

Integrable Deformations and Frobenius Manifolds

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0 Basic Notations and Definitions

Let M be a connected complex manifold, \mathcal{O}_M be the sheaf of holomorphic functions on M , and Z be a smooth hypersurface in M .

- For a holomorphic vector bundle E of rank d over M , it corresponds to a locally free sheaf of \mathcal{O}_M -modules of rank d , which will be denoted by \mathcal{E} , and is also called a “bundle.” We define Θ_M to be the one corresponding to the tangent bundle TM .
- $\mathcal{O}_M(*Z)$ is the smallest sheaf containing all $\mathcal{O}_M(kZ)$ ($k \in \mathbb{Z}$) as subsheaves, Ω_M^k is the sheaf of holomorphic k -forms on M , and $\Omega_M^k(*Z) := \Omega_M^k \otimes_{\mathcal{O}_M} \mathcal{O}_M(*Z)$
- A meromorphic bundle \mathcal{M} on M with poles along Z is a locally free sheaf of $\mathcal{O}_M(*Z)$ -modules of finite rank.
- For a holomorphic vector bundle E on X , it corresponds to a meromorphic bundle $\mathcal{E}(*Z) := \mathcal{E} \otimes_{\mathcal{O}_X} \mathcal{O}_X(*Z)$.
- A meromorphic connection ∇ on a meromorphic bundle \mathcal{M} with poles along Z is a \mathbb{C} -linear morphism $\mathcal{M} \rightarrow \Omega_M^1 \otimes_{\mathcal{O}_M} \mathcal{M}$ with its entries in $\Omega_M^1(*Z)$ with respect to a local frame.

1 Frobenius Structures Induces by Infinitesimal Period Mappings

Infinitesimal period mappings provide a way to construct Frobenius structures from a family of bundles on \mathbb{P}^1 with flat meromorphic connections. In this section, M is always a connected complex manifold.

1.1 Higgs Fields and the Induced Product Structures

Definition 1 (Higgs fields). Let E be a holomorphic vector bundle on M . A Higgs field on E is an \mathcal{O}_M -linear morphism

$$\Phi: \mathcal{E} \rightarrow \Omega_M^1 \otimes_{\mathcal{O}_M} \mathcal{E}$$

with the integrability condition $\Phi \wedge \Phi = 0$.

For a holomorphic vector field ξ on an open subset U of M , we will write $\Phi_\xi: \mathcal{E}|_U \rightarrow \mathcal{E}|_U$ to denote the restricted morphism contracted with ξ .

Now assume $\Phi: \Theta_M \rightarrow \Omega_M^1 \otimes \Theta_M$ is a Higgs field on the tangent bundle TM . We can view it as a morphism $\Theta_M \otimes \Theta_M \rightarrow \Theta_M$ and see if it is symmetric.

The Higgs field can define a product structure on TM by $(\xi, \eta) \mapsto \xi \cdot \eta := -\Phi_\xi(\eta)$.

Proposition 1. Φ is symmetric \Leftrightarrow the product \cdot is associative and commutative.

Proof: On a local chart we can write $\Phi = \sum \Phi^i \otimes dz_i$ where $\Phi^i := \Phi_{\partial_i}$. Then the integrability condition is equivalent to that for all i and j , $\Phi^i \circ \Phi^j = \Phi^j \circ \Phi^i$. Then if Φ is symmetric,

$$\partial_i \cdot (\partial_j \cdot \partial_k) = \partial_i \cdot (\partial_k \cdot \partial_j) = \Phi^i(\Phi^k(\partial_j)) = \Phi^k(\Phi^i(\partial_j)) = \partial_k \cdot (\partial_i \cdot \partial_j) = (\partial_i \cdot \partial_j) \cdot \partial_k.$$

The commutativity is clear. □

1.2 Residue Endomorphisms

Let F be a bundle on M of rank $d := \dim M$. Then it induces a bundle $E := \pi^*F$ on $\mathbb{P}^1 \times M$ by the canonical projection $\pi: \mathbb{P}^1 \times M \rightarrow M$. Assume there is a flat meromorphic connection $\tilde{\nabla}$ on E with a pole of order 1 along $\{0\} \times M$ and a logarithmic pole along $\{\infty\} \times M$. We will write $E_0 := i_0^*E$ where $i_0: M \simeq \{0\} \times M \hookrightarrow \mathbb{P}^1 \times M$ and likewise for E_∞ . Of course $E_0 \simeq E_\infty \simeq F$.

• The restricted connection ∇ and the induced residue endomorphism R_∞ on E_∞ :

In a local chart $U \times M$ with U a neighborhood of $\infty \in \mathbb{P}^1$, the connection matrix of $\tilde{\nabla}$ with respect to a local frame has the form (in the chart ∞ is at $z^1 = 0$)

$$\Omega = \Omega^1 \frac{dz_1}{z_1} + \sum_{i \geq 2} \Omega^i dz_i,$$

where each Ω^j has holomorphic entries. Then we define a holomorphic connection ∇ on $\{0\} \times M$, whose local matrix representation is

$$\sum_{i \geq 2} \Omega^i(0, z_2, \dots, z_{d+1}) dz_i.$$

Let $R_\infty: i_\infty^* \mathcal{E} \rightarrow i_\infty^* \mathcal{E}$ be the endomorphism on E_∞ whose local matrix representation is $\Omega^1(0, z_2, \dots, z_d)$.

Fact 1. Regarded as a section of the bundle $\text{Hom}(E_\infty, E_\infty)$ equipped with the natural flat connection induced by ∇ , R_∞ is a horizontal section.

• The induced Higgs field Φ and the residue endomorphism R_0 on E_0 :

In a local chart $U' \times M$ with U' a neighborhood of $0 \in \mathbb{P}^1$, the connection matrix of $\tilde{\nabla}$ with respect to a local frame has the form

$$\Omega' = \frac{1}{z_1} \left(\Omega^1 \frac{dz_1}{z_1} + \sum_{i \geq 2} \Omega^i dz_i \right),$$

where each Ω^j has holomorphic entries. Then we define an endomorphism-valued 1-form $\Phi: \mathcal{E}_0 \rightarrow \Omega_M^1 \otimes \mathcal{E}_0$ whose local matrix representation is

$$\sum_{i \geq 2} \Omega^i(0, z_2, \dots, z_{d+1}) dz_i.$$

Let $R_0: i_0^* \mathcal{E} \rightarrow i_0^* \mathcal{E}$ be the endomorphism on E_0 whose local matrix representation is $\Omega^1(0, z_2, \dots, z_d)$.

