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Invariance of big quantum ring under flops

(joint with Y.P. Lee and H.W. Liu) 2006, arXiv:math/0608390

Motivations:

X, X' sm proj / \mathbb{C} , $X \cong_{\mathbb{R}} X'$ "k-equivalent" if

$$\exists \begin{array}{ccc} & Y & \\ \varphi \swarrow & & \searrow \varphi' \\ X & & X' \end{array} \quad \text{st. } \varphi^* K_X = \varphi'^* K_{X'}$$

φ, φ' birat'l morphisms

eg. birat'l (-Y's or more gen'l birat'l min. models

known: $h^{p,q}(X) = h^{p,q}(X')$, but not ring str. $(p)(X) \cong (p)(X')$
 $p = \sum_i p_i e_i$

Q.1: \exists canonical correspondence $F \in A^n(X \times X')$
st. $F: h(X) \xrightarrow{\sim} h(X')$? Motives: $h(X) \cong h(X')$?

"canonical" means $(F\alpha, F\beta)_{X'} = (\alpha, \beta)_X$ Poincaré pairing.

Q.2: Under F , have isom quantum coh rings
 $QH(X) \cong QH(X')$ in the sense of analytic continuation over the Kähler moduli.

explanations:

$\{T_i\}$ basis of $h(X)$, $t = \sum t_i T_i$, $\omega \in \mathcal{K}_X$
Kähler cone

$$\langle \alpha_1, \dots, \alpha_n \rangle_{g,n,\beta} = \int [M_{g,n}(\alpha, \beta)]_{\text{virt}} e_1^* \alpha_1 \dots e_n^* \alpha_n$$

$$F_0^X = \mathbb{F}^X(\omega, t) = \sum_{n=0}^{\infty} \sum_{\beta \in NE(X)} \frac{1}{n!} \langle t_1, \dots, t_n \rangle_{0,n,\beta} e^{-2\pi(\omega, \beta)} \quad \text{convergence issue: Novikov ring } \widehat{\mathbb{C}\langle NE(X) \rangle}$$

big quantum product

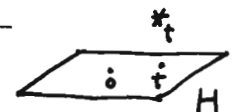
$$T_i * T_j := \sum_k \mathbb{F}_{ijk}(\omega, t) T_k \quad \text{, } \{T_k\} \text{ dual basis under } (\cdot, \cdot)_X$$

Since $\mathcal{K}_X \cap \mathcal{K}_{X'} = \emptyset$, $\{\mathcal{K}_{X'} \mid X' =_K X\}$ form a chamber str.

Each X gives a cov system $h(X)$ of a fixed H "motive"
 $\mathcal{F}: h(X) \xrightarrow{\sim} h(X')$ as a (linear) transition fcn "Frobenius manifold"

Then $\mathbb{F}_{ijk}^X(\omega, t)$ can be analytically conti from $\omega \in \mathcal{K}_X$ to $\mathcal{K}_{X'} \ni \omega$
and agrees with $\mathbb{F}_{ijk}^{X'}(\omega, t)$

$$\mathbb{F}_{ijk}(\omega, t) = \sum_{n=0}^{\infty} \sum_{\beta \in NE(X)} \frac{q^\beta}{n!} \langle T_i, T_j, T_k, t^n \rangle_{0, n+3, \beta}$$


A moving prod str on \mathcal{TH} .

$\frac{\partial^3 \mathbb{F}}{\partial t_i \partial t_j \partial t_k}$

for $t=0$, $*_0$ = small quantum prod. using only 3 pt fcn's.

Rmk: $\int q^\beta = q^{-\beta'}$ if β is a flopped curve "e"
 but $-\beta' \notin NE(X')$, hence this substitution must be
 done before we sum up $d \in \mathbb{N}$, $d \in \mathbb{N}$ to make
 \bar{Z} analytic in the q direction.

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 Note

equivalently:
 $\Phi_{ijk}(w, t)$ is well-defined on $X \cup X'$ with
 functional equation: $\int \Phi_{ijk}(w, T) = \Phi_{ijk}(w, \int T)$
 q -change coord.

