

• Finiteness Structure

Lemma:  $M \in \text{Mod}_c(D_X) \ni M|_U$  is projective/ $\mathcal{O}_U$  for some open dense  $U \subset X$ .

Pf: Let  $F_\bullet$  be good for  $M$ .  $\text{gr} F_\bullet M$  is coh. /  $\pi_{X*} \mathcal{O}_{T^*X} \ni$  free over some open dense  $U$ .  
 $\ni$  free over  $\mathcal{O}_U$  for some smaller  $U$  st  $T^*X|_U$  trivial.  $\ni F_\bullet M|_U$  hence  $M|_U$  proj.

Str. Thm. Let  $M' \in D_c^b(D_X)$ , TFAE: (i)  $M' \in D_h^b(D_X)$ ,

(ii)  $\exists X = X_0 \supset X_1 \supset \dots \supset X_n \supset X_{n+1} = \emptyset$  by closed sets st.  $V_r := X_r \setminus X_{r+1}$  is sm, and  $H^k(i_r^+ M')$  is coh/ $\mathcal{O}_{V_r}$  for  $i_r: V_r \hookrightarrow X$ .

(iii)  $\forall i_x: \mathbb{A}^1 \hookrightarrow X$ ,  $H^k(i_x^+ M')$  is f.d./ $\mathbb{C}$ .

Pf: (ii)  $\ni$  (i)  $\ni$  (iii) are easy. Will show (iii)  $\ni$  (ii)\* in the stronger form that (ii) holds for any closed  $Y \subset X$  st.  $Y \supset \text{supp } M' := \cup_k \text{supp } H^k M'$ , and induction on  $\dim Y$ .

Lemma  $\ni$  open dense  $V \subset Y$ , with  $i: V \hookrightarrow X$ , st.  $i^+ M' \in D_c^b(D_V)$  and  $H^k(i^+ M')$  is proj/ $\mathcal{O}_V$

Claim:  $H^k(i^+ M') \in \text{Coh}(V)$ . Indeed, for  $j_x: \mathbb{A}^1 \hookrightarrow V$

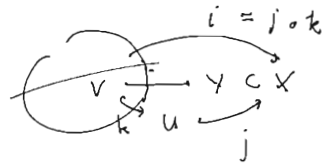
$$H^k(i^+ M')_x \otimes_{\mathcal{O}_{V,x}} \mathbb{C} \simeq H^{k+\dim V}(j_x^+ i^+ M') \simeq H^{k+\dim V}(i_x^+ M') \text{ is finite-dim'l by (iii).}$$

$\hookrightarrow$  projective (why?)  $\Rightarrow \dim H^k(i^+ M') < \infty \ni$  coh/ $\mathcal{O}_V \ni \in \text{Coh}(V)$

Now let  $U \subset X$  open st  $V = Y \cap U$ . Define  $N'$  by dist.  $\Delta$ :

$$N' \rightarrow M' \rightarrow \int_j i^+ M' \xrightarrow{+1} \ni \int_j i^+ M' = \int_j i^+ M' \in D_h^b(D_X)$$

$\Rightarrow N' \in D_h^b(D_X)$  with  $\text{supp}(N') \subset Y \setminus V =: Y_1$  (e.g. Kashiwara on  $k$ )



Then (ii) follows by descending induction on  $\dim Y$ . \*

• Simple Objects and Minimal Extensions

For  $M \in \text{Mod}_h(D_X)$ , had seen  $\dim_{\mathcal{O}_X}(M) < \infty$ , i.e.  $\exists M = M_0 \supset \dots \supset M_{r+1} = 0$  st.  $M_i/M_{i+1}$  simple.

To classify all simple holonomic modules, consider  $Y \hookrightarrow X$  affine, l.c. closed, with  $Y$  smooth.

Then for  $M \in \text{Mod}_h(\mathcal{O}_Y)$ ,  $i_! M = H^0 i_! M \longrightarrow \int_j M = \int_j^0 M$  in  $\text{Mod}_h(D_X)$ .

Def<sup>n</sup>: The image  $i_! M \simeq L(Y, M) \in \text{Mod}_h(D_X)$  is called the minimal extension.

Thm: If  $M$  is simple then  $i_! M$  is also simple, and it is the unique simple sub  $D$ -mod of  $\int_j M$ , as well as the unique simple quotient  $D$ -mod of  $i_! M$ .

Pf: Factorize  $i = j \circ k$ :  $Y \xrightarrow{k} U \xrightarrow{j} X$  st.  $k$  is closed,  $j$  open.