1.3 Infinitesimal Period Mappings and the Induced Product Structure

Following the setting in the section 1.2, we regard all the objects ∇ , Φ , R_0 and R_∞ on E_0 . Besides, we further assume E_0 has a metric g and they satisfy

$$\nabla g = 0, \Phi^* = \Phi, R_0^* = R_0 \text{ and } R_\infty + R_\infty^* = -w \cdot \text{id}_{E_0} \quad (*)$$

for some w where $(\cdot)^*$ is the adjoint with respect to the metric, and

$$\nabla^2 = 0, \nabla R_\infty = 0, \Phi \wedge \Phi = 0, [R_0, \Phi] = 0, \nabla \Phi = 0 \text{ and } \nabla R_0 + \Phi = [\Phi, R_\infty]. \quad (**)$$

For a ∇ -horizontal section ω of E_0 , we define the associated infinitesimal period mapping

$$\begin{aligned} \phi_\omega: TM &\rightarrow E_0 \\ \xi &\mapsto -\Phi_\xi(\omega). \end{aligned}$$

Such an ω is called **primitive** if ϕ_ω is an isomorphism, and **homogeneous** if ω is an eigenvector of R_∞ .

Theorem 1. If E_0 admits a primitive and homogeneous section ω , then ϕ_ω equips M a Frobenius structure.

Proof: Since ϕ_ω is an isomorphism, we can carry on TM the structures on E_0 through it.

- The torsion-free flat connection ${}^\omega\nabla$ on TM : For $\xi \in TM$, we define

$${}^\omega\nabla\xi := \phi_\omega^{-1}\nabla(\phi_\omega(\xi)).$$

Indeed, fix a local ∇ -horizontal frame of E_0 with a local coordinate z^1, \dots, z^d , we can write $\Phi = \sum \Phi^i \otimes dz_i$. Then

$${}^\omega\nabla_{\partial_i}\partial_j = \phi_\omega^{-1}(\nabla_{\partial_i}(\phi_\omega(\partial_j))) = \phi_\omega^{-1}(\nabla_{\partial_i}(-\Phi^j(\omega))),$$

which is symmetric in i and j since $\nabla\Phi = 0$ and $\nabla\omega = 0$. Thus ${}^\omega\nabla$ is torsion-free.

Remark 1. Note that the torsion-freeness of ${}^\omega\nabla$ is equivalent to the ∇ -horizontalness of ϕ_ω since

$$\nabla\phi_\omega(\xi, \eta) = \nabla_\xi\phi_\omega(\eta) + \nabla_\eta\phi_\omega(\xi) - \phi_\omega([\xi, \eta]).$$

- The commutative associative product structure with the ${}^\omega\nabla$ -flat unit: For ξ and $\eta \in TM$, we define

$$\xi \cdot \eta := \phi_\omega^{-1}(-\Phi_\xi(\phi_\omega(\eta))).$$

In a local coordinate,

$$\partial_i \cdot \partial_j = \phi_\omega^{-1}(-\Phi_{\partial_i}(\phi_\omega(\partial_j))) = \phi_\omega^{-1}(\Phi^i(\Phi^j(\omega)))$$

and the conclusion follows with $\Phi \wedge \Phi = 0$.

Let $e := \phi_\omega^{-1}(\omega)$. Then

$${}^\omega\nabla e = \phi_\omega^{-1}(\nabla\omega) = 0$$

and for any ξ ,

$$\xi \cdot e = \phi_\omega^{-1}(-\Phi_\xi(\phi_\omega(e))) = \phi_\omega^{-1}(-\Phi_\xi(\omega)) = \xi.$$

- The flat metric ${}^\omega g$:

For ξ and η on TM , we define

$${}^\omega g(\xi, \eta) := g(\phi_\omega(\xi), \phi_\omega(\eta)).$$

Then ${}^\omega\nabla{}^\omega g = 0$ since $\nabla g = 0$ and $\nabla\phi_\omega = 0$. Moreover by the torsion-freeness, ${}^\omega\nabla$ is the Levi-Civita connection of ${}^\omega g$.

- The euler vector field E : Let $E := \phi_\omega^{-1}(R_0(\omega))$ and say $R_\infty\omega = -q\omega$ by the homogeneity.

(1) ${}^\omega\nabla({}^\omega\nabla E) = 0$:

Locally

$$\begin{aligned} {}^\omega\nabla_{\partial_i}(E) &= \phi_\omega^{-1}(\nabla_{\partial_i}(R_0(\omega))) \\ &= \phi_\omega^{-1}(\partial_i(R_0)(\omega)) \\ &= \phi_\omega^{-1}([\Phi^i, R_\infty] - \Phi^i)(\omega) \\ &= \phi_\omega^{-1}((-1 - q)\Phi^i(\omega) - R_\infty(\Phi^i(\omega))) \\ &= (1 + q)\partial_i + {}^\omega R_\infty(\partial_i) \end{aligned}$$

by $\nabla R_0 + \Phi = [\Phi, R_\infty]$, where ${}^\omega R_\infty := \phi_\omega^{-1} \circ R_\infty \circ \phi_\omega$. Hence ${}^\omega\nabla(E) = (1 + q)\text{id}_{TM} + {}^\omega R_\infty$. By $\nabla R_\infty = 0$, $\nabla\phi_\omega = 0$ and the torsion-freeness of ${}^\omega\nabla$, we have

$$\begin{aligned} {}^\omega\nabla{}^\omega R_\infty(\xi, \eta) &= \phi_\omega^{-1}(\nabla(R_\infty \circ \phi_\omega)(\xi, \eta)) \\ &= \phi_\omega^{-1}(\nabla_\xi(R_\infty(\phi_\omega(\eta))) - \nabla_\eta(R_\infty(\phi_\omega(\xi))) - R_\infty(\phi_\omega([\xi, \eta]))) \\ &= \phi_\omega^{-1}(R_\infty(\nabla_\xi(\phi_\omega(\eta)) - \nabla_\eta(\phi_\omega(\xi))) - R_\infty(\phi_\omega([\xi, \eta]))) \\ &= \phi_\omega^{-1}(R_\infty(\phi_\omega([\xi, \eta])) - R_\infty(\phi_\omega([\xi, \eta]))) = 0. \end{aligned}$$

Thus ${}^\omega\nabla{}^\omega R_\infty = 0$, so ${}^\omega\nabla({}^\omega\nabla E) = 0$.

We have the new relations from the old ones (*):

$${}^\omega\nabla{}^\omega g = 0, \Phi^* = \Phi, ({}^\omega R_0)^* = {}^\omega R_0 \text{ and } {}^\omega R_\infty + ({}^\omega R_\infty)^* = -\omega \cdot \text{id}_{TM}$$

where the adjoint is respect to the metric ${}^\omega g$. Note these imply the symmetry of ${}^\omega\nabla c$ where $c(\xi_1, \xi_2, \xi_3) := {}^\omega g(\xi_1 \cdot \xi_2, \xi_3)$.

