

# Lectures on Abelian Varieties

CTS-NTHU 2000

Chin-Lung Wang

Reference

Griffiths–Harris: Principles of Algebraic Geometry

Mumford: Abelian Varieties

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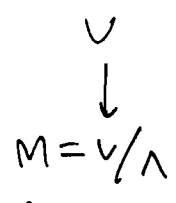
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## Abelian varieties, Tate modules and Riemann hypothesis

$M = V/\Lambda$  cpx tori  $V \cong \mathbb{C}^n$

her. product on  $V \leftrightarrow$  inv Kähler metric on  $M$

cpx structure under inv metric : harmonic form  $\leftrightarrow$  inv. forms =  $\{dZ^I \wedge d\bar{Z}^J\}$



$\Rightarrow H_1(M, \mathbb{Z}) = \pi_1(M, \mathbb{Z}) = \Lambda$   
 $\Lambda = \mathbb{Z}\lambda_1 \oplus \dots \oplus \mathbb{Z}\lambda_{2n}$  int. basis  
 real cov.  $x_1, \dots, x_{2n}$

Integral structure

$\Rightarrow H^1(M, \mathbb{Z}) = \text{Hom}(\Lambda, \mathbb{Z}) = \Lambda^*$   
 $H^k(M, \mathbb{Z}) = \Lambda^k(\Lambda^*)$   
 $= \mathbb{Z}(dx^I)_{|I|=k}$

$\Lambda^* \otimes \mathbb{R} = \mathbb{R}(dx_1, \dots, dx_{2n})$   
 even /  $\mathbb{Z}$

Q: want a integral positive (1,1) form

$\omega = \frac{i}{2} \sum h_{\alpha\bar{\beta}} dz^\alpha \wedge d\bar{z}^\beta$  (may assume, inv)

let  $\Pi$  be the  $2n \times n$  matrix st. (ie.  $\tilde{\Pi} = (\Pi, \bar{\Pi})$   $2n \times 2n$ )

$dx = \Pi dz + \bar{\Pi} d\bar{z}$

if  $\omega = \sum g_{ij} dx^i \wedge dx^j$  is an inv. int. 2-form (real)

$= g_{ij} (\pi_{i\alpha} dz^\alpha + \bar{\pi}_{i\alpha} d\bar{z}^\alpha) \wedge (\pi_{j\beta} dz^\beta + \bar{\pi}_{j\beta} d\bar{z}^\beta)$   
 $= \frac{g_{ij} \pi_{i\alpha} \pi_{j\beta}}{2} dz^\alpha \wedge dz^\beta$   
 $+ g_{ij} \pi_{i\alpha} \bar{\pi}_{j\beta} dz^\alpha \wedge d\bar{z}^\beta + g_{ij} \pi_{j\beta} \bar{\pi}_{i\alpha} d\bar{z}^\alpha \wedge dz^\beta$   
 $+ \frac{g_{ij} \bar{\pi}_{i\alpha} \bar{\pi}_{j\beta}}{2} d\bar{z}^\alpha \wedge d\bar{z}^\beta$   $i \leftrightarrow j \quad \alpha \leftrightarrow \beta$

(1,1) type  $\Leftrightarrow \Pi^t Q \Pi = 0$

then,  $\omega$  positive  $\Leftrightarrow 2 \cdot \frac{2}{i} \Pi^t Q \bar{\Pi}$  is her. pos. def.

This is the Riemann condition (relation)

Dual Form: (Usual Form)

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recall:  $\lambda_1, \dots, \lambda_{2n} \in \mathbb{Z}$  basis of  $\Lambda = H_1(M, \mathbb{Z})$

$e_1, \dots, e_n \in \mathbb{C}$  basis of  $V \cong \mathbb{C}^n$

so  $dz^1, \dots, dz^n$  basis of  $H^0(X, \Omega_M^1) = H^{1,0}(M)$

$\Omega :=$  period matrix  $\begin{bmatrix} \int_{\lambda_1} \vec{dz} & \int_{\lambda_2} \vec{dz} & \dots & \int_{\lambda_{2n}} \vec{dz} \end{bmatrix}$   
 $n \times 2n$

$$dz = \Omega dx = [\omega_{\alpha i}] \quad \text{st.} \quad \boxed{dz^\alpha = \omega_{\alpha i} dx^i} \Leftrightarrow \lambda_i = \omega_{\alpha i} e_\alpha$$

(or.  $\Lambda^t = \Omega^t E^t$ ) ( $d\bar{z}^\alpha = \bar{\omega}_{\alpha i} dx^i$ )

so  $\tilde{\Omega} := \begin{bmatrix} \Omega \\ \bar{\Omega} \end{bmatrix}$  is the inverse of  $(\Pi, \bar{\Pi})$

$$\left( \text{i.e. } \begin{bmatrix} \Omega \\ \bar{\Omega} \end{bmatrix} (\Pi, \bar{\Pi}) = \begin{bmatrix} \Omega \Pi & \Omega \bar{\Pi} \\ \bar{\Omega} \Pi & \bar{\Omega} \bar{\Pi} \end{bmatrix} = I_{2n \times 2n} \text{ omit} \right)$$

$$\Leftrightarrow \underline{\underline{\Omega \Pi = I_n, \quad \Omega \bar{\Pi} = 0}}$$

R.C.  $\tilde{\Pi}^t Q \tilde{\Pi} = \begin{pmatrix} \Pi^t \\ \bar{\Pi}^t \end{pmatrix} Q \begin{pmatrix} \Pi \\ \bar{\Pi} \end{pmatrix} = \begin{pmatrix} \Pi^t Q \Pi & \Pi^t Q \bar{\Pi} \\ \bar{\Pi}^t Q \Pi & \bar{\Pi}^t Q \bar{\Pi} \end{pmatrix}$

$$\boxed{\frac{1}{i} \tilde{\Pi}^t Q \tilde{\Pi} = \begin{pmatrix} H & 0 \\ 0 & -H^t \end{pmatrix}} \quad H > 0 \text{ (pos. her.)}$$

$$\Leftrightarrow i \bar{\Omega} Q^{-1} \Omega^t = \begin{pmatrix} H^{-1} & \\ & -H^{t-1} \end{pmatrix} \quad H > 0$$

$$\Leftrightarrow \underline{\underline{\Omega Q^{-1} \Omega^t = 0 \quad \& \quad i \bar{\Omega} Q^{-1} \Omega^t > 0}}$$

• If  $Q$  is only a  $\mathbb{R}$ -form ( $\omega$ ). then this condition is simply the condition that  $\omega$  being Kähler

this is the (Hodge)-Riemann bilinear relations •

Lemma (Algebra): let  $Q(\cdot)$  be an integral skew-sym form on  $\Lambda$   
then  $\exists \mathbb{Z}$  basis  $\lambda_1, \dots, \lambda_{2n}$  of  $\Lambda$  st.

$$Q = \begin{bmatrix} 0 & \Delta_\delta \\ -\Delta_\delta & 0 \end{bmatrix}; \quad \Delta_\delta = \begin{bmatrix} \delta_1 & & \\ & \ddots & \\ & & \delta_n \end{bmatrix} \quad \delta_i | \delta_{i+1}, \in \mathbb{Z}$$

In terms of the new basis

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$$\omega = \sum_{i=1}^n \delta_i dx^i \wedge dx^{n+i}$$

$\omega$  is non-deg.  $\Leftrightarrow \delta_i \neq 0$

take the cpx basis of  $V$  by  $e_\alpha = \frac{\lambda_\alpha}{\delta_\alpha}$ ,  $\alpha = 1 \dots n$

then

$$\Omega = (\Delta_\delta, \mathbb{Z})$$

the Riemann conditions become

$$\Omega \varrho^{-1} \Omega^t = 0 \Leftrightarrow [\Delta_\delta, \mathbb{Z}] \begin{bmatrix} 0 & -\Delta_\delta^{-1} \\ \Delta_\delta^{-1} & 0 \end{bmatrix} \begin{pmatrix} \Delta_\delta \\ \mathbb{Z} \end{pmatrix} = \mathbb{Z} - \mathbb{Z}^t = 0$$

ie.  $\mathbb{Z}$  is symmetric

$$i \bar{\Omega} \varrho^{-1} \Omega^t > 0 \Leftrightarrow i [\Delta_\delta, \bar{\mathbb{Z}}] \begin{bmatrix} 0 & -\Delta_\delta^{-1} \\ \Delta_\delta^{-1} & 0 \end{bmatrix} \begin{pmatrix} \Delta_\delta \\ \mathbb{Z} \end{pmatrix} \\ = -i (\mathbb{Z} - \bar{\mathbb{Z}}^t) = -i (\mathbb{Z} - \bar{\mathbb{Z}}) = 2 \text{Im } \mathbb{Z} > 0$$

Theorem (Riemann)

$M = V/\Lambda$  is an abelian variety  $\Leftrightarrow \exists \mathbb{Z}$  basis of  $\Lambda$  and  $\mathbb{C}$  basis of  $V$  st. for  $d\mathbb{Z} = \Omega dx$ , one have

$$\Omega = (\Delta_\delta, \mathbb{Z})$$

st.  $\mathbb{Z}$  is symmetric &  $\text{Im } \mathbb{Z}$  is positive definite.

