i^z$  is isomorphic to the *cyclic*  $\mathcal{D}^z$ -module  $\mathcal{D}^z J$ .

- ▶ In practice, one might be able to find element

$$I(\hat{\mathbf{t}}, z, z^{-1}) \in \mathcal{D}^z J(\mathbf{t}, z^{-1})$$

but only along some restricted variables  $\hat{\mathbf{t}} \in H_1 \subset H$ .

- ▶ If  $H_1$  generates  $H$  (either in classical product or quantum product), then often one may compute  $J(\mathbf{t}, z^{-1})$  and  $\nabla^z$ .
- ▶ For a toric manifold  $X$ , such an  $I$  function can be found through the  $\mathbb{C}^\times$ -localization data with  $\hat{\mathbf{t}} \in H^{\leq 2}(X)$ .
- ▶ [Lian–Liu–Yau 1996, Givental 1996] For  $c_1(X) \geq 0$ ,  $I(\hat{\mathbf{t}}, z^{-1})$  can be found and  $J(\hat{\mathbf{t}}, z^{-1})$  is obtained by a *mirror transform*.
- ▶ [Coates–Givental 2005, Iritani 2008, Brown 2010]  $I(\hat{\mathbf{t}}, z, z^{-1})$  is found for all toric manifolds. However, the structures and computations are *far more complicated*. Need BF/GMT:

***Birkhoff Fatcorizations + Generalized Mirror Transform.***



### Example: a Fano toric bundle

$$X = P_{P^1}(\mathcal{O}(-1) \oplus \mathcal{O}) \xrightarrow{\pi} P^1,$$

$$c_1(X) = h + 2\xi > 0,$$

$$H(X) = \mathbb{C}[h, \xi] / (h^2, \xi(\xi - h)).$$

Let  $\ell$  be the zero section,  $\gamma$  the fiber line, then

$$NE(X) = \mathbb{Z}\ell + \mathbb{Z}\gamma.$$

$$QH(X) = ?$$

- ▶  $\{T_0, T_1, T_2, T_3\} = \{1, h, \xi, \xi^2\}$ ,

$$\hat{\mathbf{t}} = t^0 T_0 + D, \quad D = t^1 h + t^2 \xi \in H^2.$$

- ▶ Let  $q_1 = q^\ell e^{t^1}$  and  $q_2 := q^\gamma e^{t^2}$  (small parameters), then

$$I(\hat{\mathbf{t}}, z^{-1}) := e^{\frac{t^0 T_0}{z}} \sum_{\beta=d_1 \ell + d_2 \gamma} q^\beta e^{\frac{D}{z} + (D \cdot \beta)} I_\beta = e^{\frac{\hat{\mathbf{t}}}{z}} \sum_{d_1, d_2=0}^{\infty} q_1^{d_1} q_2^{d_2} I_{d_1, d_2},$$

$$I_{d_1, d_2} := \frac{1}{\prod_{m=1}^{d_1} (h + mz)^2 \prod_{m=1}^{d_2 - d_1} (\xi - h + mz) \prod_{m=1}^{d_2} (\xi + mz)} = O(z^{-2}).$$

- ▶ [LLY, Givental]  $\implies I(\hat{\mathbf{t}}, z^{-1}) = J(\hat{\mathbf{t}}, z^{-1})$ . **However,  $t^3$  is missing.**
- ▶ In general, if  $c_1(X) \cdot \beta < 0$  for some  $\beta$ , then the  $z$  power  $\rightarrow +\infty$ .

- ▶ Technique: use *Naive Quantization* to replace  $z\partial_3 J$ : e.g.

$$\widehat{T}_i I = z\partial_i I, \quad i = 0, 1, 2, \quad \widehat{T}_3 I = \widehat{\xi}^2 I := (z\partial_2)^2 I.$$

- ▶ In general, since  $I \in \mathcal{D}^z J$ , we get  $\widehat{T}_i I \in \mathcal{D}^z J$  too. Hence

$$(\widehat{T}_i I)(\hat{\mathbf{t}}, z, z^{-1}) = z\nabla J(\sigma(\hat{\mathbf{t}}), z^{-1})B(\hat{\mathbf{t}}, z).$$

- ▶ The unique gauge transform is called the BF. It implies

$$J(\sigma(\hat{\mathbf{t}}), z^{-1}) = z\partial_0 J = \sum_i \widehat{T}_i I \cdot (B^{-1})_i^0 =: P(\hat{\mathbf{t}}, z\partial_1, z\partial_2)I(\hat{\mathbf{t}}, z, z^{-1}).$$

- ▶ The  $z^{-1}$  coefficient of  $PI$  gives the GMT:  $\hat{\mathbf{t}} \mapsto \sigma(\hat{\mathbf{t}}) \in H_{\mathcal{R}}$ .
- ▶ In practice, we study  $B, \sigma(\hat{\mathbf{t}})$  via the Picard–Fuchs equations of  $I$ :

$$\begin{aligned} \square_\ell &= (z\partial_1)^2 - q_1(z\partial_2 - z\partial_1), \\ \square_\gamma &= (z\partial_2 - z\partial_1)z\partial_2 - q_2. \end{aligned}$$

- ▶ This leads to the connection matrix in the frame  $\widehat{T}_i I$ :

$$z\partial_a(\widehat{T}_i I) = (\widehat{T}_i I)C_a(\hat{\mathbf{t}}, z), \quad a = 1, 2.$$

- ▶ In this example the choice of  $\{\widehat{T}_i I\}$  leads to

$$C_1 = \begin{bmatrix} & -q_2 & q_1 q_2 \\ 1 & -q_1 & q_2 \\ & q_1 & \\ & & 1 \end{bmatrix}, \quad C_2 = \begin{bmatrix} & -q_2 & q_1 q_2 + z q_2 \\ & & q_2 \\ 1 & & q_2 \\ & 1 & 1 \end{bmatrix}.$$

- ▶  $B(\hat{\mathbf{t}}, z) = I_4 + q_2 e_{03}$  ( $\widehat{\zeta}^2 \mapsto \widehat{h}\widehat{\zeta}$ ) removes the  $z$ -dependence:

$$\tilde{C}_2(\hat{\mathbf{t}}) = -(z\partial_2 B)B^{-1} + BC_2(\hat{\mathbf{t}}, z)B^{-1} = \begin{bmatrix} & q_2 & q_1 q_2 \\ & & q_2 \\ 1 & & \\ & 1 & 1 \end{bmatrix}.$$

- ▶ The first column  $\implies \sigma(\hat{\mathbf{t}}) = \hat{\mathbf{t}}$ . In general  $\tilde{C} = \sigma^* A$ : i.e.

$$\tilde{C}_a(\hat{\mathbf{t}}) = \sum_{\mu} A_{\mu}(\sigma(\hat{\mathbf{t}})) \frac{\partial \sigma^{\mu}}{\partial t^a}.$$