(2) $L_E(\omega g) = D \cdot \omega g$ for some D , where L means the Lie derivative:

From (1), we have

$$\omega \nabla E = (1 + q)\text{id}_{TM} + \omega R_\infty.$$

Then taking the adjoint gives

$$(\omega \nabla E)^* = (1 + q)\text{id}_{TM} + (\omega R_\infty)^* = (1 + q - w)\text{id}_{TM} - \omega R_\infty.$$

Then for ξ and η in TM ,

$$\omega g(\omega \nabla_\xi E, \eta) + \omega g(\xi, \omega \nabla_\eta E) = \omega g((\omega \nabla E)(\xi), \eta) + \omega g((\omega \nabla E)^*(\xi), \eta) = (2 + 2q - w)\omega g(\xi, \eta).$$

Let $D := 2 + 2q - w$ and we have

$$\begin{aligned} L_E(\omega g)(\xi, \eta) &= E(\omega g(\xi, \eta)) - \omega g(L_E \xi, \eta) - \omega g(\xi, L_E \eta) \\ &= E(\omega g(\xi, \eta)) - \omega g(\omega \nabla_E \xi - \omega \nabla_\xi E, \eta) - \omega g(\xi, \omega \nabla_E \eta - \omega \nabla_\eta E) \\ &= E(\omega g(\xi, \eta)) - \omega g(\omega \nabla_E \xi, \eta) - \omega g(\xi, \omega \nabla_E \eta) + \omega g(\omega \nabla_\xi E, \eta) + \omega g(\xi, \omega \nabla_\eta E) \\ &= \omega \nabla_E(\omega g)(\xi, \eta) + (2 + 2q - w)\omega g(\xi, \eta) \\ &= D \cdot \omega g(\xi, \eta) \end{aligned}$$

(3) $L_E(\cdot) = \cdot$ where \cdot means the product structure:

First we claim that $\omega R_0 := \phi_\omega^{-1} \circ R_0 \circ \phi_\omega$ is exactly the endomorphism $\xi \mapsto \xi \cdot E$. Indeed, by $[R_0, \Phi] = 0$,

$$\xi \cdot E = \phi_\omega^{-1}(-\Phi_\xi(\phi_\omega(\phi_\omega^{-1}(R_0(\omega)))) = \phi_\omega^{-1}(-R_0(\Phi_\xi(\omega))) = \phi_\omega^{-1}(R_0(\phi_\omega(\xi))) = \omega R_0(\xi).$$

Since $\nabla \phi_\omega = 0$, the old relation $\nabla R_0 + \Phi = [\Phi, R_\infty]$ gives, after composing with ϕ_ω^{-1} and ϕ_ω ,

$$\omega \nabla_\xi(\eta \cdot E) - (\omega \nabla_\xi) \cdot E - \xi \cdot \eta = \xi \cdot (\omega \nabla_\eta E - (1 + q)\eta) - (\omega \nabla_{\xi \cdot \eta} E - (1 + q)\xi \cdot \eta) = \xi \cdot \omega \nabla_\eta E - \omega \nabla_{\xi \cdot \eta} E.$$

By $\nabla \Phi = 0$, the above result simplifies to

$$L_E(\xi \cdot \eta) - (L_E \xi) \cdot \eta - \xi \cdot (L_E \eta) = \xi \cdot \eta.$$

Thus the theorem follows. □

2 Universal Semisimple Frobenius Structures

We aim at establishing the following theorem.

Theorem 2 ([Dub96]). There is a one-to-one correspondence

$$\{\text{semisimple simply connected Frobenius manifolds}\} \leftrightarrow \{(B_0^o, B_\infty, \omega^o, U) \text{ satisfying the } (\star) \text{ conditions}\}$$

with the (\star) conditions that B_0^o is regularly semisimple, that $B_\infty + B_\infty^* = wI_d$ for some $w \in \mathbb{Z}$, that ω^o is an eigenvector of B_∞ , whose components don't vanish on the eigenbases of B_0^o , and that U is a simply connected open set of $\tilde{X}_d \setminus \Theta_{\omega^o}$.

In the theorem, $X_d := \{(x^1, \dots, x^d) \in \mathbb{C}^d \mid x^i \neq x^j \text{ for all } i < j\}$ and \tilde{X}_d is its universal cover. Fix $x^o = (x_1^o, \dots, x_d^o) \in X_d$ and a lifted point $\tilde{x}^o \in \tilde{X}_d$, i.e., $\pi(\tilde{x}^o) = x^o$ where $\pi: \tilde{X}_d \rightarrow X_d$ is the covering map.

Proof: Suppose we are given $B_\infty + B_\infty^* = wI_d$ for some $w \in \mathbb{Z}$, $B_0^o = \text{diag}(x_1^o, \dots, x_d^o)$, thus regularly semisimple, and an eigenvector ω^o of B_∞ , all components of which are non-zero.

Theorem 3 ([Mal83]). Given such B_0^o and B_∞ , there exist a unique holomorphic bundle E on $\mathbb{P}^1 \times \tilde{X}_d$ and a flat meromorphic connection ∇ with a pole of order 1 along $\{0\} \times \tilde{X}_d$ and a logarithmic pole along $\{\infty\} \times \tilde{X}_d$, such that

(1) the restriction (E^o, ∇^o) of (E, ∇) at \tilde{x}^o has a global frame with respect to which the matrix representation of ∇^o is

$$\left(\frac{B_0^o}{z} + B_\infty\right) \frac{dz}{z};$$

(2) for any $\tilde{x} \in \tilde{X}_d$, the eigenvalues of the residue endomorphism R_0 at \tilde{x} are the components of $\pi(\tilde{x})$.

Theorem 4 ([Sab08]). Let X be a connected complex analytic manifold and F a holomorphic vector bundle on $\mathbb{P}^1 \times X$ such that for any $x \in X$, the restriction $F|_{\mathbb{P}^1 \times \{x\}}$ has degree 0.

(1)(The nontriviality divisor) The set

$$\Theta := \{x \in M : F|_{\mathbb{P}^1 \times \{x\}} \text{ is non-trivial}\}$$

is \emptyset , X or a hypersurface of X .

(2)(The canonical identification between the restriction to 0 and ∞) We have

$$i_0^* F|_{\mathbb{P}^1 \times (X \setminus \Theta)} \simeq i_\infty^* F|_{\mathbb{P}^1 \times (X \setminus \Theta)}.$$

Theorem 5. ([Sab17]) Let X be a simply connected complex manifold and (F, ∇) a bundle on $D \times X$ with a pole of order 1 along $\{0\} \times X$. Suppose R_0 is the residue endomorphism and $(\hat{F}, \hat{\nabla})$ is its associated formal bundle.

(1)(the unique decomposition) If R_0 is regularly semisimple, $(\hat{F}, \hat{\nabla})$ has a unique decomposition to line bundles

$$(\hat{F}, \hat{\nabla}) \simeq \bigoplus_j (\hat{F}_j, \hat{\nabla}).$$

(2)(equivalence) For line bundles, the formalism $(F, \nabla) \mapsto (\hat{F}, \hat{\nabla})$ is an equivalence of categories.