Rmk: in this form.  $i \bar{\Omega} \varrho^{-1} \Omega^t = 2 \text{Im } \mathbb{Z}$  is actually real matrix

Later will see that  $[\omega] \in H^2(M, \mathbb{Z}) \cap H^{1,1}(M)$

is the 1st chern class of a line bundle  $L_\delta \rightarrow M$

in fact: (\*)  $h^0(M, L_\delta) = \delta_1 \dots \delta_n$  moreover

Lefschetz embedding thm:

$$L \text{ ample} \Rightarrow \begin{array}{l} L^k \text{ is b.p.f. } \forall k > 2 \\ L^k \text{ is v.a. } \forall k \geq 3 \end{array}$$

This follows from the theory of theta functions. (later)

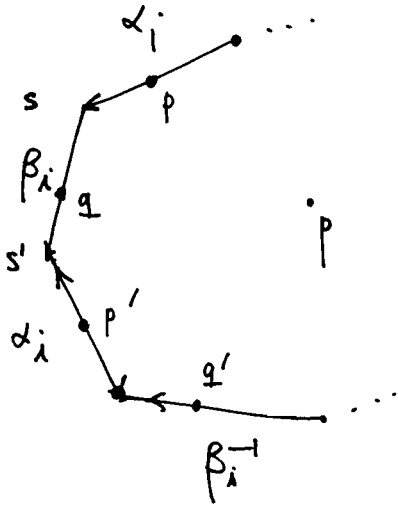
(\*) follows from the R-R formula since  $\chi(L)^n = \int_M \omega^n = n! \cdot \pi \delta_n$   
and  $K-V \Rightarrow \underline{h^0(L) = \chi(L) = \frac{L^n}{n!}}$  since  $M$  is flat.

$X: R.S \text{ genus } = g. \text{ Abel-Jacobi } X \rightarrow J(X) = \mathbb{C}^g / \Gamma \quad p.4$

$\omega$  holo. 1-form

$\Delta$  simply conn.

$\omega = d\varphi$  with  $\varphi = \int_{p_0}^z \omega$  holo. fun.



$$\frac{\varphi(p') - \varphi(p)}{\quad} = \int_p^{p'} d\varphi = \int_p^{p'} \omega$$

$$= \int_p^s \omega + \int_s^{s'} \omega + \int_{s'}^{p'} \omega$$

$$= \int_{\beta_i} \omega \quad \text{indep of the position of } p!$$

Same reason:  $\frac{\varphi(q') - \varphi(q)}{\quad} = - \int_{\alpha_i} \omega$

Basic integration

identity:

$$\int_X \omega \wedge \eta = \int_{\partial \Delta} \varphi \eta = \sum_{i=1}^g \int_{\alpha_i + \alpha_i^{-1}} \varphi \eta + \int_{\beta_i + \beta_i^{-1}} \varphi \eta$$

$$= \sum_{i=1}^g \left( - \int_{\beta_i} \omega \cdot \int_{\alpha_i} \eta + \int_{\alpha_i} \omega \cdot \int_{\beta_i} \eta \right)$$

for  $\omega$  holo.  $\eta$  any 1-form.

we may normalize  $\omega_1, \dots, \omega_g$  st  $\int_{\alpha_i} \omega_j = \delta_{ij}$

then the period matrix  $\Omega = (I, Z) \quad Z_{ij} = \int_{\beta_i} \omega_j$

$$0 = \int_X \omega_i \wedge \omega_j = \sum_k \left( - \int_{\beta_k} \omega_i \int_{\alpha_k} \omega_j + \int_{\alpha_k} \omega_i \int_{\beta_k} \omega_j \right)$$

$$= -Z_{ji} + Z_{ij} \Rightarrow \underline{Z \text{ is symmetric}}$$

$$0 < \int \omega_i \wedge \overline{\omega_j} = i \sum_k \left( -Z_{ji} + \overline{Z_{ij}} \right) = 2 \operatorname{Im} Z_{ij}$$

$\Rightarrow \underline{\operatorname{Im} Z \text{ is positive definite.}}$

hence:  $\mathbb{C}^g / \Gamma$  is an principally polarized abelian variety.

the case  $n=1$ :  $\mathbb{C}/\Lambda$  elliptic curves:

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$\Lambda$  has a basis  $\mathbb{Z} \oplus \mathbb{Z} \tau$ .  $\text{Im} \tau > 0$

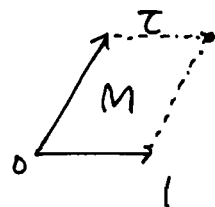
ie.  $\lambda_1 = 1, \lambda_2 = \tau$   $e_\alpha = e_1 = 1$

hence  $\lambda_i = \omega_{\alpha_i} e_\alpha \Rightarrow \omega_1 = 1, \omega_2 = \tau$

ie.  $\Omega = (\omega_{\alpha_i}) = \underline{(1, \tau)}$   
 $1 \times 2$  matrix

ie.  $\Delta_g = 1, \mathbb{Z} = \tau$ .

moduli space of elliptic curves



$\Lambda = \mathbb{Z} + \mathbb{Z} \tau$  and  $\Lambda' = \mathbb{Z} \oplus \mathbb{Z} \tau'$

determine the same torus  $\Leftrightarrow \Lambda' = \mu \cdot \Lambda$  for some  $\mu \in \mathbb{C}$

ie.  $\exists \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in \text{SL}(2, \mathbb{Z})$  st.

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} \tau \\ 1 \end{pmatrix} = \begin{pmatrix} \mu \tau' \\ \mu \end{pmatrix}$$

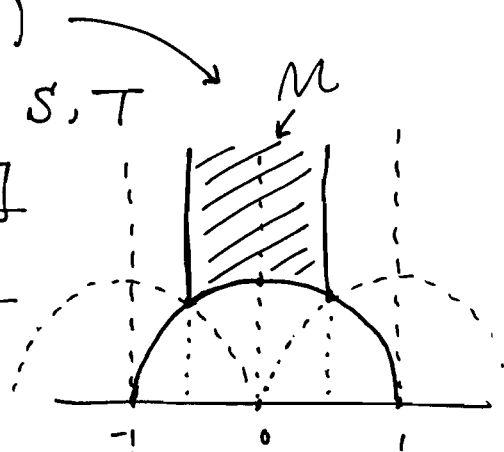
Define  $\begin{pmatrix} a & b \\ c & d \end{pmatrix} z = \frac{az+b}{cz+d}$  Möbius transform

get Moduli of  $M/\Gamma = \mathbb{H}/\text{SL}(2, \mathbb{Z})$

Lemma:  $\text{SL}(2, \mathbb{Z})$  is generated by  $S, T$

$$S := z \mapsto z + 1 = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$

$$T := z \mapsto -\frac{1}{z} = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$$



General  $n$ :

for principally polarized abelian varieties:

$$\Omega = (\Delta, \mathbb{Z}), \quad d \equiv 1$$

$$\mathcal{H} = \left\{ Z \in \mathbb{C} \mid \text{Im} Z > 0 \right\}$$

this is the ~~Siegel~~ upper half space  
 Siegel

$$\underline{M_g = \mathcal{H}_g / \text{Sp}(2g, \mathbb{Z})} \quad \text{symmetric space. (待續)}$$

Line bundles on  $V/\Lambda = X$  :

(1) any line bundle on  $\mathbb{C}^n$  is trivial :


$$\begin{array}{ccccc} H^1(\mathbb{C}^n, \mathcal{O}) & \rightarrow & H^1(\mathbb{C}^n, \mathcal{O}^*) & \rightarrow & H^2(\mathbb{C}^n, \mathbb{Z}) \\ \parallel & & & & \parallel \\ 0 & & & & 0 \\ \text{(Dolbeault lemma)} & & & & \end{array}$$

(2) any line bundle on  $\mathbb{C}^{*n}$  is determined by  $u$  :

$$\begin{array}{ccccccc} H^1(\mathbb{C}^{*n}, \mathcal{O}) & \rightarrow & H^1(\mathbb{C}^{*n}, \mathcal{O}^*) & \rightarrow & H^2(\mathbb{C}^{*n}, \mathbb{Z}) & \rightarrow & H^2(\mathbb{C}^{*n}, \mathcal{O}) \\ \parallel & & & & \parallel & & \parallel \\ 0 & & & & u & & 0 \end{array}$$

