

# Weierstrass Rep. of min surface in $\mathbb{R}^3$ . p.1

Ref: Osserman: A survey of min. surfaces

$M$  surface, oriented,  $\rightarrow \mathbb{R}^n$

$\exists$  isothermal coord.  $\Rightarrow M$  has R.S. structure

and  $\varphi: M \rightarrow \mathbb{R}^n$  conformal

$$ds^2 = \lambda(dx^2 + dy^2) = \frac{\lambda}{2} dZ \otimes d\bar{Z}$$

Laplace operator  $\Delta = \frac{1}{\lambda} \partial_i (\lambda \cdot \lambda^{-1} \partial_i) = \frac{1}{\lambda} \sum \partial_i \partial_i$

$$\frac{1}{\sqrt{3}} \partial_i (\sqrt{3} g^{ij} \partial_j) = \frac{4}{\lambda} \cdot \frac{\partial}{\partial Z} \cdot \frac{\partial}{\partial \bar{Z}}$$

$$\left( \frac{\partial}{\partial Z} = \frac{1}{2} \left( \frac{\partial}{\partial x} - i \frac{\partial}{\partial y} \right); \frac{\partial}{\partial \bar{Z}} = \frac{1}{2} \left( \frac{\partial}{\partial x} + i \frac{\partial}{\partial y} \right) \right)$$

so  $\varphi: M \rightarrow \mathbb{R}^n$  minimal

$$\Leftrightarrow \vec{H} \equiv \Delta \varphi = 0 \text{ i.e. } \frac{\partial}{\partial \bar{Z}} \left( \frac{\partial \varphi}{\partial Z} \right) = 0$$

cf. Do Carmo p.201

i.e. the cpx functions  $\frac{\partial \varphi^i}{\partial Z} \quad i=1 \dots n \quad \left( = \frac{1}{2} (\varphi_x^i - i \varphi_y^i) \right)$  are holomorphic.

$$\text{Also } \sum_i \varphi_z^i{}^2 = \frac{1}{4} \left( \sum_i \varphi_x^i \varphi_x^i - 2i \sum_i \varphi_x^i \varphi_y^i - \sum_i \varphi_y^i \varphi_y^i \right) = 0$$

( $\varphi$  conformal)

$$|\varphi_z|^2 = \left( \frac{1}{2} \right)^2 \cdot (|\varphi_x|^2 + |\varphi_y|^2) = \frac{\lambda}{2}$$

i.e.  $\varphi_z$  is the cpx-conformal vector.

auss Map  
ntroduce  
his at the  
try end)

If  $M$  has global cpx coord  $Z$ , say  $M = \mathbb{C}, D \dots$

then  $\varphi_z: M \rightarrow \mathbb{C}^n - 0$  is a holo. map.

with image inside  $\{ \sum z_i^2 = 0 \}$ .

In general,  $\varphi_w = \varphi_z \cdot \frac{dZ}{dw}$

hence the "pt"  $[\varphi_z] \in \mathbb{C}P^{n-1}$  is always defined.

i.e. get

$$\mathbb{E}: M \rightarrow \mathbb{C}P^{n-1} \text{ hol. map.}$$

$$\downarrow \quad \mathcal{Q} = \text{proj. v.} := \{ [Z] \mid \sum z_i^2 = 0 \}$$

This is the "Gauss Map".

in fact.  $\varphi_Z^1 dZ, \dots, \varphi_Z^n dZ$  is a system of hol. 1-forms. hence this is the sublinear system  $P(M, K_{\mathbb{R}})$  in alg. geom.

Remark:  $\bar{\Phi}$  is the  $\varphi^*$  conjugate of the diff. geom. Gauss Map:

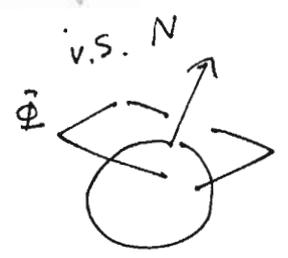
$$M \rightarrow \text{Gr}(2, n) \cong \text{SO}(n) / \text{SO}(2) \times \text{SO}(n-2)$$

oriented

SII ← why?  
Q

for  $n=3$ . ie.  $\varphi: M \rightarrow \mathbb{R}^3$   
we identify

$$\begin{aligned} \text{Gr}(2, 3) \\ \cong \\ \text{Gr}(1, 3) = S^2 \end{aligned}$$



Summary:  $\varphi: M \rightarrow \mathbb{R}^n$  minimal  
(under isothermal coord. or holo. coord.)

$\Leftrightarrow$  the Gauss Map  $\bar{\Phi}$  is holomorphic  
or in  $n=3$ ,  $N: M \rightarrow S^2$  is anti-conformal.

• Now it is VERY easy to construct minimal surfaces (especially when  $M = \mathbb{C}^2$  or  $D$ ) in  $\mathbb{R}^n$ :

(1). Find holo. 1-forms on  $M$

$$\alpha = (\alpha^1, \dots, \alpha^n) \text{ st. } \sum (\alpha^i)^2 = 0, \sum (\alpha^i)^2 > 0$$

(2). Let  $\varphi := 2 \cdot \text{Re} \int_{z_0}^z \alpha : M \rightarrow \mathbb{R}^n$

is then a minimal immersion.

Pf: Check that  $\varphi_Z = \frac{\partial}{\partial Z} \int \alpha$  \*

W - Rep in  $\mathbb{R}^3$  :

$$\alpha_1^2 + \alpha_2^2 + \alpha_3^2 = 0$$

$$(\alpha_1 + i\alpha_2)(\alpha_1 - i\alpha_2) = -\alpha_3^2$$

$$g := \frac{\alpha_3}{\alpha_1 - i\alpha_2} \equiv \frac{-(\alpha_1 + i\alpha_2)}{\alpha_3}$$

$g$  : global  
funct.  
meromorphic

$W := \alpha_1 - i\alpha_2$  hol. diff form

$$\Rightarrow \begin{cases} \alpha_1 + i\alpha_2 = -g\alpha_3 = -g^2 W \\ \alpha_1 - i\alpha_2 = W \end{cases}$$

get  $W : \begin{cases} \alpha_1 = \frac{1}{2}(1-g^2)W & \text{write} \\ \alpha_2 = \frac{i}{2}(1+g^2)W & W = f dz \\ \alpha_3 = gW \end{cases}$

$$\begin{aligned} \frac{\lambda}{2} = |\varphi_z|^2 &= \frac{1}{4} |1-g^2|^2 |f|^2 + \frac{1}{4} |1+g^2|^2 |f|^2 + |g|^2 |f|^2 \\ &= \frac{1}{4} |f|^2 (2[1+2|g|^2+|g|^4]) \\ &= \frac{1}{2} |f|^2 (1+|g|^2)^2 \end{aligned}$$

ie.  $\lambda = |f|^2 (1+|g|^2)^2$ . This will be useful.

Prop.  $g = p \circ N : M \rightarrow \mathbb{C} \cup \{\infty\}$  with

$P =$  stereographic proj

$N =$  Gauss Map :  $M \rightarrow S^2$ .

Pf:  $\frac{1}{2}(\varphi_x - i\varphi_y) = \varphi_z =: (a_1, a_2, a_3)$

$$\begin{aligned} \varphi_x \times \varphi_y &= 4 \operatorname{Re} a \times \operatorname{Re}(ia) & \alpha_i &:= a_i dz \\ &= -4 (u_1, u_2, u_3) \times (v_1, v_2, v_3) & & \text{"} \\ &= 4 \operatorname{Im} (a_2 \bar{a}_3, a_3 \bar{a}_1, a_1 \bar{a}_2) & & u_i + i v_i \end{aligned}$$

$$= \frac{1}{4} \dots = |f|^2 (1 + |g|^2) (2 \operatorname{Re} g, 2 \operatorname{Im} g, (|g|^2 - 1))$$

eg.  $a_2 \bar{a}_3 = \frac{i}{2} |f|^2 (1 + |g|^2) \bar{g}$

$$\begin{aligned} \operatorname{Im} a_2 \bar{a}_3 &= \frac{|f|^2}{2i} \left[ \frac{i}{2} (1 + |g|^2) \bar{g} + \frac{i}{2} (1 + |\bar{g}|^2) g \right] \\ &= \frac{1}{4} |f|^2 ((g + \bar{g})(1 + |g|^2)) \\ &= \frac{1}{2} |f|^2 (1 + |g|^2) \operatorname{Re} g \end{aligned}$$

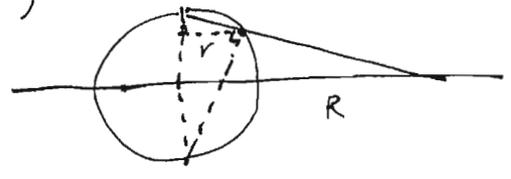
similarly  $\operatorname{Im} a_3 \bar{a}_1 = \frac{1}{2} |f|^2 (1 + |g|^2) \operatorname{Im} g$

$$\begin{aligned} \operatorname{Im} a_1 \bar{a}_2 &= \operatorname{Im} \frac{-i}{4} (1 - |g|^2) (1 + |\bar{g}|^2) |f|^2 \\ &= -\frac{|f|^2}{4} \operatorname{Im} [i(1 - |g|^4) + i(|\bar{g}|^2 - |g|^2)] \\ &= \frac{1}{4} |f|^2 (1 + |g|^2) (|g|^2 - 1). \end{aligned}$$

$$\Rightarrow N = \frac{1}{\deg N} (2 \operatorname{Re} g, 2 \operatorname{Im} g, |g|^2 - 1)$$

$\frac{1}{|g|^2 + 1} \quad \frac{2x}{2} \quad \frac{2y}{2} \quad \frac{x^2 + y^2 - 1}{x^2 + y^2 + 1}$

$$(x^2 + y^2 - 1)^2 + 4x^2 + 4y^2 = (x^2 + y^2 + 1)^2$$



Since  $\frac{R}{r} = \frac{1}{1 - \frac{|g|^2 - 1}{|g|^2 + 1}} = \frac{|g|^2 + 1}{2}$

$$\Rightarrow p \circ N = (\operatorname{Re} g, \operatorname{Im} g) \equiv g \quad \square$$