By the theorem 3, we can, following the section 1.3, obtain ∇ and R_∞ on E_∞ , Φ and R_0 on E_0 . Via the theorem 4, we get a bundle E on $\tilde{X}_d \setminus \Theta$ with objects ∇ , Φ , R_∞ and R_0 .

Since \tilde{X}_d is simply connected and ∇ is a flat connection on E_∞ , it's trivial and thus we can find a ∇ -horizontal ω on \tilde{X}_d such that $\omega(\tilde{x}^0) = \omega^0$. Later we will let ω be its restriction to $\tilde{X}_d \setminus \Theta$.

By the theorem 3 again, the residue R_0 is regular semisimple everywhere, so E_0 , on $\{0\} \times \tilde{X}_d$, can be decomposed to a direct sum of eigenbundles of rank one, each of which can be equipped with a flat connection by the theorem 5, and hence admits a global frame. We collect these d section, forming a global frame $\mathbf{e} = \{e_1, \dots, e_d\}$ of E_0 .

Restrict the frame on $\tilde{X}_d \setminus \Theta$, also denoted by \mathbf{e} , and let ω^i be the components of ω with respect to \mathbf{e} . We set

$$\Theta_{\omega^0} := \Theta \cup \left(\bigcup_{i=1}^d \{\text{the zero locus of } \omega^i\} \right).$$

By our definition of Θ_{ω^0} , the sections

$$u^i := \omega^i e_i$$

form a basis of $E|_{\tilde{X}_d \setminus \Theta_{\omega^0}}$. Then the infinitesimal period mapping associated to ω gives

$$\begin{aligned} \phi_\omega : T(\tilde{X}_d \setminus \Theta_{\omega^0}) &\rightarrow E|_{\tilde{X}_d \setminus \Theta_{\omega^0}} \\ \partial_i &\mapsto -\Phi_{\partial_i}(\omega) = u_i \end{aligned}$$

where the fact that $-\Phi_{\partial_i}(\omega) = u_i$ comes from the matrix representation of Φ with respect to \mathbf{e} , which will be explained in the proceeding sections.

Therefore, ϕ_ω is an isomorphism, and by the construction in the section 1.3, $\tilde{X}_d \setminus \Theta_{\omega^0}$ admits a Frobenius structure. Note that we have the unit

$$e = \phi_\omega^{-1}(\omega) = \phi_\omega^{-1}(\sum u_i) = \sum \partial_i$$

and the Euler vector field

$$E = \phi_\omega^{-1}(R_0(\omega)) = \phi_\omega^{-1}(\sum x_i u_i) = \sum x_i \partial_i$$

for the matrix representation of R_0 with respect to \mathbf{e} is $\text{diag}(x_1, \dots, x_d)$, which will also be explained.

Besides, we have

$$\partial_i \cdot \partial_j = \phi_\omega^{-1}(-\Phi_{\partial_i}(\phi_\omega(\partial_j))) = \phi_\omega^{-1}(-\Phi_{\partial_i}(u_j)) = \phi_\omega^{-1}(\delta_{ij} u_i) = \delta_{ij} \partial_i.$$

This proves one direction of the theorem.

Remark 2. We didn't check that the objects satisfy the condition (*) and (**), which would be clear after we show the solvability of the Birkhoff's problem in a family.

For the other way around, let M be a semisimple simply connected Frobenius manifold. Then we can define Φ by $\Phi_\xi(\eta) := -\xi \cdot \eta$, $R_0 := -\Phi(E)$, and $R_\infty := \nabla E$. The semisimplicity means that at each point R_0 is regularly semisimple, so its eigenvalues define d functions $(x_1, \dots, x_d): M \rightarrow X_d$.

Theorem 6 ([Mal83]). Let X be a simply connected complex manifold with a fixed base point $x^0 \in X$, $\lambda_1, \dots, \lambda_d$ d holomorphic functions $X \rightarrow \mathbb{C}$ such that $\lambda_i(x) \neq \lambda_j(x)$ for all $i \neq j$ and $x \in X$, (E^0, ∇^0) a bundle on D with a connection having a pole of order 1 at the origin, and the residue R_0^0 whose eigenvalues are $\lambda_1(x^0), \dots, \lambda_d(x^0)$. Then there exists a unique bundle (E, ∇) on $D \times X$ with a connection having a pole of order 1 along $\{0\} \times X$ such that

- (1) for any $x \in X$, $R_0(x)$ has eigenvalues $\lambda_1(x), \dots, \lambda_d(x)$, and
- (2) $(E, \nabla)|_{D \times \{x^0\}} \simeq (E^0, \nabla^0)$.

By the theorem 6, we can as above construct a basis $\mathbf{e} = \{e_1 \dots, e_d\}$, with respect to which the matrix of Φ is exactly $-dR_0$ (also will be clarified later), i.e.,

$$\Phi(e_i) = -dx_i \otimes e_i,$$

therefore, for all i and j ,

$$e_i \cdot e_j = -\Phi_{e_j} e_i = L_{e_j}(x_i) \cdot e_i.$$

By the commutativity of the product, $L_{e_j}(x_i) = 0$ for $i \neq j$. Besides, $\lambda_i := L_{e_i}(x_i)$ is non-vanishing: Write the unit vector field e as $e = \sum a_i e_i$. Then

$$e_i = e_i \cdot e = e_i \cdot \sum a_i e_i = a_i \lambda_i.$$

Thus $a_i \lambda_i \equiv 1$ so λ_i is non-vanishing.

Now we get a holomorphic map

$$(x_1, \dots, x_d): M \rightarrow X_d.$$

Since M is simply connected, it can be lifted to

$$f := (x_1, \dots, x_d): M \rightarrow \tilde{X}_d,$$

which is a submersion, thus an open map, so it is proper. Since it's a proper local homeomorphism between locally compact Hausdorff spaces, it's a covering map, with the number of sheets

$$[\pi_1(f(M)) : \pi_1(M)] = 1$$

because M is simply connected and the image of a simply connected domain under a biholomorphic map is simply connected. Thus, f is isomorphic to an open subset of \tilde{X}_d , and the conclusion of the theorem 2 follows. \square

Remark 3. Note that by the canonicity of the product structure defined above, this (\cdot, e, E) is independent of the choice of ω^0 with the non-zero condition.

3 Birkhoff's Problem on \mathbb{P}^1

In this section, X is a simply-connected complex analytic manifold of dimension n .

We write $\mathbb{P}^1 = U_0 \cup U_\infty$ with $U_0 := \mathbb{P}^1 \setminus \{\infty\}$ and $U_\infty := \mathbb{P}^1 \setminus \{0\}$ and let τ and τ' be the coordinate on them respectively.

Let $D = B_r(0)$ be an open disc in $U_0 \simeq \mathbb{C}$ for some $r > 0$, and $(\tilde{E}, \tilde{\nabla})$ be a holomorphic bundle of rank d on $U := D \times X$, with a flat meromorphic connection $\tilde{\nabla}$ having a pole of order 1 along $\{0\} \times X$, in the sense that its restriction to $(U_\infty \cap D) \times X$ is a flat connection on the holomorphic bundle $\tilde{E}|_{(U_\infty \cap D) \times X}$.