(bec. original pf of Dolbeault lemma also applies to the case  $\mathbb{C}^*$ . EX 15.)

or use the fact that  $\mathbb{C}^{*n}$  is an affine variety )

now:  $\mathbb{C}/\mathbb{Z} \xrightarrow{e^{2\pi i z}} \mathbb{C}^*$  

hence  $\mathbb{C}^n \cong V \xrightarrow{\pi} V/\mathbb{Z}\langle \lambda_1, \dots, \lambda_n \rangle \xrightarrow{\pi_1} X$   $e^{2\pi i x} \cdot e^{-2\pi i y}$   
 $\mathbb{C}^{*n}$  bec.  $e_\alpha = \frac{\lambda_\alpha}{\delta_\alpha} \alpha=1 \dots n$

We want to consider line bundle  $L \downarrow X$  with  $u(L) = \omega = \sum \delta_\alpha dx^\alpha \wedge dx^{n+\alpha}$  :

Step 1. (1)  $\Rightarrow \pi^*L \rightarrow \mathbb{C}^n$  is trivial

fix a trivialization  $\varphi: \pi^*L \rightarrow V \times \mathbb{C}$

$\varphi_z \rightarrow \varphi_{z+\lambda}$  differ by linear auto.

$\Rightarrow \exists$  holomorphic functions  $e_\lambda(z) \in \mathcal{O}^*(\mathbb{C}^n)$ ,  $\forall \lambda \in \Lambda$

st.  $\underline{e_{\lambda+\lambda'}(z) = e_{\lambda'}(z+\lambda) \cdot e_\lambda(z)}$  (\*)

which is determined by  $e_{\lambda_i}(z)$   $i=1 \dots 2n$

which is equiv to  
 (\*)': for basic  $\lambda_\alpha$ :  
 $e_{\lambda_\alpha}(z+\lambda_\beta) = e_{\lambda_\beta}(z)$   
 $= e_{\lambda_\beta}(z+\lambda_\alpha) \cdot e_{\lambda_\alpha}(z)$

Step 2. (2)  $\Rightarrow \pi_1^*(\delta_\alpha dx^\alpha) = \pi_1^*(dz_\alpha) = 0$

hence  $\pi_1^*\omega = 0$ . ie. if  $\varphi$  respects  $\pi_1$  then may let

$e_{\lambda_\alpha}(z) \equiv 1, \alpha=1 \dots n$



step 3. Recall  $Z = Z^T$ ,  $\text{Im } Z > 0$ ,  $\Omega = (\Delta_\alpha, \bar{Z})$  p. 2

Let  $Z = X + iY$ ,  $dZ = \Omega dx$

the main observation is:

$$\omega = \sum \int_\alpha dx^\alpha \wedge dx^{n+\alpha} = \frac{i}{2} \sum Y^{\alpha\beta} dz^\alpha \wedge d\bar{z}^\beta$$

pf:  $\sum Y^{\alpha\beta} dz^\alpha \wedge d\bar{z}^\beta$

$$= \sum Y^{\alpha\beta} (\delta_\alpha dx^\alpha + Z_{\alpha p} dx^{n+p}) \wedge (\delta_\beta dx^\beta + \bar{Z}_{\beta q} dx^{n+q})$$

$$= \sum Y^{\alpha\beta} \delta_\alpha \delta_\beta dx^\alpha \wedge dx^\beta + \sum Y^{\alpha\beta} (Z_{\alpha p} \delta_\beta dx^{n+p} \wedge dx^\beta + \delta_\alpha \bar{Z}_{\beta q} dx^\alpha \wedge dx^{n+q})$$

$$+ \sum Y^{\alpha\beta} Z_{\alpha p} \bar{Z}_{\beta q} dx^{n+p} \wedge dx^{n+q}$$

eq.  $Y^{\alpha p} Z_{p q} \cdot \bar{Z}_{q \beta} = Y^{\beta q} Z_{q \alpha} \cdot \bar{Z}_{\alpha p}$

$$= \sum Y^{\alpha\beta} (\bar{Z}_{\beta q} - Z_{\beta q}) \delta_\alpha dx^\alpha \wedge dx^{n+q}$$

$$= -2i \sum Y^{\alpha\beta} Y_{\beta q} \delta_\alpha dx^\alpha \wedge dx^{n+q} = -2i \omega \quad \#$$

step 4. Want to find positive function  $h(z)$  st

$$\frac{i}{2\pi} [-\partial\bar{\partial} \log h] = \omega \quad ; \quad \text{Let } K = \log h. \text{ ie.}$$

$$\partial\bar{\partial} K = -\frac{2\pi}{i} \cdot \frac{i}{2} \sum Y^{\alpha\beta} dz^\alpha \wedge d\bar{z}^\beta$$

the natural choice is then  $K(z) = -\pi \sum Y^{\alpha\beta} z^\alpha \bar{z}^\beta$

but this function should be inv under  $z \mapsto z + \lambda_\alpha$   
 $\alpha = 1, \dots, n$

ie. inv. under  $z^\alpha \mapsto z^\alpha + 1$ . so use

$$\log h(z) = K(z) = \frac{1}{2} \pi \sum Y^{\alpha\beta} (z^\alpha - \bar{z}^\alpha)(z^\beta - \bar{z}^\beta) \quad (\text{no } 2\pi k)$$

$$\text{step 5. Find } e_{\lambda_{n+\gamma}} = \frac{-2\pi \sum Y^{\alpha\beta} \text{Im } z^\alpha \cdot \text{Im } z^\beta}{2}$$

Wish  $h$  defines metric on  $L$ . hence  $h$  satisfies

$$** \quad h(z + \lambda_{n+\gamma}) = |e_{\lambda_{n+\gamma}}^{-1}(z)|^2 h(z)$$

$$K(z + \lambda_{n+\gamma}) = -2\pi \sum Y^{\alpha\beta} \text{Im}(z^\alpha + Z_{\alpha\gamma}) \text{Im}(z^\beta + Z_{\beta\gamma})$$

$$= K(z) - 4\pi \sum Y^{\alpha\beta} Y_{\alpha\gamma} \text{Im } z^\beta - 2\pi \sum Y^{\alpha\beta} Y_{\alpha\gamma} Y_{\beta\gamma}$$

$$= K(z) - 4\pi \text{Im } z^\gamma - 2\pi Y_{\gamma\gamma}$$

$$(** : \|\hat{\theta}(z)\|^2 = h(z) |\theta(z)|^2 = \|\hat{\theta}(z + \lambda)\|^2 = h(z + \lambda) |\theta(z + \lambda)|^2)$$

Let  $e_{\lambda_{n+\gamma}}(z) = e^{a_\gamma(z)}$

then  $K(z + \lambda_{n+\gamma}) = -2 \operatorname{Re} a_\gamma(z) + K(z)$

$\Rightarrow \operatorname{Re} a_\gamma(z) = +2\pi \operatorname{Im} z^\gamma + \pi Y_{\gamma\gamma}$

may take  $a_\gamma(z) = -2\pi i z^\gamma + \pi Y_{\gamma\gamma}$

const.  $c^\gamma$

In fact it's easy to see that

$$\begin{cases} e_{\lambda_\alpha} \equiv 1 : \alpha = 1 \dots n \\ e_{\lambda_{n+\alpha}}(z) = e^{-2\pi i z^\alpha + c^\alpha} : \alpha \text{ any const.} \end{cases}$$

satisfies the compatibility condition (\*):

$$\left( \begin{aligned} & e_{\lambda_{n+\alpha}}(z + \lambda_{n+\beta}) \cdot e_{\lambda_{n+\beta}}(z) \\ &= e^{-2\pi i (z^\alpha + Z_{\alpha\beta}) + c^\alpha} \cdot e^{-2\pi i z^\beta + c^\beta} \\ &= e^{-2\pi i ((z^\alpha + z^\beta) + Z_{\alpha\beta}) + (c^\alpha + c^\beta)} \quad \text{sym. in } \alpha, \beta \end{aligned} \right)$$

Step 6. meaning of the const.  $c^\alpha$ :

$\equiv$  line bundles with the same  $u$ :

let  $\mu \in X$  and  $\tau_\mu: X \rightarrow X \quad z \mapsto z + \mu$  translation by  $\mu$

then  $\tau_\mu$  homotopic to  $\operatorname{id}_X$  hence  $u(\tau_\mu^* L) = u(L)$

the new  $e'_\lambda(z) := e_\lambda(z + \mu)$  ( $\tau_\mu^* L$  top. isom to  $L$  but not holomorphically)

$$\begin{cases} e'_{\lambda_\alpha}(z) = e_{\lambda_\alpha}(z + \mu) = 1 & \alpha = 1 \dots n \\ e'_{\lambda_{n+\alpha}}(z) = e_{\lambda_{n+\alpha}}(z + \mu) = e^{-2\pi i (z + \mu)^\alpha + c^\alpha} \\ = e^{-2\pi i z^\alpha + (c^\alpha - 2\pi i \mu^\alpha)} \end{cases}$$

$\uparrow$   
const.

