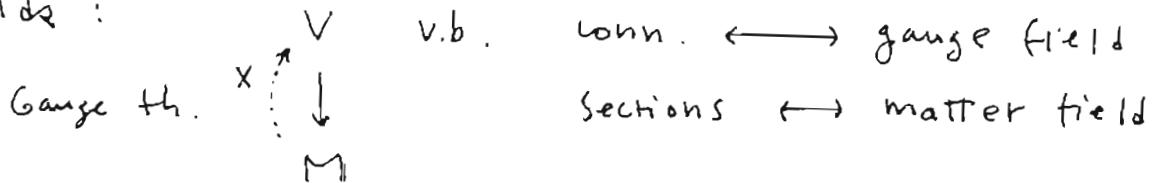


lect 1.

QFT choice of  $M^d, g \leftarrow$  Euclidean  
Minkowski

Fields :



$\sigma$ -model.  $M \xrightarrow{X} N$  map  $\leftrightarrow$  field

path integral := integration over "space of fields"

Q-gravity . int. over metric  $g$  on  $M$  as well

$\int dx e^{-S(x)}$  Action  $S$  : functional on fields  
or  $e^{iS(x)}$

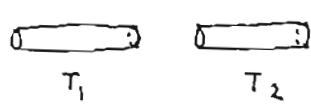
operator formalism

$\partial M^{d \geq 1} = \sqcup B_i$   $\mathcal{H}_i$  = Hilbert space of  $\partial$ -values  
(field configurations) on  $B_i$



path int:  $\bigotimes_i \mathcal{H}_i \rightarrow \mathbb{C}$

Ex.  $M = M_1 \times I$ ,  $U(\tau) : \mathcal{H} \rightarrow \mathcal{H}^* \cong \mathcal{H}$   
 $I = [0, T]$



$$U(\tau_2) U(\tau_1) = U(\tau_2 + \tau_1)$$

$$\Rightarrow U(\tau) = e^{-\tau H}$$

"QFT exists only for  $d \leq 6$ " Almost rigorous up to  $d \leq 1$ .

Mirror Sym :  $d = 2$ .

QFT in  $d=0$

$x : M = \mathbb{R}^+ \rightarrow \mathbb{R}$  is just a "variable"

$$Z = \int dx e^{-S(x)} = \int dx e^{-\left(\frac{\alpha}{2}x^2 + i\varepsilon x^3\right)} =: Z(\alpha, \varepsilon)$$

example  $Z(\alpha, 0) = \text{Gaussian}$

$$\varepsilon \text{ small} \quad \int dx \sum_{n=0}^{\infty} e^{-\frac{\alpha}{2}x^2} \frac{(-i\varepsilon x^3)^n}{n!} = \sqrt{\frac{2\pi}{\alpha}}$$

Feynman Diagrams

$$f(\alpha, J) := \int e^{-\frac{\alpha}{2}x^2 + Jx} = \int e^{-\frac{\alpha}{2}(x - \frac{J}{\alpha})^2 + \frac{J^2}{2\alpha}} = \sqrt{\frac{2\pi}{\alpha}} e^{\frac{J^2}{2\alpha}}$$

$$\frac{\partial^r f}{\partial J^r} \Big|_{J=0} = \int dx e^{-\frac{\alpha}{2}x^2} \cdot x^r$$

$\downarrow \frac{\partial}{\partial J}$

$$= \left(\frac{1}{\alpha}\right)^{r/2} \cdot \# \text{ of contractions}$$

pair /  
contracting

$\downarrow \frac{J^2}{\alpha} e^{\frac{J^2}{2\alpha}}$

1st correction term  $n=2$ .

$$\left(\frac{-i\varepsilon}{2!}\right)^2 \int dx \cdot x^3 \cdot x^3 \cdot e^{-\frac{\alpha}{2}x^2}$$

$$\frac{1}{2} e^{\frac{J^2}{2\alpha}} + \left(\frac{J}{\alpha}\right)^2 e^{\frac{J^2}{2\alpha}}$$



$$\text{contr. } 3! + 3^2 = 15$$

$$= \frac{(-i\varepsilon)^2}{2} \left(\frac{1}{\alpha}\right)^3 \cdot 15$$

each propagator  $\longleftarrow$   
is weighted by  $1/\alpha$ .



For higher corr. the graph can be hot conn.

$$\text{HW #1: } Z(\alpha, \varepsilon) = e^{\sum_{\text{conn. graph.}} n_p} \quad \begin{matrix} 3\text{-valent} \\ \text{v = # vertex} \\ \epsilon = # \text{ edges} \end{matrix}$$

$$n_p = \frac{(-3!i\varepsilon)^v}{2^E} \cdot \frac{1}{|\text{Aut } p|}$$

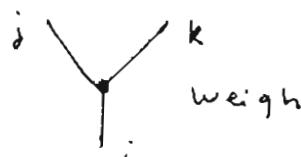
Free energy  $F := -\log Z$ .

$$S(x^1, \dots, x^N; M, c) = \frac{1}{2} M_{ij} x^i x^j + c_{ijk} x^i x^j x^k$$

↑ pos. def

$$Z(M, c=0) = \int dx^1 \dots dx^N e^{-\frac{1}{2} M_{ij} x^i x^j} = \frac{(2\pi)^{N/2}}{\sqrt{\det M}}$$

for  $c$  small, expansion



propagator

$\overline{i} \quad j$  wt.  $M^{ij}$

(why?)

Supersymmetry

Boson  $\longleftrightarrow x^i$

$$x^i \psi^a = \psi^a x^i$$

Fermion  $\longleftrightarrow \psi^a$  Grassmann variables

$$\psi^a \psi^b = -\psi^b \psi^a$$

Integration rule :  $\int d\psi = 0$ ;  $\int \psi d\psi = 1$  (ie. int = "diff")

$$\text{multi-var } \int \psi^1 \dots \psi^n d\psi^1 \dots d\psi^n = 1$$

$$Z = \int \prod_i dx^i \prod_a d\psi^a e^{-S(x, \psi)}$$

Assume Grass even

expand in  $\psi^a$ , only terms

Ex.  $S(\psi) = \frac{1}{2} M_{ij} \psi^i \psi^j$  with  $\psi^1 \dots \psi^n$  have contribution.

$$Z = \int \prod_k d\psi^k e^{-\frac{1}{2} M_{ij} \psi^i \psi^j} = Pf(M)$$

$$\text{ie. } Pf(M)^2 = \det M.$$

$$\text{Hence } M_{ij} = -M_{ji}$$

≠ 0 only for even size.

The 1st non-trivial case :

$$Z = \int dx d\psi^1 d\psi^2 e^{-(S_0(x) + \psi^1 \psi^2 S_1(x))}$$

$$= \int dx e^{-S_0(x)} S_1(x)$$

$$\text{Eg. special case } S(x, \psi_1, \psi_2) = \frac{1}{2} h'(x)^2 - h''(x) \psi_1 \psi_2$$

$$\text{infinitesimal SUSY: } \delta x = \varepsilon^1 \psi_1 + \varepsilon^2 \psi_2, \quad \delta \psi_1 = \varepsilon^2 h' \quad$$

$$\text{HW #2. Inv. of } S \text{ & } \underbrace{dx d\psi_1 d\psi_2}_{\downarrow \text{ need Super det! Bevezetion.}}$$

## Localization via SUSY

- $h'(x) \neq 0 \quad \forall x \Rightarrow Z = 0$ .

idea : choose SUSY to make  $\psi_i$  disappear in  $S$ .  
 by making the sym. parameter to be a <sup>↑</sup> *fermion*.  
 then clearly  $Z = 0$ .

- This is not possible if  $h' = 0$  at  $x = x_c$ .

- $h'(x_c) = 0$  for some  $x_c$ .  $\Rightarrow Z = \sum_{x_c} \frac{h''(x_c)}{|h''(x_c)|} = \{\pm 1 = \pm 1\}$  or 0.

idea : At each  $x_c$ , use scaling of  $x$  w.r.t. (blow-up)

$$h(x) = h(x_c) + \frac{\alpha_c}{2} (x - x_c)^2 + \dots \quad \alpha_c = h''(x_c). \quad \begin{array}{c} -\varepsilon \xrightarrow{x} \varepsilon \\ x_c \end{array}$$

$$S = \frac{1}{2} h'^2 \sim h'' \psi_1 \psi_2 = \frac{1}{2} \alpha_c^2 (x - x_c)^2 - \alpha_c \psi_1 \psi_2 \quad \begin{array}{c} \checkmark \\ R \\ -\varepsilon^{-1} \quad 0 \quad \varepsilon^{-1} \end{array}$$

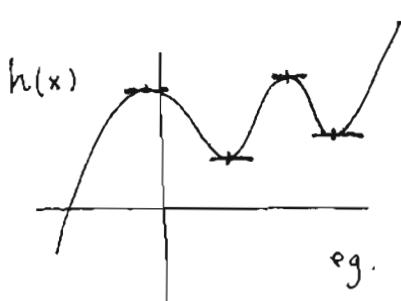
$$Z = \sum_{x_c} \int_{x_c-\varepsilon}^{x_c+\varepsilon} \frac{dx}{\sqrt{2\pi}} \psi_1 \psi_2 e^{-\frac{1}{2} \alpha_c^2 (x - x_c)^2 + \alpha_c \psi_1 \psi_2} + \dots = \sum_{x_c} \frac{\alpha_c}{|\alpha_c|}. \quad \begin{array}{c} \uparrow \\ x - x_c := \varepsilon^2 x, \varepsilon \rightarrow 0 \end{array}$$

normalized measure

- Explicit check :  $Z = \frac{1}{\sqrt{2\pi}} \int dx e^{-\frac{1}{2} h'^2} h'' \quad \text{let } y = h'(x)$

$$= \deg h' \cdot \frac{1}{\sqrt{2\pi}} \int_R dy e^{-\frac{1}{2} y^2} = \deg h'$$

count with sign



$$\text{eg. } \deg h' = 1$$

QF T in  $d=1$  (quant. mechanics)

$$x : M \rightarrow \mathbb{R}$$

" I, (R or S"

$$S = \int L dt = \int \left( \frac{1}{2} \dot{x}^2 - V(x) \right) dt$$

$$\delta S = \int \left( \dot{x} \delta x - \frac{\partial L}{\partial x} dx \right) dt = - \int (\dot{x} + V'(x)) \cdot \delta x dt$$

$$\frac{d}{dt}(\delta x)$$

Suitable  
δ-bound.

" 0 Euler-Lagrange Eq"

Noether's procedure :

S has translation sym in  $t \mapsto t + \alpha$

Variation of parameter  $\alpha(t)$

$$x_s = x(t + s\alpha) \Rightarrow \delta x = \frac{d}{ds} x_s \Big|_{s=0} = \dot{x} \alpha \Rightarrow (\delta x) = \dot{x} \alpha + x \dot{\alpha}$$

$$\Rightarrow \delta S = \int \dot{x} (-V'(x) \alpha + x \dot{\alpha}) - V'(x) \dot{x} \alpha$$

at x solving E-L eq'n

$$2 \int dt \dot{x} \left( \frac{1}{2} \dot{x}^2 + V(x) \right)$$

Thus  $H := \frac{1}{2} \dot{x}^2 + V(x)$  is const.

^ Noether's charge wrt  $t \equiv$  Hamiltonian

$$Z(x_2, t_2; x_1, t_1) = \int Dx(t) e^{iS(x)} \quad \text{say, def via partition} \\ \text{of intervals} \rightarrow \infty$$

$$\Rightarrow Z_{t_2; t_1} : \mathcal{H} \rightarrow \mathcal{H} = L^2(\mathbb{R}, \mathbb{C})$$

$$(Z_{t_2; t_1} f)(x_2) = \int_{\mathbb{R}} Z(x_2, t_2; x_1, t_1) f(x_1) dx_1$$

$$t^{-\text{inv}} \Rightarrow Z_t = e^{-itH}$$

$$\text{Thm: } H = \frac{1}{2} p^2 + V(x)$$

$$\text{with } p = \frac{\partial L}{\partial \dot{x}} = \dot{x} \mapsto p = -i \frac{d}{dx}$$

long. momentum  
(classical)

(quantum)

$$x \mapsto x^*$$

$$\text{with } [x, p] = xp - px = i$$

(classical Poisson  $\{x, p\} = 1$ )

We check this by example:  $L = \frac{1}{2}\dot{x}^2 - \frac{1}{2}x^2$

P.6

$$H = \frac{1}{2}(p^2 + x^2) = \frac{1}{2}(p+ix)(p-ix) + \frac{1}{2} = : a^\dagger a + \frac{1}{2}$$

on  $M = S_p^1$ . And  $t \mapsto -it$  (with notation)

$$\text{so } Z(\beta) = \int_{\mathbb{R}} Z_\beta(x_0, x_1) dx_1 = \text{tr } e^{-\beta H}$$

eg. eigen fcn expansion

$$\text{From } H: [a, a^\dagger] = a a^\dagger - a^\dagger a$$

$$= \frac{1}{2}(p-ix)(p+ix) - (p+ix)(p-ix) = i(p x - x p) = 1$$

$$[H, a] = \underline{a^\dagger a a} - \underline{a a^\dagger a} = -a \quad \rightarrow$$

$$[H, a^\dagger] = a^\dagger \underline{a a^\dagger} - \underline{a^\dagger a^\dagger a} = a^\dagger \quad \rightarrow$$

$$\text{Then } \underset{\substack{\uparrow \\ \text{energy} \geq 0}}{H\psi} = \lambda \psi \Rightarrow H a \psi = (aH - \lambda) \psi = (\lambda - 1) a \psi$$

$$|0\rangle \text{ ground state} := a|0\rangle = 0, \text{ hence } H|0\rangle = \frac{1}{2}|0\rangle$$

$\psi$  is spanned by  $|n\rangle = (a^\dagger)^n |0\rangle$  with  $\lambda = E_n = n + \frac{1}{2}$

$$\Rightarrow \text{Tr } e^{-\beta H} = \sum_{n=0}^{\infty} e^{-\beta(n+\frac{1}{2})} = \frac{e^{-\beta/2}}{1-e^{-\beta}} = \frac{1/2}{\sinh(\beta/2)}.$$

$$\text{Rmk: } a\psi_0 = 0 \Leftrightarrow \left(-i\frac{d}{dx} - ix\right)\psi_0(x) = 0 \quad \text{i.e. } \psi_0(x) = A e^{-x^2/2}.$$

$$\text{From } Z(\beta) = \int_{X(+)} dX(t) e^{-S_E(x)}; \quad S_E(x) = \frac{1}{2} \int dt \left( \dot{x}^2 + x^2 \right)$$

$X(t+\beta) = X(t)$

"call  $x$  by  $t$ "

$$= \frac{1}{2} \int dt x \left( -\frac{d^2}{dt^2} + 1 \right) x$$

$$\textcircled{B} f_n = \lambda_n f_n; \quad \lambda_n = 1 + \left(\frac{2\pi n}{\beta}\right)^2, \quad n \in \mathbb{Z}$$

in the "Fourier corr. System"  $x(t) = \sum x_n f_n(t)$

$$Z(\beta) = \int \prod_{n=1}^{\infty} \frac{dx_n}{\sqrt{2\pi}} e^{-\frac{1}{2} \sum \lambda_n x_n^2} = \prod_{n=1}^{\infty} \frac{1}{\sqrt{\lambda_n}}$$

$$= \prod_{n=1}^{\infty} \left( \frac{2\pi n}{\beta} \right)^{-2} \cdot \prod_{n=1}^{\infty} \left( 1 + \left( \frac{2\pi n}{\beta} \right)^2 \right)^{-1}$$

zeta fcn - regularization.  $\frac{1}{\beta}$   $\frac{\beta/2}{\sinh \beta/2}$  done.

$\sigma$ -model on  $S_R^1$ . so  $X \sim X + R$  ( $S_\beta^1 \rightarrow S_R^1$ ) p. 7

$$S(x) = \int \frac{1}{2} \dot{x}^2 dt, \quad H = \frac{1}{2} p^2 = -\frac{1}{2} \frac{d}{dx} x^2$$

$$\Rightarrow \Psi_n(x) = e^{2\pi i n x / R}, \quad E_n = \frac{2\pi^2 n^2}{R^2}$$

$$Z(\beta) = \text{Tr } e^{-\beta H} = \sum_{n=-\infty}^{\infty} e^{-\frac{2\pi^2 n^2}{R^2}}$$

Path Integral : Let  $X_m(t)$  be of winding # = m

$$\text{then } X_m(t) = \frac{m\pi R}{\beta} + x_0(t)$$

$$Z(\beta) = \int Dx e^{-\int_0^\beta \frac{1}{2} \dot{x}^2 dt} = \sum_{m=-\infty}^{\infty} \int Dx_m e^{-S_E(x_m)}$$

$$= \sum_{m=-\infty}^{\infty} e^{-\frac{m^2 \pi^2}{2\beta}} \int Dx_0 e^{-\int_0^\beta x_0(-\frac{1}{2} \frac{d^2}{dt^2}) x_0 dt}$$

This leads to Jausobi's  $\mathcal{J}(+) = t^{1/2} \mathcal{J}(\frac{1}{t})$ ,  $\mathcal{J}(+) = \sum_{-\infty}^{\infty} e^{-\pi n^2 t}$   
and a hint to T-duality.

Difficulties for general  $\sigma$ -models :

$$S = \frac{1}{2} \int dt g_{ij}(x) \frac{dx^i}{dt} \frac{dx^j}{dt}$$

the Taylor exp. is not quadratic .