• Summary for W-Rep in  $\mathbb{R}^3$ :

Given  $g$  mero.  $f$  holo.  $(W)$  defines  $\alpha$ .

$$\varphi := 2 \operatorname{Re} \int \alpha : M \rightarrow \mathbb{R}^3 \text{ min.}$$

$g = p \circ N$  projection of Gauss map.

$$\lambda = |f|^2 (1 + |g|^2)^2$$

# Applications I: Isometric Deformations of Minimal Surfaces:

For given  $g, f$ , hence  $\alpha$ , let  $\theta \in [0, \pi]$

consider:

$$\varphi_\theta := 2 \operatorname{Re} \left( e^{i\theta} \int \alpha \right)$$

the image of  $\varphi_\theta$  has the same  $\lambda$ . (1st fund. form)

hence all isometric.

$$2 \left| \left( \frac{\partial \varphi_\theta}{\partial z} \right)_z \right|^2 = 2 \left| e^{i\theta} \alpha \right|^2$$

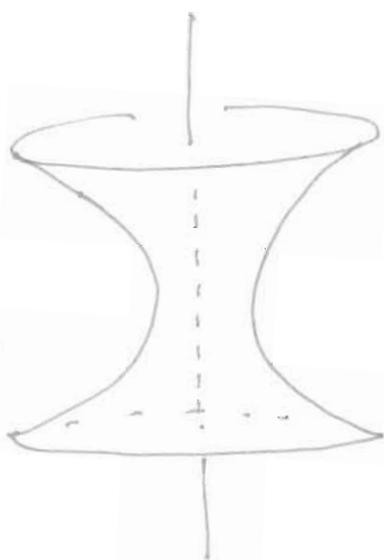
Examples I: Catenoid (the unique min. surface of revolution) indep. of  $\theta$ .

$$M = \mathbb{C} - \{0\}, \quad g(z) = z; \quad f(z) = \frac{1}{z^2}$$

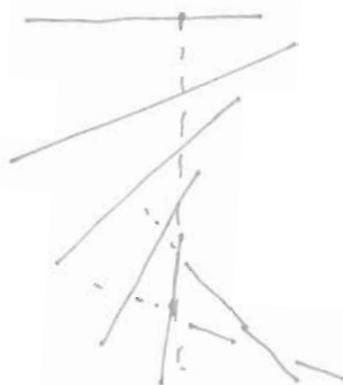
(so  $\int f dz$  has no period!)

$$y = a \cosh^{-1} \left( \frac{x}{a} \right)$$

Ex I.



Ex II.



Example II: Helicoid ( $\theta = \frac{\pi}{2}$ , conjugate to I.)

since wor. functions are harmonic conjugate to  $\theta=0$

Ex III.

Cf. Do Carmo p.205

Example III: Enneper's surface

$$M = \mathbb{C}, \quad g(z) = z, \quad f(z) = 1.$$

# Applications II:

Osserman's Generalized Bernstein thm. (1959) p.6

$\varphi: M \rightarrow \mathbb{R}^3$  complete min.

if Gauss map not dense then  $M = \text{plane}$ .

pf: Say  $N \subseteq \text{Im } \varphi$  of Gauss



$\tilde{\varphi}$  is still min. immersion.

Uniformization thm:  $(\tilde{M}, \tilde{dS}^2)$  is conf. to  $\left\{ \begin{array}{l} S^2 \\ \mathbb{C} \\ D^2 \end{array} \right.$

$S^2$  impossible since  $\tilde{\varphi}(\tilde{M}) = \varphi(M)$

if compact will have a pt.  $K > 0 \Rightarrow H \neq 0$  \*

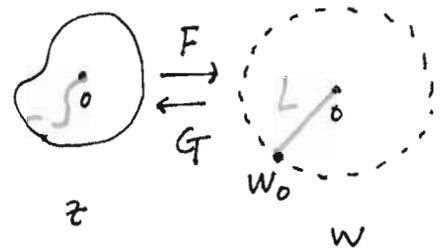
$\mathbb{C}$ : compose Gauss map and stereographic projection get a holo. function  $g: \mathbb{C} \rightarrow \mathbb{C}$  (or anti-holo) bounded  $\Rightarrow$  \* to Liouville thm.

D: let  $F: D^2 \rightarrow \mathbb{C}$

$$w = F(z) = \int_0^z f(s) ds$$

pick largest disk  $|w| < R$

st  $G = F^{-1}$  is defined



( $R < \infty$  by Liouville thm on  $G$ )

let  $w_0 \in \partial B_R$  be a non-ext. pt of  $G$ .

$L = \overline{0w_0}$ , let  $C = G(L)$ .

Claim:  $C$  is a divergent path: otherwise for  $w_n \rightarrow w_0$

$\exists z_n \rightarrow z_0 \in D^2$ , but  $F'(z_0) = f(z_0) \neq 0$

$F^{-1}(z_0)$  exists. (bec.  $g$  has no poles!)

\* in Werest. - Rep.  $\sum |\alpha|^2 \neq 0$ .

Since  $M$  is a complete surface,

will get  $*$  if show  $|C| < \infty$ .

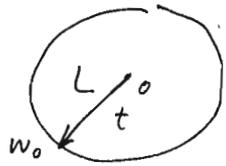
Now Gauss Map not dense  $\Rightarrow |g| \leq A < \infty$

$$|C| = \int_C \sqrt{\frac{J}{2}} |dz| = \frac{1}{\sqrt{2}} \int_C |f| (1 + |g|^2) |dz|$$

$$\leq \frac{1}{\sqrt{2}} (1 + A^2) \int_C |f| |dz| = (\dots) \int_L |dw| = (\dots) R < \infty$$

The pf is completed  $\square$

$$f = f' = \frac{dw}{dz}!$$



Generalization: complete, min.

(1). For  $\varphi: M^2 \rightarrow \mathbb{R}^n$ , same proof shows  
 If  $\varphi(M)$  is not a plane, then the Gauss Map  
 $\Phi: M \rightarrow \mathbb{C}P^{n-1}$  meets a dense set of hyperplanes.  
 (what does this say if  $\varphi$  degenerate?)

(2). Minimal hypersurface graph in  $\mathbb{R}^{n+1}$ ; on  $\mathbb{R}^n$   
 i.e.  $\partial: \left( \frac{\partial f}{\sqrt{1 + |\partial f|^2}} \right) = 0$  on whole  $\mathbb{R}^n$

J. Simons: For  $n \leq 7 \Rightarrow M$  is a hyp. plane

Bombieri: WRONG For  $n \geq 8$ !

(3). Xavier, Fujimoto Hm:  $M \rightarrow \mathbb{R}^3$ . compl. min.

For Sherk Surf,  $N$  omits exactly 4 pts.

Xavier (81): Omit  $\geq 7$  pts  $\Rightarrow$  plane

Fujimoto (1988): Omit  $\geq 4$  pts  $\Rightarrow$  plane.

optimal result!

Final Reports.

In fact for  $k \leq 4$ , the omitted  $k$  pts can be prescribed on  $S^2$ . (See Osserman Thm 8.3)

Assume the following two theorems:

Theorem 1. (Existence of Isothermal Coordinates)

For any geometric surface  $(M, ds^2)$ , for each  $p \in M$ , there exists a neighborhood & coordinate system  $(U, (x, y))$  such that  $ds^2 = \lambda(dx^2 + dy^2)$ .  
(for minimal surfaces this is in 3.5 Ex. 13-(b))

Theorem 2. (Uniformization Theorem)

Any simply connected Riemann surface (i.e. 1-dim complex manifold) is complex analytically equivalent to  $D$ ,  $\mathbb{C}$  or  $\mathbb{C} \cup \{\infty\} = S^2$ .

Then we want to prove Osserman's

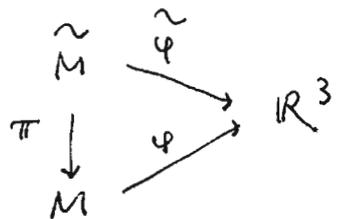
Theorem (Generalized Bernstein Theorem)

Let  $\varphi: M \rightarrow \mathbb{R}^3$  be a immersed complete orientable minimal surface. If the image of the Gauss map is not dense, then  $M$  is a plane.

Proof: (reduction to the case  $M = D$ ):

Theorem 1  $\Rightarrow M$  has the structure of a Riemann surface.

Theorem 2  $\Rightarrow \tilde{M}$ , the universal cover of  $M$  is  $D$ ,  $\mathbb{C}$  or  $S^2$ .



$\tilde{M} \neq S^2$ ; since  $H=0 \Rightarrow K \leq 0$  but any compact surface in  $\mathbb{R}^3$  has a point st  $K > 0$  \*

$\tilde{M} \neq \mathbb{C}$ ; if  $N \circ \varphi$  omits a open set of  $S^2$ , WLOG may assume this nbd is a nbd of north pole

$$\tilde{M} \xrightarrow{\tilde{\varphi}} \varphi(M) \xrightarrow{N} S^2 \xrightarrow{P} \mathbb{C}$$

if  $\tilde{M} = \mathbb{C}$ , then  $P \circ N \circ \tilde{\varphi}$  is a bounded analytic function

So  $\tilde{M} \subsetneq D$ . Then use ~~\*~~ to the Liouville theorem. method of divergent path.

# Algebraic Methods to construct Minimal Submanifolds p.1

Almost cpx str.

$M$ ,  $\dim M = 2n$ ,  $J$  tensor of type  $(1,1)$  st.

$J_p : T_p M \rightarrow T_p M$  st.  $J_p^2 := J_p \circ J_p = -\text{id}_{T_p M}$

(reason: for  $V \cong \mathbb{R}^{2n}$  if  $V \cong \mathbb{C}^n$ , then  $i : V \rightarrow V$  has  $i^2 = -1$ . Conversely, if  $J : V \rightarrow V$  st  $J^2 = -\text{id}_V$  then  $V$  has a  $\mathbb{C}$ -module (v.s) str.  $(a + bi) \cdot v := av + bJv$ .)