Fact:  $u(L) = 0$

exp sequence of top vs holo.

- $\Leftrightarrow$  flat line bundles
- $\Leftrightarrow$  constant transition function
- $\Leftrightarrow$  constant trivialization

$$e_\lambda(0) = \begin{cases} 1 & \lambda = \lambda_\alpha \\ e^{c^\alpha} & \lambda = \lambda_{n+\alpha} \end{cases}$$

this can also be seen from

$$0 \rightarrow \operatorname{Pic}^0(X) \rightarrow H^1(X, \mathcal{O}^*) \xrightarrow{u} H^1(X, \mathbb{Z})$$

principal homog. space.

$H^1(X, \mathcal{O}) / H^1(X, \mathbb{Z})$  dual a.v. of  $X = \check{X}$  acting on  $X$  by translation?

# Dimension Formula (R-R) :

if  $u(L) = \sum d_\alpha dx^\alpha \wedge dx^{n+\alpha}$

then  $h^0(X, \theta(L)) = \delta_1 \cdots \delta_n$

pf:  $h^0(\theta(L))$  is inv. under translation, may consider  $L$

st.  $\theta(z + \lambda_\alpha) = \theta(z) \quad \alpha = 1 \dots n$

$\theta(z + \lambda_{n+\alpha}) = e^{-2\pi i z^\alpha - \pi i Z_{\alpha\alpha}} \theta(z)$

(cov. to transl. by  $\mu = \frac{1}{2} \sum Z_{\alpha\alpha} e_\alpha$ )

$\alpha$ : what's the meaning of this choice  $\mu$ ?

so  $\theta$  is periodic in  $z^1, \dots, z^n$  with periods  $\delta_1, \dots, \delta_n$

$$\Rightarrow \theta(z) = \sum_{\ell \in \mathbb{Z}^n} a_\ell e^{\frac{2\pi i}{\delta_1} \ell_1 z^1 \dots e^{\frac{2\pi i}{\delta_n} \ell_n z^n}}$$

$$= \sum_{\ell \in \mathbb{Z}^n} a_\ell e^{2\pi i \langle \ell, \Delta_\delta^{-1} z \rangle}$$

$$\theta(z + \lambda_{n+\alpha}) = \sum_{\ell \in \mathbb{Z}^n} a_\ell e^{2\pi i \langle \ell, \Delta_\delta^{-1} z \rangle} \cdot \frac{e^{2\pi i \langle \ell, \Delta_\delta^{-1} \lambda_{n+\alpha} \rangle}}{e^{-2\pi i z^\alpha - \pi i Z_{\alpha\alpha}}}$$

$$= \sum_{\ell \in \mathbb{Z}^n} e^{-2\pi i z^\alpha - \pi i Z_{\alpha\alpha}} \cdot a_\ell e^{2\pi i \langle \ell, \Delta_\delta^{-1} z \rangle}$$

$$= \sum_{\ell \in \mathbb{Z}^n} e^{-\pi i Z_{\alpha\alpha}} \cdot a_\ell e^{2\pi i \langle \ell - \Delta_\delta e_\alpha, \Delta_\delta^{-1} z \rangle}$$

$$\Rightarrow a_{\ell + \lambda_\alpha} = e^{2\pi i \langle \ell, \Delta_\delta^{-1} \lambda_{n+\alpha} \rangle + \pi i Z_{\alpha\alpha}} a_\ell \quad (***)$$

hence  $\theta$  is completely determined by

$$a_\ell ; \ell \in \mathbb{Z}^n / \langle \lambda_1, \dots, \lambda_n \rangle \Rightarrow \dim \leq \prod_{\alpha=1}^n \delta_\alpha$$

Now

$$\theta(z) = \sum_{\substack{\xi \\ 0 \leq \xi_\alpha < \delta_\alpha}} \sum_{\ell \in \mathbb{Z}^n} a_{\xi + \Delta_\delta \ell} e^{2\pi i \langle \xi + \Delta_\delta \ell, \Delta_\delta^{-1} z \rangle}$$

$$= \sum_{\xi} e^{2\pi i \langle \xi, \Delta_\delta^{-1} z \rangle} \cdot \underbrace{\sum_{\ell \in \mathbb{Z}^n} a_{\xi + \Delta_\delta \ell} e^{2\pi i \langle \ell, z \rangle}}_{\theta_\xi(z)}$$

Solve relation \*\*\* gives

$$b_\ell = a_{\xi + \Delta_\delta \ell} = e^{2\pi i \langle \xi, \Delta_\delta^{-1} z \ell \rangle + \pi i \langle \ell, z \ell \rangle} \theta_\xi(z)$$

(basically:  $2(1+2+\dots+(n-1)) + (1+\dots+1) = n^2$ )

This is the reason to put  $\mu$ .

Need to verify that  $\theta_{\xi}(z)$  converges :

but  $|b_{\ell}| = e^{-2\pi \langle \xi, \Delta_{\delta}^{-1} Y_{\ell} \rangle - \pi \langle \ell, Y_{\ell} \rangle}$   
 $\leq e^{-c \|\ell\|^2}$  as  $\|\ell\| \uparrow$   $\sim 2\pi R$ .

and only has  $\leq \ell^n$  terms with size  $\leq \ell$ . hence OK.

when  $\Delta_{\delta} = I_n$ ,  $\Omega = (I_n, \mathbb{Z})$

$X$  is called principally polarized a.v.

then  $h^0(X, \mathcal{O}(L)) = 1$ . called the Riemann theta function:

$$\theta(z) := \sum_{\ell \in \mathbb{Z}^n} e^{2\pi i \langle \ell, z \rangle + \pi i \langle \ell, \mathbb{Z} \ell \rangle}$$

st.  $\begin{cases} \theta(z + \underline{e}_d) = \theta(z) & \text{periodic} \\ \theta(z + \frac{\lambda_n + \alpha}{\mathbb{Z} \alpha}) = e^{-2\pi i z \alpha - \pi i \mathbb{Z} \alpha} \theta(z) \end{cases}$

$\frac{\lambda_n + \alpha}{\mathbb{Z} \alpha}$  all are periods  $\Omega_i$  in  $\Omega$ .

$\Theta = (\theta)$  is called the theta divisor

In fact, for general  $\Omega = (\Delta_{\delta}, \mathbb{Z})$

Let  $\Lambda' = \mathbb{Z}(e_1, \dots, e_n, \lambda_{n+1}, \dots, \lambda_n)$ ,  $X' = V/\Lambda'$

then get covering map

$$\pi' : X \longrightarrow X'$$

then  $\Omega' = (I_n, \mathbb{Z})$ ,  $X'$  is prin. polarized :

$$\omega = \sum \delta_{\alpha} dx^{\alpha} \wedge dx^{n+\alpha} \equiv \sum dx'^{\alpha} \wedge dx'^{n+\alpha}$$

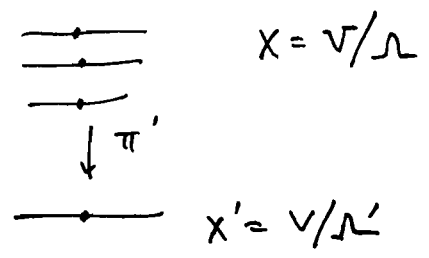
so,  $\exists$  line bundle  $L' \rightarrow X'$  st.  $\pi'^* L' = L$

$$\theta(z) = \sum_{\xi \in \Lambda'/\Lambda} e^{2\pi i \langle \xi, \Delta_{\delta}^{-1} z \rangle} \cdot \theta_{\xi}(z)$$

notice that  $\theta_0(z) = \theta(z)$  and  $\theta_{\xi} = \tau_{\xi}^* \theta(z)$  translates. ??

Principle: General results about abelian v.

can be reduced to the case of principally polarized abelian v.