History: here $e^{-2\pi(w, \beta)_X} = e^{-2\pi(\int w, \int \beta)_X}$
 (for β a flopped curve $\int \beta = -\beta'$)
 $= e^{2\pi(w, \beta')_{X'}} \Rightarrow \int q^\beta = q^{-\beta'}$
 has convergence prob. if w.t. X' .

Def'n: simple \mathbb{P}^r -flop
 $X \supset Z \cong \mathbb{P}^r$ with $N_{Z/X} = \mathcal{O}(-1)^{\oplus r+1}$
 then $E \subset Y = \mathbb{B}^2 \times X$, $E \cong \mathbb{P}^r \times \mathbb{P}^r$, $N_{E/Y} = \mathcal{O}(-1, -1)$
 $Z \subset X \xrightarrow{f} X'$ $Y' =$ contraction along another direction.

Lemma 2: $d_i \in H^{2d_i}(X)$, $d_1 + d_2 + d_3 = \dim X = 2r+1$
 $h =$ hyp class of $Z = \mathbb{P}^r$, then

reverse

$$(\int d_1, \int d_2, \int d_3) = (a_1, a_2, a_3) + (-1)^r (a_1, h)^{r-d_1} (a_2, h)^{r-d_2} (a_3, h)^{r-d_3}$$

Lemma 1: $\int f = [\bar{f}] \in A^{2r+1}(X \times X')$

For 3-folds, conj 2 solved by A. Li and Y. Ruan ~ 2000
 2 ingredients:

- (1) symplectic deformation and decomp of K -equiv maps into composite of \mathbb{P}^1 flops.
- (2) multiple cover formula for $\mathbb{P}^1 = C \subset X$, $N_{C/X} \cong \mathcal{O}(-1)^{\oplus 2}$, $\langle - \rangle_{0,d}^X = \frac{1}{d^3}$
- (3) relative G-W inv and degeneration formula.

for (1): Kawamata + Kollar + Friedman

(2): Witten ~ 1992: the classical product defect is corrected by 3-point functions on extr ray C .

(3) if $\beta \in C$, then $\langle d_1, \dots, d_n \rangle_{g, w, \beta} = \langle \int d_1, \dots, \int d_n \rangle_{g, w, \int \beta}$
 intuitively clear by divisor relation

idea of pf: localizations: LLY, Givental: $l+k = 2r-1$

$$e_i \times \frac{e(U)}{z(z-\psi)} = (-1)^{(d-1)(r+1)} \frac{1}{(h+dZ)^{r+1}} \Rightarrow \langle \tau_k h^l \rangle_d = \frac{c_k}{d^{k+p}}$$

$\psi_j = \psi(z_j)$, univ. cotang. line at x_j .

$Z \approx \mathbb{P}^r$, $l = \text{line class}$

We make progress on (2) and (3):

Thm. (generalized multiple cover formula), for \mathbb{P}^r -flops.

$d_1, \dots, d_n \in H^2 \mathbb{P}^r(x)$, $\sum d_i = 2r+1 + (n-3)$, then

$$\langle \alpha_1, \dots, \alpha_n \rangle_{0, n, d} \equiv \int \bar{M}_{0, n}(\mathbb{P}^r, d) e_{i_1}^* \alpha_1 \dots e_{i_n}^* \alpha_n \cdot e(U_d)$$

$$\equiv (-1)^{(n-1)(r+1)} N_{d_1, \dots, d_n} \frac{d^{n-3}}{(d_1, h^{r-d_1}) \dots (d_n, h^{r-d_n})} \quad \text{abstraction bundle}$$

N_{d_1, \dots, d_n} const. indep of d , and for $n=2, 3$, $N_{d_1, d_2} \equiv 1$.
recursively defined.