$g$ : Riem Metric on  $(M, J)$

$g$  hermitian if  $g(Jv, Jw) = g(v, w) \quad \forall v, w$

(Always exists, eg.  $h(v, w) := g(v, w) + g(Jv, Jw)$ )

let  $\nabla$  be the Levi-Civita conn. (wrt to her.  $g$ )

Q:  $\nabla J = 0$ ? ( $J$  parallel?)

This is equiv. to  $\nabla_v(Jw) = J \nabla_v w \quad \forall v, w$

Since  $\nabla_v(Jw) = (\nabla_v J)w + J \nabla_v w$

Notice that this is NOT a trivial condition even if  $M$  is actually a complex mfd!

DEFINITION:  $(M, J, g)$  is called Kähler if  $\nabla J = 0$ .

Another point of view: for  $g, J$

fundamental 2 form

$\omega(v, w) := g(Jv, w)$  (這和 Lawson 差一個負號!)

$\omega \in \Lambda^2(M)$  since  $\omega(w, v) = g(Jw, v) = g(JJw, Jv) = -g(w, Jv) = -g(Jv, w) = -\omega(v, w)$

Fund. Theorems:

Theorem I.  $\nabla J = 0 \iff (M, J)$  is a complex mfd and  $d\omega = 0$ .

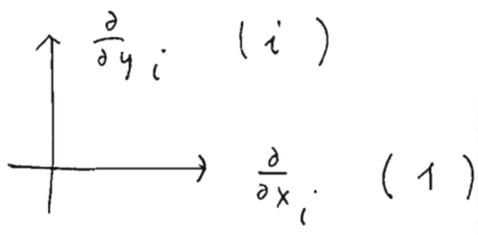
Theorem II. Any cpx submfd in a Kähler mfd (eg.  $\mathbb{C}^n$ ) is a stable variety min. sub. variety which minimize area in its "homology class".

Examples:

(1)  $\mathbb{C}^n$ ,  $g = ds^2 = \sum_{i=1}^{2n} dx_i^2 = \sum_{i=1}^n dx_i^2 + \sum_{i=1}^n dy_i^2$

Almost cpx structure

since  $i \cdot (1) = (i)$   
 $i \cdot (i) = -(1)$



so define

$$(*) \begin{cases} J \frac{\partial}{\partial x_i} = \frac{\partial}{\partial y_i} \\ J \frac{\partial}{\partial y_i} = -\frac{\partial}{\partial x_i} \end{cases} \quad \forall i$$

Now  $\omega(\partial x_i, \partial x_j) = g(J\partial x_i, \partial x_j) = g(\partial y_i, \partial x_j) = 0$   
 $\omega(\partial x_i, \partial y_j) = g(\partial y_i, \partial y_j) = d_{ij}$

hence  $\omega = dx_1 \wedge dy_1 + \dots + dx_n \wedge dy_n$

clearly,  $d\omega = 0$ . Kähler.

for cpx submanifold  $M \subset \mathbb{C}^n$ , simply take

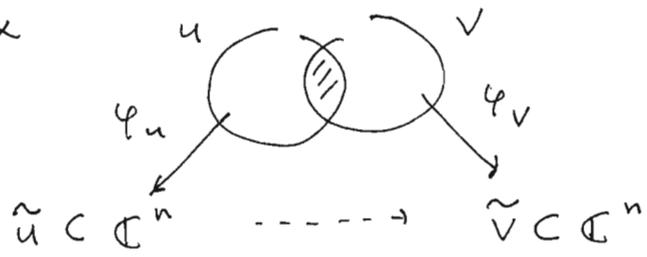
$M = \{ z \in \mathbb{C}^n \mid f_i(z) = 0 \quad i=1 \dots k \}$  the zero set of  $k$  holomorphic functions.

(if  $f_i \in \mathbb{C}[z_1, \dots, z_n]$ ,  $M$  is called affine variety)

Remark:  $\mathbb{C}^n, M$  are not compact (why?)

for complex manifold  $M$ , i.e.  $\exists$  holo cov. system

$M = U \cup U_2$



the cov. almost cpx str  $J$  is still given by  $(*)$ . Check it!

st.  $\varphi_V \circ \varphi_U^{-1} : \varphi_U(U \cap V) \rightarrow \varphi_V(U \cap V)$

is a bi-holomorphic mapping.

it is more convenient to use complex cov. system "even for Real purpose" :  $z_1, \dots, z_n$ ,

with  $\begin{cases} z_i = x_i + \sqrt{-1} y_i \\ \bar{z}_i = x_i - \sqrt{-1} y_i \end{cases}$  another set of "real cov"

The Precise Way:  $\otimes \mathbb{C}$

Basis of  $\Lambda^1(M) \otimes \mathbb{C}$  :  $dz_i := dx_i + \sqrt{-1} dy_i$

$d\bar{z}_i := dx_i - \sqrt{-1} dy_i$   $Jv = i \cdot v$

(dual) basis of  $T^*M \otimes \mathbb{C}$  :  $\frac{\partial}{\partial z_i} := \frac{1}{2} \left( \frac{\partial}{\partial x_i} - \sqrt{-1} \frac{\partial}{\partial y_i} \right)$

(check it!)



$\frac{\partial}{\partial \bar{z}_i} := \frac{1}{2} \left( \frac{\partial}{\partial x_i} + \sqrt{-1} \frac{\partial}{\partial y_i} \right)$

$Jv = -i \cdot v$

This SIMPLY MEANS that we extend everything by forcing  $\mathbb{C}$ -linearity :

eg.  $\tilde{g}(v + i v', w) = g(v, w) + i g(v', w) \dots$

then we can write, for any Riemann metric  $g$  :

$$\tilde{g} = \tilde{g}_{\alpha\beta} dz^\alpha \otimes dz^\beta + \tilde{g}_{\alpha\bar{\beta}} dz^\alpha \otimes d\bar{z}^\beta + \tilde{g}_{\bar{\alpha}\beta} d\bar{z}^\alpha \otimes dz^\beta + \tilde{g}_{\bar{\alpha}\bar{\beta}} d\bar{z}^\alpha \otimes d\bar{z}^\beta$$

Fact:  $g$  is hermitian  $\iff \tilde{g}_{\alpha\beta} = 0 = \tilde{g}_{\bar{\alpha}\bar{\beta}}$

By def,  $\tilde{g}_{\alpha\beta} = \tilde{g}\left(\frac{\partial}{\partial z^\alpha}, \frac{\partial}{\partial z^\beta}\right) = \tilde{g}\left(\frac{1}{2}(\partial_{x_\alpha} - i\partial_{y_\alpha}), \frac{1}{2}(\partial_{x_\beta} - i\partial_{y_\beta})\right)$

$$= \frac{1}{4} \left( g(\partial_{x_\alpha}, \partial_{x_\beta}) + i[g(\partial_{x_\alpha}, \partial_{y_\beta}) + g(\partial_{y_\alpha}, \partial_{x_\beta})] - g(\partial_{y_\alpha}, \partial_{y_\beta}) \right)$$

easier way:  $\tilde{g}(\partial_\alpha, \partial_\beta) = -\tilde{g}(i\partial_\alpha, i\partial_\beta) = -\tilde{g}(J\partial_\alpha, J\partial_\beta) = -\tilde{g}(\partial_\alpha, \partial_\beta) \implies = 0$

Similarly for  $\tilde{g}_{\bar{\alpha}\bar{\beta}} = 0$  and

$$\tilde{g}_{\alpha\bar{\beta}} = \frac{1}{4} \tilde{g}(\partial_{x_\alpha} - i\partial_{y_\alpha}, \partial_{x_\beta} + i\partial_{y_\beta})$$

$$= \frac{1}{4} [g(\partial_{x_\alpha}, \partial_{x_\beta}) + g(\partial_{y_\alpha}, \partial_{y_\beta}) + i[g(\partial_{x_\alpha}, \partial_{y_\beta}) - g(\partial_{y_\alpha}, \partial_{x_\beta})]]$$

ie.  $\tilde{g}_{\alpha\bar{\beta}} = \frac{1}{2} (g_{\alpha\beta} + i g_{\alpha, n+\beta}) + g(\partial_{x_\alpha}, \partial_{y_\beta})$

and  $\tilde{g}_{\bar{\alpha}\beta} = \tilde{g}_{\beta\bar{\alpha}} = \overline{\tilde{g}_{\alpha\bar{\beta}}}$  bec.  $g_{\alpha, n+\beta} = -g_{\beta, n+\alpha}$

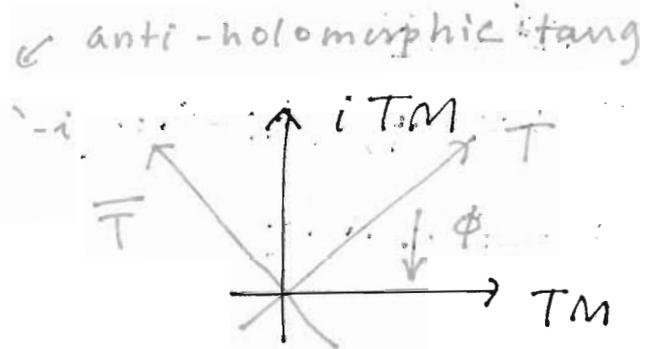
or equiv:  $\tilde{g}\left(\frac{\partial}{\partial \bar{z}_\alpha}, \frac{\partial}{\partial \bar{z}_\beta}\right) = \frac{1}{2} [g\left(\frac{\partial}{\partial x_\alpha}, \frac{\partial}{\partial x_\beta}\right) - i g\left(J\frac{\partial}{\partial x_\alpha}, \frac{\partial}{\partial x_\beta}\right)]$