## 2. Quantum Motives? The Functoriality Problem

**Q: Which part of the structure on  $QH(X)$  is functorial?**

- ▶  $\mathcal{M}_k$ : the category of Chow motives,  $k$  the ground field.
- ▶ Objects:  $\hat{X}$ , where  $X$  a smooth variety over  $k$ .
- ▶ Morphisms are correspondences

$$\Gamma \in \text{Mor}(\hat{X}, \hat{X}') := A(X \times X').$$

- ▶ Induced map on Chow groups:  $[\Gamma]_* : A(X) \rightarrow A(X')$ :

$$\alpha \mapsto \pi'_*(\Gamma.\pi^*\alpha).$$

- ▶ Linear structures: if  $\hat{X} \cong \hat{X}'$  then  $A^i(X) \cong A^i(X')$  for all  $i$ . If  $k$  is a number field,  $X$  and  $X'$  have the same  $L$  functions for each  $i$ .
- ▶ However, the ring structures are different:  $A(X) \not\cong A(X')$ !
- ▶ [Wang 2002] Is there a *universal product structure* defined on Chow motives? Namely a universal family  $(\mathcal{A}, *) \rightarrow T$  such that all geometric realizations  $(A(X), \bullet)$  correspond to *special points*.

- ▶ Typical examples come from ordinary  $(r, r')$ -flops/flips:

$$\begin{array}{ccccc}
 & & E = Z \times_S Z' \subset Y & & \\
 & \swarrow \phi & & \searrow \phi' & \\
 P^1 \cong \ell \subset Z \subset X & \overset{f}{\dashrightarrow} & X' \supset Z' \supset \ell' \cong P^1 & & \\
 & \searrow \psi & & \swarrow \psi' & \\
 & & S \subset \bar{X} & & 
 \end{array}$$

- ▶  $\bar{\psi} : Z = P_S(F) \rightarrow S$ ,  $\text{rk } F = r + 1$ ,  $\psi$ -extremal ray  $\ell = [C]$ .
- ▶  $N_{Z/X}|_{\bar{\psi}^{-1}(s)} \cong \mathcal{O}_{Pr}(-1)^{\oplus(r'+1)}$  for all  $s \in S$ .
- ▶  $Y = \text{Bl}_Z X = \text{Bl}_{Z'} X'$ ,  $K_Y = \phi^* K_X + r'E = \phi'^* K_{X'} + rE$ . Hence

$$\phi^* K_X = \phi'^* K_{X'} + (r - r')E.$$

- ▶ For flops  $r = r'$ , we have  $K$ -equivalence and  $\hat{X} \cong \hat{X}'$  via

$$\Phi := [\bar{\Gamma}_f]_* = \phi'_* \circ \phi^* : H(X) \xrightarrow{\sim} H(X').$$

- ▶ It preserves the Poincaré pairing

$$(\Phi a \cdot \Phi b)^{X'} = (\phi'^* \Phi a \cdot \phi^* b)^Y = ((\phi^* a + \xi) \cdot \phi^* b)^Y = (a \cdot b)^X,$$

but NOT the cup product!

- ▶ For the simple case ( $S = \text{pt}$ ), let  $\alpha_i \in H^{2l_i}(X)$ ,  $\sum_{i=1}^3 l_i = \dim X$ ,

$$(\Phi \alpha_1 \cdot \Phi \alpha_2 \cdot \Phi \alpha_3)^{X'} = (\alpha_1 \cdot \alpha_2 \cdot \alpha_3)^X - \prod_{i=1}^3 (\alpha_i \cdot h^{r-l_i})^Z,$$

where  $h = c_1(\mathcal{O}_Z(1)) \in H^2(Z)$ .

- ▶ Solution: use quantum product  $(Q_t H, *_t)$  instead.



- ▶ The effectivity of extremal curve is not preserved:

$$\Phi\ell = -\ell' \notin NE(X').$$

- ▶ It is necessary to consider analytic continuations  $\overline{QH(X)}$  of  $QH(X)$  along the Kähler moduli via the *partial compactification*

$$\Phi q^\beta = q^{\Phi\beta} \quad \text{toward} \quad "q^\ell = \infty".$$

- ▶ For flops, the functoriality is simply the canonical isomorphism

$$\Phi : \overline{QH(X)} \xrightarrow{\sim} \overline{QH(X')}.$$

- ▶ In terms of Gromov–Witten invariants: for  $\mathbf{t} \in H(X)$ ,

$$\Phi \langle\langle T_i, T_j, T_k \rangle\rangle^X(\mathbf{t}) = \langle\langle \Phi T_i, \Phi T_j, \Phi T_k \rangle\rangle^{X'}(\Phi \mathbf{t}).$$

- ▶ [Li–Ruan] for 3-folds, [LLW, LLQW] for general ordinary flops.

- ▶ The simplest non  $K$ -equivalent birational maps *preserving the dimension of Kähler moduli* are smooth ordinary flips.
- ▶ *Pseudo-abelian completion of Chow motives*  $\widetilde{\mathcal{M}}$ : objects  $(\hat{X}, p)$ , where  $p \in \text{End}(\hat{X}) = A(X \times X)$  is a projector:  $p^2 = p$ . Then

$$\hat{X} \equiv (\hat{X}, 1) = (\hat{X}, p) \oplus (\hat{X}, 1 - p).$$

- ▶ For flips with  $r > r'$ ,  $\Psi := [\bar{\Gamma}_{f^{-1}}]$  induces a sub-motive

$$\Psi : \hat{X}' \xrightarrow{\sim} (\hat{X}, p), \quad p := \Psi \circ \Phi.$$

- ▶ On cohomology

$$\Psi : H(X') \hookrightarrow H(X),$$

the Poincaré pairing is still preserved  $(\Psi a, \Psi b)^X = (a, b)^{X'}$ , but not the cup product. **Not even the quantum product!**

- ▶ Solutions?

### 3. Statements of Results for Simple Flips

$$f : X \dashrightarrow X'$$

- ▶ We would like to show that  $QH(X')$  can still be regarded as a sub-theory of  $QH(X)$  in a canonical, though *non-linear*, manner.
- ▶ First of all, there is a basic *split* exact sequence

$$0 \longrightarrow K \longrightarrow H(X) \begin{array}{c} \xrightarrow{\Phi} \\ \xleftarrow{\Psi} \end{array} H(X') \longrightarrow 0.$$

- ▶ The kernel space (vanishing cycles)  $K$  has dimension  $d := r - r'$  and is orthogonal to  $\Psi H(X')$ :

$$K = \bigoplus_{j=r'+1}^r \mathbb{C}[P^j].$$

- ▶ Secondly, the Dubrovin connection  $\nabla$  can be analytically continued *along the Kähler moduli* to a connection  $\Phi\nabla$  under the rule

$$\Phi q^\beta = q^{\Phi\beta}, \quad \beta \in NE(X).$$

- ▶ As before  $\Phi\ell = -\ell'$  and analytic continuations are required.