Lefschetz embedding thm:

P. 6

$H^0(X, L^{\otimes k})$  gives proj. embed. for  $k \geq 3$

Pf: only need to do the case  $\Delta_g = I_n$ :

• base point free: ( $k \geq 2$  is enough)

$z_0 \in X$ , need to find  $\varphi \in H^0(L^{\otimes 2})$  st.  $\varphi(z_0) \neq 0$

consider  $\varphi(z) = \vartheta(z+\mu) \cdot \vartheta(z-\mu) \in H^0(L^{\otimes 2})$

for some  $\mu$  st.  $\vartheta(z_0+\mu) \neq 0 \neq \vartheta(z_0-\mu)$ . (not individual)

• separate points (1-1):

If  $\theta_0, \theta_1, \dots, \theta_N$  be a basis of  $H^0(X, L^{\otimes 3})$  st.

$$\theta_i(z_1) = \rho \theta_i(z_2) \quad \forall i$$

In particular, 
$$\frac{\vartheta(z_1+\mu) \vartheta(z_1+\nu) \vartheta(z_1-\mu-\nu)}{\vartheta(z_2+\mu) \vartheta(z_2+\nu) \vartheta(z_2-\mu-\nu)} = \rho$$

for all  $\mu, \nu$ . (they are all in  $H^0(X, L^{\otimes 3})$ )

claim  $\frac{\vartheta(z_1+z)}{\vartheta(z_2+z)}$  is non zero holo (entire)

trivial: for any  $z = \mu$ . find  $\nu$  st.  $\frac{a}{b} \frac{c}{d} \frac{e}{f} = \rho$   
 $c, e, d, f \neq 0$  \*.

But then  $\psi(z) := \log \frac{\vartheta(z_1+z)}{\vartheta(z_2+z)}$  is entire. st.

$$\begin{cases} \psi(z + \rho \alpha) = \psi(z) \\ \psi(z + \lambda \eta + \alpha) = \psi(z) + (-2\pi i [ (z_1+z)^\alpha - (z_2+z)^\alpha ]) \\ = \psi(z) - 2\pi i \cdot (z_1 - z_2)^\alpha \end{cases}$$

$\Rightarrow \frac{\partial \psi}{\partial z_i} = \text{constant}$  (bec. periodic in all directions)

$\Rightarrow \psi(z) = \text{linear} \Rightarrow$  \* can't be periodic in  $z^1, \dots, z^n$ .

Exercise 15. Complete the proof by showing that

$$J(z) := \begin{pmatrix} \theta_0(z) & \dots & \theta_N(z) \\ \partial_1 \theta_0(z) & \dots & \partial_1 \theta_N(z) \\ \vdots & \dots & \vdots \\ \partial_N \theta_0(z) & \dots & \partial_N \theta_N(z) \end{pmatrix}$$

has full rank. hence  $H^0(X, L^{\otimes 3}) : X \rightarrow \mathbb{P}^N$   
 is an emersion. Q.E.D.

D. Mumford. Abelian varieties.  
 $V \xrightarrow{i\pi} X = V/\Lambda$  line bundle  $L \rightarrow X$ ,  $\pi^*L \rightarrow V$  is trivial p. 7

$\Lambda$  acts on  $V \times \mathbb{C}$  homomorphically

$$u \in \Lambda, \phi_u: (z, \alpha) \mapsto (z+u, e_u(z) \cdot \alpha)$$

compatibility:

$$e_u \in \mathcal{O}^X(V)$$

$$\phi_u \circ \phi_{u'}(z, \alpha) = \phi_{u+u'}(z, \alpha) = (z+u+u', \underline{e_{u+u'}(z) \cdot \alpha})$$

$$\phi_u(z+u', e_{u'}(z) \cdot \alpha)$$

$$(z+u'+u, \underline{e_u(z+u') \cdot e_{u'}(z) \cdot \alpha})$$

let  $e_u(z) = e^{2\pi i f_u(z)}$

$$\Rightarrow f_u(z+u') + f_{u'}(z) = f_{u+u'}(z) \pmod{\mathbb{Z}}$$

$\omega(L)$  is given by the integral 2 form (for any  $z \in V$ ):

$$E(u, v) = f_v(z+u) + f_u(z)$$

$$- f_u(z+v) - f_v(z)$$

since  $E(iu, iv) = E(u, v)$  (type (1,1))

may set Her. form  $H(x, y) = E(ix, y) + iE(x, y)$

$$\begin{array}{ccccccc} 0 & \rightarrow & \mathbb{Z} & \rightarrow & \mathcal{O} & \rightarrow & \mathcal{O}^X \rightarrow 0 \\ & & & & e^{2\pi i} & & \\ & & & & & & H^1(X, \mathcal{O}^X) \xrightarrow{\omega} H^2(X, \mathbb{Z}) \end{array}$$

In fact  $e_u$  has better representation:

Let  $\alpha: \Lambda \rightarrow \mathbb{C}^*$  s.t

$$\alpha(u+v) = e^{i\pi E(u, v)} \alpha(u) \cdot \alpha(v) \quad \forall u, v \in \Lambda$$

Then,  $e_u = \alpha(u) e^{\pi H(z, u) + \frac{1}{2}\pi H(u, u)}$

define the line bundle  $L$  with  $\omega(L) = E \in H^2(X, \mathbb{Z})$

# Algebraic Theory of Abelian Varieties

Def: An ab. var  $X$  is a proper alg. gp. /  $k$   
 when  $k = \mathbb{C}$ , analytic method  $\Rightarrow X \cong \mathbb{C}^n / \Lambda$   
 st  $\Lambda$  has the Riemann condition.

In general we ask:

Q1:  $X$  as an abstract gp.

- $X$  is comm. and divisible

- $X_n := \ker n_X : X \rightarrow X \quad q \mapsto \underbrace{q + \dots + q}_n = nq$

$$\begin{cases} X_n \cong (\mathbb{Z}/n\mathbb{Z})^{\dim X} & \text{if char } k \nmid n \\ X_{p^m} \cong (\mathbb{Z}/p^m\mathbb{Z})^{\dim X} & \text{if } p = \text{char } k, m > 0. \end{cases}$$

Q2: Compute  $H^2(X, \mathbb{R}^p)$ ?

Q3: Structure of  $\text{Pic}(X)$ ?

Q4: characterize ample line bundle, Riemann-Roch.  
 this part require Riemann form?

Recall:  $f: X \rightarrow Y$  proper  $\mathcal{F}$  coh. sheaf on  $X$

Thm:  $R^p f_* \mathcal{F}$  is coherent on  $Y \quad \forall p$ .

$R^p f_* \mathcal{F}$  is the sh. asso. to  $U \mapsto H^p(f^{-1}(U), \mathcal{F}|_{f^{-1}(U)})$ .

Main Q: Relation between  $(R^p f_* \mathcal{F})_y$  and  $H^p(X_y, \mathcal{F}|_{X_y})$ ?

\* Theorem (Cohomology & Base change):

(a) the function  $y \mapsto h^p(X_y, \mathcal{F}_y)$  is upper semi-const.

(b)  $y \mapsto \chi(\mathcal{F}_y)$  is locally constant. (ie. can jump up!)

(c) TFAE: (i)  $h^p(X_y, \mathcal{F}_y)$  is constant in  $y$ .

(for  $Y$  reduced & connected) (ii)  $R^p f_* \mathcal{F}$  is locally free and

$$\psi_p: (R^p f_* \mathcal{F}) \otimes_{\mathcal{O}_Y} k(y) \xrightarrow{\cong} H^p(X_y, \mathcal{F}_y).$$

Moreover, then both  $\Rightarrow \psi_p^{-1}$  is also an isom  $\forall y$ .

(d)  $Y$  not nec. reduced,  $H^p(X_y, \mathcal{F}_y) = 0$  same  $\Rightarrow \psi_p^{-1}$  isom  $\forall y$ .

See Saw Theorem.

let  $X \times T$   $L$  line bundle on  $X \times T$   
 paper var any then  $T_1 := \{ t \in T \mid L|_{X \times \{t\}}$   
 is trivial on  $X \times \{t\} \}$   
 is closed in  $T$ .

Moreover,  $L|_{X \times T_1} \cong P_2^* M$ .  
 for some line bundle  $M$  on  $X \times T_1$ .  
 trivial loci.

pf: A line bd  $L$  on paper  $X$  is trivial  
 $\Leftrightarrow P(X, L) \neq 0$  and  $P(X, L^{-1}) \neq 0$   
 (if  $X$  is projective then it's trivial by mt. with certain curve.)

bec. if  $\sigma: \mathcal{O}_X \rightarrow L$  a section  
 $\tau: \mathcal{O}_X \rightarrow L^{-1} \Rightarrow \tilde{\tau}: L \rightarrow \mathcal{O}_X$   
 then  $\tilde{\tau} \circ \sigma: \mathcal{O}_X \rightarrow \mathcal{O}_X$  is a nowhere 0 section.  
 $X$  paper  $\Rightarrow \tilde{\tau} \circ \sigma$  is a const.  $\Rightarrow \sigma, \tau$  both isom.

On  $T_1$ ; the above  $\Rightarrow T_1 = \{ x \in X, h^0(L|_{X \times \{t\}}) > 0$   
 $\& h^0(L^{-1}|_{X \times \{t\}}) > 0 \}$   
 $T_1$  is closed is just the upper semi-continuity thm.