$M, J$   
 real 2n mfd  
 TM real tang.  
 basis  $\left\{ \frac{\partial}{\partial x^i}, \frac{\partial}{\partial y^i} \right\}$

$M$   
 complex n-mfd  
 (holomorphic)  
 T cpx tangent bundle  
 basis  $\left\{ \frac{\partial}{\partial z^\alpha} \right\}$

(\*)  $TM \otimes \mathbb{C} = T \oplus \bar{T}$

Warning: Although  $i$ -eigen space  
 $T \cong TM / \mathbb{R}$  via  $\phi$   
 even  $/ \mathbb{C}$  if  $i \mapsto J$



but we regard them as different via (\*)

hermitian metric  $g$  on  $TM$

the  $\mathbb{C}$ -extension  $\tilde{g}$  on  $TM \otimes \mathbb{C}$ , when  
 restrict to  $T$ , gives the usual "hermitian metric"

via  $h(v, w) := \tilde{g}(v, \bar{w})$ , for  $v, w \in T_p$

ie.  $h_{\alpha\beta} = \tilde{g}_{\alpha\bar{\beta}}$

$$g = \tilde{g}_{\alpha\bar{\beta}} dz^\alpha \otimes d\bar{z}^\beta + \tilde{g}_{\bar{\alpha}\beta} d\bar{z}^\alpha \otimes dz^\beta$$

$$h = h_{\alpha\beta} dz^\alpha \otimes d\bar{z}^\beta$$

abuse notation  
 still write

$g_{\alpha\bar{\beta}}$

$\omega(\partial_\alpha, \partial_\beta) = \tilde{g}(J\partial_\alpha, \partial_\beta) = i \tilde{g}(\partial_\alpha, \partial_\beta) = 0$

$\omega(\partial_\alpha, \bar{\partial}_\beta) = i \tilde{g}(\partial_\alpha, \bar{\partial}_\beta) = i \tilde{g}_{\alpha\bar{\beta}}$

$\Rightarrow \omega = i \tilde{g}_{\alpha\bar{\beta}} dz^\alpha \wedge d\bar{z}^\beta$

Important Formula

eg.  $\mathbb{C}^n$  :  $\omega = i \tilde{g}_{\alpha\bar{\alpha}} dz^\alpha \wedge d\bar{z}^\alpha$

$= i \cdot \frac{1}{2} g_{\alpha\alpha} \cdot (-2i) dx^\alpha \wedge dy^\alpha$

$= dx^1 \wedge dy^1 + \dots + dx^n \wedge dy^n$

$$(2) \mathbb{P}_{\mathbb{C}}^n := (\mathbb{C}^{n+1} - 0) / \sim$$

P.5

st.  $(z_0, z_1, \dots, z_n) \sim (\lambda z_0, \lambda z_1, \dots, \lambda z_n) \forall \lambda \neq 0$

denote the pt by  $[z] = [z_0, \dots, z_n]$

or  $(z_0 : z_1 : \dots : z_n)$

$$\mathbb{P}_{\mathbb{C}}^n = \bigcup_{\alpha=0}^n U_{\alpha}$$

$$U_{\alpha} = \{ [z] \in \mathbb{P}^n \text{ st. } z_{\alpha} \neq 0 \} \dots$$

Fubini-Study metric: the fund. 2 form is

$$\begin{aligned} \omega_{FS} &:= \frac{\sqrt{-1}}{2\pi} \partial \bar{\partial} \log |z|^2 & |z|^2 &= \sum_{i=0}^n z_i \bar{z}_i \\ &= \frac{\sqrt{-1}}{2\pi} \partial \bar{\partial} \log (1 + |w|^2) & & \text{in a loc. system} \\ &= \frac{\sqrt{-1}}{2\pi} \partial \frac{w \cdot d}{1 + |w|^2} & & (U_{\alpha}, w) \\ &= \frac{\sqrt{-1}}{2\pi} \frac{\delta_{ij} \cdot dw^i \wedge d\bar{w}^j (1 + |w|^2) - w \cdot dw}{(1 + |w|^2)^2} \end{aligned}$$

Remark: Here we extend  $d$  to  $\Lambda^k(M) \otimes \mathbb{C}$

$$\text{then } \Lambda^k(M) \otimes \mathbb{C} = \bigoplus_{p+q=k} \Lambda^{p,q}(M)$$

$$\text{and } d = \partial + \bar{\partial} \quad \partial \quad \bar{\partial} \quad \Lambda^p(\mathbb{T}) \otimes \Lambda^q(\bar{\mathbb{T}})$$

$$\text{via } d(f dz^I) = \left( \frac{\partial f}{\partial z^{\alpha}} dz^{\alpha} + \frac{\partial f}{\partial \bar{z}^{\alpha}} d\bar{z}^{\alpha} \right) \wedge dz^I$$

$$\text{so } 0 = d^2 = (\partial + \bar{\partial})^2 = \partial^2 + \partial \bar{\partial} + \bar{\partial} \partial + \bar{\partial}^2$$

$$\text{ie. } \partial^2 = 0 = \bar{\partial}^2 \quad \text{and} \quad \partial \bar{\partial} = -\bar{\partial} \partial$$

$$\Rightarrow \omega_{FS} = \frac{\sqrt{-1}}{2\pi} \frac{(\delta_{ij} (1 + |w|^2) - \bar{w}_i w_j) dw^i \wedge d\bar{w}^j}{(1 + |w|^2)^2}$$

clearly, by def  $d\omega_{FS} = 0$ .

why is a metric?

$$\text{Ex. Write } \omega_{FS} = i g_{\alpha\bar{\beta}} dw^{\alpha} \wedge d\bar{w}^{\beta}$$

show that  $g_{FS} := g_{\alpha\bar{\beta}} dw^{\alpha} \wedge d\bar{w}^{\beta}$  is a metric

$$\text{and on } \mathbb{P}^1, \int_{\mathbb{P}^1} \omega_{FS} = 1$$

Ex.  $\mathbb{P}^n$  is compact. (Hint:  $S^1 \rightarrow S^{2n+1} \rightarrow \mathbb{P}^n$ )

let  $f \in \mathbb{C}[z_0, \dots, z_n]$  homogeneous poly.

p. 6

though  $f$  is NOT a function on  $\mathbb{P}_{\mathbb{C}}^n$

$V(f) := \{ [z] \in \mathbb{P}_{\mathbb{C}}^n \mid f(z) = 0 \}$  is well defined

$M \subset \mathbb{P}_{\mathbb{C}}^n$  cut out by homo. poly's is called a projective variety. they are compact.

---

Fact:  $M \xrightarrow{i} N$  cpx submfd, or hol. immersion <sup>\*\*</sup>  
then  $N$  Kähler  $\Rightarrow M$  Kähler

pf: If  $g, \omega$  Kähler str on  $N$

then the metric  $g|_M := i^*g$  has

fund. 2 form  $\bar{\omega} = \omega|_M = i^*\omega$

so  $d\bar{\omega} = d i^*\omega = i^*d\omega = 0$ ,  $M$  is Kähler  $\square$ .

existence

So Any cpx mfd inside  $\mathbb{C}^n, \mathbb{P}^n$  are also Kähler.

Fact:  $\omega$  fund. 2-form  $\Rightarrow \frac{\omega^n}{n!} = \text{Vol. form}$ .

So if  $M$  is compact Kähler, then

$[\omega], [\omega^2], \dots, [\omega^n]$  all  $\neq 0$  in  $H_{PR}^*(M; \mathbb{R})$ .

non-existence

$\exists$  cpx mfd with  $b_2 = 0$  hence must be non-Kähler.

\*\* : Definition of hol. map.

for  $(M, J) \xrightarrow{f} (N, J)$   $C^\infty$  map of almost cpx mfd.

$f$  is (pseudo)holomorphic if

$$df \circ J = J \circ df$$

when  $M, N$  are cpx mfd. this is equiv to " $f$  is holomorphic". (Ex.)

$$\begin{aligned}
 d\omega(x, Y, Z) &= X\omega(Y, Z) - Y\omega(X, Z) + Z\omega(X, Y) \\
 &\quad - \omega([X, Y], Z) + \omega([Y, Z], X) - \omega([X, Z], Y) \\
 &= Xg(JY, Z) - Yg(JX, Z) + Zg(JX, Y) \\
 &\quad - g(J[X, Y], Z) + g(J[Y, Z], X) - g(J[X, Z], Y) \\
 &= g((\nabla_X J)Y, Z) + g(J\nabla_X Y, Z) + g(JY, \nabla_X Z) + \dots \\
 &= g((\nabla_X J)Y, Z) + g((\nabla_Y J)Z, X) - g((\nabla_Z J)Y, X)
 \end{aligned}$$

extend  $X, Y, Z$   
to fields s.t  
 $(\nabla_X Y)_p = 0$   
hence also etc.  
 $[X, Y]_p = 0$ ..

Notice here:  $g((\nabla_a J)b, c) = -g((\nabla_a J)c, b)$   
So,  $\nabla J = 0 \Rightarrow d\omega = 0$ .

conversely,

$$-g((\nabla_{JZ} J)JY, X)$$

$$\begin{aligned}
 d\omega(x, JY, JZ) &= g((\nabla_X J)JY, JZ) + g((\nabla_{JY} J)JZ, X) \\
 &\quad - g(J^2 \nabla_X Y, JZ) - g(J\nabla_X JY, JZ) \\
 &\Rightarrow (\nabla_X J) \cdot J + J \cdot \nabla_X J = 0
 \end{aligned}$$

Computation II.

$$\begin{aligned}
 d\omega(x, Y, Z) - d\omega(x, JY, JZ) &= 2g((\nabla_X J)Y, Z) + g(\nabla_Y JZ, X) - g(J\nabla_Y Z, X) \\
 &\quad - g(\nabla_Z JY, X) + g(J\nabla_Z Y, X) \\
 &\quad - g(\nabla_{JY} Z, X) + g(J\nabla_{JY} JZ, X) \\
 &\quad - g(\nabla_{JZ} Y, X) - g(J\nabla_{JZ} JY, X) \\
 &= 2g((\nabla_X J)Y, Z) + g(N(Y, Z), X)
 \end{aligned}$$

Nijenhuis tensor:  $N(Y, Z) := J[JY, JZ] - J[Y, Z] + [JY, Z] + [Y, JZ]$   
the Nijenhuis tensor.

hence  $\nabla J = 0 \Leftrightarrow d\omega = 0$  and  $N \equiv 0$ .