- ▶ We use identification of *divisorial coordinates*  $t^i$  and Novikov variables  $q^{\beta_i}$  (divisor axiom): let  $D = \sum t^i D_i$ ,  $(D_i, \beta_j) = \delta_{ij}$ ,

$$q_i := q^{\beta_i} e^{t^i}, \quad \partial_i = \frac{\partial}{\partial t^i} = q_i \frac{\partial}{\partial q_i}.$$

- ▶ Hence

$$\nabla_\mu = \partial_\mu - \frac{1}{z} T_\mu^*$$

has only (formal) *regular singularities* at  $q_i = 0$ .

- ▶ The resulting connection  $\Phi \nabla$  turns out to be analytic in the extremal ray variable  $q^\ell$  and contains *irregular singularities in the K directions* along  $q^\ell = \infty$ , that is  $q^{\ell'} = 0$ .
- ▶ This suggests to extract the Dubrovin connection  $\nabla'$  on  $TH'_{\mathcal{R}'}$ , where  $H' = H(X')$  and  $\mathcal{R}' = \mathbb{C}[[NE(X')]]$ , from  $\Phi \nabla$

by removing the K directions

— since  $\nabla'$  is (formally) regular.

- ▶ We will show that there is a *bundle-decomposition*

$$TH \otimes \mathcal{R}'[1/q^{\ell'}] = \mathcal{T} \oplus \mathcal{K} \quad (*)$$

into irregular eigenbundle  $\mathcal{K}$  which extends  $K$  over  $\mathcal{R}'[1/q^{\ell'}]$  and the regular eigenbundle  $\mathcal{T} = \mathcal{K}^\perp$ .

- ▶ From WDVV equations, both  $\mathcal{T}$  and  $\mathcal{K}$  are shown to be integrable distributions.
- ▶ The integrable submanifold passing through the section

$$\mathcal{M}_{q'} \supset \{(q' \neq 0, \mathbf{t} = 0)\}$$

is then the proposed manifold corresponding to  $QH(X')$ .

- ▶ However, to relate  $\mathcal{T}$ , and hence  $\mathcal{M}_{q'}$ , to  $QH(X')$ , we need to work on the connection (z-dependent) version of (\*).
- ▶ Hence there are non-trivial BF/GMT involved, and it is unclear what kind of functoriality should exist.

- ▶ The end result turns out to be quite satisfactory — the product structure is preserved but not the metric (Poincaré pairing)!

## Theorem (Lee–Lin–Wang, 2017)

For the *local model*  $f : X \dashrightarrow X'$  of simple  $(r, r')$  flips, there is a unique  $\mathcal{R}'$ -point  $\sigma_0(q') \in H'_{\mathcal{R}'}$  and a unique embedding  $\widehat{\Psi}(q', \mathbf{s})$  over  $\mathcal{R}'$ :

$$\begin{aligned} \widehat{\Psi} : H(X')_{\mathcal{R}'} &\longrightarrow \mathcal{M} \hookrightarrow H(X)_{\mathcal{R}'}, \\ \sigma_0(q') + \mathbf{s} &\longmapsto \widehat{\Psi}(q', \mathbf{s}). \end{aligned}$$

where  $\mathbf{s} \in H(X')$ , such that

- (1)  $(\widehat{\Psi}, \sigma_0)$  restricts to  $(\Psi : H' \hookrightarrow H, 0)$  when modulo  $q^{\ell'}$ ,
- (2)  $\widehat{\Psi}$  induces an  $F$ -embedding over  $\mathcal{R}'[1/q^{\ell'}]$ :

$$(TH'_{\mathcal{R}'[1/q^{\ell'}]}, \nabla') \xrightarrow{d\widehat{\Psi}} (TH_{\mathcal{R}'[1/q^{\ell'}]}, \nabla)|_{\mathcal{M}} \longrightarrow \mathcal{K} \cong N_{\widehat{\Psi}}.$$

- ▶ In particular, outside the divisor  $q^{\ell'} = 0$ , the big quantum products on the corresponding tangent spaces are preserved.
- ▶ Denote the tangent frame by  $\widehat{\Psi}_i = \partial_i \widehat{\Psi}$  and the induced metric by

$$\mathbf{g}_{ij} = (\widehat{\Psi}_i, \widehat{\Psi}_j), \quad \widehat{\Psi}^i := \sum \mathbf{g}^{ij} \widehat{\Psi}_j.$$

- ▶ Then  $\widehat{\Psi}$  is an  $F$ -embedding:

$$\langle\langle \widehat{\Psi}_\mu, \widehat{\Psi}^i, \widehat{\Psi}_j \rangle\rangle^X(\widehat{\Psi}(q', \mathbf{s})) = \langle\langle T'_\mu, T'^i, T'_j \rangle\rangle^{X'}(\sigma_0(q') + \mathbf{s}).$$

- ▶ Hence there is a family of ring isomorphisms/decompositions:

$$Q_{\widehat{\Psi}(q', \mathbf{s})} H(X) \cong Q_{\sigma_0(q') + \mathbf{s}} H(X') \times \mathbf{C}^{r-r'},$$

which depend on the points  $(q', \mathbf{s})$ .



## 4. STEP (i)

Irregular Singularity of  $\overline{QH(X)}$  along Vanishing Cycles

- ▶ Small parameters  $\hat{\mathbf{t}} = t^0 T_0 + D \in H^{\leq 2}(X)$ ,  $\hat{\mathbf{s}} = s^0 T'_0 + D'$ .

$$D = t^1 h + t^2 \zeta = \Psi D' = \Psi(s^1 h' + s^2 \zeta') = s^1(\zeta - h) + s^2 \zeta.$$

$$s^1 = -t^1, \quad s^2 = t^2 + t^1.$$

- ▶ Kähler moduli:  $NE(X) = \mathbb{Z}l \oplus \mathbb{Z}\gamma$ ,  $NE(X') = \mathbb{Z}l' \oplus \mathbb{Z}\gamma'$ .

$$\Phi l = -l', \quad \Phi \gamma = \gamma' + l',$$

$$q_1 = q^\ell e^{t^1}, \quad q_2 = q^\gamma e^{t^2},$$

$$x = q'_1 = q^{\ell'} e^{s^1} = 1/q_1, \quad y = q'_2 = q^{\gamma'} e^{s^2} = q_1 q_2.$$

- ▶ Naive quantization, for  $i \in [0, r]$ ,  $j \in [0, r' + 1]$ ,  $a = h^i \zeta^j$ ,

$$\hat{a} \equiv \partial^{za} := \hat{h}^i \hat{\zeta}^j = (z\partial_h)^i (z\partial_\zeta)^j = (z\partial_1)^i (z\partial_2)^j.$$

- ▶  $X$  is Fano,  $c_1(X) = (r - r')h + (r' + 2)\zeta$  is ample,
- ▶  $X'$  is bad,  $c_1(X') = (r' - r)h' + (r + 2)\zeta'$  has no fixed sign.