Supersymmetric QM :

$$L = \frac{1}{2} \dot{x}^2 - \frac{1}{2} h'^2 + \frac{1}{2} (\bar{\psi} \dot{\psi} - \dot{\bar{\psi}} \psi) - h'' \bar{\psi} \psi$$

$\psi = \psi_1 + i \psi_2$   
up x Fermion

$$\text{let } \delta x = \epsilon \bar{\psi} - \bar{\epsilon} \psi$$

$$\delta \psi = \epsilon (i \dot{x} + h') \quad \text{for later use } \epsilon = \epsilon(+)$$

$$\delta \bar{\psi} = \bar{\epsilon} (-i \dot{x} + h')$$

$$\begin{aligned} \Rightarrow \delta L &= \cancel{\dot{x}(\epsilon \bar{\psi} - \bar{\epsilon} \psi)} - h' h'' (\cancel{\epsilon \bar{\psi}} - \cancel{\bar{\epsilon} \psi}) + \cancel{\dot{x}(\epsilon \bar{\psi} - \bar{\epsilon} \psi)} \\ &\quad + \frac{1}{2} \left( \bar{\epsilon} \left( \cancel{-i \dot{x}} + h' \right) \dot{\psi} + \bar{\psi} \dot{\epsilon} (i \dot{x} + h') + \bar{\psi} \epsilon (i \ddot{x} + h'' \dot{x}) \right. \\ &\quad \left. - \bar{\epsilon} (-i \dot{x} + h') \psi - \bar{\epsilon} (-i \ddot{x} + h'' \dot{x}) \psi - \bar{\psi} \epsilon (i \dot{x} + h') \right) \\ &\quad - h'' i (\cancel{\epsilon \bar{\psi}} - \cancel{\bar{\epsilon} \psi}) \bar{\psi} \psi - \cancel{h'' \bar{\epsilon} (-i \dot{x} + h')} \psi - \cancel{h'' \bar{\psi} \epsilon (i \dot{x} + h')} \end{aligned}$$

$$= \frac{d}{dt} (\dots) - i \cancel{\bar{\epsilon} \psi} (i \dot{x} + h') - i \bar{\epsilon} \psi (-i \dot{x} + h')$$

!!  
Q

!!  
Q

super  
charges .

check  $\{\delta_1, \delta_2\} = 2i(\epsilon_1 \bar{\epsilon}_2 - \epsilon_2 \bar{\epsilon}_1) \frac{d}{dt}$  via E-L eq's

such Fermionic transf. is called SUSY.

Quantization: conjugate momenta  $p = \frac{\partial L}{\partial \dot{x}} = \dot{x}$ ;  $\pi = \frac{\partial L}{\partial \dot{\psi}} = i\bar{\psi}$

Poisson  $\{,\}$   $\xrightarrow{\text{Boson } [ , ] \text{ comm}}$   $\xrightarrow{\text{int. by parts}} L = \dots + i\bar{\psi}\psi$   
 $\xrightarrow{\text{Fermion } \{a, b\} \text{ anti-comm}} = ab + ba$

It is required that  $[x, p] = i$   
as "operators."  $\{\psi, \pi\} = i \Rightarrow \{\psi, \bar{\psi}\} = 1^*$   $= [\bar{\psi}, \psi]$

$$H = p\dot{x} + \pi\dot{\psi} - L \longleftrightarrow \frac{1}{2}p^2 + \frac{1}{2}h'^2 + \frac{1}{2}h''(\bar{\psi}\psi - \psi\bar{\psi})$$

`op. with rel \*

representation: Hilbert space of states

$$\mathcal{H} = \mathcal{H}^B \oplus \mathcal{H}^F = L^2(\mathbb{R}, \mathbb{C})|0\rangle \oplus L^2(\mathbb{R}, \mathbb{C})|\bar{\psi}\rangle$$

$\downarrow$  a vector with  $|\bar{\psi}\rangle = 0$ .

$$x \mapsto x|0\rangle, \quad p \mapsto -i\frac{d}{dx}|0\rangle, \quad \psi \mapsto \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}|0\rangle, \quad \bar{\psi} \mapsto \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}|0\rangle$$

$$\text{Now } Q \mapsto Q = \bar{\psi}(ip + h') \quad , \quad \bar{Q} \mapsto \bar{Q} = \psi(-ip + h')$$

$$\cdot \text{ HW: } [H, Q] = 0 = [H, \bar{Q}]$$

$$\text{Also, for } F := \bar{\psi}\psi; \quad [F, \psi] = F\psi - \psi F = \bar{\psi}\psi\bar{\psi} - \psi\bar{\psi}\psi = -\psi$$

$$= \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix} \quad [F, \bar{\psi}] = \bar{\psi} \quad \left( \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix} \bar{\psi} \right)$$

$$\Rightarrow [F, Q] = Q \quad \Rightarrow \quad Q, \bar{Q} \text{ exchange } \mathcal{H}^B, \mathcal{H}^F$$

$$[F, \bar{Q}] = -\bar{Q} \quad \text{'obvious' ?}$$

$$\text{Now, } \{Q, Q\} = 0 = \{\bar{Q}, \bar{Q}\}, \text{ and } \{Q, \bar{Q}\} = 2H. \quad (\text{key, check})$$

$$\longrightarrow \text{"Hodge theory"} \quad \dim \mathcal{H}_{(0)}^B - \dim \mathcal{H}_{(0)}^F = \text{str } e^{-\beta H}$$

$$Q^\dagger = \bar{Q} \Rightarrow \mathcal{H}_{(n)} := \lambda_n\text{-eigen space of } H \geq 0.$$

this is Witten index.

$$\downarrow$$

$$\mathcal{H}_{(n)}^B \xrightarrow{\sim} \mathcal{H}_{(n)}^F \quad \text{if } n \neq 0 \quad \text{since } (Q + \bar{Q})^2 = 2H$$

$$\text{tr } (-1)^F e^{\beta H}$$

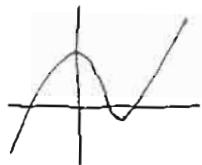
Fact: Witten index is inv. under deformations which preserve SUSY.

QFT in  $d=1$  (contd.)SUSY ground states :  $H\bar{\Psi} = 0 \Leftrightarrow Q\bar{\Psi} = 0 = \bar{Q}\bar{\Psi}$ .

$$\bar{\Psi} = f_1(x)|0\rangle + f_2(x)\bar{\Psi}|0\rangle \Rightarrow f'_1 + h'f_1 = 0 = -f'_2 + h'f_2$$

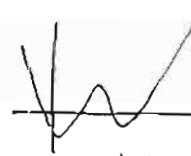
i.e.  $f_1(x) = c_1 e^{-h(x)}$ ;  $f_2(x) = c_2 e^{h(x)}$ , Need  $C^2$  sol.

I.



$$\text{Tr } (-)^F = 0$$

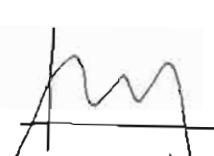
II



$$\bar{\Psi} = e^{-h(x)}|0\rangle$$

$$\text{Tr } (-)^F = 1$$

III



$$\bar{\Psi} = e^{h(x)}\bar{\Psi}|0\rangle$$

$$\text{Tr } (-)^F = -1$$

eg. Harmonic oscillator :  $h(x) = \frac{\omega}{2}x^2$ ,  $H = \frac{1}{2}\hat{p}^2 + \frac{\omega^2}{2}x^2 + \frac{\omega}{2}[\bar{\Psi}, \Psi]$ 

$$\bar{\Psi}_{\omega>0} = e^{-\frac{1}{2}\omega x^2}|0\rangle, \quad \bar{\Psi}_{\omega<0} = e^{-\frac{1}{2}|\omega| x^2}\bar{\Psi}|0\rangle.$$

Perturbative Analysis for general  $h(x)$ :  $h(x) \mapsto \lambda h(x)$ ,  $\lambda \nearrow$ 

$$H = \frac{1}{2}\hat{p}^2 + \frac{\lambda^2}{2}h'^2 + \frac{\lambda}{2}h''[\bar{\Psi}, \Psi]$$

Principle :  $\lambda \gg 0$ , the lowest energy states concentrate at  $x_i$ .Assume  $h''(x_i) \neq 0$  (Morse condi.) with  $h'(x_i) = 0$ .rescaling  $x - x_i = (\tilde{x} - \tilde{x}_i)/\sqrt{\lambda}$ 

$$h(x) = h(x_i) + \frac{1}{2}h''(x_i)\frac{(\tilde{x} - \tilde{x}_i)^2}{\lambda} + \frac{\ast}{\lambda^{3/2}} + \dots$$

$$\Rightarrow H = \lambda \left( \frac{1}{2}\tilde{p}^2 + \frac{1}{2}h''(x_i)^2(\tilde{x} - \tilde{x}_i)^2 + \frac{1}{2}h''(x_i)[\bar{\Psi}, \Psi] \right) + \lambda^{1/2} + \ast + O(\lambda^{-1/2})$$

$\sim H_0$  SUSY har. osc.  $\omega = h''(x_i)$

in fact,  $\bar{\Psi}_i = e^{-\frac{\lambda}{2}h''(x_i)(x-x_i)^2}|0\rangle + \dots$  or  $e^{-\frac{\lambda}{2}|h''(x_i)|(x-x_i)^2}\bar{\Psi}|0\rangle + \dots$

Sigma model for OFT  $d=1$

$$\phi: T \rightarrow M \quad \text{Poson } (x^i, \dot{x}^i) = \phi$$

$$\text{Fermion } \psi, \bar{\psi} \in \Gamma(T, \phi^* TM \otimes \mathbb{C}), \psi = \psi^i \frac{\partial}{\partial x^i}$$

$$L = \frac{1}{2} \delta_{ij} \dot{x}^i \dot{x}^j + \frac{i}{2} \delta_{ij} (\bar{\psi}^i D_t \psi^j - D_t \bar{\psi}^i \psi^j) - \frac{1}{2} R_{ijkl} \psi^i \bar{\psi}^j \psi^k \bar{\psi}^l$$

covariant diff  $\Rightarrow \psi^i + P_{jm}^i \dot{x}^m \psi^m$

$$\text{SUSY: } \delta x^i = \epsilon \bar{\psi}^i - \bar{\epsilon} \psi^i \quad \text{hw. ①}$$

$$\delta \psi^i = \epsilon (i \dot{x}^i - P_{jk}^{ij} \bar{\psi}^j \psi^k) \quad \Rightarrow \oint L dt = 0$$

$$\delta \bar{\psi}^i = \bar{\epsilon} (-i \dot{x}^i - P_{jk}^{ij} \bar{\psi}^j \psi^k)$$

$$\Rightarrow \text{conserved supercharges } Q = i \delta_{ij} \bar{\psi}^i \dot{x}^j; \bar{Q} = -i \delta_{ij} \psi^i \dot{x}^j$$

$$\text{phase rotation } \psi^i \mapsto e^{i\theta} \psi^i \text{ fixes } L \Rightarrow \text{charge } F = \delta_{ij} \bar{\psi}^i \psi^j$$

$$\text{Quantization: } p_i = \frac{\partial L}{\partial \dot{x}^i} = \delta_{ij} \dot{x}^j + P_{lm}^i \delta_{lj} \bar{\psi}^l \psi^m; \pi_i = \frac{\partial L}{\partial \dot{\psi}^i} = i \delta_{ij} \bar{\psi}^j$$

$$\text{so } ② \quad Q = i \bar{\psi}^i p_i; \bar{Q} = -i \psi^i p_i \quad (\text{why?}) \quad \text{same reason as}$$

$$\text{canonical relations: } \left\{ \begin{array}{l} \{\hat{x}_i, \hat{p}_j\} = i \delta_{ij} \\ \{\hat{\psi}_i, \hat{\pi}_j\} = i \delta_{ij} \Leftrightarrow \{\hat{\psi}_i, \hat{\bar{\psi}}_r\} = g_{ir} \end{array} \right. \quad M = \mathbb{R} \text{ case}$$

$$\text{where } \mathcal{F} = \Omega(M) \otimes \mathbb{C}, \quad \langle \omega_1, \omega_2 \rangle = \int_M \bar{\omega}_1 \wedge \omega_2$$

$$\hat{x}_i = x_i \cdot \quad \hat{\psi}_i = g^{ij} \iota_{\partial/\partial x^j} \quad \downarrow$$

$$\hat{p}_i = -i \nabla \iota_{\partial/\partial x^i} \quad \hat{\bar{\psi}}_i = d x^i \wedge \quad \nearrow$$

$$\text{Now } \circ = 1, \quad F = dx^i \wedge \iota_{\partial/\partial x^i} = p \text{ in } \Omega^1(M)$$

$$Q = dx^i \wedge \nabla_i = d \quad (\text{e.g. use normal corr.})$$

$$\bar{Q} = g^{ij} \iota_{\partial/\partial x^j} \nabla_i = d^* \quad (= *d*)$$

$$\text{Hamiltonian } H = \frac{1}{2} \{Q, \bar{Q}\} = \frac{1}{2} (dd^* + d^*d) = \frac{1}{2} \Delta.$$

$$\text{HW: Show } \operatorname{tr}_{X(M)} (-) F e^{-\beta H} = \frac{1}{(2\pi)^n/2} \int_M \text{pf}(-R) \quad \text{Gauss-Bonnet-Chern}$$

$X(M)$  for  $\dim M = n$ , by letting  $\beta \rightarrow 0$ .

$$L = L_0 + \Delta L, \quad \Delta L = -\frac{1}{2} \sum_i \delta^i \partial_i h \partial^i h - \nabla_i (\partial_j h) \bar{\psi}^i \psi^j$$

$$\text{SUSY: } \delta x^i = \epsilon \bar{\psi}^i - \bar{\epsilon} \psi^i \quad \text{with } \delta \partial_i h = P_{ij}^k \partial_k h$$

$$\delta \psi^i = \epsilon (i \dot{x}^i - P_{jk}^i \bar{\psi}^j \psi^k + g_{ij} \partial_j h)$$

$$\delta \bar{\psi}^i = \bar{\epsilon} (-i \dot{x}^i - P_{jk}^i \bar{\psi}^j \psi^k + g_{ij} \partial_j h)$$

$$\text{Supercharges: } Q = \bar{\psi}^i (i p_i + \partial_i h), \quad \bar{Q} = \psi^i (-i p_i + \partial_i h)$$

$$\text{Fermion notation: charge } F = g_{ij} \bar{\psi}^i \psi^j$$

$$\text{Quantization: } Q = d + dh \wedge = e^{-h} d e^h =: d_h \quad ; \quad \bar{Q} = d_h^*$$

$$H \triangleq \frac{1}{2} \{Q, \bar{Q}\} = \frac{1}{2} \Delta_h \quad H_Q^* \cong H_{DR}^*(M), \forall h.$$

Now let  $h$  be a Morse function,  $\text{crit}(h) = x_1, \dots, x_N$

rescaling  $h \mapsto \lambda h$ , then

$$2H_\lambda = \Delta_\lambda = \Delta + \lambda^2 (\nabla h)^2 + \lambda \nabla_i \partial_j h [\bar{\psi}^i, \psi^j]$$

Perturbation theory at  $x_i$ :  $h = h(x_i) + \sum c_I (x^I)^2 + \dots$

$$H_\lambda \sim \frac{1}{2} \sum_{I=1}^n \left( p_I^2 + \lambda^2 c_I^2 (x^I)^2 + \lambda c_I [\bar{\psi}^I, \psi^J] \right) \quad \text{eigen values of } h_{ij}$$

$$\text{For } H_\lambda \Psi = 0 \rightsquigarrow \Psi_i^{(0)} = e^{-\lambda \sum c_I (x^I)^2} \prod_{I < 0} \bar{\psi}^I |0\rangle$$

$$\Rightarrow \Psi_i \in \Omega^{\mu_i}(M) \otimes \mathbb{C}, \quad \mu_i = \text{Morse index at } x_i$$

$\downarrow$  perturbative 0 energy state, not nec.  $Q \Psi_i = 0$ .

"Theorem (Witten, Floer)"

Let  $C^\mu = \bigoplus_{\mu_i=\mu} \mathbb{C} \Psi_i$ . Then

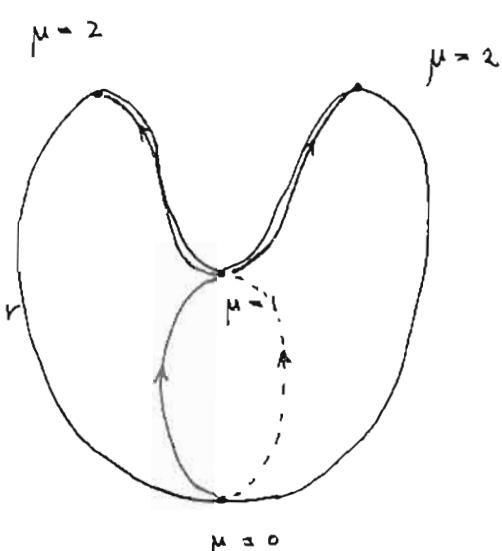
$$0 \rightarrow C^0 \xrightarrow{Q} C^1 \xrightarrow{Q} \dots \xrightarrow{Q} C^\mu \rightarrow 0$$

is given by

$$Q \Psi_i = \sum_{\substack{j \\ \mu_j = \mu_i + 1}} \bar{\Psi}_j \langle \bar{\Psi}_j, Q \Psi_i \rangle + \text{smaller} \\ \parallel$$

$$\sum_{\gamma} n_\gamma e^{-\lambda(h(x_j) - h(x_i))}$$

$$n_\gamma = \pm 1 \text{ dep on ori. of } \bar{\Psi}_j \wedge * Q \Psi_i.$$



$$\text{Lemma : } \langle \bar{\Psi}_j, \Psi_i \rangle = \frac{1}{h(x_i) - h(x_j) + O(\epsilon/\hbar)} \lim_{T \rightarrow \infty} \langle \bar{\Psi}_j, e^{-TH} [Q, h] e^{-TH} \Psi_i \rangle$$

Since  $[Q, h] = dh \wedge$

$$\int_{\phi(-\infty) = x_i, \phi(\infty) = x_j} D\phi D\bar{\psi} D\bar{\psi} e^{-S_E} \bar{\psi}^I d\bar{z} h \Big|_{T=0}$$

$$S_E = \int_{-\infty}^{\infty} dt \left( \frac{1}{2} |\dot{\phi}|^2 + \frac{\lambda^2}{2} |\nabla h|^2 + \underbrace{S_{IJ}}_{\text{fermion part}} \bar{\psi}^I D_t \psi^J + \lambda (\nabla_I \bar{\psi}^J) \bar{\psi}^I \psi^J + \frac{1}{2} R_{IJKL} \psi^I \bar{\psi}^J \psi^K \bar{\psi}^L \right)$$

$$\text{Boson part } S_B = \int_{-\infty}^{\infty} \frac{1}{2} |\dot{\phi} \pm \lambda \nabla h|^2 + \int_{-\infty}^{\infty} \lambda \dot{\phi} \cdot \nabla h = \lambda (h(x_j) - h(x_i)) > 0$$

minimizer =: instanton

"±" make this  $> 0$

$$\text{How many? } D_{\pm}(\delta\phi) := D_T(\delta\phi) \pm \lambda H_h(\delta\phi) = 0$$

Notice the fermion bi-linear part  $\xrightarrow{\text{Hessian op}} T_x M \rightarrow T_x M$

$$S_{\bar{\psi}\psi} = \int_{-\infty}^{\infty} dt \langle \bar{\psi}, D_+ \psi \rangle = - \int_{-\infty}^{\infty} dt \langle D_- \bar{\psi}, \psi \rangle$$

path-int  $\neq 0 \Rightarrow \bar{\psi}: 0\text{-mode} - \psi: 0\text{-mode} \equiv \text{index } D_- = 1$

localize to instantons: reason

$S_E$  inv under SUSY ( $t \mapsto -it$ )

$[Q, h] = \bar{\psi}^I d\bar{z} h$  inv under  $\delta\epsilon$  (i.e.  $\bar{\epsilon} = 0$ ) gen by  $Q$

$$\text{i.e. } \delta_{\epsilon} x^I = \epsilon \bar{\psi}^I ; \delta_{\epsilon} \bar{\psi}^I = \epsilon \left( -\frac{dx^I}{dt} + \lambda g^{IJ} \partial_J h - \Gamma_{JK}^I \bar{\psi}^J \psi^K \right)$$

the  $\delta\epsilon$  fixed loci  $\Rightarrow \dot{\phi} = \lambda \nabla h$ .