Replace  $T$  by  $T_1$ . So  $L|_{X \times \{t\}} = \text{trivial } \forall t \in T_1$ .  
 so  $h^0(X \times \{t\}, L|_{X \times \{t\}}) = 1$

by Cor 2 (wh & base change),  $\Rightarrow P_2^* L = M$   
 $M$  is a locally free sheaf of  $r_k = 1$ . i.e. line bd.  
 Moreover, on  $T_1$ .

$$M \otimes_{\mathcal{O}_T} k_{-at} \xrightarrow{\sim} H^0(X \times \{t\}, L|_{X \times \{t\}})$$

hence  $P_2^* M \xrightarrow{\sim} L$  is an isom. \*



Theorem (of the cube) :

$X, Y, Z$  variety with  $X, Y$  proper  
 $x_0, y_0, z_0$  base points.  $L$  line bundle  
 on  $X \times Y \times Z$   
 if  $L|_{x_0 \times Y \times Z}$  trivial  
 $X \times Y \times z_0 \Rightarrow L$  is trivial.  
 $X \times Y \times z_0$

Proof: Follows from Lefschetz (1,1)  
 theorem + Künneth formula.

Same is equiv. to

cor 1.  $L \cong p_{12}^*(L_{12}) \otimes p_{13}^*(L_{13}) \otimes p_{23}^*(L_{23})$ .

cor 2. f.g. h :  $X \rightarrow Y$  - ab. var.  
 any variety

$\forall L \in \text{Pic}(Y)$  :

$$(f+g+h)^* L \cong (f+g)^* L \otimes (f+h)^* L \otimes (g+h)^* L \otimes f^* L^{-1} \otimes g^* L^{-1} \otimes h^* L^{-1}$$

pf:  $p_i : Y \times Y \times Y \rightarrow Y$   
 $m_{ij} := p_i + p_j : Y \times Y \times Y \rightarrow Y$   
 $m = p_1 + p_2 + p_3$  :

$$M := m^* L \otimes m_{12}^* L^{-1} \otimes m_{13}^* L^{-1} \otimes m_{23}^* L^{-1} \otimes p_1^* L \otimes p_2^* L \otimes p_3^* L$$

let  $q : Y \times Y \rightarrow Y \times Y \times Y$ ,  $(y, y') \mapsto (0, y, y')$

$$\text{then } q^* M = \underbrace{q_1^* L}_{\text{from } m} \otimes \underbrace{q_2^* L^{-1} \otimes q_3^* L^{-1}}_{\text{from } m_{23}} \otimes \underbrace{q_0^* L}_{\text{from } m_{12} \otimes q_1^* L \otimes q_2^* L \otimes q_3^* L}$$

Similarly, trivial on  $(0) \times Y \times Y$ ,  $Y \times (0) \times Y$ ,  $Y \times Y \times (0)$ .

$\Rightarrow M$  is trivial

Result follows from Pullback  $M$  via

$$(f, g, h) : X \rightarrow Y \times Y \times Y \quad \#$$

Cor 3.  $X$  ab. var.  $u \in \mathbb{Z}$ ,  $L \in \text{Pic}(X)$ , then 4

$$n_X^* L \cong L^{\otimes \frac{1}{2} n(n+1)} \otimes (-1_X)^* L^{\otimes \frac{1}{2} n(n-1)}$$

Pf: Let  $f = (n+1)_X$ ,  $g = 1_X$ ,  $h = (-1)$  in Cor 2.

$$\Rightarrow (n+1)_X^* L \cong (n+2)_X^* L \otimes n_X^* L \otimes 0_X^* L \otimes (n+1)_X^* L^{-1}$$

$$\text{ir. } \underbrace{(n+2)_X^* L \otimes (n+1)_X^* L^{-2} \otimes n_X^* L}_{\cong L \otimes (-1_X)^* L} \cong L \otimes (-1_X)^* L \otimes 1_X^* L^{-1} \otimes (-1_X)^* L^{-1}$$

$$= \underbrace{\underbrace{1_X^* L^{-1} \otimes (-1_X)^* L^{-1}}_{\text{ indep. of } n}}_{\cong L \otimes (-1_X)^* L}$$

cb.  $a_{n+2} - 2a_{n+1} + a_n = c$

let  $b_n = a_{n+1} - a_n$  then get  $b_{n+1} - b_n = c$

$$\Rightarrow b_{n+1} = (n+1)c + b_0$$

$$\vdots$$

$$b_1 - b_0 = c$$

so  $a_n - a_{n-1} = nc + b_0$

$$\vdots$$

$$a_1 - a_0 = c + b_0 \Rightarrow a_n = \underbrace{\frac{n(n-1)}{2}}_c c + \underbrace{nb_0 + a_0}$$

$$\Rightarrow n_X^* L \cong \left( L \otimes (-1_X)^* L \right)^{\otimes \frac{1}{2} n(n-1)} \otimes M_1^n \otimes M_2$$

$n=0 \Rightarrow M_2$  trivial,  $n=1 \Rightarrow \perp$

$$M_1 \cong L \neq$$

Cor 4. (Theorem of square) for  $x \in X$  let  $T_x : X \rightarrow X$   
 $a \mapsto a+x$

then  $T_{x+y}^* L \otimes L \cong T_x^* L \otimes T_y^* L$ . So,

let  $\phi_L : X \rightarrow \text{Pic}(X) : x \mapsto [T_x^* L \otimes L^{-1}]$

is a group homomorphism.

Pf: let  $x=y$ ,  $f = \text{const map to } x$ ,  $h = \text{id}_X$   
 $g = \dots$  to  $y$

in Cor 2. get result.

clearly then  $\phi_L(x+y) = [T_{x+y}^* L \otimes L^{-1}]$

Rank:  
 better to write  $T_x$  instead of  $T_x$ .  $\cong [T_x^* L \otimes L^{-1}] \otimes [T_y^* L \otimes L^{-1}]$   
 $= \phi_L(x) \otimes \phi_L(y) \neq$

By definition:

- (a)  $\phi_{L_1 \otimes L_2} = \phi_{L_1} + \phi_{L_2}$
- (b)  $\phi_{T_x^* L} = \phi_L$ . ↖ law in Pic(X)

let  $K(L) := \text{Ker } \phi_L = \{x \in X : T_x^* L \cong L\}$   
 it is a Zariski closed subgroup of  $X$ .

pf: consider  $m^* L \otimes p_2^* L^{-1}$  on  $X \times X$   
 addition map.  $p_1 + p_2$

then  $\{x \in X : m^* L \otimes p_2^* L^{-1} |_{\{x\} \times X} \text{ trivial}\}$   
 is Zariski closed. (by Coh. & Base change thm)

But  $m^* L \otimes p_2^* L^{-1} |_{\{x\} \times X} \cong T_x^* L \otimes L^{-1}$   
 on  $X$   
 $\Rightarrow$  this set is  $K(L)$   $\checkmark$ .

Application I.

let  $D \subset X$  - ab. var,  $L := L(D)$ . TFAE:  
 ef. div.

- ①  $H := \{x \in X : T_x^* D = D\}$  is finite. equality of divisors.
- ②  $K(L)$  is finite.
- ③  $|2D|$  is bpf. &  $\bar{\pi}_{L \otimes 2} : X \rightarrow \mathbb{P}^N$   
a finite morphism
- ④  $L$  is ample on  $X$ .

pf: ① ← ② ← ④ ← ③

③  $\Rightarrow$  ④ is a general fact (Nakai-Moishezon Criterion)

④  $\Rightarrow$  ②: if  $K(L)$  not finite, then  $\dim K(L) \geq 1$   
 since it is an

let  $Y = \text{conn. comp. of } 0 \text{ of it, alg. var.}$

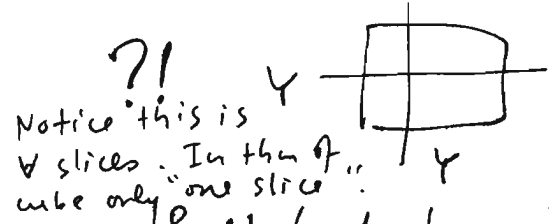
ie.  $Y$  is an ab. var. of  $\dim Y \geq 1$ .

now  $L$  ample  $\Rightarrow L_Y := L|_Y$  is ample on  $Y$ .

also  $\tau_Y^* L_Y \cong L_Y \quad \forall Y \in \mathcal{Y}$ .

Consider  $m^* L_Y \otimes p_1^* L_Y^{-1} \otimes p_2^* L_Y^{-1}$  on  $Y \times Y$

But then it is trivial on all slices  $\pi_i: Y \times Y \rightarrow Y$   
 $m = p_1 + p_2$ .