It is clear, for  $M$  cpx mfd,  $N \equiv 0$  since  $\begin{cases} J\partial_{x_i} = \partial_{y_i} \\ \text{and } \Gamma, J \equiv 0 \text{ for all cov. v.f.'s.} \end{cases} \begin{cases} J\partial_{y_i} = -\partial_{x_i} \end{cases}$

• Theorem (Newlander - Nirenberg): PDE thm.

Final report problem

$N \equiv 0 \Leftrightarrow J$  is an int'ble cpx structure.

consider  $L(Y, Z) := [JY, Z] - J[Y, Z]$

$$(\neq \cancel{JY}Z - Z\cancel{JY} - \cancel{JY}Z + \underline{JZ}Y)$$

it is only a tensor. (check) in  $Y$ , but  $\uparrow$  this makes no sense!

$$N(Y, Z) = L(Y, Z) + JL(Y, JZ)$$

$$\left( \begin{aligned} [fY, Z] &= fYZ - ZfY = f[Y, Z] - (Zf)Y \\ [Y, gZ] &= YgZ - gZY = g[Y, Z] + (Yg)Z \end{aligned} \right)$$

$$\begin{aligned} \text{so } L(fY, Z) &= [JfY, Z] - J[fY, Z] \\ &= f[JY, Z] - \cancel{(Zf)JY} - J(f[Y, Z] - \cancel{(Zf)Y}) \\ &= fL(Y, Z). \end{aligned}$$

$$\begin{aligned} L(Y, gZ) &= [JY, gZ] - J[Y, gZ] \\ &= g[JY, Z] + [(JY)g]Z - J(g[Y, Z] + (Yg)Z) \\ &= gL(Y, Z) + \underline{[(JY)g]Z - (Yg)(JZ)} \end{aligned}$$

If  $M$  is a cpx mtd. then  $\begin{cases} J\partial_{x_i} = \partial_{y_i} \\ J\partial_{y_i} = -\partial_{x_i} \end{cases}$

$L(\partial_{x_i}, \partial_{x_j}) = 0$  bec. all  $[, ]$  of wr. vectors  $\equiv 0$   
 $\uparrow$   
 any combination

Q: Can we prove that Kähler  $\Rightarrow$  cpx without using Newlander-Nirenberg theorem?

Let  $M \rightarrow N$  complex submfd of Kähler mfd.

$\exists$  basis of  $T_p M \subset T_p N$

$$e_1, J e_1, \dots, e_m, J e_m; e_{m+1}, J e_{m+1}, \dots, e_n, J e_n.$$

$$B(e_i, e_i) + B(J e_i, J e_i) = (\nabla_{e_i} e_i + \nabla_{J e_i} J e_i)^N$$

$$\text{but } B(u, J v) = (\nabla_u J v)^N = (J \nabla_u v)^N = J (\nabla_u v)^N \\ = J B(u, v)$$

$J$  is an isometry

ie.  $B$  is  $J$ -bilinear (via symmetry)

so  $B(u, u) + B(J u, J u) = 0$ . ie.  $i$  is a pluri-harmonic map.

Discussion:

$\Rightarrow$  minimal

We do not really need  $N$  to be Kähler.

$$\text{Gauss Eq}^n: \bar{R}(x, y, z, w) = R(x, y, z, w)$$

$$+ \langle B(x, z), B(y, w) \rangle - \langle B(x, w), B(y, z) \rangle$$

$$\text{eg. } \bar{R}(x, J x, y, J y) = R(x, J x, y, J y)$$

$$+ \langle B(x, y), B(J x, J y) \rangle - \langle B(x, J y), B(J x, y) \rangle$$

$$= R(x, J x, y, J y) - \underline{2 \|B(x, y)\|^2}$$

$$\text{eg. } \bar{R}(e, u, e, v) + \bar{R}(J e, u, J e, v)$$

$$= R(e, u, e, v) + R(J e, u, J e, v)$$

$$+ \langle \underline{B(e, e)}, B(u, v) \rangle - \langle B(e, v), B(u, e) \rangle$$

$$+ \langle \underline{B(J e, J e)}, B(u, v) \rangle - \langle B(J e, v), B(u, J e) \rangle$$

$$= R(e, u, e, v) + R(J e, u, J e, v) - \underline{2 \langle B(e, u), B(e, v) \rangle}$$

conclusion:

Pluriharmonic map has "curvature decreasing property" in a suitable sense, but not "exactly".

Thm (Wirtinger's inequality).

$p \in M \subset \bar{M}$  Kähler, Then  $\frac{\omega^m}{m!} |_{T_p M} \leq dV_p$    
 real oriented induced vol form on  $M$

"=" holds  $\Leftrightarrow T_p M \subset T_p \bar{M}$  is a  $\varphi$ -x subspace.

(ie.  $J$ -inv.)

pf:  $\forall x, y \in T_p \bar{M}$ ,

$$\omega(x, y)^2 = \langle Jx, y \rangle^2 \leq |Jx|^2 |y|^2 = |x|^2 |y|^2$$

"=" holds  $\Leftrightarrow Jx = \pm y$ , ie  $x, y$  span a  $\varphi$ -x 2-dim subspace of  $T_p \bar{M}$ .

Now consider  $\omega' = \omega|_{T_p M}$ . since it is skew sym 2-form linear algebra  $\Rightarrow \exists$  ONB  $e_1, \dots, e_{2m}$  of  $T_p M$  st.

$$\omega' \sim \begin{pmatrix} 0 & \lambda_1 & & & & \\ -\lambda_1 & 0 & & & & \\ & & 0 & \lambda_2 & & \\ & & -\lambda_2 & 0 & & \\ & & & & \ddots & \\ & & & & & 0 & \lambda_m \\ & & & & & \lambda_m & 0 \end{pmatrix}$$

where

$$\lambda_k = \omega(e_{2k-1}, e_{2k})$$

$k=1, \dots, m$

( $J e_{2k-1} = e_{2k}$ )

All  $|\lambda_k| \leq 1$ .

ie. if  $\theta_1, \dots, \theta_{2m}$  are dual 1-form of  $\{e_i\}$  on  $T_p M$ ,

then 
$$\omega' = \sum_{k=1}^m \lambda_k \theta_{2k-1} \wedge \theta_{2k}$$

$$\Rightarrow \omega'^m = (m!) \cdot \lambda_1 \dots \lambda_m \theta_1 \wedge \dots \wedge \theta_{2m}$$

ie. 
$$\frac{\omega'^m}{m!} = \lambda_1 \dots \lambda_m dV_p$$

Thus,  $|\frac{\omega'^m}{m!}| \leq dV_p$ , "=" holds  $\Leftrightarrow |\lambda_i| = 1 \forall i$

by above,  $\Leftrightarrow J e_{2k-1} = \pm e_{2k}$ , ie.  $T_p M$  is  $J$ -invariant.

Also, "=" holds without  $+1$  sign occurs when orientations are the same  $\square$ .

Proof of Theorem II:  $M^*$   $\varphi$ -x  $\Rightarrow M$  min vol in  $[M] \in H_{2m}(M, \mathbb{Z})$ .

for  $M' \sim M$ ,  $Vol(M) = \int_M \frac{\omega^m}{m!} = \int_{M'} \frac{\omega^m}{m!} \leq \int_{M'} dV_{M'} = Vol(M')$    
 " = "  $\Leftrightarrow M'$  also  $\varphi$ -x  $\square$

# Douglas' Solution to Plateau Problem

P. 1/5

$\Gamma \subset \mathbb{R}^n$  Jordan curve

$\Delta \subset \mathbb{R}^2$  unit disk (closed)

$\varphi: \Delta \rightarrow \mathbb{R}^n$  piecewise  $C^1$  if

- $\varphi$  is  $C^0$
- outside  $\partial\Delta$  and finite pts and  $C^1$  arcs,  $\varphi$  is  $C^1$

$b: \partial\Delta = S^1 \rightarrow \Gamma$  is monotone if

$b^{-1}(p)$  is connected  $\forall p \in \Gamma$

For 1):



Competing class (fixing disk as top. type, why needed?)  
2) why p-C<sup>1</sup> only?

$X_\Gamma := \left\{ \varphi: \Delta \rightarrow \mathbb{R}^n \text{ st. } \varphi \text{ is piecewise } C^1 \right.$   
 $\left. \text{and } \varphi|_{\partial\Delta}: S^1 \rightarrow \Gamma \text{ is monotone} \right\}$

Area functional

For 2):  $z \mapsto (z^2, z^3)$

$A: X_\Gamma \rightarrow \mathbb{R}^+ \cup \{\infty\}$  by

$$A(\varphi) := \int_{\Delta} |\varphi_x \wedge \varphi_y| dx dy$$

Plateau Problem:

Assume that  $G_\Gamma := \inf_{\varphi \in X_\Gamma} A(\varphi) < \infty$

Find  $\varphi \in X_\Gamma$  st.  $A(\varphi) = G_\Gamma$ .

Direct Method in the Calculus of Variations:

Take  $\varphi_n \in X_\Gamma$  st.  $\lim_{n \rightarrow \infty} A(\varphi_n) = G_\Gamma$

$\exists?$  subsequence converges in  $X_\Gamma$ .

(i.e. compactness)

In general not possible due to diffeomorphism sp.

This is the origin of "Gauge theory"  $\leftarrow \infty$ -dim!

Already in the 1-dim case: geodesics!