Lemma: index  $D_- = \mu_j - \mu_i$ . (HW. § 10.5.2)

choose generic  $h$  st  $\ker D_+ = 0$  when  $D_- = 0$  along any

$\gamma$ : instanton  $x_i \mapsto x_j$  with  $\mu_j - \mu_i = 1$ .

then  $\ker D_- = 1$  gives only time shift in  $t \mapsto t + t_1$ .

Now calculate path-int. using "mode exp":  $\gamma \mapsto \gamma_{t_1}$

$$\bar{\Psi}_0: \text{zero mode gives } C^{-\lambda(h(x_j) - h(x_i))} \int_{-\infty}^{\infty} dt_1 \int d\bar{\Psi}_0 \bar{\Psi}^I d\bar{z} h \Big|_{T=0}$$

|| coming from  $\bar{\Psi}_0$  transl.

$$\int_{-\infty}^{\infty} dt_1 \frac{d}{dt_1} \frac{h(\gamma(t_1))}{d\bar{z} h} = h(x_j) - h(x_i).$$

QFT in 1+1 dim. free theory.

$$\Sigma = \mathbb{R} \times S^1 \rightarrow \mathbb{R} = M$$

$$t \quad s \quad x(t, s)$$

$$S = \frac{1}{2\pi} \int_{\Sigma} \left[ \frac{1}{2} \left[ \left( \frac{\partial x}{\partial t} \right)^2 - \left( \frac{\partial x}{\partial s} \right)^2 \right] dt ds$$

$$S + 2\pi$$

$$ds = \frac{1}{2\pi} \int_{\Sigma} \left[ \frac{\partial x}{\partial t} \frac{\partial}{\partial t}(dx) - \frac{\partial x}{\partial s} \frac{\partial}{\partial s}(dx) \right] dt ds = \frac{1}{2\pi} \int_{\Sigma} dx \left( \frac{\partial^2 x}{\partial t^2} - \frac{\partial^2 x}{\partial s^2} \right) dt ds$$

$$FL eq'^u: (\partial_t^2 - \partial_s^2)x = 0 \Rightarrow x(t, s) = f(t-s) + g(t+s)$$

'why? (charge variables)  $\rightarrow$  left move etc.

Noether charges:

at eq'<sup>u</sup> of motion, it is also a conserv. eq'<sup>u</sup>  $\partial_\mu j^\mu = 0$

where  $j^t = \partial_t x$ ,  $j^s = -\partial_s x$  (currents)  $\rightarrow$  i.e. div  $j$

$$\Rightarrow p = \frac{1}{2\pi} \int_{S^1} j^t ds \Rightarrow \text{constant.}$$

This is from shift in  $x$ :  $\delta x = \alpha(t, s)$ ; so  $p = \text{target space momentum}$

$$(t, s) \text{ trans. sym } \frac{d}{dt} x(t + \epsilon c^t, s + \epsilon c^s) \Big|_{\epsilon=0} = x_\mu c^\mu =: \delta_c x$$

$$(*) \Rightarrow \delta S = \frac{1}{2\pi} \int_{\Sigma} T_\mu^\nu \partial_\nu c^\mu = 0 \quad \forall c = (c^\mu) \Leftrightarrow \partial_\nu T_\mu^\nu = 0$$

get conserv. charges by  $\int_{S^1}$ :

$$H = \frac{1}{2\pi} \int_{S^1} T_{tt} ds = \frac{1}{2\pi} \int_{S^1} \frac{1}{2} (x_t^2 + x_s^2) ds \quad \text{Hamiltonian}$$

$$p = \frac{1}{2\pi} \int_{S^1} T_{ts} ds = \frac{1}{2\pi} \int_{S^1} x_t x_s ds \quad \text{Momentum (worldsheet)}$$

How to Quantize?

Idea: treat string  $S^1$  as  $\infty$  many deg of freedom: Fourier series

$$x(t, s) = x_0(t) + \sum_{n \neq 0} x_n(t) e^{i n s}, \quad x_{-n} = \bar{x}_n$$

$$S = \int dt \left[ \frac{1}{2} \dot{x}_0^2 + \sum_{n=1}^{\infty} (|\dot{x}_n|^2 - n^2 |x_n|^2) \right] \quad \text{by Parseval}$$

Sector  $x_0$ :  $p_0 = \dot{x}_0$  let  $|p_0 k\rangle_0 = k |k\rangle_0$  notice  $p_0 \equiv p$

$H_0 = \frac{1}{2} p_0^2$   $|k\rangle_0$  has energy  $\frac{1}{2} k^2$ .

$$(p_0 = -i \frac{1}{2} \frac{d}{dx_0}, |k\rangle_0 = e^{ikx_0}, L^2 \text{ condi} \Rightarrow M = S_R^1)$$

$$(*) : \oint_C S = \frac{1}{2\pi} \int_{\sum} x_t \frac{\partial}{\partial t} (x_m c^m) - x_s \frac{\partial}{\partial s} (x_m c^m)$$

$$\frac{x_t x_{tt} c^t + x_t x_{ts} c^s - x_s x_{st} c^t - x_s x_{ss} c^s}{x_t x_{tt} c^t + x_t x_{ts} c^s - x_s x_{st} c^t - x_s x_{ss} c^s}$$

$$\int -\frac{1}{2} (x_t^2 - x_s^2) c_t^t \quad \int \frac{1}{4s} \frac{1}{2} (x_t^2 - x_s^2) c^s$$

$$\int \frac{d}{dt} \frac{1}{2} (x_t^2 - x_s^2) \cdot c^t = \int -\frac{1}{2} (x_t^2 - x_s^2) c_s^s$$

$$= \frac{1}{2\pi} \int_{\sum} \frac{1}{2} (x_t^2 + x_s^2) c_t^t + x_t x_s c_t^s - x_s x_t c_s^t - \frac{1}{2} (x_t^2 + x_s^2) c_s^s$$

 $n \gg 1$ ,

Sector  $X_n$ :  $L_n = \left( \frac{1}{2} \dot{x}_{1n}^2 - \frac{n^2}{2} x_{1n}^2 \right) + \left( \frac{1}{2} \dot{x}_{2n}^2 - \frac{n^2}{2} x_{2n}^2 \right) \quad x_n := \frac{1}{\sqrt{2}} (x_{1n} + i x_{2n})$

2 harmonic oscillators  $p_{in} = \dot{x}_{in}$  ( $i=1, 2$ )

$$H_n = \left( \frac{1}{2} p_{1n}^2 + \frac{n^2}{2} x_{1n}^2 \right) + \left( \frac{1}{2} p_{2n}^2 + \frac{n^2}{2} x_{2n}^2 \right)$$

$$= n \left( a_{1n}^\dagger a_{1n} + \frac{1}{2} \right) + n \left( a_{2n}^\dagger a_{2n} + \frac{1}{2} \right)$$

$$a_{in}^\dagger := \frac{1}{\sqrt{2}} \left( \frac{p_{in}}{\sqrt{n}} + i \sqrt{n} x_{in} \right)$$

can. relation

get creation / annihilation operators.  $[a_{in}, a_{jn}^\dagger] = \delta_{ij}$ Equiv. we use the  $\psi \times$  form: others = 0

$$a_n := \sqrt{\frac{n}{2}} (a_{1n} + i a_{2n}) = \frac{\sqrt{n}}{2} \left[ \frac{p_{1n} + i p_{2n}}{\sqrt{n}} - i \sqrt{n} (x_{1n} + i x_{2n}) \right]$$

$$= \frac{i}{2} (p_n - i n \cdot x_n) ; \text{ so } a_{-n} = \frac{1}{2} (\bar{p}_n + i n \bar{x}_n) = \sqrt{\frac{n}{2}} (a_{1n}^\dagger - i a_{2n}^\dagger) = a_n^\dagger$$

$$\tilde{a}_n := \sqrt{\frac{n}{2}} (a_{1n} - i a_{2n}) ; \quad \tilde{a}_{-n} = \tilde{a}_n^\dagger = \sqrt{\frac{n}{2}} (a_{1n}^\dagger + i a_{2n}^\dagger) = \frac{i}{2} (p_n + i n x_n)$$

Now the can. rel. is:  $[a_n, a_{-n}] = n = [\tilde{a}_n, \tilde{a}_{-n}]$ , others = 0

$$H_n = a_n^\dagger a_n + \tilde{a}_n^\dagger \tilde{a}_n + n$$

 $|0\rangle_n = \text{vector killed by } a_n, \tilde{a}_n \Rightarrow H_n |0\rangle_n = n |0\rangle_n$ 

- combine all  $n \gg 0$ :  $\mathcal{H} = \bigotimes_{n \gg 0} \mathcal{H}_n$ ,  $|k\rangle := |k\rangle_0 \otimes \bigotimes_{n \gg 1} |0\rangle_n$

$$H = \sum_{n \gg 0} H_n = \frac{1}{2} p_0^2 + \sum_{n \gg 1} (a_{-n} a_n + \tilde{a}_{-n} \tilde{a}_n) + \sum_{n \gg 1} n \quad \Rightarrow \quad g(-1) = \frac{-1}{12} : \text{energy}$$

general states are gen by  $a_{-n}, \tilde{a}_{-n}$  on  $|k\rangle$ .

$$\text{Since } [H, x_0] f = -\frac{1}{2} (x_0 f)'' + \frac{1}{2} x_0 f''' = -f' = -i p_0 \cdot f$$

$$-i \frac{dx_0}{dt} = [H, x_0] = -i p_0 \quad \& \quad [H, p_0] = 0 \quad \Rightarrow \quad x_0(t) = x_0 + t p_0.$$

\ the Schrödinger eq'n for "operators"

$$\text{Also as before, } [H, \alpha_n] = -n \alpha_n \quad \Rightarrow \quad \alpha_n(t) = e^{-int} \alpha_n$$

$$-i \frac{d\alpha_n}{dt} \quad \tilde{\alpha}_n(t) = e^{-int} \tilde{\alpha}_n$$

$$x_n = \frac{\tilde{\alpha}_{-n} - \alpha_n}{\sqrt{2} i n} \quad \Rightarrow \quad x(+, s) = x_0 + t p_0 + \frac{i}{\sqrt{2}} \sum_{n \neq 0} \frac{1}{n} \left( \alpha_n e^{-in(t-s)} + \tilde{\alpha}_n e^{-in(t+s)} \right)$$

"op. exp."      right move      left move

Def': Normal ordering, for  $n \geq 1$

$$:\alpha_{-n} \alpha_n: = :\alpha_n \alpha_{-n}: = \alpha_{-n} \alpha_n \text{ etc. i.e. annihilation to RHS}$$

$$:x_0 p_0: = :p_0 x_0: = x_0 p_0 \quad \text{to avoid extra contri.}$$

HW: (Vertex operator) Work out details in § 11.1.3

$$\text{eg. } :e^{ikx(t,s)}: = U^\dagger e^{ikx_0} e^{ikp_0} U ; \text{ where}$$

$$U \triangleq e^{ik \frac{i}{\sqrt{2}} \sum_{n=1}^{\infty} \frac{1}{n} (\alpha_n z^{-n} + \tilde{\alpha}_n \tilde{z}^{-n})}, \quad z = e^{i(t-s)}, \quad \tilde{z} = e^{i(t+s)}$$

$$\stackrel{(im)}{\lim}_{t \rightarrow -\infty} :e^{ikx(t,s)}: |0\rangle = e^{ikx_0} |0\rangle = |k\rangle, \quad \text{has target space momentum} = \vec{k}.$$

Notice that

$$\begin{aligned} p &= \frac{1}{2\pi} \int_{S^1} \partial_t x \, ds \times \dot{s} = -i \sum_n n x_n \dot{x}_{-n} \\ &= - \sum_{n=1}^{\infty} \alpha_{-n} \alpha_n + \sum_{n=1}^{\infty} \tilde{\alpha}_{-n} \tilde{\alpha}_n \quad \text{Since } p_n = \frac{\tilde{\alpha}_{-n} + \alpha_n}{\sqrt{2}} \end{aligned}$$

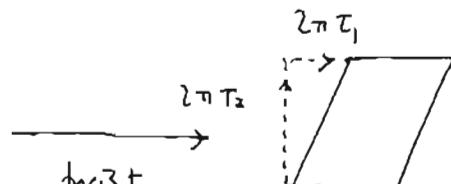
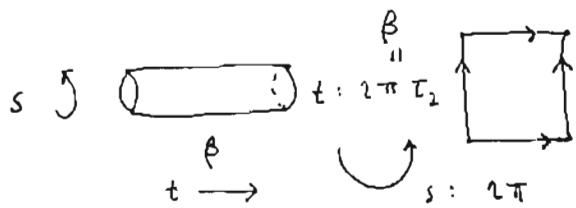
(This does not need normal ordering, why?)

check  $H = \frac{1}{2\pi} \int_{S^1} \frac{1}{2} ((\partial_t x)^2 + (\partial_s x)^2) \, ds$  agrees with the prev. expression (after quantization).

$$\text{Def': } H_R = \frac{1}{2} (H - P) = \frac{1}{2} p_0^2 + \sum_{n=1}^{\infty} \alpha_{-n} \alpha_n - \frac{1}{24}$$

$$H_L = \frac{1}{2} (H + P) = \frac{1}{2} p_0^2 + \sum_{n=1}^{\infty} \tilde{\alpha}_{-n} \tilde{\alpha}_n - \frac{1}{24}$$

$$Z(\beta) = \text{tr } e^{-\beta H}$$



$$\tau = \tau_1 + i \tau_2$$

$$\beta = e^{2\pi i \tau}$$

$$Z(\tau_1, \tau_2) = \text{tr } e^{2\pi i \tau_1 \beta} e^{-2\pi T_2 H} \quad \text{"since } \beta \text{ comes from transl. in } s\text{-direction"}$$

$$= \text{tr } e^{2\pi i \tau H_R} e^{-2\pi i \bar{\tau} H_L}$$

$$\Rightarrow Z(\tau, \bar{\tau}) = \text{tr } g^{H_R} \bar{g}^{H_L} \quad \text{on } \mathcal{H} = \mathcal{H}_0 \otimes \bigotimes_{n=1}^{\infty} \mathcal{H}_n^R \otimes \bigotimes_{n=1}^{\infty} \mathcal{H}_n^L$$

$$\text{Now } (\alpha_{-n} \alpha_n) \alpha_{-n}^\dagger |0\rangle_n = \delta_{n,-n} \alpha_n^\dagger |0\rangle \quad \text{by } [\alpha_n, \alpha_{-n}] = n$$

$$\text{Tr } g^{\alpha_{-n} \alpha_n} | \mathcal{H}_n^R \rangle = \sum_{l=0}^{\infty} f^{l_n} = \frac{1}{1-f^n}$$

$$\text{Similarly } \text{Tr } \bar{g}^{\bar{\alpha}_{-n} \bar{\alpha}_n} | \mathcal{H}_n^L \rangle = \frac{1}{1-\bar{f}^n}$$

$$\begin{aligned} \Rightarrow Z(\tau, \bar{\tau}) &= (g \bar{g})^{-1/4} \text{Tr } (g \bar{g})^{P_0^2/4} | \mathcal{H}_0 \rangle \prod_{n=1}^{\infty} \frac{1}{1-f^n} \prod_{n=1}^{\infty} \frac{1}{1-\bar{f}^n} \\ &= \frac{\text{"V"}}{|\eta(\tau)|^2} \cdot \frac{1}{\sqrt{\tau_2}} \bar{e}^{2\pi \bar{\tau}_2 (-\frac{1}{2} \frac{d^2}{dx^2})} \quad \text{gives a divergent} \\ &\quad \text{continuous spectrum} \times \text{factor } V = \text{vol } IR \\ &\quad e^{ikx} \text{ not } L^2 \end{aligned}$$

Dedekind's eta function

$$\eta(\tau) = g^{1/24} \prod_{n=1}^{\infty} (1-f^n)$$

• indep of point of view of  $(t, s)$  under  $SL(2, \mathbb{Z})$

• even does not dep. on area of  $\Sigma$  (metric?)

only on the upx str.  $\rightarrow$  conformal field theory.