- ▶ For  $\beta = d_1 \ell + d_2 \gamma \in NE(X)$ ,

$$I_\beta = \frac{1}{\prod_{m=1}^{d_1} (h + mz)^{r+1} \prod_{m=1}^{d_2-d_1} (\zeta - h + mz)^{r'+1} \prod_{m=1}^{d_2} (\zeta + mz)}$$

- ▶  $I = e^{\hat{\mathbf{t}}/z} \sum_{\beta} e^{D \cdot \beta} q^\beta I_\beta$  is annihilated by Picard–Fuchs equations:

$$\square_\ell = (z\partial_h)^{r+1} - q_1 (z\partial_{\zeta-h})^{r'+1},$$

$$\square_\gamma = z\partial_\zeta (z\partial_{\zeta-h})^{r'+1} - q_2.$$

- ▶  $I = I(z^{-1}) \implies I = J_{small}$  and  $Q_0 H(X)$  is “easy”. It is still non-trivial to write down the Dubrovin connection  $\nabla^X$ .
- ▶ The naive frame, for  $\mathbf{e} = h^i \zeta^j$  (or even  $h^i (\zeta - h)^j$ ),

$$\partial^{z\mathbf{e}} I \equiv \hat{h}^i \hat{\zeta}^j I := (z\partial_h)^i (z\partial_\zeta)^j I$$

**does not** lead to  $z$ -free connection matrices for  $z\partial_1, z\partial_2!$



## The $\Psi$ -corrected quantum frame

- ▶ The quantized basis corresponding to  $\ker \Phi$  is chosen to be

$$\hat{\kappa}_i I = \hat{h}^i (\hat{\xi} - \hat{h})^{r'+1} I, \quad i \in [0, r - r' - 1].$$

- ▶ For  $e_1 \in [0, r + 1]$ ,  $e_2 \in [0, r']$ , we define

$$v_e := \hat{h}^{e_1} (\hat{\xi} - \hat{h})^{e_2} I + \delta_{(e_1, e_2)} (-1)^{r' - e_2} \hat{\kappa}_{e_1 + e_2 - (r' + 1)},$$

where

$$\begin{cases} \delta_{(e_1, e_2)} = 0 & \text{if } e_1 + e_2 \in [0, r'], \text{ and} \\ \delta_{(e_1, e_2)} = 1 & \text{otherwise.} \end{cases}$$

- ▶ The added term comes from  $\ker \Phi \iff e_1 + e_2 \in [r' + 1, r]$ .
- ▶ But  $H^{2j}(X')$  with  $j \geq r + 1$  are also corrected accordingly.
- ▶ The frame reduces to a classical basis when modulo  $NE(X)$ .



- ▶ **Corollary 1.** The  $\Psi$ -corrected frame corresponds to the constant frame for  $\nabla^X$ .
- ▶ **Corollary 2.** Under the analytic continuation in the Kähler moduli over  $NE(X')$ ,  $\nabla^X$  is irregular in the divisor  $(x = 0)$  precisely in the kernel block.
- ▶ To proceed, we denote

$$R = \dim H(X) = (r + 1)(r' + 2),$$

$$R' = \dim H(X') = (r + 2)(r' + 1).$$

And then  $d = R - R' = r - r' = \dim K$ .

## 5. STEP (ii)

**Block Diagonalizations and BF/GMT over  $NE(X')$**



- ▶ We have  $A_j(\hat{\mathbf{t}}) = C_j, j = 1, 2$ :

$$C_1^{22} = \begin{bmatrix} 0 & 0 & \cdots & (-1)^{r'+1}q_1 \\ 1 & 0 & \cdots & 0 \\ & \ddots & & \\ 0 & \cdots & 1 & 0 \end{bmatrix} = \frac{1}{x} \begin{bmatrix} 0 & 0 & \cdots & (-1)^{r'+1} \\ x & 0 & \cdots & 0 \\ & \ddots & & \\ 0 & \cdots & x & 0 \end{bmatrix}.$$

- ▶ We will now work on the irregular system of PDE in variables  $(x, y)$  with a parameter  $z$ .
- ▶ The irregularity comes only from  $x$ , and it is thus necessary to keep track of the lowest order entries in  $x$  in  $C_j$ 's.
- ▶ A transformation is needed to bring  $C_1^{22}$  into its “semisimple” form: let  $u = x^{1/d}$ , we modify the constant frame to  $\{T_i\}$  with

$$\{T_i\}_{i=0}^{R'-1} = \{T_{\mathbf{e}}\}, \quad \{T_{R'+i}\}_{i=0}^{d-1} = \{u^i k_i\}_{i=0}^{d-1}.$$

## Lemma on shearing (= base change in $\mathcal{D}$ -modules).

- ▶ Let  $Y(x) = \text{diag}(1^{R'}, u^0, u^1, \dots, u^{d-1})$ . After substitutions  $S = YW$  and  $x = u^d$ , the equation  $zx \frac{\partial}{\partial x} S = C_1 S$  becomes

$$zu \frac{\partial}{\partial u} W = D_1(u, z)W, \quad (**)$$

$$D_1^{11} = d \cdot C_1^{11},$$

$$D_1^{12} = d \cdot C_1^{12} \cdot \text{diag}(u^0, u^1, \dots, u^{d-1}),$$

$$D_1^{21} = d \cdot \text{diag}(u^0, u^{-1}, \dots, u^{-d+1}) \cdot C_1^{21},$$

$$D_1^{22} = \frac{d}{u} \cdot \begin{bmatrix} 0 & 0 & \cdots & (-1)^{r'+1} \\ 1 & -z \frac{1}{d} u & \cdots & 0 \\ & \ddots & \ddots & \\ 0 & \cdots & 1 & -z \frac{d-1}{d} u \end{bmatrix}.$$

- ▶  $D_1^{21}$  is polynomial in  $u$ . Thus,  $(**)$  is irregular of Poincaré rank 1 in  $u$ , and the irregular part only appears in the  $(2, 2)$  block  $D_1^{22}$ .

- ▶ Therefore,  $D_1(z=0)$  has  $R$  eigenvalues, including  $0^{R'}$  and  $d$  *distinct nonzero* eigenvalues from  $D_1^{22}(0)$  as solutions to

$$\omega^d = (-1)^{r'+1}.$$

- ▶ By the **classical procedure** due to Wasow/Shibuya, together with the **flatness** of the Dubrovin connection, we conclude that
  - (i) The connection matrices  $C_1, C_2$  can be *simultaneously block diagonalized* to  $\tilde{C}_1, \tilde{C}_2$ , such that the  $(2,2)$  blocks are *diagonalized*.
  - (ii) Furthermore, the block-diagonalization frame (gauge matrix)

$$P = [\tilde{T}_0, \dots, \tilde{T}_{R'-1}, \tilde{T}_{R'}, \dots, \tilde{T}_{R-1}] = \begin{bmatrix} I_{R'} & * \\ * & I_d \end{bmatrix}$$

can be chosen so that  $\tilde{T}_i$  has the initial term  $T_i$  in  $u$ .