# Dirichlet Integral (Energy)

Easy to see:  
invariant under  
uniformal transf.

$$D(\varphi) := \int_{\Delta} (|\varphi_x|^2 + |\varphi_y|^2) dx dy$$

from  $|v \wedge w|^2 = |v|^2 |w|^2 - |v \cdot w|^2 \leq \left[ \frac{1}{2} (|v|^2 + |w|^2) \right]^2$

get  $A(\varphi) \leq \frac{1}{2} D(\varphi)$  and  $=$  iff

$$|\varphi_x| = |\varphi_y| \text{ and } \varphi_x \cdot \varphi_y = 0$$

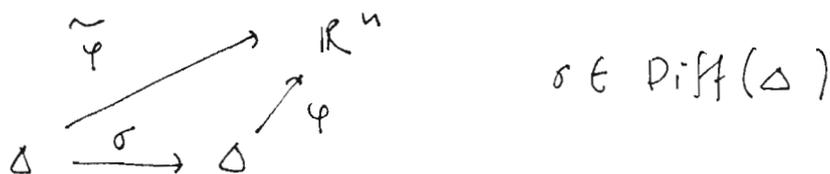
ie.  $\varphi: \Delta \rightarrow \mathbb{R}^n$  is an almost conformal map.

FACT 1:  $G_{\Gamma} = \frac{1}{2} d_{\Gamma}$ ,  $d_{\Gamma} := \inf_{\varphi \in X_{\Gamma}} D(\varphi)$

So for any  $\varphi \in X_{\Gamma}$ : pf in next page

$$D(\varphi) = d_{\Gamma} \iff A(\varphi) = G_{\Gamma} \text{ and } \varphi \text{ is } \underset{\text{almost}}{\text{a. conformal}}$$

Notice that in the Plateau problem, the solution minimal surface can have arbitrary parametrization via



FACT 2: For  $\varphi$  a  $C^1$  immersion,  $\exists \sigma$  st.  $\tilde{\varphi} = \varphi \circ \sigma$  is conformal (Final report)

All conformal parametrizations of the same surface differ by the conformal gp  $\text{conf}(\Delta)$

Ex. = Möbius transform  $e^{i\theta} \cdot \frac{z - \alpha}{1 - \bar{\alpha}z}$   $\leftarrow$  finite dim'l Lie gp.

So it is equivalent to solve  $\varphi$  which minimize the Dirichlet integral.

FACT 3: For any  $b \in C(\partial\Delta, \mathbb{R}^n)$ ,  $X_b := \{ \varphi: \Delta \rightarrow \mathbb{R}^n \text{ p-c}^1, \varphi|_{\partial\Delta} = b \}$

If  $d_b := \inf_{\varphi \in X_b} D(\varphi) < \infty$ , then  $\exists!$   $\varphi_b \in X_b$  with  $D(\varphi_b) = d_b$ .

It is given by har. funct with  $\partial$ -value  $b$ .

the pf is similar to Hodge theory and easier: Lawson p.64-65

$$A(\varphi) = \int_{\Delta} |\varphi_x \wedge \varphi_y| dx dy \quad G_{\Gamma} := \inf_{\varphi \in X_{\Gamma}} A(\varphi)$$

$$D(\varphi) = \int_{\Delta} (|\varphi_x|^2 + |\varphi_y|^2) dx dy \quad d_{\Gamma} := \inf_{\varphi \in X_{\Gamma}} D(\varphi)$$

Cor:  $A(\varphi) \leq \frac{1}{2} D(\varphi)$ . " $=$ "  $\Leftrightarrow$   $\varphi$  is conformal, i.e.  
 $|\varphi_x| = |\varphi_y|$ .  $\varphi_x \cdot \varphi_y = 0$

Proof of Fact 1 (based on Fact 2):

$G_{\Gamma} \leq \frac{1}{2} d_{\Gamma}$  is clear.

For  $\geq$ : Let  $A(\varphi_n) \searrow G_{\Gamma}$ , may assume that  $\varphi_n \in C^1(\Delta^o)$   
 Will reparametrize  $\varphi_n$  to  $\tilde{\varphi}_n$  st. why?

$$A(\tilde{\varphi}_n) + \frac{1}{n} \geq \frac{1}{2} D(\tilde{\varphi}_n), \text{ then } n \rightarrow \infty \text{ get } \geq.$$

To use fact 2, need "immersion":

Let  $\varphi_{n,r} : \Delta \rightarrow \mathbb{R}^{n+2} \quad (x,y) \mapsto (\varphi_n(x,y), rx, ry)$   
 immersion for  $r \neq 0$

Get  $\tilde{\varphi}_{n,r}$  conformal, set  $r = \epsilon$  small st

$$\frac{1}{2} D(\tilde{\varphi}_n) \leq \frac{1}{2} D(\tilde{\varphi}_{n,\epsilon}) = A(\tilde{\varphi}_{n,\epsilon}) = A(\varphi_{n,\epsilon}) \leq A(\varphi_n) + \frac{1}{n} \quad *$$

- To "gauge" the conformal map, pick  $p_1, p_2, p_3 \in \Gamma$  distinct "marked points"  
 $z_1, z_2, z_3 \in \partial\Delta$  and consider

$$X'_{\Gamma} = \{ \varphi \in X_{\Gamma} \mid \varphi(z_k) = p_k, k=1,2,3 \}$$

We still have  $d_{\Gamma} = \inf_{\varphi \in X'_{\Gamma}} D(\varphi)$ .

Theorem (Prop 6 in Lawson P.67)

Let  $M > d_{\Gamma}$ , then  $\mathcal{F} := \{ \varphi|_{\partial\Delta} : \varphi \in X'_{\Gamma}, D(\varphi) \leq M \}$   
 is equicontinuous on  $\partial\Delta \cong S^1$ .

Cor. Arzela-Ascoli  $\Rightarrow \mathcal{F}$  is cpt in unif. conv. topology.  
 $\neq$  Douglas' solution.

Fix  $z \in \Delta$

and  $D(\varphi) \leq M$

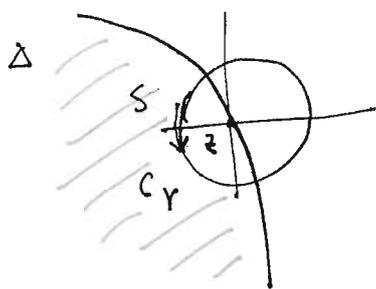
key estimate:  $\forall \rho < 1, \forall \varphi \in X_1^1, \exists p = p(\rho), \rho \leq p \leq \sqrt{\delta}$   
 st.  $L(\varphi(z))^2 \leq 2\pi \epsilon(\rho)$ .  $\epsilon(\rho) := \frac{2M}{\log(1/\rho)}$

pf:  $D(\varphi) := \int_{\Delta} (|\varphi_x|^2 + |\varphi_y|^2) dx dy$

"  $r dr d\theta$

"  $dr ds$

$s = r\theta$   
 $ds = \underline{dr \cdot \theta} + r \cdot d\theta$



$$\begin{cases} x = r \cos \theta = r \cos\left(\frac{s}{r}\right) \\ y = r \sin \theta = r \sin\left(\frac{s}{r}\right) \end{cases}$$

$$\varphi_s = \varphi_x \cdot \frac{\partial x}{\partial s} + \varphi_y \cdot \frac{\partial y}{\partial s}$$

$$= \varphi_x \cdot \left(-\sin\left(\frac{s}{r}\right)\right) + \varphi_y \cdot \left(\cos\left(\frac{s}{r}\right)\right)$$

$$|\varphi_s|^2 = |\varphi_x|^2 \sin^2\left(\frac{s}{r}\right) + |\varphi_y|^2 \cos^2\left(\frac{s}{r}\right)$$

$$- 2 \varphi_x \cdot \varphi_y \sin\left(\frac{s}{r}\right) \cdot \cos\left(\frac{s}{r}\right)$$

$$\left( \begin{array}{l} 2 |\varphi_x| |\varphi_y| |\sin \theta| |\cos \theta| \\ |\varphi_x|^2 \cos^2 \theta + |\varphi_y|^2 \sin^2 \theta \end{array} \right)$$

total  $|\varphi_s|^2 \leq |\varphi_x|^2 + |\varphi_y|^2$

ie.  $I := \int_{\delta}^{\sqrt{\delta}} \int_{C_r(z)} |\varphi_s|^2 ds dr \leq D(\varphi) \leq M$

this is conti in r even if  $\varphi$  is only piecewise  $C^1$ .

$\int_{\delta}^{\sqrt{\delta}} \left( r \int_{C_r} |\varphi_s|^2 ds \right) \frac{dr}{r} = d \log r$

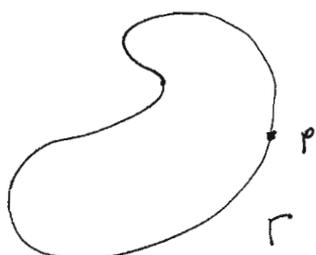
since  $\log r \uparrow$ . by mean value of Riemann-Stieltjes int.

$$I = \rho \int_{C_\rho} |\varphi_s|^2 ds \int_{\delta}^{\sqrt{\delta}} d \log r \leq M$$

$$= \frac{1}{2} \log\left(\frac{1}{\delta}\right)$$

Now use Schwartz ineq.  $\square$

- (a) • Given  $\epsilon > 0$  (small, say  $\epsilon \ll \min_{i \neq j} |p_i - p_j|$ )  
 $\exists d > 0$  st.  $\forall p \neq p' \in \Gamma$ ,  $|p - p'| < d \Rightarrow$  a comp of  $\Gamma - \{p, p'\}$  has diameter  $< \epsilon$ .



- (b) • choose  $\delta < 1$  st.  $2\pi \epsilon(\delta) < d^2$  and  $\forall z \in \partial\Delta$   
 (small  $\sim 0$ )  $|z - z_i| > \sqrt{\delta}$  at least 2  $z_i$ 's.