Notice this is  $\forall$  slices. In the  $\mathcal{Y}$  cube only "one slice".

Coh. & Base change  
 (See same theorem)  $\Rightarrow$  trivial. ~~6000~~.

Pull back by  $Y \rightarrow Y \times Y, y \mapsto (y, -y)$  get

$L_Y \otimes (-L_Y)^* L_Y$  is trivial on  $Y$

But  $L_Y$  ample  $\Rightarrow (-L_Y)^* L_Y$  also ample

get  $*$  since  $\dim Y > 0$   $\leftarrow$  bec.  $-L_Y$  is an automorphism on  $Y$ .

②  $\Rightarrow$  ① trivial since  $H \subset K(L)$ .

①  $\Rightarrow$  ⑤: Same as in the proof of Lefschetz theorem 1st part. in char = 0 case.

By cor 4.  $\frac{\tau_x^* D}{\text{ie. } D+x} + \frac{\tau_{-x}^* D}{\text{ie. } D-x} \sim \frac{\tau_{x+(-x)}^* D}{\text{ie. } 2D} + D$

for any  $u \in X, \exists x$  st  $u \neq x \notin \text{Supp } D$

ie.  $u \notin \text{Supp}(\tau_x^* D \cup \tau_{-x}^* D) \Rightarrow B_S(2D) = \emptyset$ .

thus a morphism  $\phi: X \rightarrow \mathbb{P}^N$

To see  $\phi$  is indeed finite, first:

Lemma:  $C \subset X, E \subset X$   $\text{st. } C \cap E = \emptyset$   
 curve divisor (irred)

then  $E$  is invariant under  $\tau_{x_1-x_2}$

Pf:  $L := L(E)$  is trivial on  $C. \quad \forall x_1, x_2 \in C.$

$\Rightarrow \tau_x^* L|_C$  has degree 0.  $\forall x \in X.$

$\Rightarrow \tau_x(C)$  either  $C \cap E$  or disjoint.

let  $x_1, x_2 \in C, y \in E$ , then

$$\tau_{y-x_2}(C) \cap E \ni \{y\} \Rightarrow \tau_{y-x_2}(C) \subset E$$

$$\text{i.e. } \underline{y-x_2+x_1} \in E \quad \forall x_1, x_2 ! \quad *.$$

Now if  $\phi$  is not finite,  $\exists$  irred. curve  $C$   
st  $\phi(C) = \text{pt.}$  so  $\forall E \in |2D|$ , either  $E \supset C$   
or  $E \cap C = \emptyset$ , In particular,  $\forall x \in X$

$$\tau_x^* D + \tau_{-x}^* D \text{ is disjoint from } C$$

let  $D = \sum n_i D_i, D_i$  irred. 2 wishi open condition.

by lemma, say  $x + D_i$  is inv under translation by all pts  $x_1 - x_2, x_i \in C$

$$\begin{aligned} \text{i.e. } & x_1 - x_2 + x + D_i = x + D_i \\ \Rightarrow & x_1 - x_2 + D_i = D_i \quad \text{true } \forall D_i \\ \Rightarrow & \underline{x_1 - x_2} + D = D \quad * \text{ to } |H| < \infty \\ & \quad \quad \quad \infty\text{-many choices.} \quad * \end{aligned}$$

Cor. Any abelian variety is projective.

pf: let  $U \subset X$ ,  $U$  any affine open.

let  $D_1, \dots, D_r$  the complement  $X - U$

$$\text{let } D := \sum_{i=1}^r D_i \quad \text{reduced str}$$

may assume  $0 \in U$ ,

Now  $H$  is a closed subgp ( $\subset K(L(D))$  say),  
 $= \{x : \tau_x^* D = D\}$  in  $X$  (hence proper)

Def'n  $\Rightarrow H$  stabilizes  $U$ , so  $H+0 \subset U$   
\ fix the boundary

but  $H$  proper,  $\subset$  affine  $U$

$$\Rightarrow |H| < \infty, \text{ so } \Rightarrow D \text{ is ample} \quad *.$$

## Application 2.

$X$  ab. var  $\nRightarrow X$  is divisible &  $X_n$  is finite.

pf: let  $\pi_X: X \rightarrow X$ ,  
 $\dim(\ker \pi_X) > 0 \Leftrightarrow \dim(\text{Im } \pi_X) < \dim X$

so  $X_n := \ker \pi_X$  is finite  $\Leftrightarrow \pi_X$  surjective.

let  $L$  be an ample line bd. bec.  $\dim \text{Im} = \dim X$   
 $\Rightarrow$  surj since  $X$  proper.

Cor 3  $\Rightarrow \pi_X^* L \cong L^{\frac{1}{2}n(n+1)} \otimes (-1_X)^* L^{\frac{1}{2}n(n-1)}$   
is still ample  $\uparrow$  auto.

$\Rightarrow \pi_X^* L$  can't be trivial on any sub. var of  $\dim > 0$

But  $\pi_X^* L|_{\ker \pi_X}$  is trivial, so  $\nRightarrow \ker \pi_X$  is finite

## Application 3. On $|X_n|$ :

Recall for  $f: X \rightarrow Y$   $X, Y$  proper

then  $f^* k(Y) \hookrightarrow k(X)$ , finite alg. ext.

let  $d = \text{degree } [k(X):k(Y)]$

$d_s = \text{separable degree } [\dots]_s$

$d_i = \text{insep degree } [\dots]_i$

if  $f$  is separable, i.e.  $d = d_s$ , then

$$d = \# \underline{f^{-1}(y)} \quad \forall y \in Y.$$

in general this is the  $d_s$ . for general  $f$ .  
Moreover,

$$(f^* D_1, \dots, f^* D_n)_X = d (D_1, \dots, D_n)_Y$$

for mt. # of Cartier divisors  $D_1, \dots, D_n$  on  $Y$ .

Def 'n:  $f: X \rightarrow Y$  homo. between ab. var.

is called an isogeny if it is surj.

with finite kernel. eg.  $\pi_X: X \rightarrow X$ .

clearly,  $\# \ker f = \# f^{-1}(y) = d_s(f)$

Let  $f = \pi_X$ .  $\forall y \in Y$

Let  $D$  be an ample symmetric divisor.

eg. take  $D' = D + (\pi_X)^* D$ . i.e.  $(\pi_X)^* D = D$ .

Cor 3  $\Rightarrow \pi_X^* D \sim \frac{1}{2} n(n+1) D + \frac{1}{2} n(n-1) D = n^2 D$

if  $g = \dim X$ , then

$$\underbrace{\pi_X^* D \cdots \pi_X^* D}_n = d(\pi_X) \cdot D^n \text{ in } X$$

$$n^2 D \cdots n^2 D = n^{2g} \cdot D^n.$$

$\Rightarrow \underline{\text{degree}(\pi_X) = n^{2g}}$

• If  $p$  is a prime  $\nmid n$ , then  $p \nmid n^{2g} = \text{deg}(\pi_X)$   
 $\Rightarrow \text{char } k, X \text{ var.}/k$ .

$\Rightarrow \pi_X$  is separable

i.e.  $|X_n| = n^{2g}$

moreover  $m|n \Rightarrow X_m \subset X_n$

with  $|X_m| = m^{2g}$

finite abelian gp theory  $\Rightarrow \underline{X_n \cong (\mathbb{Z}/n\mathbb{Z})^{2g}}$ .

• If  $p|n$ , then the tangent map

$$d\pi_X = 0 : T_{X,0} \rightarrow T_{X,0}$$

$\rightarrow$  simply = multiplication by  $n$ .

$\omega$  inv. diff form on  $X \Rightarrow \pi_X^* \omega$  still inv.  $\omega$

$\uparrow$  translation

$\uparrow$  transl.

hence  $\pi_X^* \omega = 0$ .

and is 0 at  $T_{X,0}$

But inv. form on  $X$  gen  $\Omega_X^1$  over  $\mathcal{O}_X$

hence gen  $k(X)/k$ -differential

(as  $k(X)$ -module)

$\Rightarrow \eta_X^*$  is 0 on nat'l differentials  $\Omega_{k(x)/k}^1$

$\star \Rightarrow \eta_X^*$  on  $k(x)$  maps into  $k(x)^p$

since each variable (transcend) general field theory  
has  $\deg \geq p$  with criterion

so the  $d_i(P_X) \geq p^g$ .  
 $\leftarrow n = p$ .

cf. eg. Bourbaki  
Alg II. ch 6. § 13.2 p. 103  
Prop 6.

Now  $X_p$  is killed by  $p$  with  $|X_p| = d_g(P_X)$ .

so  $X_p \cong (\mathbb{Z}/p\mathbb{Z})^i$  for some  $0 \leq i \leq g$ .