Fix a point $x^0 \in X$ and let $((\tilde{E}^0, \tilde{\nabla}^0)$ be the restriction of $(\tilde{E}, \tilde{\nabla})$ to $U^0 := D \times \{x^0\}$.

Definition 2 (Birkhoff's problem). Let $A(\tau)$ be a $d \times d$ matrix function on D with $\tau^{r+1}A(\tau)$ having holomorphic entries, one of which doesn't vanish at $\tau = 0$, for some $r \geq 0$. We say that Birkhoff's problem can be solved for $A(\tau)$ if there exists some $P(\tau) \in GL_d(\mathcal{O}_U)$ such that the matrix $B(\tau) := P^{-1}AP + P^{-1}P'$ can be written as

$$B(\tau) = \frac{B_{-(r+1)}}{\tau^{-(r+1)}} + \dots + \frac{B_{-1}}{\tau}$$

for some $B_{-1}, \dots, B_{-(r+1)} \in M_d(\mathbb{C})$.

The following theorem says that there's a canonical solution to Birkhoff's problem for almost every values in \mathbb{P}^1 if we are given a solution for a particular value.

Theorem 7. Assume Birkhoff's problem can be solved for $(\tilde{E}^o, \tilde{\nabla}^o)$ at x^o , i.e., we can take a global frame ϵ^o of \tilde{E}^o with respect to which the connection matrix of $\tilde{\nabla}^o$ can be written as

$$\Omega^o = \left(\frac{B_0^o}{\tau} + B_\infty \right) \frac{d\tau}{\tau}$$

for some B_0^o and $B_\infty \in M_d(\mathbb{C})$. Then there exist a hypersurface Θ of X not containing x^o and a unique basis ϵ of $\tilde{\mathcal{E}}(*(\mathbb{P}^1 \times \Theta))$ which coincides with ϵ^o at x^o and in which the connection matrix of $\tilde{\nabla}$ takes the form

$$\Omega = \left(\frac{B_0(x)}{\tau} + B_\infty \right) \frac{d\tau}{\tau} + \frac{C(x)}{\tau}$$

where $B_0(x)$ and $C(x)$ are a meromorphic matrix function and 1-form on X and holomorphic on $X \setminus \Theta$ with $B_0(x^o) = B_0^o$.

Proof:

First we prove the existence.

• **Constructions of a bundle on $\mathbb{P}^1 \times X$ by sheaves gluing:**

Let $D' \subseteq U_\infty$ be an open disc centered at ∞ such that $A := D \cap D' \neq \emptyset$. Recall τ' is the coordinate on D' , i.e., $\tau' = 1/\tau$ on A . On $A \times X$, since $(\tilde{E}, \tilde{\nabla})|_{A \times X}$ is a flat bundle, it is determined by its monodromy. On the other hand, for X is simply-connected, $\pi_1(A \times X) = \pi_1(A)$. Thus $(\tilde{E}, \tilde{\nabla})|_{A \times X}$ is isomorphic to $p^*(\tilde{E}^o, \tilde{\nabla}^o)|_A$ where p is the projection $A \times X \rightarrow A$.

By the change of variable, the restriction of the trivial bundle $\mathcal{O}_{D' \times X}^d$ with the connection matrix

$$-(\tau' B_0^o + B_\infty) \frac{d\tau'}{\tau'}$$

to $A \times X$ is isomorphic to $(\tilde{E}, \tilde{\nabla})|_{A \times X}$ since they are both isomorphic to $p^*(\tilde{E}^o, \tilde{\nabla}^o)|_A$. Thus we can glue them up to get a bundle (E, ∇) on $\mathbb{P}^1 \times X$ with a flat meromorphic connection having poles on $\{0, \infty\} \times X$.

• **The nontriviality divisor Θ of X :**

Since $E|_{\mathbb{P}^1 \times \{x^o\}} = E^o$ is trivial, its degree is 0 so we can apply the theorem 4 of the nontriviality divisor to X and get $\Theta \subsetneq X$ because $x^o \notin \Theta$.

• **Extensions of a basis:**

Since ∇ has a logarithmic pole along $\{\infty\} \times X$, there's an induced holomorphic flat connection ∇_∞ on $i_\infty^* \mathcal{E}$.

Fact 2 (the canonical identification between the restrictions to 0 and ∞). Let π be the projection $\mathbb{P}^1 \times X \rightarrow X$. Then for \mathcal{E} above, there exist canonical isomorphisms

$$\mathcal{E}(*\pi^{-1}\Theta) \simeq \pi^* i_0^* \mathcal{E}(*\pi^{-1}\Theta) \simeq \pi^* i_\infty^* \mathcal{E}(*\pi^{-1}\Theta)$$

where $i_0: \{0\} \times X \hookrightarrow \mathbb{P}^1 \times X$ and $i_\infty: \{\infty\} \times X \hookrightarrow \mathbb{P}^1 \times X$.

By the triviality of $i_\infty^* \mathcal{E}$ and the above isomorphisms, we can extend ϵ^o to a basis ϵ of the bundle $\mathcal{E}(*\pi^{-1}\Theta)$.

• **On U the connection matrix of ∇ takes the desired form.**

Since the connection matrix Ω has order 1 at $\{0\} \times X$ in the basis ϵ , it can be written as

$$\left(\frac{B_0(x)}{\tau} + B_\infty(\tau, x) \right) \frac{d\tau}{\tau} + C_0(\tau, x) + \frac{C(x)}{\tau}$$

where B_∞ and C_0 are holomorphic function and 1-form.

Note after a change of variables, at infinity the connection matrix is of the form

$$(-B_0(x)\tau' - B_\infty(\frac{1}{\tau'}, x)) \frac{d\tau'}{\tau'} + C_0(\frac{1}{\tau'}, x) + C(x)\tau'.$$

Since the connection has a logarithmic pole at $\{\infty\} \times X$, we have B_∞ and C_0 are independent of τ' , i.e., independent of τ .

Restricted to infinity, the basis ϵ is ∇_∞ -horizontal, where the connection matrix is by definition $C_0(x)$, so $C_0(x) = 0$.