- (iii)  $\mathcal{T}$  spanned by  $\tilde{T}_0, \dots, \tilde{T}_{R'-1}$  and  $\mathcal{K}$  spanned by  $\tilde{T}_{R'}, \dots, \tilde{T}_{R-1}$  lead to *reduction of connection* and are *orthogonal* to each other.

- ▶ **Extract  $QH(X')$  from  $QH(X)$ :** On  $X'$ , let  $\beta' = d'_1 \ell' + d'_2 \gamma'$ , then

$$I_{\beta'}^{X'} = \frac{1}{\prod_1^{d'_1} (h' + mz)^{r'+1} \prod_1^{d'_2 - d'_1} (\zeta' - h' + mz)^{r'+1} \prod_1^{d'_2} (\zeta' + mz)}.$$

- ▶ It has Picard–Fuchs equations

$$\square_{\ell'} := (z\partial_2 - z\partial_1)^{r'+1} - q'_1 (z\partial_1)^{r'+1},$$

$$\square_{\gamma'} := (z\partial_2)(z\partial_1)^{r'+1} - q'_2.$$

- ▶ Since  $\square_{\ell'} = q_1^{-1} \square_{\ell}$  and  $\square_{\gamma'} = z\partial_2 \square_{\ell} - q_1 \square_{\gamma}$ , we get the
- ▶ **Key Lemma.** Over  $\mathbb{C}[q_1, q_1^{-1}, q_2] \cong \mathbb{C}[q'_1, q_1'^{-1}, q'_2]$ , we have

$$\langle \square_{\ell}, \square_{\gamma} \rangle \cong \langle \square_{\ell'}, \square_{\gamma'} \rangle.$$

- ▶ **Corollary.** The matrices  $\tilde{C}_1^{11}, \tilde{C}_2^{11}$  can be used to compute  $\nabla^{X'}$ .

- ▶ For  $a, b \in H(X)$  we have  $ab = a * b + \sum_{\beta} q^{\beta} c_{\beta}$  for some  $c_{\beta} \in H(X)$ . By induction on the Mori cone we conclude that

$$T_{\mu^*} = \sum_{\beta \in NE(X)} q^{\beta} P_{\beta}(h^*, \zeta^*)$$

where  $P_{\beta}$  is a polynomial. Since  $X$  is Fano, the sum is finite.

- ▶ So the block diagonalization in  $u = x^{1/d}, y, z$  extends to all  $T_{\mu^*}$ .
- ▶ In fact  $\tilde{C}_1^{11}$  and  $\tilde{C}_2^{11}$ , hence **all  $\tilde{C}_{\mu}^{11}$ , are expressible in  $x, y, z$ .**
- ▶ Two technical problems:
  - (i) Remove the NEW  $z$ -dependence in  $\tilde{C}_{\mu}^{11}(x, y, z)$  *introduced in the block-diagonalization.* (Sol. BF/GMT.)
  - (ii) Since  $T_{\mu^*}$  is generated by  $h^*$  and  $\zeta^*$  over  $NE(X)$  instead of over  $NE(X')$ , *will  $\tilde{C}_{\mu}^{11}(x, y, z)$  contain negative powers in  $x$ ?* (Sol. No!)

(i) Let  $B_1 = B_1(x, y, z)$  be the BF matrix and  $B_1(0) := B_1(x, y, 0)$ .

$$[\mathbf{T}_0, \dots, \mathbf{T}_{R'-1}] := \left( [\tilde{T}_0, \dots, \tilde{T}_{R'-1}] B_1^{-1} \right) (z = 0).$$

► Under  $x = q^{\ell'} e^{s^1}$ ,  $y = q^{\gamma'} e^{s^2}$ ,  $a = 0, 1, 2$ , the “z-free” matrix

$$C'_a(\hat{\mathbf{s}}) = -(z \partial_a B_1) B_1^{-1} + B_1 \tilde{C}_{a;0}^{11} B_1^{-1} = B_1(0) \tilde{C}_{a;0}^{11} B_1(0)^{-1}(x, y)$$

is related to  $A'_\mu(\sigma)$  for  $T'_\mu *'$  at  $\sigma = \sigma(\hat{\mathbf{s}}) \in H(X')[[x, y]]$  via

$$C'_a(\hat{\mathbf{s}}) = \sum_\mu A'_\mu(\sigma(\hat{\mathbf{s}})) \frac{\partial \sigma^\mu}{\partial s^a}(\hat{\mathbf{s}}), \quad a = 0, 1, 2,$$

$$\langle\langle T_a, \mathbf{T}_j, \mathbf{T}^i \rangle\rangle^X(\hat{\mathbf{s}}) = \sum_\mu \frac{\partial \sigma^\mu}{\partial s^a}(\hat{\mathbf{s}}) \langle\langle T'_\mu, T_j, T^i \rangle\rangle^{X'}(\sigma(\hat{\mathbf{s}})).$$

► Since  $(A'_\mu)_0^i = \delta_{\mu}^i$ ,  $\sigma(\hat{\mathbf{s}})$  is determined by the first column:

$$(C'_a)_0^\mu(\hat{\mathbf{s}}) = \langle\langle T_a, \mathbf{T}_0, \mathbf{T}^\mu \rangle\rangle^X(\hat{\mathbf{s}}) = \frac{\partial \sigma^\mu}{\partial s^a}(\hat{\mathbf{s}}).$$

## 6. STEP (iii)

**The Non-Linear  $F$ -Embedding**  $QH(X') \hookrightarrow \overline{QH(X)}$

(ii) The next step is to transform  $\mathbf{T}_0$  to the identity element (section)  $e \in \mathcal{T}$  and normalized  $\mathbf{T}_i$ 's to  $\tilde{\mathbf{T}}_i$ 's accordingly.

► **Lemma.** There is a unique element  $\mathbf{S}_0 \in \mathcal{T}$  such that

$$\mathbf{S}_0 * \mathbf{T}_0 = e,$$

and so  $e$  acts as zero on  $\mathcal{K}$ . (This requires delicate calculations!)

► Define the *normalized frame* on  $\mathcal{T}$  by

$$\tilde{\mathbf{T}}_\mu := \mathbf{T}_\mu * \mathbf{S}_0.$$

► **Theorem (Initial quantum invariance up to a shifting)**

Let  $\mathbb{T}_i(q') = \tilde{\mathbf{T}}_i(q', \hat{\mathbf{s}} = 0, z = 0)$  and  $\sigma_0(q') = \sigma(q', \hat{\mathbf{s}} = 0)$ . Then we have

$$\langle \mathbb{T}_\mu, \mathbb{T}^i, \mathbb{T}_j \rangle^X = \langle \langle T'_\mu, T'^i, T'_j \rangle \rangle^{X'}(\sigma_0(q')).$$



- ▶ An  $F$ -manifold  $M$  is a complex manifold with a commutative product structure on each  $T_p M$ , such that a WDVV-type integrability condition is forced when  $p \in M$  varies.
- ▶ In  $QH(X)$ , this is the structure which remembers  $*_p$  but forgets the metric  $g_{ij}$ . Hertling and Manin showed that the WDVV equations can be rewritten as

$$L_{X*_Y*} = X * L_Y * + Y * L_X *$$

for any local vector fields  $X$  and  $Y$ .