$U_d = R^1 f_* \mathcal{O}_{\mathbb{P}^r}(d)$ ent. N
 $\bar{M}_{0, n}(\mathbb{P}^r, d) \xrightarrow{e_{i_1}^*} \mathbb{P}^r$
 $\downarrow f^*$
 $\bar{M}_{0, n}(\mathbb{P}^r, d)$

pf: based on LLY, Givental + LeFanu manipulations (reconstruction thm)

for the quantum product restricted to exceptional classes are inv. under simple \mathbb{P}^r -flops. (extr. ray)

pf: since Poincaré pairing is preferred, we compare n -pt fcn's $n \geq 3$

$$\langle \alpha_1, \alpha_2, \alpha_3 \rangle = (d_1, d_2, d_3) + \sum_{d \in \mathbb{N}} \langle \alpha_1, \alpha_2, \alpha_3 \rangle_{d, \mathbb{P}^r} q^{dL} + \sum_{\beta \in \mathbb{Z}} \langle \alpha_1, \alpha_2, \alpha_3 \rangle_{\beta, \mathbb{P}^r} q^{\beta}$$

modulo β with $\beta \in \mathbb{Z}$, get

$$\langle \sigma_{d_i}, h^{r-d_i} \rangle_{X'} = (-1)^{d_i} \langle \sigma_{d_i}, h^{r-d_i} \rangle = (-1)^{d_i} (d_i, h^{r-d_i})_X$$

$$\langle \sigma_{d_1}, \sigma_{d_2}, \sigma_{d_3} \rangle_{X'} = \langle d_1, d_2, d_3 \rangle_X = (d_1, h^{r-d_1}) (d_2, h^{r-d_2}) (d_3, h^{r-d_3}) \left((-1)^r + \frac{(-1)^{2r+1} q^{d_1}}{1 - (-1)^{r+1} q^{d_1}} - \frac{q^{d_2}}{1 - (-1)^{r+1} q^{d_2}} \right)$$

under σ , $q^{d_1} \leftrightarrow q^{-d_1}$ and the RHS = 0

for $n=3+k \geq 4$ ($k \geq 1$):

$$\langle \alpha_1, \dots, \alpha_n \rangle = N_{d_1, \dots, d_n} (d_1, h^{r-d_1}) \dots (d_n, h^{r-d_n}) \sum_{d=0}^{\infty} (-1)^{(n-1)(r+1)} d^k q^{dL}$$

$$= \dots \left(q^{d_1} \frac{d}{dq} \right)^k \left(\frac{1}{1 - (-1)^{r+1} q^{d_1}} \right) \text{ i.e. } \delta^k f; \delta = q \frac{d}{dq}$$

Since $q^{-d} \frac{d}{dq} = -q^d \frac{d}{dq}$ and the $(\dots)_Y$ and $(\dots)_{X'}$ differ only by a constant, we get

$$\langle \sigma_{d_1}, \dots, \sigma_{d_n} \rangle = \langle \alpha_1, \dots, \alpha_n \rangle \quad \forall n \geq 4 \quad \square$$

under analytic continuation

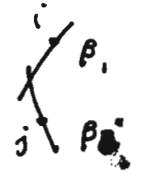
Key fact: $f(q) := \frac{q}{1 - (-1)^{r+1} q} = q + (-1)^{r+1} q^2 + (-1)^{2(r+1)} q^3 + \dots$

Then $f(q) + f(q^{-1}) = (-1)^r$.

L-P: Reconstruction: (for $g=0$)

$$e_i^* L = e_j^* L + (\beta, L) \psi_j - \sum_{\beta_1 + \beta_2 = \beta} (\beta_1, L) [D_{i\beta_1} / j\beta_2]^{vir}$$

And for $n \geq 3$, $\psi_j = [D_{jlik}]^{vir}$.



Regeneration Analysis / reduction to local models

rel. MK (Y, E) , $E \hookrightarrow Y$ sm div.