By the fact 1, because the endomorphism R_∞ is horizontal, we have

$$\nabla(R_\infty \epsilon^i) = R_\infty(\nabla \epsilon^i)$$

for $i = 1, \dots, d$ if the basis ϵ consists of $\{\epsilon^1, \dots, \epsilon^d\}$. Note the matrix representation of R_∞ with respect to the basis is $(-B_0(x)\tau' - B_\infty(x))|_{\tau'=0} = -B_\infty(x)$. Thus if we write $B_i :=$ the i -th column of $-B_\infty(x)$,

$$\nabla(R_\infty \epsilon^i) = \nabla(\epsilon \cdot B_i) = (\nabla \epsilon) \cdot B_i + \epsilon \cdot dB_i$$

and

$$R_\infty(\nabla \epsilon^i) = (\nabla \epsilon) \cdot B_i.$$

where $\epsilon := (\epsilon_1 \cdots \epsilon_d)$ and $\nabla \epsilon := (\nabla \epsilon_1 \cdots \nabla \epsilon_d)$. Thus $dB_i(x) = 0$ for all i , so B_∞ is constant in x . Hence we get the desired form

$$\left(\frac{B_0(x)}{\tau} + B_\infty\right) \frac{d\tau}{\tau} + \frac{C(x)}{\tau}.$$

For the uniqueness, suppose (ϵ', Θ') and (ϵ'', Θ'') satisfy the theorem. Let $\Theta := \Theta' \cup \Theta''$ and $X^o := X \setminus \Theta$. Then we get an isomorphism

$$\mathcal{O}_{D \times X}^d(* (D \times \Theta)) \xrightarrow{P} \mathcal{O}_{D \times X}^d(* (D \times \Theta))$$

via the base change P . Since the induced homomorphism $\pi_1(D \times X^o) \rightarrow \pi_1(\mathbb{P}^1 \times X^o)$ is an isomorphism, by the proof of existence, P can be extended holomorphically to the isomorphism between $\mathcal{O}_{\mathbb{P}^1 \times X}^d(* (\mathbb{P}^1 \times \Theta))$.

Note if the matrix of the two connections are Ω and Ω' , we have $\Omega' = P^{-1}\Omega P + P^{-1}dP$, i.e.,

$$dP = P\Omega' - \Omega P.$$

Thus if we write P as column vectors, we can write the system as

$$dP = ((A_1 + \tau' A_2(x)) \frac{d\tau'}{\tau'} + D(x)\tau') \cdot P$$

near the infinity. If write $P(x) = \sum_{l \geq l_0} \tau'^l P_l(x)$, then we have $dP_l = DP_{l-1}$.

Suppose $l_0 < 0$, then $dP_{l_0} = DP_{l_0-1} = 0$, so $P_{l_0} \equiv P_{l_0}(x^o) = 0$ since at x^o P is identity. Hence the entries of P are holomorphic. Hence $P(\tau', x) = P_0(x)$. Besides, $dP_0 = DP_{-1} = 0$, so $P(\tau', x) = P_0(x) \equiv P_0(x^o) = \text{id}$. \square

Remark 4. With respect to the basis ϵ , the matrix representation connection takes the form above, that of R_0 is $B_0(x)$, that of R_∞ is $-B_\infty$, and that of Φ is $C(x)$.

Besides, the integrability condition exactly tells

$$dC = 0, C \wedge C = 0, [B_0, C] = 0, \text{ and } dB_0 + C = [B_\infty, C],$$

which gives the condition (**) in the section 1.3.

We use the idea of this proof to prove the theorem 3 that was applied.

Theorem 3. Given $x^o = (x_1^o, \dots, x_d^o) = \pi(\tilde{x}^o) \in X_d$ and $B_0^o := \text{diag}(x_1^o, \dots, x_d^o)$, $B_\infty \in M_d(\mathbb{C})$, there exist a unique holomorphic bundle E on $\mathbb{P}^1 \times \tilde{X}_d$ and a flat meromorphic connection ∇ with a pole of order 1 along $\{0\} \times \tilde{X}_d$ and a logarithmic pole along $\{\infty\} \times \tilde{X}_d$, such that

(1) the restriction (E^o, ∇^o) of (E, ∇) at \tilde{x}^o has a global frame with respect to which the matrix representation of ∇^o is

$$\left(\frac{B_0^o}{\tau} + B_\infty\right) \frac{d\tau}{\tau};$$

(2) for any $\tilde{x} \in \tilde{X}_d$, the eigenvalues of the residue endomorphism R_0 at \tilde{x} are the components of $\pi(\tilde{x})$.

Proof:

We will again apply the theorem 6:

Theorem 6. Let X be a simply connected complex manifold with a fixed base point $x^o \in X$, $\lambda_1, \dots, \lambda_d$ d holomorphic functions $X \rightarrow \mathbb{C}$ such that $\lambda_i(x) \neq \lambda_j(x)$ for all $i \neq j$ and $x \in X$, (E^o, ∇^o) a bundle on D with a connection having a pole of order 1 at the origin, and the residue R_0^o whose eigenvalues are $\lambda_1(x^o), \dots, \lambda_d(x^o)$. Then there exists a unique bundle (E, ∇) on $D \times X$ with a connection having a pole of order 1 along $\{0\} \times X$ such that

- (1) for any $x \in X$, $R_0(x)$ has eigenvalues $\lambda_1(x), \dots, \lambda_d(x)$, and
- (2) $(E, \nabla)|_{D \times \{x^o\}} \simeq (E^o, \nabla^o)$.

To begin with, let D be an open disk centered at the origin in \mathbb{P}^1 , and let (E^o, ∇^o) be the trivial bundle \mathcal{O}_D^d with the connection matrix of ∇^o being

$$\left(\frac{B_0^o}{\tau} + B_\infty\right) \frac{d\tau}{\tau}.$$

Define $\lambda_i := p_i \circ \pi$ where p_i is the projection $(x_1, \dots, x_d) \in X_d \mapsto x_i \in \mathbb{C}$. Then by the theorem 6 we get a bundle $(\tilde{E}, \tilde{\nabla})$ on $D \times \tilde{X}_d$. Then the argument of the previous proof works. \square

4 Universal Integrable Deformations for Birkhoff's Problems

Let (E^o, ∇^o) be a bundle on \mathbb{P}^1 with a pole of order one along 0 and a logarithmic pole along ∞ .

- Let X be a complex manifold. An integrable deformation of (E^o, ∇^o) parametrized by (X, x^o) is a bundle (E, ∇) with a flat meromorphic connection on $\mathbb{P}^1 \times X$ with a pole of order one along $\{0\} \times X$ and a logarithmic pole along $\{\infty\} \times X$ such that $(E, \nabla)|_{\mathbb{P}^1 \times \{x^o\}} = (E^o, \nabla^o)$.
- An integrable deformation (E, ∇) of (E^o, ∇^o) is called complete at x^o if for any other integrable deformation (E', ∇', x') of (E^o, ∇^o) parametrized by (X', x') , there exist neighborhoods V and V' of x^o and x' and an analytic map $f: (V', x') \rightarrow (V, x^o)$ such that $(E', \nabla')|_{\mathbb{P}^1 \times V'} = (\text{id}_{\mathbb{P}^1} \times f)^*(E, \nabla)|_{\mathbb{P}^1 \times V}$. Moreover, such a deformation is called universal at x^o if such an f is unique.