- ▶ I.e., for any local vector fields  $X, Y, Z, W$ :

$$\begin{aligned} & [X * Y, Z * W] - [X * Y, Z] * W - [X * Y, W] * Z \\ &= X * [Y, Z * W] - X * [Y, Z] * W - X * [Y, W] * Z \\ & \quad + Y * [X, Z * W] - Y * [X, Z] * W - Y * [X, W] * Z. \end{aligned}$$

- ▶ Denote by  $\mathcal{K}$  the irregular eigenbundle and  $\mathcal{T} := \mathcal{K}^\perp$  the regular eigenbundle, which extend  $\mathcal{K}$  and  $\mathcal{T}$  from  $\mathfrak{s} = 0$  to big  $\mathfrak{s}$ .

### ▶ Lemma

$\mathcal{T}$  is an integrable distribution of the relative tangent bundle  $TH_{\mathcal{R}'}$ .

In particular,  $\text{Im } \widehat{\Psi}$  is the integral submanifold  $\mathcal{M}$  (over  $\mathcal{R}'$ ) containing the slice  $(q^{\ell'} \neq 0, \mathfrak{t} = 0)$  which contains  $\text{Im } \Psi$  when modulo  $\mathcal{R}'$ .

### ▶ Proof.

Let  $X, Z$  be any local vector fields in  $\mathcal{T} = \mathcal{K}^\perp$ . Let  $Y = e_i$  and  $W = e_j$  be idempotents in  $\mathcal{K}$ . Since  $a * b = 0$  for  $a \in \mathcal{K}, b \in \mathcal{K}^\perp$ ,

$$0 = -X * Z * [e_i, e_j] - \delta_{ij} e_j * [X, Z].$$

Let  $i = j$  we get  $e_j * [X, Z] = 0$  for all  $j$ . Hence  $[X, Z] \in \mathcal{K}^\perp$ . □

- ▶ The quantum product on the **Frobenius manifold**  $H(X') \otimes \mathcal{R}'$  is semi-simple. Let  $v'_0, \dots, v'_{R'-1}$  be the idempotent vector fields.
- ▶ **Dubrovin 1996:**  $[v'_i, v'_j] = 0$  for all  $0 \leq i, j \leq R' - 1$ . Hence the corresponding *canonical coordinates*  $u^0, \dots, u^{R'-1}$  satisfying

$$(u^i(q', \mathbf{s} = 0)) = \sigma_0(q')$$

and  $v'_i = \partial / \partial u^i$  exist.

- ▶ This was extended to **F-manifolds** by Hertling. The  $F$ -manifold  $\mathcal{M}$  is semi-simple in the sense that  $*_p$  on  $T_p \mathcal{M}$  for  $p \in \mathcal{M}$  is semi-simple. Denote the idempotent vector fields by  $v_1, \dots, v_{R'}$ .
- ▶ **Hertling 2002:**  $[v_i, v_j] = 0$  for all  $0 \leq i, j \leq R' - 1$ . Hence the canonical coordinates  $u^0, \dots, u^{R'-1}$  near each  $p \in \mathcal{M}$  exist in the sense that  $v_i = \partial / \partial u^i$ .

- ▶ **Fixing the initial correspondence of frames:**
- ▶ We have constructed an analytic family of coordinate systems  $(u^0(q', p), \dots, u^{R'-1}(q', p))$  parametrized by  $q' \in \mathcal{R}'$ . Write

$$\mathbb{T}_i(q') = \sum_{j=0}^{R'-1} a_i^j(q') v_j(q', \mathbf{s} = 0)$$

for an invertible  $R' \times R'$  matrix  $(a_i^j(q'))$ .



$$\langle \mathbb{T}_\mu, \mathbb{T}^i, \mathbb{T}_j \rangle^X = \langle \langle T'_\mu, T'^i, T'_j \rangle \rangle^{X'}(\sigma_0(q')). \quad (1)$$

From this relation, we see easily that:

## ▶ Lemma

*After a possible reordering of  $\{v'_j\}$ , we have for all  $i = 0, \dots, R' - 1$ :*

$$T'_i = \sum_{j=0}^{R'-1} a_i^j(q') v'_j(\sigma_0(q')).$$

- ▶ Now we define the map  $\hat{\Psi}$  by *matching the canonical coordinates*. Namely,  $\hat{\Psi}(q', \mathbf{s}) \in \mathcal{M}$  is the unique point on  $\mathcal{M}$  so that

$$u^i(\hat{\Psi}(q', \mathbf{s})) = u'^i(q', \mathbf{s}) = u'^i(\sigma_0(q')) + \mathbf{s}$$

for  $i = 0, \dots, R' - 1$ .

- ▶ Since the tangent map  $\hat{\Psi}_*$  matches the idempotents

$$\hat{\Psi}_* \partial / \partial u'^i = \partial / \partial u^i,$$

it induces a product structure isomorphism, and hence an  $F$ -structure isomorphism by “coordinates-free WDWV”.

- ▶ Also along  $\mathbf{s} = 0$ , by Lemma we have

$$\hat{\Psi}_* T'_i = \mathbb{T}_i$$

which matches the initial condition along the  $\mathcal{R}'$ -axis.

- ▶  $H(X')$  is contractible  $\implies \hat{\Psi}$  exists globally.

**QED**

## Ending Remarks

- ▶ Work in progress by LLW:
  - (1) Globalization to simple  $(r, r')$  flips.
  - (2) Generalizations to ordinary flips with non-trivial base.
  - (3) Reconstruction of  $QH(X)$  from  $QH(X')$  and “the  $K$ -block”.
- ▶ Other approaches to quantum flips:
  - (4) [Woodward et. al.] studying wall crossing of GW invariants in different GIT quotients.
  - (5) [Shoemaker et. al] studying asymptotic of  $I$  functions in the toric setup.
- ▶ Would be interesting to compare their approaches with ours.

**Example:** (2, 1) flip

$R = 9, R' = 8$ . The following frame (recall  $I = J_{small}$ )

$$v_1 = \hat{\mathbf{1}}J = J,$$

$$v_2 = \hat{h}J, \quad v_3 = (\hat{\xi} - \hat{h})J,$$

$$v_4 = \hat{h}^2J - (\hat{\xi} - \hat{h})^2J, \quad v_5 = \hat{h}(\hat{\xi} - \hat{h})J + (\hat{\xi} - \hat{h})^2J,$$

$$v_6 = \hat{h}^3J - \hat{h}(\hat{\xi} - \hat{h})^2J, \quad v_7 = \hat{h}^2(\hat{\xi} - \hat{h})J + \hat{h}(\hat{\xi} - \hat{h})^2J,$$

$$v_8 = \hat{h}^3(\hat{\xi} - \hat{h})J + \hat{h}^2(\hat{\xi} - \hat{h})^2J,$$

$$v_9 = \hat{\kappa}_0J = (\hat{\xi} - \hat{h})^2J,$$

respects  $H(X) = \Phi^{-1}H(X') \oplus^\perp K$  when modulo  $q_1, q_2$ .