For  $\sigma$  model, this put strong condi. on  $M$ .

$$\text{Rmk: } \eta(\tau+1) = e^{\pi i/12} \eta(\tau); \quad \eta(-1/\tau) = (-i\tau)^{1/2} \eta(\tau)$$

$$*: \int_{IR} e^{-2\pi \tau_2 \cdot \frac{k^2}{2}} dk = \frac{\sqrt{2\pi}}{\sqrt{2\pi \tau_2}} = \frac{1}{\sqrt{\tau_2}}.$$

QFT in 1+1 dim (Conti.)

$$\Sigma = \mathbb{R} \times S^1 \rightarrow S_R^1 : x \sim x + 2\pi R \quad \xrightarrow{\text{quantized by QM.}}$$

$$\text{target momentum } p = \frac{1}{2\pi} \int_{S^1} \partial_t x \, ds = \dot{x}_0(\tau) \mapsto p_0 = i \frac{d}{dx_0}$$

now have discrete spectrum = quant. #:  $p = \frac{l}{R}$ ,  $l \in \mathbb{Z}$   
and the target "top" charge. i.e. quantized classically.

$$\omega = \frac{1}{2\pi} \int_{S^1} \partial_s x \, ds = mR, m \in \mathbb{Z} : \text{winding #}$$

$\hat{H} = \bigoplus_{l,m} \hat{H}_{(l,m)}$ ;  $\hat{H}_{(l,m)}$  gen by:  $\alpha_n, \tilde{\alpha}_n$  acting on  $|l,m\rangle$   
 $|l,m\rangle$  killed by  $\alpha_n, \tilde{\alpha}_n$  &  $n > 0$ .

$$\left. \begin{array}{ll} e^{i \frac{\theta}{R} x_0} . \text{ shifts moment.} & | \\ \left[ x_0, p_0 \right] = i & | \\ \exists e^{imR \hat{x}_0} . \text{ shifts winding #} & \\ \left[ \hat{x}_0, \omega_0 \right] = i & \end{array} \right.$$

Then  $x(t,s) = x_R (+-s) + x_L (+s)$  even for "0-sector"

$$\begin{aligned} &= \frac{1}{2} (x_0 - \hat{x}_0) + \frac{t-s}{\sqrt{2}} \frac{p_0 - \omega_0}{\sqrt{2}} + \frac{i}{\sqrt{2}} \sum_{n \neq 0} \frac{\alpha_n}{n} e^{-in(+-s)} & p_R := \frac{p_0 - \omega_0}{\sqrt{2}} \\ &+ \frac{1}{2} (x_0 + \hat{x}_0) + \frac{t+s}{\sqrt{2}} \frac{p_0 + \omega_0}{\sqrt{2}} + \frac{i}{\sqrt{2}} \sum_{n \neq 0} \frac{\tilde{\alpha}_n}{n} e^{-in(+s)} & p_L := \frac{p_0 + \omega_0}{\sqrt{2}} \end{aligned}$$

$$\begin{aligned} \text{Hence } H_R &= \frac{1}{2} (H - p) = \frac{1}{2} p_R^2 + \sum_{n=1}^{\infty} \alpha_n \tilde{\alpha}_n - \frac{1}{2\pi} \\ H_L &= \frac{1}{2} (H + p) = \frac{1}{2} p_L^2 + \sum_{n=1}^{\infty} \tilde{\alpha}_n \tilde{\alpha}_n - \frac{1}{2\pi} \end{aligned}$$

$$\Rightarrow Z(\tau, \bar{\tau}; R) = \frac{1}{|\eta(\tau)|^2} \sum_{l,m} g \not\in \left( \frac{k}{R} - mR \right)^2 \not\in \left( \frac{k}{R} + mR \right)^2$$

$$T\text{-duality } R \mapsto 1/R : Z(\tau, \bar{\tau}; R) = Z(\tau, \bar{\tau}; 1/R)$$

$$\begin{aligned} \text{Moreover, } \hat{H}_{(l,m)} &\mapsto \hat{H}_{(m,l)} \quad \text{uv. to switch } l \leftrightarrow m \\ (p_R, p_L) &\mapsto (-\hat{p}_R, \hat{p}_L) \\ (\alpha_n, \tilde{\alpha}_n) &\mapsto (-\hat{\alpha}_n, \tilde{\hat{\alpha}}_n) \quad (\text{check}) \end{aligned}$$

Thus:  $\hat{x}(+,s) = -x_R (+-s) + x_L (+s)$  in quantum level.

This concludes the operator formalism.

$(\Sigma_g, h) \xrightarrow{x} S^1_R$  let  $\varphi := \frac{x}{R}$  periodic  $2\pi$   
 R.S. of genus  $g$  now assume Riemannian

$$S(\varphi) := \frac{1}{4\pi} \int_{\Sigma} R^2 |d\varphi|_h^2$$

$$S'(\varphi, B) := \frac{1}{4\pi} \int_{\Sigma} \frac{1}{R^2} |B|_h^2 + \frac{i}{2\pi} \int_{\Sigma} B \wedge d\varphi$$

then  $S(\varphi) = S'(\varphi, B)$  for  $B = iR^2 * d\varphi$  (since  $a \wedge (*a) = |a|^2$ )

$$\text{Do } \int DB \int D\varphi e^{-S'(\varphi, B)}; \quad d\varphi = d\varphi_0 + \sum_{i=1}^{2g} 2\pi n_i w^i$$

over  $\varphi_0$  &  $n_i$ ; with  $w^i$  basis of  $H^1(\Sigma, \mathbb{Z})$

$$\int_{\Sigma} B \wedge d\varphi_0 = \int_{\Sigma} dB \wedge \varphi_0 \quad \varphi_0 \text{ (single valued) fun on } \Sigma.$$

In order to be inv. under  $\varphi_0 \mapsto \varphi_0 + \psi_i$   $\psi_i$  dual basis in  $H_1(\Sigma, \mathbb{Z})$

$\Rightarrow dB = 0 \Rightarrow B = d\varphi_0 + \sum_{i=1}^{2g} a_i w^i$ .  $\varphi$  shifts by  $2\pi n_i$  along  $\psi_i$ .

$$\Rightarrow \int_{\Sigma} B \wedge d\varphi = 2\pi \sum_{i,j} a_i n_j \underbrace{\int_{\Sigma} w^i \wedge w^j}_{\downarrow} \circ J^{ij} \text{ invertible } \mathbb{Z}\text{-matrix}$$

Poisson summation:

$$\sum_{n \in \mathbb{Z}} e^{ian} = 2\pi \sum_{m \in \mathbb{Z}} \delta(a - 2\pi m) \quad n^i := \eta_i J^{ij} \in \mathbb{Z} \quad (\text{Poincaré duality})$$

$\nwarrow$  Fourier transf of  $e^{iax}$  (why?)

$B$  has contribution in  $\int DB$  only when  $a_i \in \mathbb{Z} \cdot 2\pi$

$$\text{So } B = d\varphi_0 + 2\pi \sum_{i=1}^{2g} m_i w^i =: d\vartheta, \quad \vartheta \text{ periodic } 2\pi$$

$$e^{-S'(\varphi, B)} \longleftrightarrow e^{-S'(\vartheta)}; \quad S'(\vartheta) = \frac{1}{4\pi} \int_{\Sigma} \frac{1}{R^2} |d\vartheta|_h^2$$

This is the T-duality:  $R \mapsto 1/R$

$$\text{with } R d\varphi = \frac{i}{R} * B = i\left(\frac{1}{R}\right) * d\vartheta \quad (*^2 = -1)$$

$$\partial\varphi / \partial s\varphi \longleftrightarrow \partial\vartheta / \partial s\vartheta \quad \text{exchange momentum \& winding \# measurement}$$

HW: (p. 252) Show that the

vertex operator  $e^{is\vartheta}$  creates the shift of unit winding number.

•  $\sigma$ -model on  $T^2$ :  $\Sigma \xrightarrow{\chi} T^2 = M$

p. 19

If  $T^2 = S'_{R_1} \times S'_{R_2}$  rectangular tori  $\mathcal{H} = \mathcal{H}_1 \otimes \mathcal{H}_2$

parameter  $(R_1, R_2)$  is equiv. to  $A = \frac{\beta_{\text{reg}}}{(2\pi)^2} = R_1 R_2$ ;  $\sigma = i \frac{R_1}{R_2}$

T-duality for the 2nd factor:

$(A, \text{im } \sigma) \leftrightarrow (R_1/R_2, R_1 R_2) = (A', \text{im } \sigma') = (\text{im } \sigma, A)$

Kähler str  $\leftrightarrow$  upx str. exchange Symp/upx str.

General case: upx str:  $\sigma = \sigma_1 + i\sigma_2 \in \mathbb{C}$  (moduli)

$\otimes \mathbb{C}$ -Kähler str:  $\rho = \frac{B}{2\pi} + iA \in \mathbb{C}$   $B \in H^2(M, \mathbb{R})/H^2(M, \mathbb{Z}) \cdot 2\pi$

and  $Z := \int D\chi e^{-S + i\int_S \chi^* B}$

hw: Formulate  $S$  correctly for  $T^2$  a general torus. Compute  $Z$  with  $B$ -fields and show inv. under T-duality exchanges  $\sigma/\rho$ .

Free Dirac Fermion (spinor/ $\mathbb{C}$ ); A summary

$\mathcal{Cl}_{1,1}^{\mathbb{C}}$ : Clifford algebra (bundle) at  $T_p^{\mathbb{C}} \Sigma = \langle e^+, e^s \rangle \otimes \mathbb{C}$

$\downarrow$

$\Sigma = \mathbb{R} \times S^1$ : Minkowski;  $\langle , \rangle$   
t s

$$uv + vu + 2\langle u, v \rangle = 0$$

$$\text{i.e. } (e^+)^2 = 1 = -(e^s)^2 \\ e^+ e^s = -e^s e^+$$

$\mathcal{U}_{1,1}^{\mathbb{C}} \cong \text{End } S$ ;  $S = S_- \oplus S_+$  deform of  $\Lambda^* T\Sigma$

Spinor repr  $\cong \mathbb{C}^2 \ni \psi = \begin{pmatrix} \psi_- \\ \psi_+ \end{pmatrix} \in \Gamma(\Sigma, S)$

$e^+ \mapsto \gamma^+ = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, e^s \mapsto \gamma^s = \begin{pmatrix} 0 & -1 \\ -1 & 0 \end{pmatrix}$

$S(\psi) = \frac{1}{2\pi} \int_{\Sigma} i \bar{\psi} \underbrace{\gamma^\mu \partial_\mu \psi}_{\not{D} \text{ op.}} dt ds \quad \bar{\psi} := \psi^+ \gamma^+ = (\bar{\psi}_+, \bar{\psi}_-)$

i.e.  $\langle , \rangle$  on  $S = i \psi^+ \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \psi_-$

$\Rightarrow \delta S = \frac{1}{2\pi} \int_{\Sigma} 2i \bar{\psi} \not{D} \psi$   $\not{D}$  adjoint op.

eq'n of motion  $0 = \not{D} \psi = \begin{pmatrix} 0 & \partial_t - \partial_s \\ \partial_t + \partial_s & 0 \end{pmatrix} \begin{pmatrix} \psi_- \\ \psi_+ \end{pmatrix}$

i.e.  $\psi_-(t, s) = f(t-s)$  right move.  
 $\psi_+(t, s) = g(t+s)$  left move.

Rotations:  $\psi_{\pm} \mapsto e^{-i\alpha} \psi_{\pm}$  vector  $\rightarrow F_V = \frac{1}{2\pi} \int_{\Sigma} (\bar{\psi}_- \psi_- + \bar{\psi}_+ \psi_+) ds$

$\psi_{\pm} \mapsto e^{\mp i\beta} \psi_{\pm}$  axial  $\rightarrow F_A = \frac{1}{2\pi} \int_{\Sigma} (-\bar{\psi}_- \psi_+ + \bar{\psi}_+ \psi_-) ds$

$$H = \frac{1}{2\pi} \int_{S^1} (-i\bar{\psi}_- ds \psi_- + i\bar{\psi}_+ ds \psi_+) ds$$

$$P = \frac{1}{2\pi} \int_{S^1} (i\bar{\psi}_- ds \psi_- + i\bar{\psi}_+ ds \psi_+) ds \quad > \text{ho dt by Dirac eq'n.}$$

Again, decompose into Fourier modes on  $S^1$ . For periodic bd. cond.

$$\psi_- = \sum \psi_n(t) e^{ins} \quad \psi_-^\dagger = \bar{\psi}_- = \sum \bar{\psi}_n(t) e^{ins} \Rightarrow \bar{\psi}_n = \psi_n^\dagger$$

$$\psi_+ = \sum \tilde{\psi}_n(t) e^{ins} \quad \psi_+^\dagger = \bar{\psi}_+ = \sum \tilde{\bar{\psi}}_n(t) e^{ins} \Rightarrow \tilde{\bar{\psi}}_n = \tilde{\psi}_n^\dagger$$

$$\Rightarrow S = \int \sum_{n \in \mathbb{Z}} \left( i\bar{\psi}_{-n}(\partial_t + in) \psi_n + i\bar{\tilde{\psi}}_{-n}(\partial_t + in) \tilde{\psi}_n \right) dt \quad Q: \text{why not just say } \psi_n = \bar{\psi}_{-n}?$$

$$\Rightarrow \pi_n = \frac{\delta L}{\delta (\partial_t \psi_n)} = i\bar{\psi}_{-n}$$

$$\text{Quantization: } \{ \psi_n, \bar{\psi}_m \} = \delta_{n+m,0} \quad \& \quad \{ \tilde{\psi}_n, \tilde{\bar{\psi}}_m \} = \delta_{n+m,0} \quad \text{but}$$

Now each  $n$ ,  $\psi_n, \bar{\psi}_{-n}$  is up in a 2 dim v.s. (as in Boson.) even  $n=0$ .

- $H_n(t) = n \bar{\psi}_{-n} \psi_n$ : in this sector,  $|0\rangle_n$ : killed by  $\psi_n$  if  $n > 0$
- $H_n(t) = n \bar{\tilde{\psi}}_{-n} \tilde{\psi}_n$ : similarly get  $|\tilde{0}\rangle_n$ . "  $\bar{\psi}_{-n}$  if  $n < 0$

$$|0\rangle := \bigotimes_{n \geq 0} |0\rangle_n \otimes |\tilde{0}\rangle_n \quad \text{eg. } \tilde{\bar{\psi}}_0 |0\rangle_0 = 0 \quad \text{any one of the 2 if } n=0$$

$$H = \sum_{n \in \mathbb{Z}} (n \bar{\psi}_{-n} \psi_n + n \bar{\tilde{\psi}}_{-n} \tilde{\psi}_n) = \sum_{n \in \mathbb{Z}} n : \bar{\psi}_{-n} \psi_n : + n : \bar{\tilde{\psi}}_{-n} \tilde{\psi}_n : + 1/6$$

$$\text{Since } \sum_{n=1}^{\infty} (-2n) = 1/6.$$

so  $|0\rangle$  has energy  $E_0 = 1/6$

But how there are 4 such ground states:  $|\psi_0\rangle, |\bar{\psi}_0\rangle, |\psi_0\bar{\psi}_0\rangle, |\tilde{\psi}_0\rangle$ .

For twisted bd. cond. Easier:  $P = \sum_{n \in \mathbb{Z}} -n : \bar{\psi}_n \psi_n : + n : \bar{\tilde{\psi}}_n \tilde{\psi}_n :$

$$\psi_-(t, s+2\pi) = e^{2\pi i a} \psi_-(t, s)$$

Periodic: Ramond Sector

$$\psi_+(t, s+2\pi) = e^{-2\pi i \tilde{a}} \psi_+(t, s)$$

Anti-P: Neveu-Schwarz Sector

$$H_R = \frac{1}{2}(H-P) = \sum_{r \in \mathbb{Z} + a} r : \bar{\psi}_{-r} \psi_r : + \frac{1}{2} \left( \{a\} - \frac{1}{2} \right)^2 - \frac{1}{24}$$

$$\text{eg. } (a, \tilde{a}) = (0, 0), (0, \frac{1}{2}), (\frac{1}{2}, 0), (\frac{1}{2}, \frac{1}{2})$$

$$H_L = \frac{1}{2}(H+P) = \sum_{r \in \mathbb{Z} + \tilde{a}} r : \bar{\tilde{\psi}}_{-r} \tilde{\psi}_r : + \frac{1}{2} \left( \{\tilde{a}\} - \frac{1}{2} \right)^2 - \frac{1}{24}$$

$$R-R \quad R-\bar{N}S \quad \bar{N}S-R \quad NS-NS$$

Partition function: need bd cond on  $t \mapsto t + 2\pi\tau_2$ :  $e^{2\pi i b}; e^{-2\pi i \tilde{b}}$

$$Z = \text{Tr} \left( e^{-2\pi i (b - \frac{1}{2}) F_R} e^{2\pi i (b - \frac{1}{2}) F_L} e^{-2\pi i \tau_1 P} e^{2\pi i \tau_2 H} \right) \quad z = z_1 + i z_2$$

$$F_R = \frac{1}{2} (F_V - F_A) = \sum_{r \in \mathbb{Z} + a} : \bar{\psi}_{-r} \psi_r : + \left( \{a\} - \frac{1}{2} \right)$$

when  $(a, b) = (\tilde{a}, \tilde{b}) = (0, 0), (0, \frac{1}{2}), (\frac{1}{2}, 0), (\frac{1}{2}, \frac{1}{2})$

$$F_L = \frac{1}{2} (F_V + F_A) = \sum_{r \in \mathbb{Z} + \tilde{a}} : \bar{\tilde{\psi}}_{-r} \tilde{\psi}_r : + \left( \{\tilde{a}\} - \frac{1}{2} \right)$$

$$\Rightarrow Z_{[*, *]} = \frac{|\langle \theta_{[*, *]}(0, z) \rangle|^2}{|\gamma(z)|^2}.$$

Systematic way to get SUSY lagrangian.

$$\mathbb{R}^2 \rightarrow (\tau, s) = (x^0, x^1)$$

Minkowski

This is (2,2) superspace.



i.e. spinors

Super fields :  $f(x, \theta) = f_0 + \theta^+ f_+ + \theta^- f_- + \bar{\theta}^+ f'_+ + \bar{\theta}^- f'_-$   
 $+ \theta^+ \theta^- f_{+-} + \dots$  (2<sup>4</sup> = 16 terms)  
 $f_* = f_*(x^0, x^1)$

$$x^I := x^0 \pm x^1, \quad \partial_\pm = \frac{\partial}{\partial x^\pm} = \frac{1}{2} \left( \frac{\partial}{\partial x^0} \pm \frac{\partial}{\partial x^1} \right)$$

$$Q_\pm := \frac{\partial}{\partial \theta^\pm} + i \bar{\theta}^\mp \partial_\pm; \quad \bar{Q}_\pm := -\frac{\partial}{\partial \bar{\theta}^\pm} - i \theta^\mp \partial_\pm$$

$$D_\pm := \frac{\partial}{\partial \theta^\pm} - i \bar{\theta}^\mp \partial_\pm; \quad \bar{D}_\pm := -\frac{\partial}{\partial \bar{\theta}^\pm} + i \theta^\mp \partial_\pm$$

then  $\{Q_I, \bar{Q}_J\} = -2i \delta_{IJ}$ ,  $\{D_I, \bar{D}_J\} = 2i \delta_{IJ}$ , All others = 0.

chiral super field  $\bar{\Phi}$ :  $\bar{D}_\pm \bar{\Phi} = 0$ .