Now given  $\varphi \in \mathcal{F} := X_{\Gamma \leq M}$

for any  $z \in \partial\Delta$ ,  $\exists p = p(\varphi)$  st.  $\ell(C_p) < d$

(a)  $\nexists$  comp.  $\bar{A}'$  has diam  $< \epsilon$

(not  $\bar{A}''$  bec.  $A'' \supset z_i, z_j$   $i \neq j$ )

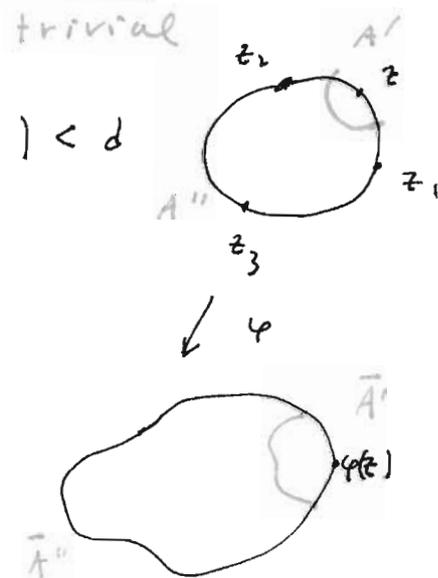
hence  $\bar{A}'' \supset p_i \neq p_j$  and

$|p_i - p_j| > \epsilon$  by choice )

ie. for  $|z' - z| < \delta$  (so  $< \rho$ )

get  $|\varphi(z') - \varphi(z)| < \epsilon$

but  $\delta$  is indep. of  $z$  &  $\varphi$ . hence the  
 equicontinuity of  $\mathcal{F}$ .  $\square$



Rmk (Ex). If  $\Gamma \subset \mathbb{C} \cong \mathbb{R}^2$ , this gives a pt of Riem mapping thm.  
 on  $P = \partial\Omega$ .

# Minimal Graph v.s Plateau Solution.

$$\varphi = (x, y, f)$$

$$|\varphi_x|^2 + |\varphi_y|^2 = 1 + |f_x|^2 + 1 + |f_y|^2 = 2 + |f_x|^2 + |f_y|^2$$

$$|\varphi_x \wedge \varphi_y| = \sqrt{1 + f_x^2 + f_y^2}$$

if conformal.  
ie.

$$|\varphi_x| = |\varphi_y| \text{ ie. } |f_x| = |f_y|$$

$$\varphi_x \cdot \varphi_y = 0 \text{ ie.}$$

$$(1, 0, f_x) \cdot (0, 1, f_y)$$

$$= f_x f_y = 0.$$

can never be realized!

Global

ie. for Plateau solution we almost never use graph.

## Stability of Minimal Hypersurfaces

$$\int \sqrt{1 + |\nabla f|^2}$$

$$\int \sqrt{1 + |\nabla f + \nabla h|^2}$$

1st try:

Taylor exp.

$$\sqrt{1 + |\nabla f|^2 + \nabla f \cdot \nabla h + |\nabla h|^2}$$

$$= \sqrt{1 + |\nabla f|^2} \left( 1 + \frac{1}{2} \frac{\nabla f \cdot \nabla h + |\nabla h|^2}{1 + |\nabla f|^2} + \dots \right)$$

$$= \text{original} + \frac{1}{2} \frac{\nabla f \cdot \nabla h}{\sqrt{1 + |\nabla f|^2}} + \text{positi.}$$

gives 0 after integration

$$\begin{aligned} & \sqrt{A+x} \\ &= \sqrt{A} \left( 1 + \frac{x}{A} \right)^{\frac{1}{2}} \\ &= \sqrt{A} \left( 1 + \frac{x}{2A} + \dots \right) \end{aligned}$$

$$\int_{\Omega} \frac{\nabla f \cdot \nabla h}{\sqrt{1 + |\nabla f|^2}}$$

$$\text{div}(F \cdot h) = (\text{div} F) h + F \cdot h$$

$$= \int_{\Omega} \text{div}(Fh) - \int_{\Omega} (\text{div} F) h + \int_{\Omega} F \cdot h$$

$$\int_{\partial \Omega} (F \cdot \vec{n}) h$$

loc. Minimal surface.

For higher order terms: only term of order  $|\nabla h|^2$  is

$$\sqrt{1 + |\nabla f|^2} \left( \frac{|\nabla f \cdot \nabla h + |\nabla h|^2}{1 + |\nabla f|^2} \right)^2$$

$$\frac{1}{2!} \frac{1}{2} \left( \frac{1}{2} - 1 \right) = -\frac{1}{8}$$

small. uncontrolled by \*.

$$= \frac{1}{\sqrt{1 + |\nabla f|^2}} \frac{|\nabla f \cdot \nabla h|^2 \leq |\nabla f|^2 \cdot |\nabla h|^2}{1 + |\nabla f|^2} \leq \frac{|\nabla h|^2}{\sqrt{\dots}}$$

2nd try  $A(t) = A(f + th) = \int_{\Sigma} \sqrt{1 + |\nabla f + t\nabla h|^2}$

Rigorous via

2nd variation.

$$A'(t) = \int_{\Sigma} \frac{\langle \nabla f, \nabla h \rangle + t|\nabla h|^2}{\sqrt{1 + |\nabla f + t\nabla h|^2}}$$

$$A''(0) = \int_{\Sigma} \frac{|\nabla h|^2}{\sqrt{1 + |\nabla f|^2}} - \frac{\langle \nabla f, \nabla h \rangle^2}{\sqrt{1 + |\nabla f|^2}^3}$$

$$= \int_{\Sigma} \frac{1}{\sqrt{1 + |\nabla f|^2}^3} \left( (1 + |\nabla f|^2) |\nabla h|^2 - \langle \nabla f, \nabla h \rangle^2 \right) \geq \int_{\Sigma} \frac{|\nabla h|^2}{\sqrt{1 + |\nabla f|^2}^3}$$

so hyp surface is strictly stable  $> 0$   
(local minimum).

"Local stability" of Minimal submanifolds.  
in fact, locally "absolutely minimum".

# Some General Notions in Minimal Surfaces

$$\vec{h} = \Delta \varphi = \frac{1}{\sqrt{g}} \partial_i (\sqrt{g} g^{ij} \partial_j \varphi)$$

To simplify the equation:

e.g. for  $\varphi: \Delta \rightarrow \mathbb{R}^n$  graph case

$$(x, y) \mapsto (x, y, f_3(x, y), \dots, f_n(x, y))$$

$$\begin{cases} g_{11} = \varphi_x \cdot \varphi_x = 1 + |f_x|^2 \\ g_{12} = \varphi_x \cdot \varphi_y = f_x \cdot f_y \\ g_{22} = \varphi_y \cdot \varphi_y = 1 + |f_y|^2 \end{cases} \quad F = (f_3, \dots, f_n)$$

$$g^{ij} = \frac{1}{g} \begin{bmatrix} 1 + |f_y|^2 & -f_x \cdot f_y \\ -f_x \cdot f_y & 1 + |f_x|^2 \end{bmatrix}$$

$$g = (1 + |f_x|^2) \cdot (1 + |f_y|^2) - (f_x \cdot f_y)^2$$

replace

by  $f$

$$\Delta \varphi = g^{ij} \partial_i \partial_j \varphi + \frac{1}{\sqrt{g}} \partial_j \varphi \cdot \partial_i (\sqrt{g} g^{ij})$$

$$= \left\{ g^{ij} \partial_i \partial_j \varphi + \frac{1}{\sqrt{g}} \partial_j f \cdot \partial_i (\sqrt{g} g^{ij}) \right\} + \sum_{i,j} \frac{1}{\sqrt{g}} \partial_i (\sqrt{g} g^{ij}) e_j$$

$$= \frac{1}{g} \left[ (1 + |f_y|^2) f_{xx} - 2(f_x \cdot f_y) f_{xy} + (1 + |f_x|^2) f_{yy} \right]$$

$j$  fixed,  $\partial_i (\sqrt{g} g^{ij})$

$$= \frac{g^{kl}}{\sqrt{g}} \partial_i g_{kl} \cdot g^{ij} - \sqrt{g} g^{ik} \partial_i g_{kl} g^{lj}$$

= why this will be also equiv. to I.?

since  $\frac{1}{\sqrt{g}} \partial_i (\sqrt{g} g^{ij} \partial_j h) = \text{div}(\nabla h) = \Delta h$

$$\frac{1}{\sqrt{g}} \partial_i (\sqrt{g} g^{ij} \cdot 1) = \Delta x_j \quad \text{get } \boxed{g^{ij} \partial_i \partial_j f + \partial_j f \Delta x_j = 0}$$

fixed

claim: this system of eq'n will show that

$$g^{ij} \partial_i \partial_j f = 0 \Rightarrow \Delta x_j = 0 \quad \forall j$$

for component  $\varphi^1 = x, \varphi^2 = y$ , get

$$\sum_i \partial_i (\sqrt{g} g^{ij}) = 0 \quad j=1, 2.$$

so Eq' is equiv. to  $\begin{cases} \sum_{i,j} \partial^{ij} \partial_i \partial_j f = 0 & \text{I.} \\ \sum_i \partial_i (\sqrt{g} g^{ij}) = 0 & j=1, 2 \quad \text{II.} \end{cases}$

$$g_{ij} = \begin{bmatrix} E & F \\ F & G \end{bmatrix}; \quad g^{ij} = \frac{1}{g} \begin{bmatrix} G & -F \\ -F & E \end{bmatrix}$$

$$g = EG - F^2$$

$$= (1 + |f_x|^2)(1 + |f_y|^2) - (f_x \cdot f_y)^2$$

$$0 = \partial_i (\sqrt{g} g^{ie}) = \partial_i \left( \frac{G^{ie}}{\sqrt{g}} \right)$$

\* in case  $n=2$ :

$$g = 1 + |f_x|^2 + |f_y|^2 + |f_x \wedge f_y|^2$$

\* in case of hyp. surf.

$$g = 1 + |df|^2$$

$l=1$ :

$$0 = \partial_x \left( \frac{1 + |f_y|^2}{\sqrt{g}} \right) + \partial_y \left( \frac{-f_x \cdot f_y}{\sqrt{g}} \right)$$