They are precisely

$$z\partial_i J \quad \text{at } t \in H^0 \oplus H^2, \quad 1 \leq i \leq 9,$$

and we get the Dubrovin connection:





$$x := q'_1 = 1/q_1, \quad y := q'_2 = q_1 q_2.$$

Chain rule:  $y \partial_y = xy \partial_{q_2} = \partial_2$ , and

$$x \partial_x = x(-x^{-2} \partial_{q_1} + y \partial_{q_2}) = -\partial_1 + \partial_2 = \partial_{\zeta-h}.$$

Further simplification: Let  $w_i = \sum_j v_j T_{ji}$

$$T := \left[ \begin{array}{cccccc|c} 1 & & & & & & \\ & 1 & & & & & \\ & \frac{1}{2} & 1 & & & & \\ & & & 1 & & & \\ & & & \frac{1}{2} & 1 & & \\ & & & & & 1 & \\ & & & & & \frac{1}{2} & 1 \\ & & & & & & 1 \\ \hline & & & & & & 1 \end{array} \right].$$

$$g_{ij} := (w_i, w_j)^X = \delta_{9,i+j}, \quad 1 \leq i, j \leq 8,$$

and  $w_9 = v_9 = \kappa_0$  satisfies  $(w_9, w_i)^X = \delta_{9,i}$ .

$$A_1 = \left[ \begin{array}{cccc|cc} & & -\frac{1}{2}xy & xy & & & xy \\ & & & & -\frac{1}{2}xy & xy & \\ 1 & & & & \frac{1}{4}xy & -\frac{1}{2}xy & \\ & 1 & & & & & -\frac{1}{2}xy \\ & & & & & & xy \\ & & 1 & & & & -\frac{1}{2}xy \\ & & & & 1 & & \\ \hline & -\frac{1}{2} & 1 & & & & xy \\ & & & & & & -1/x \end{array} \right],$$

$$A_2 = \left[ \begin{array}{cccc|ccc} & & -\frac{1}{2}xy & xy & y & & xy \\ & & & & -\frac{1}{2}xy & xy & \\ 1 & & & & \frac{1}{4}xy & -\frac{1}{2}xy & y \\ \frac{1}{2} & & & & & & xy \\ & 1 & & & & & -\frac{1}{2}xy \\ & 1 & 1 & & & & \\ & & & 1 & & & \\ & & & 1 & 1 & & \\ & & & & \frac{1}{2} & 1 & \\ \hline & & & & & & xy \end{array} \right].$$

Irregular in the  $K$ -block, of Poincaré rank one.

**Block diagonalization w.r.t.**  $H(X) = \Phi^{-1}H(X') \oplus^\perp K$

(Wasow 1960's) + flatness of  $\nabla^X \implies$

$\exists!$  formal gauge transformation  $S = PZ$

$$P(x, y, z) = I + \begin{bmatrix} 0 & g^\bullet \\ f_\bullet & 0 \end{bmatrix} = \begin{bmatrix} 1 & & & g_1 \\ & \ddots & & \vdots \\ & & 1 & g_8 \\ f_1 & \cdots & f_8 & 1 \end{bmatrix},$$

such that

$$z(x \partial_x)Z = E_1 Z, \quad z(y \partial_y)Z = E_2 Z$$

with  $E_1, E_2$  being **block diagonalized**. Also, for  $i' := 9 - i$ ,

$$f_i(x, y, z) = -\bar{g}_{i'} := -g_{9-i}(x, y, -z).$$

Get the deformed,  $(x, y, z)$ -dependent, frame

$$\tilde{w}_i = w_i + f_i \hat{\kappa}_0, \quad 1 \leq i \leq 8, \quad \tilde{\kappa}_0 = \hat{\kappa}_0 + \sum_{i=1}^8 g_i w_i.$$

From

$$-z\partial_k P + A_k P = P E_k,$$

the block decomposition is equivalent to

$$\begin{bmatrix} A_k^{11} + A_k^{12} f_{\bullet} & -z\partial_k g_{\bullet} + A_k^{11} g_{\bullet} + A_k^{12} \\ -z\partial_k f_{\bullet} + A_k^{21} + A_k^{22} f_{\bullet} & A_k^{21} g_{\bullet} + A_k^{22} \end{bmatrix} = \begin{bmatrix} E_k^{11} & g_{\bullet} E_k^{22} \\ f_{\bullet} E_k^{11} & E_k^{22} \end{bmatrix}.$$

In particular we get the equation for  $f_i$ :

$$\begin{aligned} z\partial_k f_i &= A_k^{22} f_i + (A_k^{21})_i - \sum_{j=1}^8 f_j (E_k^{11})_{ji} \\ &= -\frac{\delta_{k1}}{x} f_i + (A_k)_{9i} - \sum_{j=1}^8 \left( f_j (A_k)_{ji} + f_j (A_k)_{j9} f_i \right). \end{aligned}$$

$k = 1$ : system of inhomogeneous non-linear perturbation of

$$zx \partial_x h = -\frac{1}{x} h.$$

## Formality in $s = zx$

$$f_1 = -x^2(1 - 3zx + 11z^2x^2 - 50z^3x^3 + (274z^4 + 6y)x^4 - (1764z^5 + 87yz)x^5 \\ + (13068z^6 + 986yz^2)x^6 - (109584z^7 + 10803yz^3)x^7 + \dots),$$

$$f_2 = -\frac{1}{2}x(1 - zx + 2z^2x^2 - 6z^3x^3 + (24z^4 + 5y)x^4 - (120z^5 + 54yz)x^5 \\ + (720z^6 + 489yz^2)x^6 - (5040z^7 + 4472yz^3)x^7 + \dots),$$

$$f_3 = x(1 - zx + 2z^2x^2 - 6z^3x^3 + (24z^4 + 3y)x^4 - (120z^5 + 30yz)x^5 \\ + (720z^6 + 253yz^2)x^6 - (5040z^7 + 2168yz^3)x^7 + \dots),$$

- ▶ Formal part:  $f_2, f_3 \sim$  factorial series in  $zx$ .
- ▶  $f_1 \sim$  Stirling numbers of first kind, which counts the number of  $\sigma \in S_{n+1}$  with exactly two cycles. It satisfies  $a_0 = 1$ ,

$$a_n = (n + 1)a_{n-1} + n!, \quad n \geq 2.$$

Its closed form is  $a_n = (n + 1)!H_{n+1}$ .