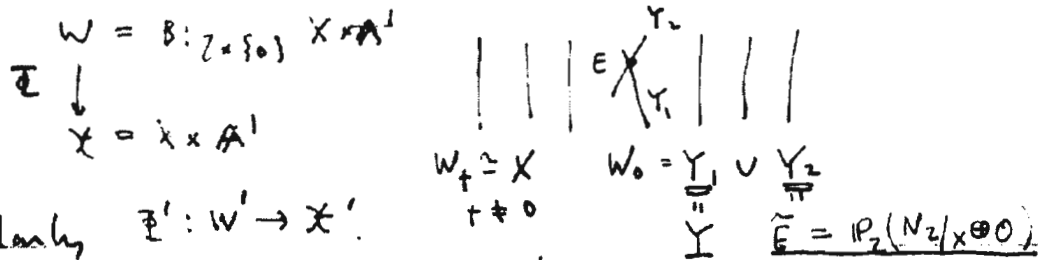
Top-type: $P = (g, n, \beta, p, M)$ $M = (M_1, \dots, M_p) \in \mathbb{N}^p$
 $|M| = \sum M_i = (\beta \cdot E)$

contact order $A \in H(Y)^{\oplus M}$, $E \in H(E)^{\oplus p}$
 $\langle A | E, M \rangle^{(Y, E)} := \int [\bar{M}_P(Y, E)] \cup \text{tr } e_Y^* A \cdot e_E^* E$

evaluation: $e_Y: \bar{M}_P(Y, E) \rightarrow Y^n$, $e_E: \bar{M}_P(Y, E) \rightarrow E^p$

$f: X \dashrightarrow X'$ simple \mathbb{P}^r -flap

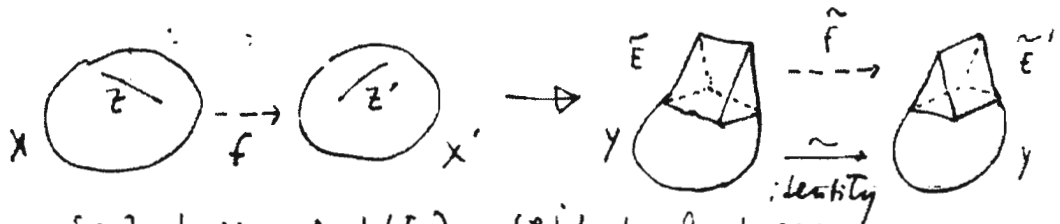
Deformation to the normal cone to X and X' :



Similarly $\pi': W' \rightarrow X'$

$Y_1 = Y = Y_1'$, $Y_2 \cong P_{pr}(\mathcal{O}(1)^{\oplus r} \oplus \mathcal{O}) \cong Y_2'$

but the gluings along E are different:



Let $\{e_i\}$ basis of $H(E)$, $\{e^i\}$ dual basis

$\{e_i\}$ form a basis of $H(E^p)$, $|E| = p$, $e_2 = e_1 \otimes \dots \otimes e_p$

Then $(Li = Ruan, J. Li, Smol-Parker)$

$$\langle \alpha \rangle_{g, n, \beta}^X = \sum_{\mathbb{Z}} \sum_{\substack{\gamma = (\gamma_1, \gamma_2, \gamma_3) \\ \text{adm. triple st.}}} \frac{m(m)}{|\text{Aut } \gamma|} \langle \alpha(0) | \langle E, M \rangle_{\gamma}^{(Y, E)} \rangle \langle \alpha(0) | e^{\beta} \cdot \mu_{\gamma} \rangle$$

eg. 2 comp. $p=5$ adm. triple st. not nec. conn. in $\mathbb{C} + E, \mathbb{C}_2$ is conn. of type $(0, 2, \beta)$
 3 comp.

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Lemma (cdh reduction)

Represent $\alpha(0) = (\alpha_1, \alpha_2)$, $(\mathcal{F}\alpha)(0) = (\alpha'_1, \alpha'_2)$

if $\alpha_1 = \alpha'_1$ then $\mathcal{F}\alpha_2 = \alpha'_2$.