$l=2$

$$0 = \partial_x \left( \frac{-f_x \cdot f_y}{\sqrt{g}} \right) + \partial_y \left( \frac{1 + |f_x|^2}{\sqrt{g}} \right)$$

In general,  $g = 1 + \sum |f_i|^2 + \sum_{i < j} |f_i \wedge f_j|^2 + \dots$

$$0 = \frac{1}{\sqrt{g}} 2 f_y \cdot f_{xy} - \frac{1 + |f_y|^2}{2 \sqrt{g}^3} \left\{ \begin{array}{l} 2 f_x \cdot f_{xx} (1 + |f_y|^2) \\ + 2 f_y \cdot f_{xy} (1 + |f_x|^2) \\ - 2 (f_x \cdot f_y) \cdot \left[ \begin{array}{l} (f_{xx} \cdot f_y) \\ + (f_x \cdot f_{xy}) \end{array} \right] \end{array} \right\}$$

$$- \frac{1}{\sqrt{g}} (f_{xy} \cdot f_y + f_x \cdot f_{yy})$$

$$+ \frac{(f_x \cdot f_y)}{2 \sqrt{g}^3} \left\{ \begin{array}{l} 2 f_x \cdot f_{xy} (1 + |f_y|^2) + 2 f_y \cdot f_{yy} (1 + |f_x|^2) \\ - 2 (f_x \cdot f_y) [ f_{xy} \cdot f_y + f_x \cdot f_{yy} ] \end{array} \right\}$$

let  $p = f_x$ ,  $q = f_y$ ,  $r = f_{xx}$ ,  $s = f_{xy}$ ,  $t = f_{yy}$

$$g^{ij} \partial_i \partial_j \varphi = 0 \text{ is } (1 + |q|^2) r - 2(p \cdot q) s + (1 + |p|^2) t = 0$$

$$= 2 q \cdot s (1 + |p|^2 + |q|^2 + |p|^2 |q|^2 - (p \cdot q)^2)$$

$$- (1 + |q|^2) (p \cdot r (1 + |q|^2) + q \cdot s (1 + |p|^2) - 2 p \cdot q (q \cdot r + p \cdot s))$$

$$- (q \cdot s + p \cdot t) (1 + |p|^2 + |q|^2 + |p|^2 |q|^2 - (p \cdot q)^2)$$

$$+ p \cdot q (p \cdot s (1 + |q|^2) + q \cdot s (1 + |p|^2) - p \cdot q (q \cdot s + p \cdot t))$$

$$= \cancel{(1 + |q|^2)}$$

Too Complicated !?

Take inner product with  $\varphi_x, \varphi_y$ , get (since  $\vec{n} \perp M$ )

$$\begin{cases} \Delta x + f_x S + f_x^2 \Delta x + f_x f_y \Delta y = 0 \\ \Delta y + f_y S + f_x f_y \Delta x + f_y^2 \Delta y = 0 \end{cases}$$

ie.

$$\begin{cases} (1 + f_x^2) \Delta x + f_x f_y \Delta y = -f_x S \\ f_x f_y \Delta x + (1 + f_y^2) \Delta y = -f_y S \end{cases}$$

$$\det = g \neq 0. \text{ hence } S=0 \Rightarrow \Delta x = 0 = \Delta y.$$

$$\boxed{g^{ij} \partial_i \partial_j f^l = 0} \quad l=3 \dots n$$

Eqn for minimal graph of general  $n$  dimensions

Fact:

$$\det(I + aa^T) = 1 + |a|^2$$

Pf: 1 is an eigenvalue of mult  $n-1$

$$\begin{aligned} (I + aa^T)a &= a + aa^T a \\ &= (1 + |a|^2)a \end{aligned}$$

ie eigenvalue =  $1 + |a|^2$   
eigen vector =  $a$ .  $\square$

For hypersurfaces:  
can even be simplified:

$$\partial_i \left( \frac{\partial_i f}{\sqrt{1 + |\nabla f|^2}} \right)$$

"

$$\frac{\partial_i \partial_i f}{\sqrt{1 + |\nabla f|^2}} - \frac{\partial_i f \cdot 2 \partial_i \partial_j f \cdot \partial_j f}{2 \sqrt{1 + |\nabla f|^2}^3}$$

"

$$\frac{1}{\sqrt{1 + |\nabla f|^2}^3} \left[ (1 + |\nabla f|^2) \delta_{ij} - \partial_i f \partial_j f \right] \partial_i \partial_j f$$

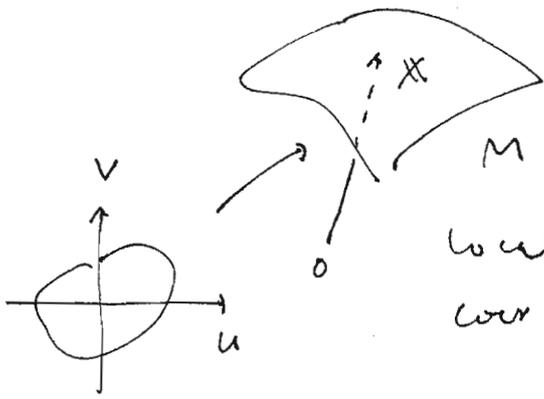
↑

basically  $\sim g^{ij}$

↑

Famous Divergence form in 2nd order PDE.

# Mean Curvature Formula for hyp. surf. graphs :



local coord.  $(u, v)$ , may think  $X = X(u, v)$   
 coord. vector  $\partial_u, \partial_v = X_u, X_v$

$$B(\partial_i, \partial_j) = \left( \nabla_{\partial_i} \partial_j \right)^N = X_{ij} \cdot N$$

ie.  $B_{ij} = X_{ij} \cdot N$

$$\begin{aligned} \text{So } H &= \text{Tr} B = g^{ij} B_{ij} = g^{ij} X_{ij} \cdot N \\ &= g^{ij} (X_i \cdot N)_j - g^{ij} X_i \cdot N_j = \langle dX, dN \rangle \end{aligned}$$

( =  $\Delta X \cdot N$  )  
 \ / one way

⊙ in case  $X(x, y) = (x, y, f(x, y))$   
 $N = \frac{(-f_x, -f_y, 1)}{\sqrt{1 + |df|^2}}$

$$\left\| - \sum_{\alpha=1}^3 N_\alpha e_\alpha \right\|$$

in  $M$

rewrite this is standard coord. in  $\mathbb{R}^3$   
 $e_1, e_2, e_3$

$N_3 = 0$  bec.  $f$  is unrelated to  $x_3$

$$\Rightarrow H = -(N_1^1 + N_2^2) = \sum_{\alpha=1}^2 \left( \frac{f_\alpha}{\sqrt{1 + |df|^2}} \right)_\alpha$$

Rank: for  $v \in P(N)$ , 2nd fund. form. op.

$A^v : T_M \rightarrow T_M : A^v(v) := \nabla_v^T v$  is self adjoint & fact:

$$\langle A^v(v), w \rangle = \langle \nabla_v^T v, w \rangle = \langle \nabla_v v, w \rangle = v \langle v, w \rangle - \langle v, \nabla_v w \rangle = - \langle v, B(v, w) \rangle$$

Mean Curvature Eq<sup>n</sup> v.s harmonic coordinates :

$$\begin{aligned} \vec{H} = \Delta \varphi &= g^{ij} \partial_i \partial_j \varphi + \frac{1}{\sqrt{g}} \partial_j \varphi \partial_i (\sqrt{g} g^{ij}) \\ &= g^{ij} \partial_i \partial_j \varphi + \partial_j \varphi \Delta x_j \end{aligned}$$

$$0 = \partial_k \varphi \cdot \vec{H} = \varphi_k \cdot \vec{s} + \partial_k \varphi \Delta x_j$$

ie.  $\Delta x_j = - g^{jk} (\varphi_k \cdot \vec{s})$

$$\vec{H} = \vec{s} - \varphi_j \cdot g^{jk} (\varphi_k \cdot \vec{s}) = (\vec{s})^\perp$$

projection, back side

all these are in tangent part but  $\vec{H} \perp M$ . hence must  $\vec{H} = (\vec{s})^\perp$  trivially.

Minimal graphs :

$$A(F) = \int_{\Omega} \sqrt{1 + |\nabla F|^2}$$

$$\frac{\partial}{\partial t} \langle \nabla F + t \nabla h, \nabla F + t \nabla h \rangle$$

$$\left. \frac{d}{dt} A(f + t h) \right|_{t=0} = \int_{\Omega} \frac{2 \langle \nabla F, \nabla h \rangle}{2 \sqrt{1 + |\nabla F|^2}}$$

$$F \cdot \nabla h + (\operatorname{div} F) h = \operatorname{div}(F h) \Rightarrow = - \int_{\Omega} \operatorname{div} \left( \frac{\nabla F}{\sqrt{1 + |\nabla F|^2}} \right) h$$

Eq'n for minimal graph  $f: \Omega \rightarrow \mathbb{R}$  in  $\mathbb{R}^{n+1}$  :

$$(*) \operatorname{div} \left( \frac{\nabla F}{\sqrt{1 + |\nabla F|^2}} \right) = \sum_i D_i \left( \frac{D_i F}{\sqrt{1 + |\nabla F|^2}} \right) = 0$$

However, the solution is not nec. a Plateau Solution

eg.



this can also give non-uniqueness of Plateau Problem.

Moreover (see Gilbarg-Trubinger p. 352. Thm 14.14)

Thm (Jenkins / Serrin 1968)

Let  $\Omega \subset \mathbb{R}^n$  be bounded  $C^{2,\alpha}$  domain, then

the Dirichlet prob (\*) with  $f = \varphi$  on  $\partial \Omega$  solvable

$\forall \varphi \in C^{2,\alpha}(\bar{\Omega}) \Leftrightarrow H|_{\partial \Omega} \geq 0$ . (ie convex for  $n=2$ )