HW 1:  $\bar{\Phi} = \phi(y^\pm) + \theta^\alpha \psi_\alpha(y^\pm) + \theta^+ \theta^- f(y^\pm)$  <use left>  
with  $y^\pm := x^\pm - i \theta^\pm \bar{\theta}^\mp$  (Dirac Fermion diff order and chain rule)

other choices: anti-chiral  $D_\pm \bar{\Phi} = 0$  ( $\Rightarrow \bar{\Phi}$  chiral)  
twisted chiral  $\bar{D}_+ U = 0 = D_- U$  ( $\bar{U}$  tw-anti)

SUSY Action: let  $\delta = \epsilon_+ Q_- - \bar{\epsilon}_+ \bar{Q}_- - \epsilon_- Q_+ + \bar{\epsilon}_- \bar{Q}_+$

• D-term:  $\int d^2x d^4\theta K(f_i)$ ;  $d^4\theta := d\theta^+ d\theta^- d\bar{\theta}^+ d\bar{\theta}^-$ .

$$\delta(-) : \bar{\epsilon}_- \text{ wff: } \bar{\epsilon}_- \bar{Q}_+ K \quad \Longleftrightarrow \quad -\bar{\epsilon}_- \left( \frac{\partial K}{\partial \bar{\theta}^+} + i \theta^+ \frac{\partial K}{\partial x^+} \right) \stackrel{\int}{\longleftarrow} 0$$

• F-term:  $\int d^2x \frac{d^2\theta}{d\theta^+ d\theta^-} W(\bar{x}_i)$  chiral  $\bar{\theta}^+ \bar{\theta}^-$  total derivative  
s.f. This means setting  $\bar{\theta}^\pm = 0$ .

$$\delta(-) : \bar{\epsilon}_- \text{ wff: } \text{note } \bar{Q}_- = \bar{D}_- - 2i \theta^- \partial_-$$

$$\text{get } -2i \bar{\epsilon}_- \theta^- \frac{\partial W}{\partial x^-} \text{ total deriv.} \stackrel{\int}{\longleftarrow} 0$$

• twisted F-term:  $\int d^2x d^2\bar{\theta} \tilde{W}(U_i)$ ;  $d^2\bar{\theta} = d\bar{\theta}^- d\theta^+$ ,  $\tilde{W}$  hol.  $U_i$ : anti-chiral

# Basic Examples

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① One chiral s.f.  $\bar{\Phi} = \phi(y^\pm) + \theta^\alpha \psi_\alpha(y^\pm) + \theta^+ \theta^- F(y^\pm)$

Taylor  $\Rightarrow \bar{\Phi} = \phi - i\theta^\pm \bar{\theta}^\pm \partial_\pm \phi - \theta^+ \theta^- \bar{\theta}^- \bar{\theta}^+ \partial_+ \partial_- \phi$

$$y^\pm = x^\pm - i\theta^\pm \bar{\theta}^\pm + \theta^\pm \psi_\pm - i\theta^\pm \bar{\theta}^\mp \bar{\theta}^\mp \partial_\mp \bar{\psi}_\pm + \theta^+ \theta^- F$$

$$(\psi_1 \psi_2)^\Gamma = \psi_1^+ \psi_1^+ \Rightarrow \bar{\bar{\Phi}} = \bar{\phi} + i\theta^\pm \bar{\theta}^\pm \partial_\pm \bar{\phi} - \theta^+ \bar{\theta}^- \bar{\theta}^+ \partial_+ \partial_- \bar{\phi} - \bar{\theta}^\pm \bar{\psi}_\pm - i\bar{\theta}^\pm \theta^+ \bar{\theta}^+ \partial_\mp \bar{\psi}_\pm + \bar{\theta}^- \bar{\theta}^+ \bar{F}$$

• Kinetic D term:

$$S_{kin} = \int d^2x d^4\theta \bar{\bar{\Phi}} \bar{\Phi} = \int d^2x \left( -\bar{\phi} \partial_+ \partial_- \phi + \partial_\pm \bar{\phi} \partial_\mp \phi - \partial_+ \partial_- \bar{\phi} \phi \right)$$

free scalar      free Dirac fermion      auxiliary field

$$\begin{aligned} \text{Int. by parts} &= \int d^2x \left( \left\{ |\partial_0 \phi|^2 - |\partial_1 \phi|^2 \right\} \frac{1}{2} + 2i \bar{\psi}_\pm \partial_\mp \psi_\pm + |F|^2 \right) \\ &\quad \text{free scalar} \qquad \text{free Dirac fermion} \qquad \text{auxiliary field} \end{aligned}$$

• F-term:  $S_W = \int d^2x d^2\theta (W(\bar{\Phi}) + \bar{W}(\bar{\Phi}))$  to make it real.

$$= \int d^2x (W'(\phi) F - W''(\phi) \psi_+ \psi_- + \bar{W}'(\bar{\phi}) \bar{F} - \bar{W}''(\bar{\phi}) \bar{\psi}_- \bar{\psi}_+)$$

$$S = S_{kin} + S_W = \int d^2x \left( \text{scalar} + \text{Dirac} - |W'(\phi)|^2 - (W''(\phi) \psi_+ \psi_- + \bar{W}''(\bar{\phi}) \bar{\psi}_- \bar{\psi}_+) \right)$$

set  $F = -\bar{W}'(\bar{\phi})$        $+ |F + \bar{W}'(\bar{\phi})|^2$  potential      Yukawa

Rank: Can  $\delta$  or  $\bar{\Phi}$  be written in  $\delta\phi, \delta\psi_\pm, \delta\bar{\psi}_\pm, \delta F$ ?

$\bar{\partial}_\mp \bar{\Phi} = 0$ , this is possible only if  $\bar{\partial}_\mp \delta \bar{\Phi} = 0$  as well.

this is OK since  $Q_\pm, \bar{Q}_\pm$  anti-commute with  $\bar{\partial}_\pm$ .

conserved current & charges:

$$L_{Wd}: Q_\pm = \int dx^i G_T^\pm = \int dx^i (2(\partial_\pm \bar{\phi}) \psi_\pm \mp i \bar{\psi}_\mp \bar{W}'(\bar{\phi}))$$

$$\bar{Q}_\pm = \int dx^i \bar{G}_T^\pm = \int dx^i (2 \bar{\psi}_\pm (\partial_\pm \phi) \pm i \psi_\mp W'(\phi))$$

Rotation Symmetries  $U(1)$  sym.

Axial:  $\theta^\pm \mapsto e^{\mp i\alpha} \theta^\pm$ ;  $F_A = \int dx^i J_A^\pm = \int dx^i (\bar{\psi}_+ \psi_+ - \bar{\psi}_- \psi_-)$

Vector:  $\theta^\mp \mapsto e^{-i\alpha} \theta^\pm$ ; D-term is OK. ( $\theta^\mp$  is inv.)

$\theta^\pm \mapsto e^{-2i\alpha} \theta^\pm \Rightarrow F\text{-term inv only if } W(\bar{\Phi}) \mapsto e^{2i\alpha} W(\bar{\Phi})$

$$\Rightarrow F_V = \int dx^i J_V^\pm = \int dx^i \left\{ \frac{2i}{k} ((\partial_0 \bar{\phi}) \phi - \bar{\phi} \partial_0 \phi) - \left( \frac{2}{k} - 1 \right) (\bar{\psi}_+ \psi_+ - \bar{\psi}_- \psi_-) \right\} \bar{\Phi}^k \text{ monomial}$$

② Due twisted chiral s.f.  $\cup$

p.23

$$S = - \int d^3x d\theta^+ \bar{U} U + \int d^3x d\bar{\theta}^- (\tilde{W}(U) + \bar{\tilde{W}}(\bar{U}))$$

$$d\bar{\theta}^- d\theta^+$$

Notice chiral sf.  $\leftrightarrow$  twisted chiral sf.  $\Leftrightarrow$   $\theta^- \leftrightarrow -\bar{\theta}^-$   
in particular,  $Q_- \leftrightarrow \bar{Q}_-$ ;  $F_V \leftrightarrow F_A$ : ( $\bar{D}_- \leftrightarrow D_-$ )

Axial:  $\begin{cases} \theta^+ \mapsto e^{-i\alpha} \theta^+ \\ \theta^- \mapsto e^{i\alpha} \theta^- \end{cases} \rightsquigarrow \bar{\theta}^- \mapsto e^{i\alpha} \bar{\theta}^-$  i.e.  $\theta^- \mapsto e^{i\alpha} \bar{\theta}^-$  ) vector

$N=(2,2)$  SUSY QFT:

start with a classical SUSY FT (for a few fields)

$\rightsquigarrow$  4 supercharges  $Q_{\pm}, \bar{Q}_{\pm}$

Noether charges for time	$\frac{\partial}{\partial x^0}$	H
spatial	$\frac{\partial}{\partial x^i}$	P
Lorentz rotation	$x^0 \partial_1 + x_1 \partial_0$	M

R-rotations  $F_V, F_A$ .

If the symmetries are not lost in quantum theory (no anomaly)  
then conserved charges  $\mapsto$  sym. transf. in QT

$$\text{eg. } \delta_0 = [\hat{\delta}, 0] \text{ with } \hat{\delta} = it + Q_- - i\bar{E}_+ \bar{Q}_- - it - Q_+ + i\bar{E}_- \bar{Q}_+$$

$$Q_{\pm}^2 = \bar{Q}_{\pm}^2 = 0, \{Q_{\pm}, \bar{Q}_{\pm}\} = H \pm P, \text{ others} = 0$$

$$[iF_A, Q_{\pm}] = \mp iQ_{\pm}, [iF_V, Q_{\pm}] = -iQ_{\pm}, \text{ also on } \bar{Q}_{\pm}.$$

another version: without  $F_V, (F_A)$ . Then can allow

$$\{\bar{Q}_+, \bar{Q}_-\} = Z, (\{\bar{Q}_+, Q_-\} = \bar{Z}): \text{ central charges}$$

Def': The above defines  $N=(2,2)$  SUSY algebra.

(\*)  $Z_2$  outer auto.  $Q_- \leftrightarrow \bar{Q}_-$ ;  $F_V \leftrightarrow F_A$ ;  $Z \leftrightarrow \bar{Z}$ .

Def': Two  $N=(2,2)$  SUSY alg are mirror to each other

if they are born as QFT, which is induced by (\*).

Let  $\delta_{ij} = \text{diag } K(\bar{\epsilon}^k, \bar{\epsilon}^k) > 0$        $\bar{\epsilon}^1, \dots, \bar{\epsilon}^n$  chiral multiplet  
 i.e.  $ds^2 = \delta_{ij} dt^i d\bar{t}^j$  metric on (local)  $\mathbb{C}^n$

Fact: Levi-Civita  $\Gamma_{ijk}^l = \delta_{ij} \delta_{kl} = \bar{\Gamma}_{\bar{j}\bar{k}}^l$  (no others)

$L_{kin} = \int d^4\theta K(\bar{\epsilon}, \bar{\epsilon})$  in terms of component fields

$$\begin{aligned} &= -\delta_{ij} \partial^\mu \phi^i \partial_\mu \bar{\phi}^j + i \delta_{ij} \bar{\psi}^j D_\pm \psi^i + R_{ijk\bar{l}} \psi^i \psi^k \bar{\psi}^j \bar{\psi}^l \\ \text{LW3:} \quad &+ \delta_{ij} (\underline{F^i - \Gamma_{jk}^i \psi^k \psi^l} \underline{F^l - \Gamma_{ik}^l \bar{\psi}^k \bar{\psi}^l}) \end{aligned}$$

This can be globally defined for  $\frac{\text{modulus}}{\text{total diff.}} \bar{\psi}^j \equiv \bar{\psi}^j$

$\phi: \Sigma \rightarrow M$  Kähler mfd.       $\psi^\pm \in \mathcal{P}(\Sigma, \phi^* T \otimes S_\pm)$

$\bar{\psi}^\pm \in \mathcal{P}(\Sigma, \phi^* \bar{T} \otimes S_\pm)$

But the SUSY can only be checked locally.

$$L_W = \frac{1}{2} \int d^2\theta (W(\bar{\epsilon}) + \overline{W(\bar{\epsilon})}) = \frac{1}{2} (\underline{F^i \partial_i W + \bar{F}^{\bar{i}} \partial_{\bar{i}} \bar{W}}) \frac{1}{2} \underline{\delta_i \delta_j W} \psi^i \psi^j - \frac{1}{2} \underline{\delta_i \delta_j \bar{W}} \bar{\psi}^i \bar{\psi}^j$$

`holo, 2 only if  $M$  non-cpt'

$$\text{Set } F^i = \Gamma_{jk}^i \psi^j \psi^k - \frac{1}{2} g^{i\bar{l}} \partial_{\bar{l}} \bar{W}; \quad \bar{F}^{\bar{j}} = \overline{\Gamma_{ik}^{\bar{j}}} \bar{\psi}^i \bar{\psi}^k - \frac{1}{2} g^{\bar{j}\bar{l}} \partial_{\bar{l}} \bar{W}$$

$$\Rightarrow L = -\delta_{ij} \partial^\mu \phi^i \partial_\mu \bar{\phi}^j + i \delta_{ij} \bar{\psi}^j D_\pm \psi^i + R_{ijk\bar{l}} \psi^i \psi^k \bar{\psi}^j \bar{\psi}^l - \frac{1}{4} g^{i\bar{j}} \partial_{\bar{l}} \bar{W} \partial_l W - \frac{1}{2} D_i (\partial_j W) \psi^i \psi^j - \frac{1}{2} D_{\bar{i}} (\partial_{\bar{j}} \bar{W}) \bar{\psi}^i \bar{\psi}^j.$$

$$\delta \int d^2x L = \int d^2x ( \partial_\mu \epsilon_+ G_-^\mu - \partial_\mu \epsilon_- G_+^\mu + \partial_\mu \bar{\epsilon}_- \bar{G}_+^\mu - \partial_\mu \bar{\epsilon}_+ \bar{G}_-^\mu )$$

A direct generalization of HW2 works for super charges

$$Q^\pm = \int dx^1 G_\pm^0 = \int dx^1 ( 2 \delta_{ij} (\partial_\pm \bar{\psi}^j) \psi^i \mp \frac{i}{2} \bar{\psi}^j \partial_{\bar{j}} \bar{W} )$$

$$\bar{Q}^\pm = \int dx^1 \bar{G}_\pm^0 = \dots$$

For R-rotations: notice  $\theta^4$  inv. but not  $\theta^2 \mapsto e^{-2i\alpha} \theta^2$

D term:  $S_{kin}$ , always  $U(1)_V, U(1)_A$ -inv by setting  $\Psi^i$  charge 0  
 if  $K(\bar{\epsilon}^i, \bar{\epsilon}^i)$  dep only on  $|\bar{\epsilon}^i|^2$ , can do any charge.

F term:  $S_W$ ,  $U(1)_A$ -inv ok by setting 0 R-charge to  $\bar{\epsilon}^i$ .

For  $U(1)_V$ : An assignment is possible  $\Leftrightarrow W(\lambda^i \bar{\epsilon}^i) = \lambda^2 W(\bar{\epsilon}^i)$ .

Anomaly. Toy model.

$$S = \int_{T^2} d^2z (i\bar{\psi}_+ D_z \psi_+ + i\bar{\psi}_- D_{\bar{z}} \psi_-) \quad \psi_{\pm} \in \Gamma(T^2, E \otimes S_{\pm})$$

Dirac with her. conn on \$E\$

inv. under \$V: e^{-iz}, \quad A: \psi\_{\pm} \mapsto e^{\mp i\beta} \psi\_{\pm}\$

Atiyah-Singer index thm

$$\dim \ker D_{\bar{z}} - \dim \ker D_z = \int_{T^2} ch(E) \cdot \hat{A}(T^2) = k$$

If \$k > 0\$, then \$\int\_D \psi\_+ D\_{\bar{z}} \psi\_- e^{-S[\psi, \bar{\psi}]} = 0\$ in zero modes. \$\Sigma\$.  
but \$\langle \psi\_{-(z\_1)} \dots \psi\_{-(z\_k)} \bar{\psi}\_+(w\_1) \dots \bar{\psi}\_+(w\_k) \rangle \neq 0 \xrightarrow{A} e^{2ik\beta}\$. not inv.

\$\sigma\$-model : \$\phi: \Sigma // T^2 \rightarrow M, \quad E = \phi^\* T\_M^{\vee 0}\$

anomaly free requires \$\langle \phi^\* u(M), \Sigma \rangle = 0\$. eg. Calabi-Yau.

\$\left\{ \begin{array}{l} \text{CY } \sigma\text{-model} \\ \sigma\text{-model } h \neq 0 \\ \text{LG model on CY with general } W \\ \text{LG model on CY with quasi-homog } W \end{array} \right.\$	\$U(1)_A \quad U(1)_V\$
	\$x \quad U(1)_V\$
	\$U(1)_A \quad x\$
	\$U(1)_A \quad U(1)_V\$

This suggests CY/LG correspondence as "Mirror Sym"

on \$T^2\$ can be checked on ground states via T-duality.

Renormalization

\$\sigma\$ model \$(\Sigma, h) \xrightarrow{f} (M, g)\$ Kähler

$$\text{classical action } S = \int_{\Sigma} \sqrt{h} \int^2 \times \left( \delta_{ij} h^{mn} \partial_m \phi^i \partial_n \bar{\phi}^j + i \delta_{ij} \bar{\psi}^j \gamma^m D_m \psi^i + R_{ijkl} \psi^i \psi^k \bar{\psi}^l \bar{\psi}^j \right)$$

MV. under scale transf.

$$h_{\mu\nu} \mapsto \lambda^2 h_{\mu\nu} \quad \text{via } \phi^i \mapsto \phi^i, \quad \gamma^k \mapsto \lambda^{-1} \gamma^k, \quad \psi_{\pm} \mapsto \lambda^{-1/2} \psi_{\pm}$$

Quantum level?

$$\text{since } \{\gamma^m, \gamma^n\} = -2h^{mn}$$

clifford relation

still require \$u(M)=0\$ to keep sym.