$$f_4 = -\frac{1}{2}x^4y(3 - 23zx + 162z^2x^2 - 1214z^3x^3 + (9972z^4 + 29y)x^4 + \dots),$$

$$f_5 = x^4y(1 - 7zx + 46z^2x^2 - 326z^3x^3 + (2556z^4 + 9y)x^4 + \dots),$$

$$f_6 = -\frac{1}{2}x^3y(3 - 14zx + 70z^2x^2 - 404z^3x^3 + (2688z^4 + 23y)x^4 \\ - (20376z^5 + 407yz)x^5 + (173808z^6 + 5454yz^2)x^6 + \dots),$$

$$f_7 = x^3y(1 - 4zx + 18z^2x^2 - 96z^3x^3 + (600z^4 + 7y)x^4 \\ - (4230z^5 + 115yz)x^5 + (35280z^6 + 1448yz^2)x^6 + \dots),$$

$$f_8 = x^2y(1 - 2zx + 6x^2z^2 - 24z^3x^3 + (120z^4 + 5y)x^4 \\ - (720z^5 + 63yz)x^5 + (5040z^6 + 642yz^2)x^6 + \dots).$$

►  $f_5: a_n = n!(n - H_n). f_7: a_n = n \cdot n!$

►  $f_4 + \frac{1}{2}f_5 = -x^4y(1 - 8zx + 58z^2x^2 + 444z^3x^3 + 3708z^4x^4 + \dots)$   
with coefficients  $a_n = (n + 2)(H_{n+2} - 2) + (n + 1)!$ .

►  $f_6 + \frac{1}{2}f_7 = -x^3y(1 - 5zx + 26z^2x^2 - 154z^3x^3 + \dots)$  with  
coefficients  $a_n = (n + 1)!(H_{n+1} - 1)$ .

## Analyticity/Algebraicity in $t = yx^4$

Consider the generalized hypergeometric series

$$\begin{aligned} b &= F\left(\frac{1}{9}, \dots, \frac{8}{9}; \frac{2}{8}, \dots, \frac{8}{8}, \frac{9}{8}; \frac{9^9}{8^8} t\right) \\ &= \sum_{n \geq 0} \binom{9n+1}{n} \frac{1}{9n+1} t^n, \end{aligned}$$

which solves the algebraic equation

$$tb^9 = b - 1.$$

It is easy to see that

$$b^l = F\left(\frac{l}{9}, \dots; \frac{l+1}{8}, \dots; \frac{9^9}{8^8} t\right) = \sum_{n \geq 0} \binom{9n+l}{n} \frac{l}{9n+l} t^n$$

is the  $(l-1)$ -th shift with  $\frac{9}{9}$  and  $\frac{8}{8}$  skipped.

By solving the quadratic system on  $h_i$ 's arising from  $k = 2$ :

## Theorem (Algebraicity in the CY class $t = yx^4$ )

Denote  $f_1(x, y, 0), \dots, f_8(x, y, 0)$  by

$$x^2h_1, xh_2, xh_3, h_4, h_5, x^{-1}h_6, x^{-1}h_7, x^{-2}h_8.$$

Then  $h_i(t)$  depends on  $t$  only and we have

$$\begin{aligned}h_1 &= -b^6, \\h_2 &= \frac{1}{2}b^3 - b^4, & h_3 &= b^3, \\h_4 &= \frac{1}{2}(1 + b) - b^2, & h_5 &= -1 + b, \\h_6 &= -\frac{1}{2}b^7t - b^8t, & h_7 &= b^7t, \\h_8 &= b^5t.\end{aligned}$$

Remark: For  $(r, r')$  flips, the CY direction is  $(y^{r-r'}x^{r+2})^{1/D}$  where  $D = \gcd(r - r', r + 2)$ .







## Example of quantum invariance without BF/GMT

For local  $(2, 1)$  flip, the final frame  $\mathbb{T}_1 \pmod{y}$  is

$$[\zeta - h] := (\tilde{\zeta} - \tilde{h})(y = 0, z = 0) = (\zeta - h) + x\kappa_0.$$

### Theorem (Invariance along extremal rays)

For extremal primary Gromov–Witten invariants of  $n \geq 1$  insertions,

$$\langle [\zeta - h]^{\otimes n} \rangle^X = \langle (h')^{\otimes n} \rangle^{X'} = q^{\ell'}.$$

This is equivalent to the quantum interpretation of Cayley's formula

$$a_d := \langle \kappa_0^{\otimes (d+1)} \rangle_{d\ell}^X = d^{d-2}, \quad d \geq 1,$$

which is *the number of spanning trees in the complete graph on  $d$  vertexes (and hence with  $d - 1$  edges)*.

**Degenerate case I: Flops,  $r = r'$ ,  $\ker \Phi = 0$**

E.g. Atiyah flops  $r = 1$ . The  $\Psi$ -corrected frame is

$$\begin{aligned}v_1 &= I, \\v_2 &= \hat{h}I, \quad v_3 = (\hat{\xi} - \hat{h})I, \\v_4 &= \hat{h}^2I - (\hat{\xi} - \hat{h})^2I, \quad v_5 = \hat{h}(\hat{\xi} - \hat{h})I + (\hat{\xi} - \hat{h})^2I, \\v_6 &= \hat{h}^2(\hat{\xi} - \hat{h})I + \hat{h}(\hat{\xi} - \hat{h})^2I.\end{aligned}$$

Let

$$\mathbf{f} = \mathbf{f}(q_1) = \frac{q_1}{1 - q_1}.$$

Then Picard–Fuchs  $\Rightarrow$

$$v_4 = -\mathbf{f}^{-1}(z\partial_1)^2I = -q_1\mathbf{f}^{-1}(z\partial_2 - z\partial_1)^2I = (q_1 - 1)\hat{\kappa}_0.$$

Then we absorb  $\hat{\kappa}_0$  into  $v_4$  to get  $A_1, A_2$  as



## Degenerate case II: $(r, 0)$ flips, i.e blow-ups

Example: For  $(1, 0)$  flips,

$$f : X = \Sigma_{-1} = P_{P^1}(\mathcal{O}(-1) \oplus \mathcal{O}) \rightarrow X' = P^2.$$

$$A_x = \left[ \begin{array}{cc|c} xy & & xy \\ & xy & \\ \hline 1 & -xy & -1/x \end{array} \right],$$
$$A_y = \left[ \begin{array}{cc|c} xy & y & xy \\ 1 & xy & \\ \hline & 1 & \\ & & -xy \end{array} \right].$$

- ▶ In the diagonalization process **all the formal series  $f_\bullet$  and  $g^\bullet$  in  $x$  do not have constant terms.**
- ▶ For the resulting  $3 \times 3$  matrices  $E_x^{11}$  and  $E_y^{11}$ , the BF matrix  $B \equiv I_3 \pmod{x}$ .
- ▶ Thus after substituting  $x = 0$  the resulting matrices for  $A_x, A_y$  go to  $\mathbf{0}_3$  and

$$A_{\tilde{g}'} = \begin{bmatrix} & & y \\ 1 & & \\ & 1 & \end{bmatrix},$$

which recovers the Dubrovin connection on  $P^2$  with  $y = q\gamma' e^{t'}$ .

**THANK YOU**