(a)  $E \in \text{Mod}_{qc}^{\bar{r}}(D_X) \ni H^l(i^+ E) = 0$  for  $l \neq 0 \ni i^+ = H^0 i^+ : \text{Mod}_{qc}^{\bar{r}}(D_X) \rightarrow \text{Mod}_{qc}(\mathcal{O}_Y)$  exact

(b)  $0 \neq N \hookrightarrow \int_j M$  sub  $D$ -mod  $\ni i^+ N \subseteq M$ .

Indeed,  $j^+ N \rightarrow i^+ \int_j M \rightarrow \int_k M \in \text{Mod}_h(D_U)$  is simple  $\ni j^+ N \twoheadrightarrow \int_k M \ni i^+ N \twoheadrightarrow k^+ \int_k M = M$ .

by (a), it is exact  $\ni i^+ N \hookrightarrow i^+ \int_j M = M$ , hence  $i^+ N \simeq M$  \*

(c)  $\int_j M$  has a unique simple sub-mod  $L$ . Otherwise  $L, L' \subset \int_j M$ , let  $N = L + L' = L \oplus L'$ .

(b)  $\ni M = i^+ N = i^+ L \oplus i^+ L' \simeq M \oplus M$  \*  $i_! M$  has unique simple quot. by duality \*

$$\text{Hom}_{D_X}(i_! M, L) \simeq \text{Hom}_{\mathcal{O}_Y}(M, i^+ L) \simeq \text{Hom}_{D_Y}(M, M) \simeq \text{Hom}_{D_Y}(M, i^+ \int_j M) \simeq \text{Hom}_{D_X}(i_! M, \int_j M)$$

$\ni i_! M \rightarrow \int_j M$  factors through  $i_! M \rightarrow L \rightarrow \int_j M$ . Done \*

Cor: Any simple  $L \in \text{Mod}_h(D_X)$  comes from  $L = i_! M$  with  $M \in \text{Coh}(Y)$ ,  $i: Y \hookrightarrow X$  affine.  $L(Y, M) \simeq L(Y', M') \Leftrightarrow \bar{Y} = \bar{Y}', M|_U \simeq M'|_U$  for  $U$  open dense.  $D i_! M \simeq i_! D M$ . (Ex.) locally closed.

# Analytic D-Modules

$X$  complex manifold, we make only the "difference" from the alg. theory.  
 $\text{gr } D_X$  is a sheaf of comm. alg /  $\mathcal{O}_X$ , now only a subalg of  $T^*X$ .

Thm: (1)  $D_X$  is a coherent sheaf of rings (based on Oka's thm on  $\mathcal{O}_X$ ),

(2)  $\forall x \in X, D_{X,x}$  is Noetherian with left and right global dim =  $d_X$ .

• Good filtration  $F_\bullet$  on  $M \in \text{Mod}_c(D_X)$  only exists locally.

$\Rightarrow \text{Ch}(M)$  still defined =  $\cup \text{Ch}(M|_U) \subset T^*X$ , and is involutive.  $M \in \text{Mod}_c(D_X) \Leftrightarrow \dim \text{Ch}(M) = d_X$

•  $D_c^b(D_X), D_h^b(D_X)$  are defined st.  $H^i(M^*)$  are coh /  $D_X$ , holonomic resp. ( $\forall i$ )

Operations  $\text{Hom}, \otimes, \mathbb{D}, Lf^*, f_*$  defined (via Thm-14 to get boundedness) on  $D^b$

•  $M \in \text{Mod}_c(D_Y), f: X \rightarrow Y$  non-char /  $M \Rightarrow Lf^*M = f^*M \in \text{Mod}_c(D_X), \mathbb{D}_X f^*M \cong f^* \mathbb{D}_Y M$ .

$f_*$  also defined on  $D^b$  (via  $f_*M = Rf_* (DR_{X/Y}(M))$  when  $f: X = Y \times \mathbb{C} \rightarrow Y$

and then use  $R^i f_* K = 0$  if  $i \notin [0, 2d_Z]$  to get boundness)

•  $M \in \text{Mod}_c(D_X), f: X \rightarrow Y$  proper, if  $M$  has a good  $F_\bullet$  locally on  $Y$ ,

$\Rightarrow f_*M \in D_c^b(D_Y), f_* \mathbb{D}_X M \cong \mathbb{D}_Y f_* M$ . (Kashiwara, pf is non-trivial)

Thm (for  $\text{Mod}_h$ ): (1)  $f: X \rightarrow Y, M \in \text{Mod}_h(D_Y) \Rightarrow Lf^*M \in D_h^b(D_X)$ . (Need  $b$ -functions)

(2)  $f: X \rightarrow Y$  proper,  $M \in \text{Mod}_h(D_Y)$  with good  $F_\bullet$  loc. on  $Y$   $\Rightarrow f_*M \in D_h^b(D_Y)$ .

Rmk: In alg setting no conditions are needed in (2). They are needed in analytic setting!