Rank: In fact, \$[\omega] \mapsto [\omega] - \log \lambda \cdot u(M)\$ for \$\omega = \omega\_g\$.

$$S = \frac{1}{2} \int g_{IJ}(\phi) \partial_\mu \phi^I \partial^\mu \phi^J d^2x \sqrt{h}$$

$\phi^I = \phi_0^I + \zeta^I$  expansion near a pt  $\phi_0 \in M$

$$g_{IJ}(\phi) = \delta_{IJ} - \frac{1}{3} R_{IKJL}(\phi_0) \zeta^K \zeta^L + O(\zeta^3) \quad \text{RNC at } \phi_0$$

- Recall 0-dim QFT :

$$\langle 0 \rangle = \frac{1}{Z(M, c)} \int d^4x e^{-\frac{1}{2} \sum_i M_{ij} x_j^i + C_{ijkl} x_i x_j x_k x_l} \cdot O(x_1, \dots, x_n)$$

2 pt functions at  $C=0$  (propagator)

$$\langle x_i x_j \rangle_{(0)} = \frac{1}{Z(M, 0)} \int d^4x e^{-\frac{1}{2} \sum_i M_{ij} x_j^i} x_i x_j = M^{ij}$$

i.e.  $M_{ij} \langle x_i x_k \rangle_{(0)} = \delta_{ik}$  "2pt fun = Green fun"

Feynman diagram

$$\langle x_i x_j \rangle = \text{---} + \text{---} + \text{---} + \dots$$

0-loop      1-loop      2-loop

$\frac{i}{M^{ij}}$        $x_i x_j$   
-  $C_{ijkl}$  vertex

$$\langle x_i x_j x_k x_l \rangle = \text{---} + \text{---} + \text{---} + \dots$$

$\langle x_i x_j x_k x_l \rangle_{(0)}$        $\langle x_i x_j x_k x_l \rangle_{(1)}$

+ This means  
sum up to

HW 7.1. Calculate 2pt, 4pt fun at 1-loop level.

- Analogue in 2D QFT : sketch of idea

$$\Delta \langle \zeta^I(x), \zeta^J(y) \rangle_{(0)} = \delta(x-y) \delta^{IJ}; \quad \Delta = -\partial^\mu \partial_\mu$$

$$\Rightarrow \langle \zeta^I(x), \zeta^J(y) \rangle_{(0)} = \int \frac{d^2k}{(2\pi)^2} \frac{e^{ik(x-y)}}{k^2} \delta^{IJ} \left( = -\frac{1}{2\pi} \log |x-y| \cdot \delta^{IJ} \right)$$

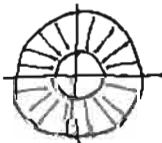
$$\left( \text{Now } S = \int \left( \frac{1}{2} \partial^\mu \zeta^I \partial_\mu \zeta^I - \frac{1}{6} R_{IKJL}(\phi_0) \partial^\mu \zeta^I \partial_\mu \zeta^J \zeta^K \zeta^L + O(\zeta^5) \right) d^2x \sqrt{h} \right)$$

$$\langle \zeta^I(x), \zeta^J(y) \rangle_{(1)} = \int \frac{d^2p}{(2\pi)^2} \frac{e^{ip(x-y)}}{p^2} \left( \delta^{IJ} + \frac{1}{3} \int \frac{d^2k}{(2\pi)^2} \frac{1}{k^2} R_{IJ}(k) \right)$$

log divergence at  $k \rightarrow 0, \infty$ .

why?

same F.T.



Cut off  $\mu \leq |k| \leq \Lambda_{UV}$ :  $\frac{1}{2\pi} \log \frac{\Lambda_{UV}}{\mu}$

ultra violet

Similarly, HW 7.2 : give details for ① + ② p. 27

$$\langle \{I_1(x_1) I_2(x_2) I_3(x_3) I_4(x_4)\} \rangle_{(1)}$$

$$\textcircled{1} = -\frac{1}{3} \int \frac{4}{\pi} \sum_{i=1}^4 \frac{d^2 p_i}{(2\pi)^2} \frac{e^{ip_i x_i}}{p_i^2} \cdot (2\pi)^2 \delta(p_1 + p_2 + p_3 + p_4) \\ \times ((p_1 \cdot p_4) \left\{ R_{I_1 I_2 I_3 I_4} + \frac{1}{6\pi} \log \frac{\Lambda_{UV}}{\mu} (R_4 R_2)_{I_1 I_2 I_3 I_4} \right\} + \dots)$$

Now let  $g_{IJ} = \delta_{IJ} \mapsto \tilde{g}_{IJ} = \delta_{IJ} + a_{IJ}$  contraction  
 (at  $\phi_0$ )  $\tilde{\zeta}^I \mapsto \tilde{\zeta}^I = \zeta^I + b_J^I \zeta^J$

get  $\tilde{S} = \int \left[ \frac{1}{2} (\delta + a + 2b)_{IJ} \partial_\mu \tilde{\zeta}^I \partial^\mu \tilde{\zeta}^J - \frac{1}{6} (R_4 + R_4 b) \partial_\mu \tilde{\zeta}^I \partial^\mu \tilde{\zeta}^J \tilde{\zeta}^K \tilde{\zeta}^L \right] + \dots ] \frac{1}{x} \cdot \sqrt{\mu}$

Q: Can we find  $a, b \sim \log \frac{\Lambda_{UV}}{\mu}$  so the new divergence factors cancel the prev ones?

A: Yes: Renormalization  $a_{IJ} = \frac{1}{2\pi} \log \frac{\Lambda_{UV}}{\mu} R_{IJ}$  ②  
 $b_J^I = -\frac{1}{6\pi} \log \frac{\Lambda_{UV}}{\mu} R_J^I$ .

[Ken Wilson: Collection of fields  $\phi(x)$   $\mapsto S(\phi, g)$   
 coupling constants  $g$

field at cut off scale  $\Lambda_{UV}$ :  $\phi_0(x) := \int_{|k| \leq \Lambda_{UV}} \frac{d^2 k}{(2\pi)^2} e^{ikx} \hat{\phi}(k)$

In  $Z = \int D\phi_0 e^{-S(\phi_0, g_0)}$ , the UV div.  $\delta$  appears

Decompose  $\phi_0(x) = \phi_L(x) + \phi_H(x)$   $\phi_L = \int_{0 \leq |k| \leq \mu} \hat{\phi}(k)$   
 $\rightarrow$  energy level  $\phi_H = \int_{\mu \leq |k| \leq \Lambda_{UV}}$   
 $e^{-S_{eff}(\phi_L, g_0)} := \int D\phi_H e^{-S(\phi_L + \phi_H, g_0)}$

Goal: change the description at low energy scale  $\mu$   
 to make the eff action "regular" under  $\frac{\Lambda_{UV}}{\mu} \rightarrow \infty$ .

In many cases,  $g_0 = g_0(g, \frac{\Lambda_{UV}}{\mu})$

$$\phi_0(x) = Z(g, \frac{\Lambda_{UV}}{\mu}) \phi(x) + \phi_H(x)$$

$\phi(x), g$  are new variables.

$$\beta(g) := \mu \frac{d}{d\mu} g(g_1, \frac{\mu}{\mu_1}) \Big|_{\substack{\text{old} \\ \text{new}}} \Big|_{\substack{g_1=g \\ \mu_1=\mu}} \quad \begin{array}{l} \text{beta function for} \\ \text{coupling const. } g \end{array} .$$

For  $\sigma$ -model, clearly at 1-loop level

p.28

$$\beta_{IJ} = -\mu \frac{d}{d\mu} \tilde{g}_{IJ} = \frac{1}{2\pi} R_{IJ} \quad \text{Ricci is crucial}$$

- $R_{IJ} > 0$  : Asymptotically free as  $\Lambda_{UV} \rightarrow \infty$

since  $\tilde{g}_{IJ} = g_{IJ} + \frac{1}{2\pi} \log \frac{\Lambda_{UV}}{\mu} R_{IJ} \nearrow$

- $R_{IJ} = 0$  : Scale invariance at 1-loop level

In fact, for 2-loop only involves  $\nabla R_{IJ}$   
hence also = 0. But  $\beta \neq 0$  for 4-loops.

- $R_{IJ} < 0$  : Ultra violet singularity  
the  $\sigma$ -model is NOT a well-defined theory

The F-term non-renormalization theorem

$$\text{eg. } W(\bar{\Phi}, m, \lambda) = m \bar{\Phi}^2 + \lambda \bar{\Phi}^3 \longrightarrow W_{\text{eff}}(\bar{\Phi}) = ?$$

Seiberg: promote  $m, \lambda$  to chiral s.f.  $M, \Lambda$  get  $W(\bar{\Phi}, M, \Lambda)$

this is now quasi-homog. Take  $k_M + k_\Lambda = (\bar{m}M + \bar{\lambda}\Lambda)/\varepsilon$   
assigns vector R charge  $(1, 0, -1)$  to  $\bar{\Phi}, M, \Lambda$  get  $W$  R-charge 2  
another  $U(1)$  symmetry:  $(1, -2, -3)$  fixes  $W$ , anomaly free

constraints for  $W_{\text{eff}}(\bar{\Phi}, M, \Lambda)$ : same sym. hol. asympt. behavior

$$\Rightarrow W_{\text{eff}}(\bar{\Phi}, M, \Lambda) = M \bar{\Phi}^2 f(t) \quad t = \Lambda \bar{\Phi} / M \quad \text{to classical value}$$

$$\text{where } \Lambda = \alpha \Lambda^*, M = \alpha M^*, \alpha \rightarrow 0 \text{ get } t_* = \Lambda^* \bar{\Phi} / M^*$$

$$W_{\text{eff}} \rightarrow M \bar{\Phi}^2 f(t_*) \text{ classical value} \Rightarrow f(t) = 1 + t. \text{ Now } \varepsilon \rightarrow 0.$$

HW 7.3 Do the LG model for multi-variable.

CFT := fixed pt of RG flow, ie scale-inv. QFT

Rmk: in current  $(1+1)$ -D case, get  $\infty$ -dim gp of sym. (Virasoro)

whose generators  $L_n = -z^n \cdot \frac{d}{dz}$  ( $z = \text{locav. on } \Sigma$ )  $= \frac{i}{2} \sum_m : \omega_m \times_{n-m} :$

$$[L_n, L_m] = (n-m) L_{n+m} + \frac{c}{12} (n^3 - n) \delta_{n+m, 0} \quad \text{in free field th.}$$

Conj 1. CY limit D, Ricci flat  $\xrightarrow[\text{1-loop flows}]{} ! \text{ CFT with } c=3D$ .

not Ricci flat

2. LG to be CFT  $\Rightarrow W$  is quasi-homog.  $\xrightarrow[\text{with suitable D-term}]{} ! \text{ CFT.}$

- chiral rings . let  $Z = \tilde{Z} = 0$  (central charge)
  $Q = (Q_B := \bar{\psi}_+ + \bar{\psi}_-) \text{ or } (Q_A := \bar{\psi}_+ + \psi_-) \Rightarrow Q^2 = 0$ 

"eg."  $Q$ -chn. of states  $\cong$  SUSY ground states (cf. ch. 13.3)  
 in Kähler  $\sigma$ -models  $M$ ,  $\cong H^*(M)$ . h.c. forms  
 $Q$ -chn on chiral op  $\theta$ :  $[Q_B, \theta] = 0$   
 - chiral op  $\theta$ :  $[Q_A, \theta] = 0$  i.e. commuting

"eg." let chiral s.f.  $\bar{\Psi} = \phi + \theta^+ \psi_+ + \theta^- \psi_-$  on  $y^\pm$

then  $[\bar{Q}_+, \phi] = 0 = [\bar{Q}_-, \phi]$ . Similarly for tw.ch.s.f.

direct check:  $\left( -\frac{\partial}{\partial \theta^+} - i \theta^+ \partial_+ \right) \left( \phi - i \theta^\pm \bar{\theta}^\mp \partial_\pm \phi - \theta^+ \theta^- \bar{\theta}^+ \bar{\theta}^- \partial_\pm \phi \right)$

$Q$  comm with  $\theta_1, \theta_2 \Rightarrow Q$  comm with  $\theta_1, \theta_2$  how  $\phi$  at  $x^\pm$ .

Def': (tw)-chiral ring  $c(Q) = Q$ -chn ring of chiral op.

"eg." World sheet transl. leads to  $Q$ -boundary:

$$\begin{aligned} i\partial_-\partial_+ &= \frac{i}{2} \left( \frac{\partial}{\partial x^0} - \frac{\partial}{\partial x^1} \right) \theta = [H-P, \theta] = [\{Q_-, \bar{Q}_-\}, \theta] \\ &= -\{[\bar{Q}_-, \theta], Q_- \} - \{[0, Q_-], \bar{Q}_-\} \mapsto \{Q_B, [Q_-, \theta]\} . \\ &\quad \{[\bar{Q}_+, \theta], Q_-\} = -\{[0, Q_-], \bar{Q}_+\} - \{[Q_-, \bar{Q}_+], \theta\} \end{aligned}$$

- Twisting . How to proceed if  $\Sigma$  is not flat?

recall SUSY action  $S = \int_{\Sigma} \left( (\bar{\epsilon}_\mu \epsilon_+) G_-^\mu - (\bar{\epsilon}_\mu \bar{\epsilon}_+) \bar{G}^\mu - (\bar{\epsilon}_\mu \epsilon_-) G_+^\mu + (\bar{\epsilon}_\mu \bar{\epsilon}_-) \bar{G}_+^\mu \right) \sqrt{h} d^2x$

$$\text{eg. } \bar{G}_+ = \bar{G}_+^\mu \partial_\mu \in \Gamma(\Sigma, \phi^* T^* S^+)$$

require  $\epsilon_+, \bar{\epsilon}_+ \in \Gamma(S_+)$

$$S_+ \cong \mathbb{K}^{1/2}, S_- \cong \mathbb{K}^{1/2}$$

$\epsilon_-, \bar{\epsilon}_- \in \Gamma(S_-)$  but it's impossible to have cov. const. sections

if one of  $U(1)_A$  or  $U(1)_V$  exists; if  $(\Sigma, h)$  is not flat

	$U(1)_V$	$U(1)_A$	$U(1)_E$	$\mathbb{Z}$	$A$ twist by $F_A$	$B$ twist by $F_B$
	$U(1)'_E$	$\mathbb{Z}$			$U(1)'_E$	$\mathbb{Z}$
$\phi$	0	0	0	0	0	0
$\psi_- \sim Q_-$	-1	1	1	$K^{1/2}$	0	$C$
$\bar{\psi}_+ \sim \bar{Q}_+$	1	1	-1	$\bar{K}^{1/2}$	0	$C$
$\bar{\psi}_- \sim \bar{Q}_-$	1	-1	1	$K^{1/2}$	2	$K$
$\psi_+ \sim Q_+$	-1	-1	-1	$\bar{K}^{1/2}$	-2	$\bar{K}$

i.e. replace  $U(1)_E$  Euclidean by diagonal  $U(1)'_E$  in  $U(1)_E \times U(1)_R$

# Topological nature of twisted Th.

P. 36

( Hilbert space (hence operators) is same as original physical observable  $\leftrightarrow$  Q-class  $\leftrightarrow$  (tw) chiral ring )

- correlation fun  $\langle [\{Q, O_1\} O_2 \dots O_n] \rangle = \langle [Q, O_1 \dots O_n] \rangle = 0$

world sheet metric  $\delta_h \langle \Pi O_i \rangle = \langle \frac{i}{4\pi} \int \sqrt{\lambda} d^2x \underbrace{S_h^{MN} \{Q, G_{\mu\nu}\}}_{\substack{\text{generate SUSY} \\ \text{from path int. pt omitted}}} \Pi O_i \rangle$

deformation of D-term: e.g. for B twist:

$\delta \langle \dots \rangle \mapsto \text{insertion } \langle \dots \int d^4\theta \Delta K \rangle \text{ in path int.}$

why?  $\left\{ \bar{q}_+, \{q_-, \int d\theta + d\bar{\theta} - \Delta K \Big|_{\theta=\bar{\theta}=0} \} \right\} = \{q, [\dots]\}$

Only dep on chiral parameters holomorphically.

- B-twist of non-linear  $\sigma$ -model;  $W=0$

$\phi: \Sigma \rightarrow X$  Kähler; change spin  $\psi_-, \bar{\psi}_+$  scalar  $\mapsto x^i, \bar{x}^i$   
locally,  $\bar{z}^i = \phi^i + \theta^\alpha \psi_\alpha^i + \dots$   $\psi_+ \in K, \bar{\psi}_- \in \bar{K}$

$$S = \int d^2z \left( \delta_{ij} h^{MN} \partial_\mu \phi^i \partial_\nu \bar{\phi}^j \sqrt{\lambda} - i \delta_{ij} p_z^j D_{\bar{z}} x^i + i \delta_{ij} p_z^i D_z \bar{x}^j - \frac{1}{2} R_{i\bar{k}j\bar{l}} p_z^i X^j p_z^l \bar{X}^k \right) \quad \text{cov. diff. contains } \partial_\mu \phi^i \text{ etc.}$$

$$\delta = \bar{\epsilon}_- \bar{Q}_+ + \epsilon_+ Q_- \quad (\text{Set } \bar{\epsilon}_- = \epsilon_+ = \epsilon \text{ for } Q_A = \bar{Q}_+ + Q_- \text{ variation})$$

$$\text{is given by } \delta \phi = \epsilon X, \delta X = 0, \delta p_z^i = 2i \bar{\epsilon}_- \partial_{\bar{z}} \phi^i + \epsilon_+ \Gamma_{jk}^i p_z^j X^k$$

We restrict ourself to operators made up of  $\phi, X$  only, no  $\psi$  in the quantum theory. We set  $\phi^i \leftrightarrow z^i, X^i \leftrightarrow dz^i, \bar{X}^i \leftrightarrow d\bar{z}^i$

(cf. QFT in  $d=1$ )  $\quad \bar{Q}_- \leftrightarrow \partial, \bar{Q}_+ \leftrightarrow \bar{\partial}, Q = \partial + \bar{\partial} = d$

$$\{ \text{scalar op's, } Q \} \cong H_{dR}^*(X) \text{ in SP level}$$

$$\text{correlation: } \langle O_1 \dots O_S \rangle = \sum_{\beta \in H_2(M, \mathbb{Z})} \langle \Pi O_i \rangle_\beta = \sum_{\beta} \int D\phi D\bar{\phi} e^{-S} \delta_{O_1 \dots O_S}$$

let  $O_i \leftrightarrow w_i \in H^{1,1}(X)$ , then  $\langle \Pi O_i \rangle_\beta \neq 0$  only if  $\beta_*[\Sigma] = \beta$

$$\left\{ \begin{array}{l} g_V = -p_i + \bar{p}_i \text{ sym fixed ie } \sum p_i = \sum \bar{p}_i \\ q_A = p_i + \bar{p}_i \text{ sym broken ie. } \sum (p_i + \bar{p}_i) = 2 \cdot \text{index } \bar{\partial} = 2(L(X), \beta + \chi: o\text{-mode} - \bar{\rho}: o\text{-mode dim } X(\mathbb{H})) \end{array} \right.$$

$$\Rightarrow \sum p_i = \sum \bar{p}_i = k, \text{ the exp. dim from R.R.}$$

"

2k

Localization to Q fixed pts  $\mapsto \partial_{\bar{z}} \phi^i = 0 \wedge \chi = 0$  P.31

$$\langle \prod \phi_i \rangle_{\beta} = e^{-(\omega-i\beta)\int} \int_{M_{\Sigma}(x, \beta)} e(v) \cdot \prod \text{ev}_i^* \omega; \quad D_i = \text{PD}(w_i) \quad \text{e.g. } \beta, p_1, \dots, p_s \quad \delta_D;$$

Boson & Fermion det cancel.