4.1 Local Universal Deformations

Theorem 8. Let $B_0^o, B_\infty \in M_d(\mathbb{C})$ and (E^o, ∇^o) be the trivial bundle of rank d on \mathbb{P}^1 with the connection matrix

$$\Omega^o = \left(\frac{B_0^o}{\tau} + B_\infty\right) \frac{d\tau}{\tau}$$

in the canonical basis. If the matrix B_0^o is regular, i.e., its all eigenvalues have one Jordan block, then there exists a germ of universal deformation of (E^o, ∇^o) .

Proof: Inspired by the ideas of the theorem 7, we consider the system, near x^o in a manifold X ,

$$dC = 0, [B_0, C] = 0, \text{ and } dB_0 + C = [B_\infty, C] \quad (***)$$

with $B_0(x^o) = B_0^o$. Locally $dC(x) = 0$ can be solved by $C(x) = d\Gamma(x)$ with $\Gamma(x^o) = 0$. Hence the system is equivalent to

$$[B_0, d\Gamma] = 0 \text{ and } d(B_0 + \Gamma) = [B_\infty, d\Gamma].$$

Note the second condition is exactly $B_0 = B_0^o - \Gamma + [B_\infty, \Gamma]$ since $\Gamma(x^o) = 0$, the system reduces to

$$[B_0^o - \Gamma + [B_\infty, \Gamma], d\Gamma] = 0.$$

- The system above is integrable on $M_d(\mathbb{C})$:
Consider vectors

$$\zeta_1 = \sum_{i,j} \zeta_1^{ij} \frac{\partial}{\partial \gamma_{ij}} \text{ and } \zeta_2 = \sum_{i,j} \zeta_2^{ij} \frac{\partial}{\partial \gamma_{ij}}.$$

Then to show the system $[B_0, d\Gamma] = 0$ is integrable, it suffices to verify that for any ζ_1 and ζ_2 annihilated by $[B_0, d\Gamma]_{mn}$ for all m and n , $(d[B_0, d\Gamma])_{mn}(\zeta_1, \zeta_2) = 0$ for all m and n also. Thus first we calculate (remember $B_0 = B_0^o - \Gamma + [B_\infty, \Gamma]$)

$$d[B_0, d\Gamma] = dB_0 \wedge d\Gamma + d\Gamma \wedge dB_0 = -2d\Gamma \wedge d\Gamma + 2[B_\infty, d\Gamma \wedge d\Gamma].$$

Let $\Xi_k := (\zeta_k^{ij})_{i,j}$ for $k = 1$ and 2 . Then ζ_1 and ζ_2 are annihilated by all the entries of $[B_0, d\Gamma]$ if and only if

$$[B_0, \Xi_1] = [B_0, \Xi_2] = 0,$$

and likewise (ζ_1, ζ_2) is annihilated by all the entries of $d[B_0, d\Gamma]$ if and only if

$$-2[\Xi_1, \Xi_2] + 2[B_\infty, [\Xi_1, \Xi_2]] = 0.$$

So it amounts to proving

$$[B_0, \Xi_1] = [B_0, \Xi_2] = 0 \Rightarrow -2[\Xi_1, \Xi_2] + 2[B_\infty, [\Xi_1, \Xi_2]] = 0.$$

Since B_0^o is regular, for x near x^o we have $B_0(x) = B_0^o - \Gamma + [B_\infty, \Gamma]$ is also regular for Γ near 0 . For these Γ , that $[B_0, \Xi_1] = [B_0, \Xi_2] = 0$ means Ξ_1 and Ξ_2 are both polynomials in B_0 . So Ξ_1 and Ξ_2 also commute and the claim follows.

- The integrable deformation:

Let Y be the integral submanifold of the system going through 0 . Let (F, ∇) be the trivial bundle of rank d on Y with the connection matrix

$$\left(\frac{B_0}{\tau} + B_\infty\right)\frac{d\tau}{\tau} + \frac{d\Gamma}{\tau}$$

where $B_0 := B_0^o - \Gamma + [B_\infty, \Gamma]$. By the construction it's an integrable deformation of (E^o, ∇^o) .

- The deformation is universal:

Let $(E, \tilde{\nabla})$ be an integrable deformation of (E^o, ∇^o) parametrized by (X, x^o) . Since the problem is local, we may assume X is a simply connected open subset in \mathbb{C}^n and E is trivial, by the rigidity of trivial bundle on P^1 . Then by the same argument as that in the theorem 7, there exists a unique basis of E in which the matrix of $\tilde{\nabla}$ takes the form

$$\left(\frac{B_0(x)}{\tau} + B_\infty\right)\frac{d\tau}{\tau} + \frac{C(x)}{\tau}$$

with $B_0(x^o) = B_0$ and $dC(x) = 0$ (the latter again by the integrability condition). Hence there's a holomorphic map $\Gamma: X \rightarrow M_d(\mathbb{C})$ such that $d\Gamma = C$ and $\Gamma(x^o) = 0$. Since (B_0, C) also satisfies the system (***), the image of Γ is contained in Y , and by the construction $(E, \tilde{\nabla}) = \Gamma^*(F, \nabla)$. Hence the deformation is complete. Besides, by the uniqueness of the basis, such a map $\Gamma: X \rightarrow V$ is unique. Hence its a universal deformation. \square

4.2 Global Universal deformations

Recall that we have proven

Theorem 3. Given $x^o = (x_1^o, \dots, x_d^o) = \pi(\tilde{x}^o) \in X_d$ and $B_0^o := \text{diag}(x_1^o, \dots, x_d^o), B_\infty \in M_d(\mathbb{C})$, there exist a unique holomorphic bundle E on $\mathbb{P}^1 \times \tilde{X}_d$ and a flat meromorphic connection ∇ with a pole of order 1 along $\{0\} \times \tilde{X}_d$ and a logarithmic pole along $\{\infty\} \times \tilde{X}_d$, such that

(1) the restriction (E^o, ∇^o) of (E, ∇) at \tilde{x}^o has a global frame with respect to which the matrix representation of ∇^o is

$$\left(\frac{B_0^o}{\tau} + B_\infty\right)\frac{d\tau}{\tau};$$

(2) for any $\tilde{x} \in \tilde{X}_d$, the eigenvalues of the residue endomorphism R_0 at \tilde{x} are the components of $\pi(\tilde{x})$.

Now we want to use this to get a global universal deformation under a more restricted condition than the regularity.

Theorem 9 ([Mal83]). Let $B_0^o, B_\infty \in M_d(\mathbb{C})$. If $B_0^o = \text{diag}(x_1^o, \dots, x_d^o)$ has distinct eigenvalues, then there exist a hyperplane Θ of \tilde{X}_d and a unique aolution (B_0, C) , meromorphic on \tilde{X}_d with poles along Θ , of the system (***) such that $B_0(x^o) = B_0^o$ and for $\tilde{x} \in \tilde{X}_d \setminus \Theta$, $B_0(\tilde{x})$ has eigenvalues x_1, \dots, x_d where $\pi(\tilde{x}) = (x_1, \dots, x_d)$.