Prop A. To prove $\mathcal{F}(\alpha)^X \cong (\mathcal{F}\alpha)^{X'}$, enough to show that
 $\mathcal{F}\langle A | \mathcal{E}, \mu \rangle \cong \langle \mathcal{F}A | \mathcal{E}', \mu' \rangle$ on $\tilde{E} \rightarrow \tilde{E}'$.

where $\langle A | \mathcal{E}, \mu \rangle := \sum_{\beta \in NE(\tilde{E})} \frac{1}{|\text{Aut } \mu|} \langle A | \mathcal{E}, \mu \rangle_{\beta}^{(\tilde{E}, E)} \mathbb{1}_{\beta}$.

Rank: if $\beta \in NE(X)$, $\beta(0) = (\beta_1, \beta_2)$ then $\beta_1 + \beta_2 = \gamma^* \beta$.

Prop B. For $\tilde{E} \rightarrow \tilde{E}'$, to prove $\mathcal{F}\langle A | \mathcal{E}, \mu \rangle \cong \langle \mathcal{F}A | \mathcal{E}', \mu' \rangle$
 $\forall A, (\mathcal{E}, \mu)$, enough to show

$\mathcal{F}\langle A, \tau_{k_1} \mathcal{E}_1, \dots, \tau_{k_p} \mathcal{E}_p \rangle \cong \langle \mathcal{F}A, \tau_{k_1} \mathcal{E}_1, \dots, \tau_{k_p} \mathcal{E}_p \rangle$

i.e. for descent test MV. with γ desc only in E desc. inv. of special type

idea of pf: induction on (M, n, p) ~~(M, n, p)~~

Then $\langle \alpha_1, \dots, \alpha_n, \tau_{\mu_1-1} \mathcal{E}_1, \dots, \tau_{\mu_p-1} \mathcal{E}_p \rangle_{\tilde{E}}^{\text{reverse ordering}}$
 $= \sum_{M'} m(M') \cdot \sum_{I'} \langle \tau_{\mu_1-1} \mathcal{E}_1, \dots, \tau_{\mu_p-1} \mathcal{E}_p | \mathcal{E}', \mu' \rangle_{I'}^{(X, E)}$
 $\langle \alpha_1, \dots, \alpha_n | \mathcal{E}', \mu' \rangle_{\tilde{E}'}^{(\tilde{E}, E)}$
 "fiber class integral" + R
conn. inv

By applying deformation to normal cone to $Z \hookrightarrow \tilde{E}$. lower μ for n



Key Point: \mathcal{F} : highest order term = $C(g) \langle \alpha_1, \dots, \alpha_n | \mathcal{E}, \mu \rangle_{\tilde{E}, E}^{(\tilde{E}, E)}$

Rule: this is a more precise version of Maulik-Pandharipande

For analytic continuation on local model:

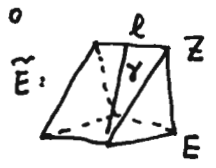
Lemma (Quasi-linearity) $\mathcal{F}\langle \tau_{h\beta} \alpha \rangle_{\tilde{E}} = \langle \tau_{h\beta} \mathcal{F}\alpha \rangle_{\tilde{E}'}$

both are finite sum. $\beta = \sum \mathbb{E} \beta = \beta'$. (via LLY, Giustolisi)

2 generator of Functional Equations: both uses \rightarrow only here require $g=0$

GMCF + QL \nrightarrow local case \nrightarrow Thm.

\tilde{E} semi-Fano toric var.
 $c_1 = (r+2)E + p^*c_1(X)/Z$



extr. ray loc. model
des. inv. of
special type.

Integral structure: $\langle \alpha \rangle^X \in \mathcal{R} := \widehat{\mathbb{C}[N][f]}$, $N = \{ \beta \in NE(X), \mathcal{F}\beta \in NE(X') \}$
 $g=0, n \geq 3, \alpha \in H(X)^{\oplus n}$

using $df = f + (H)^{\oplus n} f^2$. Local model:

$\langle \alpha \rangle^{X_{loc}} \in \mathcal{R}_{loc} = \mathbb{C}[N][f]$.