$V = 0$ , if no  $\ell=0$  mode (generic case).

otherwise they form bundle  $V^*$  and get  $\text{Pf}(F_V) = e(V)$ .

### • $\beta$ -twist of LG model

$M$  is a non-cpt CY,  $W: M \rightarrow \mathbb{C}$  hol.

change spin: scalar  $\bar{\psi}_{\pm}^i \mapsto \psi^i := \bar{\psi}_{-}^i, \bar{\psi}^i := \bar{\psi}_{+}^i$  (all  $i$ )

$\ell^i := \psi^i$  in  $K$ ,  $P_{\pm}^i := \psi_{\mp}^i$  in  $\bar{K}$  (all  $i$ )

$$S = \int d^2 z \left( \sqrt{h} |\nabla \phi|^2 - i g_{ij} \bar{\psi}^j D_{\bar{z}} \psi^i + i g_{ij} \bar{\psi}^j D_z \psi^i - \frac{1}{2} R_{ikj} \bar{\ell}^k \ell^i \ell^j + \bar{k} \bar{\psi} \bar{\ell} \right. \\ \left. + \frac{1}{4} |dw|^2 + \frac{1}{2} (\partial_i \partial_j W) \psi^i \bar{\psi}^j + \frac{1}{2} (D_i \partial_j \bar{W}) \bar{\psi}^i \bar{\psi}^j \right)$$

under  $\delta = \bar{\epsilon}_- \bar{Q}_+ - \bar{\epsilon}_+ \bar{Q}_-$ , set  $\bar{\epsilon}_+ = -\bar{\epsilon}_- = \bar{\epsilon}$ ,  $q_B = \bar{q}_+ + \bar{q}_-$

Then is given by:  $\delta \phi^i = 0, \delta \bar{\psi}^i = -\bar{\epsilon}(\psi^i + \bar{\psi}^i)$

for  $M$  flat,  $\delta$   $\delta(\psi^i - \bar{\psi}^i) = \bar{\epsilon} g_{ij} \partial_j W, \delta(\psi^i + \bar{\psi}^i) = 0$   
 $\delta \psi^i = 2i\bar{\epsilon} \partial_z \phi^i, \delta \bar{\psi}^i = -2i\bar{\epsilon} \partial_{\bar{z}} \phi^i$

Localization to Q-fixed pts  $\mapsto \partial_{\bar{z}} \phi^i = 0 = \partial_z \phi^i \wedge \partial_i W = 0$

i.e. const. map to  $\text{Crit}(W)$ : assume isolated, non-deg.  $y_1, \dots, y_N$ .

correlations:  $D_f = \text{hol. fun in } \phi^i$ , i.e. in  $M$ ,  $f \mapsto \phi_f$  why?

$$\langle \prod_{i=1}^s \phi_{f_i} \rangle = \int D\phi D\bar{\phi} e^{-S} \cdot \prod \text{ev}_i^* \omega = \sum_{i=1}^N \langle \phi_{f_1}, \dots, \phi_{f_s} \rangle|_{y_i}$$

At each  $y_i$ , const. mode kills kinetic term

Boson/Fermion non-const. modes has det canceled  
but const. modes not paired:

$$\Rightarrow \int d^m \phi e^{-\frac{1}{2} \int g^{ij} \partial_i W \partial_j \bar{W}} = \det W_{ij}^{-2}(y_i) \text{ by CVF } u_i = \partial_i W \\ \text{normalized measure by } (\frac{1}{\sqrt{2\pi}})^{2n}.$$

$$\int d^n \bar{\psi} d^n \psi e^{-\frac{1}{2} \int \bar{W}_{ij} \psi^i \bar{\psi}^j} = \frac{1}{\det W_{ij}(y_i)} \text{ fermion integral, notice } \psi^i \bar{\psi}^j$$

$$\int d^n g \rho d^n \bar{g} \bar{\rho} e^{-\frac{1}{2} \int W_{ij} \rho^i \bar{\rho}^j} = (\det W_{ij})^s(y_i) \text{ since } h(k) = g$$

$$\text{i.e. } \langle \phi_{f_1}, \dots, \phi_{f_s} \rangle_g = \sum_{i=1}^N f_1(y_i) \dots f_s(y_i) \cdot (\det W_{ij}(y_i))^{d-1}$$

For  $\delta(\Sigma) = \delta = 0$ , we get

$$\text{3pt focus } C_{ijk} = \sum_{\det W=0} \frac{\delta i \delta j \delta k}{\det W''}$$

$$\text{top metric } \gamma_{ij} = \sum_{\det W=0} \frac{\delta i \delta j}{\det W''}$$

to define the chiral ring

HW 8.1 This gives the Jacobi ring  $J(W) = \mathbb{C}[\phi^1, \dots, \phi^n]/(j_i W)$  for  $X = \mathbb{C}^n$ . ( $Q = ?$ )

- B-twist of Calabi-Yau  $\sigma$ -model,  $W = 0$

convenient variables  $\eta^i := -(\psi^i + \bar{\psi}^i)$ ,  $\delta^i \theta_j := \psi^i - \bar{\psi}^i$

$Q_B$  variation:  $\delta \phi^i = 0$ ,  $\delta \bar{\phi}^i = \epsilon \eta^i$ ,  $\delta \theta_j = 0$ ,  $\delta \eta^i = 0$   
 $\delta \rho_{\mu i} = \pm z^i \bar{\epsilon} \partial_{\mu} \phi^i$ .

physical operators:  $\eta^i \leftrightarrow d\bar{z}^i$ ;  $\theta_i \leftrightarrow \frac{\delta}{\delta z^i}$  Fermion  
 $Q = Q_B = \bar{\delta}$  every time to quantize scalar field

get Dolbeault complex:  $\Omega^{0,0}(M, \Lambda^p T) \xrightarrow{\bar{\delta}} \Omega^{0,0+1}(M, \Lambda^p T)$

correlation: By localization only at  $\partial_{\mu} \phi^i = 0$  ie. const. map

so  $\langle \phi_1 \dots \phi_s \rangle$  should go to some "obj" over "M", with  
 ferm. int. dep on a so in fact a section of bundle over CPX moduli  
 choice of  $\Omega^n$  on M.

e.g. For  $n=3$ ,  $\phi_i \leftrightarrow \mu_i \in H^1(T_M)$ ,  $\langle \phi_1 \phi_2 \phi_3 \rangle = \int_M \mu_1^i \wedge \mu_2^j \wedge \mu_3^k \delta_{ijk} \Omega$ .

- Variations of vacuum bundle:  $V = \ker Q \cap \ker \bar{Q}$

top basis of states  $\phi_i |1\rangle = |i\rangle$   $\forall i > V_m - \boxed{\phantom{0}} \cdots \boxed{\phantom{0}} \cdots \boxed{\phantom{0}}$

connection  $(A_i)_j^k = \langle k | \partial_i | j \rangle$  exist by axiom  
 of QFT

$\downarrow$   
 $\therefore \phi_i |l\rangle = c_{ij}^k |k\rangle$  moduli of QFT  
 CPX mod

Top. top. Fact:  $(A_i)_j^k = 0$

$$\partial_k \gamma_{ij} = 0, \partial_{\bar{k}} c_{ij}^k = 0$$

in fact,

Theorem (tt\* equations)

Let  $D_i = \partial_i - A_i$  then

the improved conn.

$|0\rangle$  = part int. on

$|\phi_i\rangle = \dots$

top metric  $\gamma_{ij} = \langle i | j \rangle$

her. metric  $\gamma_{ij}^- = \langle i | \bar{j} \rangle$

$$\nabla^{\alpha} = D + \alpha C, \bar{\nabla}^{\alpha} = \bar{D} + \alpha^{-1} \bar{C}$$

is flat.

$$\Rightarrow A_i = g^{-1} \partial_i g$$

SUSY Gauge th.

scalar field case  $L = -\sum_{i=1}^N (\partial_\mu \phi_i(x))^2 - v$  inv under  $\phi_i(x) \mapsto e^{i\alpha} \phi_i(x)$   
 but if  $\alpha = \alpha(x)$ , then Need  $D_\mu \phi_i := \partial_\mu \phi_i + i v_\mu \phi_i$  (Gauge field)  
 with  $v_\mu \mapsto v_\mu - \partial_\mu \alpha$  then  $L = -\sum (D_\mu \phi_i)^2 - v$  inv.

Since now  $\partial_\mu \phi_i \mapsto e^{i\alpha} (\partial_\mu + i \partial_\mu \alpha) \phi_i$

hw 1: The massless mode is  $S^{2N-1}/U(1) \cong S^{2N-1}$  with FS metric, then  $v_\mu = \frac{i}{2} \cdot \frac{\sum_{i=1}^N \bar{\phi}_i \partial_\mu \phi_i - (\partial_\mu \bar{\phi}_i) \phi_i}{\sum_{i=1}^N |\phi_i|^2}$   
 Now try the idea to chiral s.f.  $\bar{\Phi}$

$L = \int d^4 \theta \bar{\Phi} \bar{\Phi}$  under  $\bar{\Phi} \mapsto e^{iA} \bar{\Phi}$ , A also a chiral sf.

$\rightarrow \bar{\Phi} e^{-i\bar{A} + iA} \bar{\Phi}$ , consider real s.f.

$$V(x^\mu, \theta^\pm, \bar{\theta}^\pm) \mapsto V_{+-}(\bar{A} - A)$$

hw2: Under suitable gauge and  $L = \int d^4 \theta \bar{\Phi} e V \bar{\Phi}$  is inv.  
 (= Wess-Zumino).  $V = \theta^+ \bar{\theta}^+ (v_0 + v_1) - \theta^- \bar{\theta}^- \sigma + i \theta^+ \bar{\theta}^+ (\bar{\theta}^- \bar{\lambda}_+ + \theta^- \lambda_+) + \theta^+ \theta^- \bar{\theta}^+ \bar{\theta}^- D$   
 w/ 1-form cpx scalar + c.c. cpx Dirac ferm. real

The SUSY  $\delta = \epsilon \epsilon_\pm \bar{\theta}_\mp \mp \bar{\epsilon}_\pm \bar{\theta}_\mp$  on comp fields of  $\bar{\Phi}$  &  $V$  is determined:

The s.f. strength (curvature) of  $V$  is  $\Sigma := \bar{D} + D - V$ ,  $\Sigma$  is tw-chiral!

$$\text{and } \Sigma = \delta(g) \pm i \theta^\pm \bar{\lambda}_\mp(g) + \theta^+ \bar{\theta}^- (D(g) - i v_{01}(g))$$

$$\text{with } g^\pm = x^\pm \mp i \theta^\pm \bar{\theta}^\pm \text{ & } v_{01} = \partial_0 v_1 - \partial_1 v_0 \text{ (curv. of } v \text{)}.$$

Now the SUSY Gauge-INV Lagrangian: , to chiral F term

$$L = \int d^4 \theta \left( \bar{\Phi} e^V \bar{\Phi} - \frac{1}{2e^2} \bar{\Sigma} \Sigma \right) + \frac{-t}{\lambda} \int d^2 \theta \Sigma + \text{c.c.}$$

Let's  $\lambda$  gauge, e coupling  $t := r - i\theta$  - theta angle  
 const. Fayet-Iliopoulos parameter

under  $(\phi, v)$  change to  $\Sigma$ , get  $U(1)_V \times U(1)_A$  sym for classical system

as before, eliminating F and D from eq of motion, get

$$L = -D^\mu \bar{\Phi} D_\mu \phi + i \bar{\Psi}_\mp (D_0 \pm D_1) \psi_\mp - \left( |\phi|^2 |\psi|^2 + \frac{e^2}{2} (|\phi|^2 - r^2) \right)$$

$$- \bar{\Psi}_- \sigma \psi_+ - \bar{\Psi}_+ \bar{\sigma} \psi_- \mp i \bar{\Phi} \lambda_F \psi_\mp \pm i \bar{\Psi}_\pm \bar{\lambda}_F \phi$$

$$+ \frac{1}{2e^2} \left( - \partial^\mu \bar{\sigma} \partial_\mu \sigma + i \bar{\lambda}_\pm (\lambda_0 \pm \lambda_1) \lambda_\mp + v_{01}^2 \right) + \theta v_{01}$$

"U potential for scalar fields  
 $\phi$  &  $\sigma$

In general for  $\bar{\Phi}_1, \dots, \bar{\Phi}_N$  under  $U(1)^k = \prod_{a=1}^k U(1)_a$ :  $\bar{\Phi}_i \mapsto e^{i Q_{ia} A_a} \bar{\Phi}_i$

$$\text{get } L = \int d^4 \theta \left( \sum_{i=1}^N \bar{\Phi}_i e^{Q_{ia} V_a} \bar{\Phi}_i - \sum_{a,b=1}^k \frac{1}{2e^2} \bar{\Sigma}_a \Sigma_b \right) + \frac{1}{2} \left( \int d^2 \theta \sum_{a=1}^k -t_a \Sigma_a + \text{c.c.} \right)$$

If  $\exists W(\bar{\phi}_i)$  poly gauge-mv. then can have F-term P.34

$$L_W = \int d^3\sigma W(\bar{\phi}_i) + \text{c.c.}$$

Eliminating  $D_\alpha$  and  $F_i$ ; get inverse of  $\rho_{ab}^{-1}$

$$U = \sum_{a,i=1}^N |Q_i a \sigma_a|^2 |\phi_i|^2 + \sum_{a,b=1}^k \frac{(\rho_{a,b})^2}{2} (Q_i a |\phi_i|^2 - r_a) (Q_j b |\phi_j|^2 - r_b) + \sum_{i=1}^k \left| \frac{\partial W}{\partial \phi_i} \right|^2$$

Quantum theory: consider  $k=1$ ,  $N=1$ , charge  $Q_1 a = 1$   
effeactive for at scale  $\mu$ ; i.e. int over

The part on  $L$  related to D field  $\mu \leq k \leq \Lambda_{UV}$

before substitute eq of motion i.e.

$$\frac{1}{2e^2} D^2 + D(|\phi|^2 - r_0)$$

$$\int D\phi \mapsto \frac{1}{2e^2} D^2 + D \left( \log \frac{\Lambda_{UV}}{\mu} - r_0 \right) \quad \text{FI parameter}$$

For  $\Lambda_{UV}, r_0$  fixed,  $\Rightarrow$   $r$  renormalized FI. i.e.  $r(\mu) = \log \frac{\mu}{\Lambda}$

Anomaly of  $U(1)_A$ : the sym is broken due to

$$-2i\bar{\psi}_- D_{\bar{z}} \psi_- + 2i\bar{\psi}_+ D_z \psi_+ \quad (\text{Euclidean version of } i\bar{\psi}_+(\partial_0 \pm \partial_1)\psi_+)$$

$$k = "Y_{-2010}" - "Y_{+2010}" = 4(\varepsilon) \neq 0 \quad (\nu \text{ is a column of } E)$$

$$\text{So } D\psi D\bar{\psi} \mapsto e^{-2k\bar{z}\partial} D\psi D\bar{\psi} \quad \text{equiv to } \theta \mapsto \theta - 2\bar{z} \quad \text{"O(k)" here.}$$

$$\frac{i}{2\pi} \int (\theta \nu_{12}) dx^1 dx^2 = +ik\theta$$

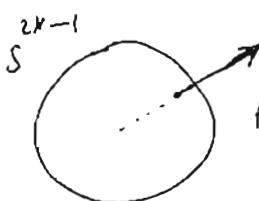
General case:  $b_a := \sum_{i=1}^N Q_i a$ ;  $r_a(\mu) = b_a \log \frac{\mu}{\Lambda} + \tilde{r}_a$ ;  $\theta_a \mapsto \theta_a - 2b_a \bar{z}$   
if  $b_a = 0 \forall a$  then  $U(1)_A$  anomaly free &  $t_a = r_a - i\theta_a$  are  
FI-theta parameters of the quantum theory.

Non-linear σ models from Gauge theory.

(1)  $\text{cp}^{N-1}$ . This is the case  $U(1)^{k=1}$  and  $N=N$ .

$$U = \sum_{i=1}^N (\sigma)^2 |\phi_i|^2 + \frac{\sigma^2}{2} \left( \sum_{i=1}^N |\phi_i|^2 - r \right)^2 \quad \text{only } r > 0 \text{ to admit classical SUSY vacua.}$$

mass := eigenvalue  
of  $\delta_i \delta_j U(\phi)$



$$\text{transv. mass} = \sqrt{\frac{\partial^2 U(r)}{\partial r^2}} = \sqrt{r^2 + 4r} \quad ?$$

$$\simeq S^{2N-1}/U(1)$$

$$\sigma = 0, \phi_i = \text{constant.}$$

$\sqrt{r^2 + 4r}$  in the book p.357. Tangent of vacuum mfd

The gauge field also has mass  $\sqrt{r^2 + 4r}$ .

is massless.

If  $\phi_i \pm, \bar{\phi}_i \pm$  satisfy

p.35

$$\sum_{i=1}^N \bar{\phi}_i \phi_i \pm = 0 = \sum_{i=1}^N \bar{\phi}_i \pm \phi_i \pm \quad (\text{tangent of } \mathbb{C}P^{N-1} \text{ at } \phi_i \pm), \text{ it has mass 0}$$

other modes and  $\chi, \bar{\chi}$  (Fermion in V) has mass  $c\sqrt{r}$ .