Proof: First we get a bundle (E, ∇) satisfying the conditions in the theorem 3. Then by the theorem 7 of the Birkhoff's problem, we can get a hyperplane Θ and a solution (B_0, C) of (***) meromorphic along Θ . By the condition (2) of the theorem 3, the existence follows.

For the uniqueness, assume $(B_0(\tilde{x}), C(\tilde{x}))$ is a solution of (***) satisfying the conditions of the theorem. Let V be a small open neighborhood of \tilde{x}^0 , on which there is a solution $\Gamma(\tilde{x})$ such that $d\Gamma = C$ and $\Gamma(\tilde{x}^0) = 0$. If let $(Y, 0)$ be the local universal deformation of $(E^0, \nabla^0) := (E, \nabla)|_{\mathbb{P}^1 \times \{\tilde{x}^0\}}$, whose existence is by the previous theorem 8 since B_0^0 now is in particular regular, then Γ define a map

$$f: (V, \tilde{x}^0) \rightarrow (M_d(\mathbb{C}), 0)$$

has image in Y .

On the other hand, the eigenvalues of $B_0 := B_0^0 - \Gamma + [B_\infty, \Gamma]$ defines a holomorphic map $(Y, 0) \rightarrow (X_d, x^0)$, and by shrinking Y to a simply connected neighborhood of 0, we may assume it can be lifted to

$$g: (Y, 0) \rightarrow (\tilde{X}_d, \tilde{x}^0).$$

By the construction, since for each $\tilde{x} \in \tilde{X}_d$, the eigenvalues of B_0 are exactly the component of $\pi(\tilde{x})$, we have

$$g \circ f = \text{id}_V.$$

Then we get the relation of their tangent maps

$$dg_0 \circ df_{\tilde{x}^0} = \text{id}_{T_0V}.$$

Since both T_0V and $T_{\tilde{x}^0}\tilde{X}_d$ have dimensions d , g and f are inverse to each other in some open neighborhoods of 0 and \tilde{x}^0 . Thus f is uniquely determined by g , i.e., Γ , and hence C and B_0 are also uniquely determined by g . \square

Now we show that for the bundle (E, ∇) given by the theorem 3 and any $\tilde{x} \in \tilde{X}_d \setminus \Theta$, (E, ∇) induces a universal deformation of its restriction $(E, \nabla)|_{\mathbb{P}^1 \times \{\tilde{x}\}}$.

In fact, for any $\tilde{x} \in \tilde{X}_d \setminus \Theta$, since the bundle constructed from $(E, \nabla)|_{\mathbb{P}^1 \times \{\tilde{x}^0\}}$ and that from $(E, \nabla)|_{\mathbb{P}^1 \times \{\tilde{x}\}}$ are isomorphic due to the uniqueness, it suffices to prove the universality at \tilde{x}^0 .

Let (E', ∇', x') be an integrable deformation of (E^0, ∇^0) . Then the residue R'_0 of the former is regularly semisimple in a small neighborhood V' of x' since it's an open condition. Then its eigenvalues define uniquely a map

$$f: (V', x') \rightarrow (\tilde{X}_d, \tilde{x}^0).$$

Then we have $f^*(E, \nabla)$ and $(E', \nabla')|_{\mathbb{P}^1 \times V'}$ are isomorphic by the unique from the initial condition (E^0, ∇^0) .

5 The Proofs of the Two Facts

Let X be a simply connected complex manifold.

Fact 1. Let $(E, \tilde{\nabla})$ be a bundle on $D \times X$ with $\tilde{\nabla}$ flat and having a logarithmic pole along $\{\infty\} \times X$, and (E_∞, ∇) be its restriction to $\{\infty\} \times X$. Then regarded as a section of the bundle $\text{Hom}(E_\infty, E_\infty)$ equipped with the natural flat connection induced by ∇ , R_∞ is a horizontal section.

Proof: Let

$$\Omega = \frac{1}{z_1} \Omega^1(z) dz_1 + \sum_{i \geq 2} \Omega^i(z) dz_i$$

be the connection matrix of $\tilde{\nabla}$ with respect to a local frame, where ∞ is at $z_1 = 0$. Then the matrix of ∇R_∞ is

$$\sum_{i \geq 2} \left(\frac{\partial \Omega^1(z)}{\partial z_i} + [\Omega^i(z), \Omega^1(z)] \right) \Big|_{z_1=0}.$$

On the other hand, we have

$$[\Omega^1(z), \Omega^i(z)] = [z_1 \left(\frac{1}{z_1} \Omega^1(z) \right), \Omega^i(z)] = \frac{\partial \Omega^1(z)}{\partial z_i} - z_1 \frac{\partial \Omega^i}{\partial z_1}.$$

for $i \geq 2$. Thus for $z_1 = 0$, we have

$$[\Omega^1(z), \Omega^i(z)]|_{z_1=0} = \frac{\partial \Omega^1(z)}{\partial z_i}|_{z_1=0}$$

so $\nabla R_\infty = 0$. □

Fact 2. Let E be a bundle on $\mathbb{P}^1 \times X$ and π be the projection $\mathbb{P}^1 \times X \rightarrow X$. If for some $x^o \in X$, $E|_{\mathbb{P}^1 \times \{x^o\}}$ is trivial, then there exist canonical isomorphisms

$$\mathcal{E}(*\pi^{-1}\Theta) \simeq \pi^* i_0^* \mathcal{E}(*\pi^{-1}\Theta) \simeq \pi^* i_\infty^* \mathcal{E}(*\pi^{-1}\Theta)$$

where $i_0: \{0\} \times X \hookrightarrow \mathbb{P}^1 \times X$, $i_\infty: \{\infty\} \times X \hookrightarrow \mathbb{P}^1 \times X$, and Θ is the nontriviality divisor.

Proof: First we apply a general fact.

Fact. If \mathcal{F} is an \mathcal{O}_X -coherent sheaf, locally free of rank d on $X \setminus \Theta$, then $\mathcal{O}_X(*\Theta) \otimes_{\mathcal{O}_X} \mathcal{F}$ is locally free of rank d as an $\mathcal{O}_X(*\Theta)$ -module.

Applying the fact to the sheaf $\pi_* \mathcal{E}$, for $x^o \in \Theta$, let e_1, \dots, e_d be a basis of $\pi_* \mathcal{E}(*\Theta)_{x^o} = (\mathcal{O}_X(*\Theta) \otimes_{\mathcal{O}_X} \pi_* \mathcal{E})_{x^o}$. Then for a sufficiently small neighborhood V of x^o , the basis defines an isomorphism

$$\mathcal{O}_{\mathbb{P}^1 \times V}^d(*\pi^{-1}(\Theta)) \xrightarrow{\sim} \mathcal{E}(*\pi^{-1}(\Theta))|_{\mathbb{P}^1 \times V}.$$

Since the original problem can be check locally, this isomorphism makes the conclusion clear. □

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