Example:  $X = \mathbb{C}^n \xrightarrow{j} \mathbb{C} = Y$ . Then  $j_* \mathcal{O}_X = \mathcal{O}_Y[x+1]$  is holonomic. (alg setting)

But  $j_*^{\text{an}} \mathcal{O}_{X^{\text{an}}}$  is much larger, say  $\ni e^{1/x}$ . It is not even a coherent  $D_Y$ -an-module.

• Kashiwara's equivalence still holds for closed  $i: X \hookrightarrow Y$ .  $\text{Mod}_\#(D_X) \cong \text{Mod}_\#^X(D_Y); \# = c, h$ .

de Rham Functor / solution complex, if  $M^* = M$

$M^* \in D^b(D_X), DR_X M^* := \mathcal{O}_X \otimes_{D_X}^L M^* \xrightarrow{\left[ \mathcal{O}_X \otimes_{D_X} M^* \xrightarrow{A} \dots \xrightarrow{A} \mathcal{O}_X \otimes_{D_X} M^* \right]} : D^b(D_X) \rightarrow D^b(\mathbb{C}_X)$ ,  $\text{at } 0$

$\text{Sol}_X M^* := R\text{Hom}_{D_X}(M^*, \mathcal{O}_X) : D^b(D_X) \rightarrow D^b(\mathbb{C}_X)^{\text{op}}$ .

Prop:  $DR_X(M^*) \cong R\text{Hom}_{D_X}(\mathcal{O}_X, M^*)[d_X] \cong \text{Sol}_X(D_X M^*)[d_X]$ . loc. const.  $\mathbb{C}$ -mod.

Thm: Let  $M \in \text{Conn}(X)$ . (i)  $H^i DR_X M = 0$  if  $i \neq -d_X$ , and (ii)  $H^{-d_X} DR_X(\cdot) : \text{Conn}(X) \xrightarrow{\cong} \text{Loc}(X)$ .

pf: (i) by holomorphic Poincaré lemma. (ii) Frob. thm  $\Rightarrow$  flat sections has full rk  $\otimes$

Example: For  $f: X \rightarrow Y$  hol. map of cpn mfd,  $Rf_* (DR_X M^*) \cong DR_Y(f_* M^*)$  for  $M^* \in D^b(D_X)$ .

Thm (Cauchy-Kowalevski-Kashiwara) if  $f$  is non-char /  $M \in \text{Mod}_c(D_X)$ , then

$$f^{-1} \text{Sol}_X M \cong \text{Sol}_Y Lf^* M.$$

pf: May reduce to  $Y \hookrightarrow X$  a hyp. surface ( $X \subset \mathbb{C}^n$  open) by  $z_1 = 0$ . As in the alg. case:

$$0 \rightarrow K \rightarrow L = \bigoplus_{i=1}^r D_X / D_X p_i \rightarrow M \rightarrow 0 \text{ and } Y \text{ is non-char } / p_i. \quad Lf^* L = f^* L$$

Thm holds for  $L = D_X / D_X p : f^{-1} \text{Hom}_{D_X}(L, \mathcal{O}_X) \cong \{u \in \mathcal{O}_X|_Y : pu = 0\} \xrightarrow{\cong} \mathcal{O}_Y^{\oplus m} \cong \text{Hom}_{D_Y}(L_Y, \mathcal{O}_Y)$   
 $\forall u \mapsto (u|_Y, \partial_1 u|_Y, \dots, \partial_1^m u|_Y)$ .

$$\begin{array}{ccccccc} 0 & \rightarrow & f^{-1} \text{Hom}_{D_X}(M, \mathcal{O}) & \rightarrow & f^{-1} \text{Hom}_{D_X}(L, \mathcal{O}) & \rightarrow & f^{-1} \text{Ext}_{D_X}^1(M, \mathcal{O}) \rightarrow \dots \\ & & \downarrow A & & \downarrow S & & \downarrow A' \\ 0 & \rightarrow & \text{Hom}_{D_Y}(M_Y, \mathcal{O}) & \rightarrow & \text{Hom}_{D_Y}(L_Y, \mathcal{O}) & \rightarrow & \text{Ext}_{D_Y}^1(M_Y, \mathcal{O}) \rightarrow \dots \end{array}$$

$\Rightarrow A$  inj.  $\forall M, \Rightarrow B$  inj.  $\Rightarrow A$  isom.  $\Rightarrow$  repeat get  $A'$  isom  $\Rightarrow \dots$  get complete pf  $\otimes$

Cor. Under the assumption, by duality  $\Rightarrow DR_Y(Lf^* M) \cong f^{-1} DR_X(M)[d_Y - d_X]$  in  $D^b(\mathbb{C}_X)$ .