Now let  $\ell \rightarrow \infty$ , system decouple

classical theory reduced to massless mode only

claim : This is the non-linear σ model to  $\mathbb{C}P^{N-1}$

classical : A direct check on  $L$ , eg.  $ds^2 = \frac{r}{2\pi} g^{FS}$ .

quantum : The effective th of massless mode is by int out  
the massive mode  $M = c\sqrt{r}$  (if  $\mu \ll c\sqrt{r}$ )  
& massless mode in  $\mu < |k| < \Lambda_{UV}$

$$\text{From } r(\mu) = \left( \sum_{i=1}^N q_i \right) \log \frac{\mu}{\Lambda} \Rightarrow r = r' + N \log \frac{\mu}{\mu'} \quad (*)$$

$$\text{Recall the RG flow for metric in NLSM: } \tilde{g}_{ij} = g_{ij} + \frac{1}{2\pi} \log \frac{\Lambda_{UV}}{\mu} g_{ij}$$

Now  $\tilde{g}_{ij}$  for  $\frac{r}{2\pi} g^{FS}$  is indep of  $r$ ,  $= N g_{ij}^{FS}$  up to 1-loop

$$\text{so } \tilde{g}_{ij} = \frac{1}{2\pi} \left( r - N \log \frac{\mu}{\mu'} \right) g_{ij}^{FS}, \text{ this agrees with } (*)$$

$$\text{Moreover, in this special case } [\omega - iB] = \left[ \frac{r - i\theta}{2\pi} \omega^{FS} \right] = \frac{t}{2\pi} [\omega^{FS}]$$

i.e. the upxified Kähler class is a tw-clinal parameter "t"

(2) Toric Manifolds :  $U(1)^k, N=N, \ell_a^2 := \delta_{ab} \ell_b^2$ , no W.

$$\text{The Vacuum mfd } X_r = \left\{ (\phi_1, \dots, \phi_N) \mid \sum_{i=1}^N q_i |\phi_i|^2 = r_a, a=1 \dots k \right\} / U(1)^k$$

as in (1),  $\ell \rightarrow \infty$  get NLSM on  $X_r$ .  $\mu_a = \ell_a^2$  moment map

$\omega_C$  descend to a sympl. form  $\omega$  on  $X_r$  (sympl. reduction)

Cpx str.  $X_r \simeq X_p = (\mathbb{C}^N \setminus P) / (\mathbb{C}^\times)^k$ :  $P = \text{set of pt whose } (\mathbb{C}^\times)^k$   
G.I.T quotient orbit has no sol.  $\{ \mu_a = 0 \}$   
 $P$  depends on  $r = (r_a)$ .

Chapter 7:

$P, X_p$  can be constructed from a fan  $\Sigma$

let  $\Delta_\Sigma = \text{convex hull of } \Sigma(1)$ . Then  $X_\Sigma$  is Fano iff  $\Delta_\Sigma$  is reflexive

e.g.  $X = P(q_1, \dots, q_N) : U(1)^{k-1}, q_i \in \mathbb{N}$ . weighted proj space

e.g.  $\sum q_i > 0$  but only  $q_1, \dots, q_l > 0 \nexists X = \left[ \bigoplus_{j=l+1}^N \mathbb{C}^{q_j} \rightarrow P(q_1, \dots, q_l) \right]$   
and  $r$  large.

eg.  $\sum_{i=1}^N Q_i = 0$  then FI para  $r$  does not run. b.c.  $r > 0$  ok. p.36  
 $X$  is also a bundle space.

- $U(-N) \rightarrow \mathbb{P}^{N-1}$  vs  $\mathbb{C}^N/\mathbb{Z}_N : \bar{\Phi}_1, \dots, \bar{\Phi}_N, Q_i=1, P : Q_p = -N$ .  
 $N|P|^2 = -r + \sum_{i=1}^N |\Phi_i|^2$  for  $r \ll 0 \mapsto \mathbb{C}^N/\mathbb{Z}_N$ .
- $U(-1) \oplus U(-1) \rightarrow \mathbb{P}^1$  in 2 ways.  $\bar{\Phi}_1, \bar{\Phi}_2, \bar{\Phi}_3, \bar{\Phi}_4, Q_i=1, 1, -1, -1$   
vacuum eq':  $|\Phi_1|^2 + |\Phi_2|^2 - |\Phi_3|^2 - |\Phi_4|^2 = r$ .

(3). Hypersurfaces (& complete int) in  $\mathbb{C}\mathbb{P}^{N-1}$ :

Now we "turn on" some superpotential  $W$  in  $U(1)$  Gauge th.  
let  $W = G(\bar{\Phi}_1, \dots, \bar{\Phi}_N) \cdot P$   $N+d$  chiral s.f. of charge  $1, \dots, -d$   
generic homogeneous poly deg =  $d$  is Gauge-inv

$$L = \int d^4\theta \left( \sum \bar{\Phi}_i e^V \bar{\Phi}_i + \bar{P} e^{-dV} P - \frac{1}{2} \rho^2 \sum \right) + \frac{1}{2} \int d^2\theta \left( -t \sum + \text{c.c.} \right) + \frac{1}{2} \int d\theta \bar{P} G(\bar{\Phi}_i) + \text{c.c.}$$

potential for scalar fields

$$U = |P|^2 \sum |\Phi_i|^2 + |P|^2 d^2 |P|^2 + \frac{\rho^2}{2} \left( \sum |\Phi_i|^2 - d |P|^2 - r \right)^2 + \frac{1}{4} |G(\Phi_i)|^2 + \frac{1}{4} \sum |P|^2 |\partial_i G|^2$$

$$r > 0 : U = 0 \Rightarrow \exists i, \Phi_i \neq 0 \Rightarrow \sigma = 0 \Rightarrow P = 0 \quad (\text{otherwise } G = 0 = \partial_i G, \Rightarrow \Phi_i = 0 \xrightarrow{*})$$

i.e.  $\{ \sum |\Phi_i|^2 = r, G(\Phi_i) = 0 \} / U(1) \cong M : \text{hyp surf def by } G = 0$ .

Some fields have mass  $e\sqrt{r}$  or  $a_I$  (coeff of  $W$ )

in a scaling st.  $e, a_I \rightarrow \infty$  then the system goes to nonlinear  $\sigma$  model on  $M$ ,  $[w - iB] = \frac{t}{2\pi} [wFS]_M$ .

$$r < 0 : U = 0 \Rightarrow P \neq 0 \Rightarrow \sigma = 0 \Rightarrow \Phi_i = 0 \Rightarrow |P| = \sqrt{|r|/d} \text{ circle}$$

$\Rightarrow$  vacuum int  $= 1$  pt. (let  $\langle P \rangle := \sqrt{|r|/d}$  a vacuum value)

$t \rightarrow \infty$  get LG theory  $U(1)$  sym breaks to  $\mathbb{Z}_d$

with  $W = \langle P \rangle G(\bar{\Phi}_1, \dots, \bar{\Phi}_N)$  with  $\mathbb{Z}_d$  Gauge. i.e. LG orbifold.

$r = 0 : \Rightarrow$  complex  $\sigma$  plane.

Quantum theory: renormalization of "FI para.  $r$ ".

- $d < N$ :  $r(\mu) = (N-d) \log \frac{\mu}{\lambda}$ . take  $\frac{Q}{\lambda}, \frac{a_I}{\lambda} \rightarrow \infty$   
the system  $\mapsto$  non-linear  $\sigma$ -model on  $M$ .  $u(\mu) = (N-d)H_M$ .
- $d = N$ : The theory is para by  $t = r - i\theta$ ;  $u(\mu) = 0$ .  
 $r \gg 0 \mapsto$  cy NLSM, t para up to Kähler class.  
 $r \ll 0 \mapsto e\sqrt{|r|} \rightarrow \infty$  to LG orbifold.
- $d > N$  ??.

"Physics Proof" of Mirror Symmetry by Horava-Vafa '2000.

Step 1. T-duality on a charged field

$$\text{GLSM} \Rightarrow L = \int d^4\theta \left( \bar{\Phi} e^{2\varphi V} \bar{\Phi} - \frac{1}{2\pi} \bar{\Sigma} \Sigma \right) + \frac{1}{2} \int d^2\theta \left( t + \Sigma \right) + \dots$$

chiral real s.f.  $\Sigma = \bar{D}_+ D_- V$  tw. chiral

$$\text{Vacuum manif} \quad \{ \phi \in \mathbb{C} \mid |\phi|^2 - r = 0 \} / U(1) \cong pt$$

consider  $L_0 = \int_{\mathbb{Z}} d^4\theta \left( e^{2\varphi V} + B - \frac{1}{2} (\Upsilon + \bar{\Upsilon}) B \right)$  int  $\Upsilon$  is periodic in  $2\pi$   
tw. chiral real s.f.

- $\int d\Upsilon \Rightarrow d\Upsilon$  takes the form  $\bar{D}_+ D_- \bar{\Upsilon} \Rightarrow \bar{D}_+ D_- B = 0 = D_+ D_- B$

HW 10.1 (Ex 12.1.3 2d-lemma's for s.f.)  $\Rightarrow B = \bar{\Phi} + \bar{\bar{\Phi}}$

get  $L_1 = \int d^4\theta \bar{\Phi} e^{2\varphi V} \bar{\Phi}$   $\bar{\Phi} := e^{\bar{\Phi}}$  chiral sf.

- $\int dB \Rightarrow \int [e^{2\varphi V} + B - \frac{1}{2} (\Upsilon + \bar{\Upsilon})] dB = 0 \Rightarrow B = -2\varphi V + \log \frac{\Upsilon + \bar{\Upsilon}}{2}$

$\int d^4\theta (\Upsilon + \bar{\Upsilon}) = 0 \Rightarrow$  get  $L_2 = \int d^4\theta \left( QV(\Upsilon + \bar{\Upsilon}) - \frac{\Upsilon + \bar{\Upsilon}}{2} \log(\Upsilon + \bar{\Upsilon}) \right)$

but  $\int d^4\theta V.\Upsilon = -\frac{1}{2} \int d\theta^+ d\bar{\theta}^- \bar{D}_+ D_- V.\Upsilon = \frac{1}{2} \int d^2\theta \Sigma \Upsilon$

so  $L$  is T-dual to tw. chiral comp.

$$\tilde{L} = \int d^4\theta \left( -\frac{1}{2\pi} \bar{\Sigma} \Sigma - \frac{\Upsilon + \bar{\Upsilon}}{2} \log(\Upsilon + \bar{\Upsilon}) \right) + \frac{1}{2} \left( \int d^2\theta \Sigma (QV - t) + \dots \right)$$

Quantum theory: for  $\delta = \epsilon_+ Q_- + \bar{\epsilon}_- \bar{Q}_+$

from  $B$ , get  $\Upsilon + \bar{\Upsilon} = 2\bar{\Phi} e^{2\varphi V} \bar{\Phi}$  eg.  $X_+ = 2\bar{\Phi}_+ \phi$ ,  $\bar{X}_- = -2\bar{\Phi}_- \phi_-$

$\gamma = \rho - i\theta$ ,  $\bar{X}_+, X_-$  Fermi.  $\phi = \rho e^{i\theta}$ ,  $\psi_+, \psi_-$  Fermi., F

in Euclidean signature

$$v, \sigma, \lambda, \Omega$$

Fermion variation = 0  $\Rightarrow \sigma = 0$ ,  $D_{\bar{\Phi}} \phi = 0$ ,  $F_{12} = e^2 (|\phi|^2 - r_0)$

Vortices (= instanton)

$$k := \frac{1}{2\pi} \int F_{12} d^2x \text{ top. number}$$

Dynamical generation of (twisted-) superpotential via vortices:  $\epsilon \in \mathbb{Z}$

$U(1)_A$ :  $e^{ik\theta}$  acts on the top sector by  $e^{2ik\theta}$ ?  
tw. potential has axial charge 2  $\Rightarrow$  only  $k=1$  contributes

Fermion zero modes for  $k=1 \Rightarrow$  (heavy calculations)

P.38

$\propto \langle X_+(q) \bar{X}_-(q) \rangle$ . This comes from  $\int d^6\theta \cdot e^{-Y}$ :

Since  $\Delta \tilde{W}$  is hol in  $T$ , periodic in theta angle, R-sym.  
with certain asympt. behavior.

$$\text{So } \tilde{W} = \Sigma (qY - t) + e^{-Y}.$$

Step 2. The mirror of toric varieties (here we do WPS)

for  $U(1)^{k=n}$ ,  $N=n$  ( $\bar{\epsilon}_1, \dots, \bar{\epsilon}_n$ )

Get dual "effective superpotential"  $\tilde{W} = \sum_{i=1}^n (q_i Y_i - t_i) \Sigma_i + e^{-Y_i}$

Now keep only the diagonal action (set  $\text{cov} \frac{1}{e^{ab}} = 0$ , D-term vari)

This does not affect F-term

reduce to  $U(1)^{k=1}$ ,  $\tilde{W} = \left( \sum_{i=1}^n q_i Y_i - t \right) \Sigma + \sum_{i=1}^n e^{-Y_i}$   
 $\Sigma_i = \Sigma \bar{\epsilon}_i$ ;  $t = \Sigma t_i$

Now } DΣ : ie. solve  $\partial_\Sigma \tilde{W} = 0$ , get constraint  $\sum_{i=1}^n q_i Y_i = t$

with potential  $\tilde{W} = \sum_{i=1}^n e^{-Y_i}$

The low energy limit is

thus NLSM on  $P(q_1, \dots, q_n)$   $\xleftrightarrow{\text{dual}}$  LG theory on variables  $Y_i$ .

Eg.  $\mathbb{C}P^{n-1}$ ,  $q_i = 1/\bar{\epsilon}_i$ . Let  $X_i = e^{-Y_i}$  then  $\tilde{W} = X_1 + \dots + X_n$  in  $\prod_{i=1}^n X_i = e^{-t}$ .

recall,  $t = \text{FI-theta parameter} = \text{K\"ahler moduli of } \mathbb{C}P^{n-1}$

equiv.  $\tilde{W}(X_1, \dots, X_{n-1}) = X_1 + \dots + X_{n-1} + \frac{e^{-t}}{X_1 \dots X_{n-1}}$  (on  $(\mathbb{C}X)^{n-1}$ )

$D\tilde{W} = 0 \Leftrightarrow 1 - \frac{e^{-t}}{X_1(X_1 \dots X_{n-1})} = 0 \Leftrightarrow X_i = \omega e^{-t/n} \quad \forall i$

# with  $\tilde{W} = n \longleftrightarrow$  ch. basis of  $H^*(\mathbb{C}P^{n-1})$ .  $\omega^n = 1$

Identify:  $H \in H^*(\mathbb{C}P^{n-1}) \longleftrightarrow -\partial_t \tilde{W}$  isom.

get  $QH(\mathbb{C}P^{n-1}) \xrightarrow{\sim} \text{tw. chiral ring}$  as QFT chiral.  
 $H^n \longleftrightarrow \left( e^{-t} / (X_1 \dots X_{n-1}) \right)^n = e^{-t}$ .

Rmk:  $-t = -(r - i\theta) = i\theta - r = i(\theta + ir)$ .  $\text{Re } t = r \rightarrow \infty$  get  $H^n = 0$   
 $(\text{since } \mathbb{C}P^{n-1} \rightarrow \text{flat})$ .

Step 3. The hypersurface (or c.i.) case.

(\*) consider GLSM onto non-cpt toric variety  $r \gg 0$

$n+2$  chiral S.F.  $(p, \bar{\epsilon}_1, \dots, \bar{\epsilon}_{n+1})$  charge  $= (-d, 1, \dots, 1) \mapsto [0(-d) \rightarrow \mathbb{C}P^n]$   
 (low energy limit  $\mapsto$  NLSM)

$$x_0 = e^{-p}, \quad x_i = e^{-Y_i}, \quad i=1 \dots n+1$$

$$\tilde{W} = x_0 + \dots + x_{n+1} \quad \text{with} \quad x_0^{-d} x_1 \dots x_{n+1} = e^{-t}$$

$$\text{redefine } \tilde{x}_i = x_i^{1/d}, \quad 1 \leq i \leq n+1. \quad \Rightarrow \quad x_0 = e^{+1/d} \tilde{x}_1 \dots \tilde{x}_{n+1}$$

$$\text{So } \tilde{W} = \tilde{x}_1^d + \dots + \tilde{x}_{n+1}^d + e^{+1/d} \tilde{x}_1 \dots \tilde{x}_{n+1}$$

with orbifold structure by  $\mathbb{Z}_d^n \subset \mathbb{Z}_d^{n+1}$  preserving  $\tilde{x}_1 \dots \tilde{x}_{n+1}$

e.g. For  $d=n+1$ : Original = hor-cpt CY. (since  $x_0$  is well-defined)  
 $[O(-n+1) \rightarrow \mathbb{C}P^n] \xrightarrow{\text{dual}} \text{LG with horng. } \tilde{W}$ .

- To get cpt hyp. surface need to add potential  $W = p \cdot G_d(\tilde{x}_i)$ , same d.  
view as perturbation terms of (\*).

for A-twist (Q-cpt),  $Q_A = \bar{Q}_+ + Q_-$  dep only on tw. chiral op.

hence does not dep on W-variation. (in lect 8. we set  $W=0$ )

but the "topology" for fields space may change.

$$\psi(-d) \rightarrow \mathbb{C}P^n \quad \text{with class } 1, k, k^2, \dots, k^n; \quad \langle 1 \rangle_{nc} = [\mathbb{C}P^n] \leftrightarrow \langle 1 \rangle_C$$

LG-periods (= BPS mass) on dual (mirror) side

$$\Pi_{nc}^\gamma := \langle \gamma | 1 \rangle_{nc} = \int_Y d\tilde{Y}_1 \dots d\tilde{Y}_{n+1} e^{-\tilde{W}} = \int_Y \frac{d\tilde{x}_1}{\tilde{x}_1} \dots \frac{d\tilde{x}_{n+1}}{\tilde{x}_{n+1}} e^{-\tilde{W}}$$

$$\langle \gamma | 1 \rangle_C = \langle \gamma | k \rangle_{nc} = - \partial_\gamma \Pi_{nc}^\gamma = \int_Y \frac{e^{+1/d}}{d} \cdot d\tilde{x}_1 \dots d\tilde{x}_{n+1} e^{-\tilde{W}}$$

the variables below  $\tilde{x}_i$

This is directly related to Calabi-Yau periods when  $d=n+1$

$\rightarrow$  defined by  $\tilde{W} = 0$