Now  $X_{p^2} \xrightarrow{p_X} X_p$  because  $X$  is divisible

conclude that  $X_{p^2} \cong (\mathbb{Z}/p^2\mathbb{Z})^i$ , inductively

get  $X_{p^m} \cong (\mathbb{Z}/p^m\mathbb{Z})^i$  \* by str. of finite ab. grps.

Remark about field extensions:

Bourbaki Alg II. ch 6. § 13-2. (p. 103) Prop. 6

let  $K$  be a field.  $\text{char } K = p$ .  $L$  ext of  $K$

a) for  $x \in L$ ,  $d_{L/K} x = 0 \Leftrightarrow x \in K(L^p)$ .

b)  $\Omega_K(L) = 0 \Leftrightarrow L = K(L^p)$ .

In the above  $\star$  case:  $\eta^* da = d(\eta^* a)$  by a)  $\Rightarrow \eta^* a \in k(x)^p$   
Applies to  $K = k$   $a \in k(x)$

$L = k(x)$ .

(the converse part is trivial)

bec.  $k^p = k$  (perfect fields)

this is the case for eg.

$p=0$ , finite, or alg. closed.



In case of  $k = \mathbb{C}$ :  $X$  general sm Kähler

$$H^1(X, \mathbb{Z}) \rightarrow H^1(X, \mathcal{O}_X) \rightarrow \text{Pic}(X) \rightarrow H^2(X, \mathbb{Z})$$

$$\frac{H^1(X, \mathcal{O})}{H^1(X, \mathbb{Z})} =: \text{Pic}^0(X) \hookrightarrow \text{Pic}(X) \xrightarrow{c_1} H^2(X, \mathbb{Z})$$

$$\searrow \quad \quad \quad \downarrow$$

$$\quad \quad \quad \text{NS}(X)$$

Q: How to read out Pic<sup>0</sup>(X) in general case of k?

$$H^2(X, \mathbb{Z}) \cap H^{1,1}(X)$$

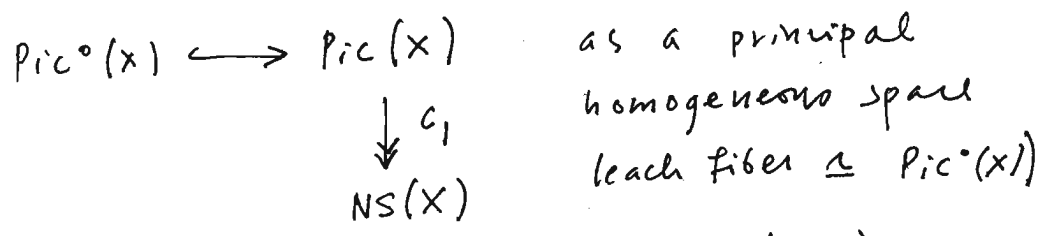
Answer: if char k = p > 0 may take  $l \neq p$ . use étale wh.  $H_{\text{ét}}^2(X, \mathbb{Z}_l)$ . and define  $c_1(L)$ .

Special construction in ab. var.

Let Pic(X) defines a map  $\phi_L: X \rightarrow \text{Pic}(X)$

$$x \mapsto [\tau_x^* L \otimes L^{-1}]$$

$k = \mathbb{C}$  case: View



Fact:  $c_1(L) = 0$

1  $\Leftrightarrow$  L is topologically trivial since  $H^i(X, \mathbb{C}) = 0 \forall i \geq 1$ .

2  $\Leftrightarrow$   $C^\infty$  flat line bundle in differential geom.  $\left. \begin{array}{l} \text{ } \\ \text{ } \end{array} \right\} \begin{array}{l} 0 \rightarrow H^1(X, \mathbb{C}^*) \xrightarrow{\sim} H^2(X, \mathbb{Z}) \rightarrow 0 \\ \uparrow \exp(2\pi i \cdot) \\ H^1(X, \mathbb{C}) \end{array}$

3  $\Leftrightarrow$  constant transition function

4  $\Leftrightarrow$  constant trivialization

$$e_{\lambda_\alpha}(z) \equiv e_{\lambda_\alpha}(0)$$

$$L = \left\{ (u_{\alpha\beta}, \varphi_{\alpha\beta}) : \varphi_{\alpha\beta} \varphi_{\beta\gamma} \varphi_{\gamma\alpha} = 1 \right\}$$

Rank:  $\tilde{L}$  is trivial on  $\mathbb{C}^* \times \mathbb{C}$ .

5  $\Leftrightarrow$  L is translation invariant i.e.  $\tau_x^* L \cong L \forall x \in X$ .

6  $\Leftrightarrow$   $\phi_L \equiv 0$ .

$\Rightarrow$   $\frac{\log \varphi_{\alpha\beta} + \log \varphi_{\beta\gamma} + \log \varphi_{\gamma\alpha}}{2\pi i} \equiv 0 \pmod{\mathbb{Z}}$   
not well defined!  
 $\Rightarrow c_1(L)$  is the class

Rank: This holds more generally for any  $\tilde{X} \rightarrow X$  up to step 4.  $\#$  - univ. covering

$$u_{\alpha\beta\gamma} \mapsto \frac{1}{2\pi i} (\log \varphi_{\alpha\beta} - \log \varphi_{\beta\gamma} + \log \varphi_{\gamma\alpha})$$

Now for general  $k$ :

Def: Recall  $\phi_L : x \mapsto [\tau_x^* L \otimes L^{-1}] \in \text{Pic}(x)$

$\text{Pic}^0(x) := \text{set } L \text{ st } \phi_L \equiv 0.$

Notice  $\phi_L(x) \in \text{Pic}^0(x)$  auto. bec. of them a square:

$$\begin{aligned} \tau_y^* (\tau_x^* L \otimes L^{-1}) \otimes (\tau_x^* L \otimes L^{-1})^{-1} \\ \cong \tau_{x+y}^* L \otimes \tau_y^* L^{-1} \otimes \tau_x^* L^{-1} \otimes L \cong \mathcal{O}_x \end{aligned}$$

$$\begin{aligned} 0 \rightarrow \text{Pic}^0(x) \rightarrow \text{Pic}(x) \rightarrow \text{Hom}(x, \text{Pic}^0(x)) \\ L \mapsto \phi_L \end{aligned}$$

GOAL: Show  $\text{Pic}^0(x)$  has an structure of ab. var too denoted by  $\hat{X}$  later. exact.

properties:

①  $L \in \text{Pic}^0(x) \Leftrightarrow \tau_x^* L \cong L \Leftrightarrow m^* L \cong p_1^* L \otimes p_2^* L$  on  $X \times X$   
 $\forall x \in X$  by restriction to slices  $X \times \{a\}$  or  $\{a\} \times X$  then apply see saw thm.

②  $L \in \text{Pic}^0(x) \Rightarrow \forall f, g : S \rightarrow X, (f+g)^* L \cong f^* L \otimes g^* L$ !  
pullback ① to  $S \rightarrow X \times X$

③  $L \in \text{Pic}^0(x) \Rightarrow \pi_X^* L \cong L^{\otimes n}$  any scheme

④  $L \in \text{Pic}(x) \Rightarrow \pi_X^* L \cong L^{\otimes n^2} \otimes A$  with  $A \in \text{Pic}^0(x)$ .

how to feel this non-trivial law geometrically?!  
 even  $k = \mathbb{C}$

pf: in general,

$$\begin{aligned} \pi_X^* L &\cong L^{\otimes \frac{1}{2}n(n+1)} \otimes (-1_X)^* L^{\otimes \frac{1}{2}n(n-1)} \\ &= L^{\otimes n^2} \otimes [L \otimes (-1_X)^* L^{-1}]^{-\frac{1}{2}n(n-1)} \end{aligned}$$

need this in  $\text{Pic}^0(x)$   
 this is obvious in the  $c_1$  consideration.

Now  $\tau_x^* (L \otimes (-1_X)^* L^{-1})$

$$\cong \tau_x^* L \otimes \underline{(-1_X)^*} [\tau_x^* L^{-1} \otimes \underline{(L^{-1})^{-1}}] \otimes \underline{(1_X)^* L^{-1}}$$

commutation " $\phi_{L^{-1}}(-x) \in \text{Pic}^0(x)$ "

$$\cong \tau_x^* L \otimes \tau_x^* L \otimes L^{-1} \otimes (1_X)^* L^{-1} \quad \text{may apply ③}$$

$$\cong \underline{L} \otimes (1_X)^* L^{-1}, \text{ hence is } \tau_x^* \text{-inv } \forall x \in X$$

by them of square

⑤  $L \in \text{Pic}(X)$  has finite order  $\Rightarrow L \in \text{Pic}^0(X)$ .

pf: since  $X$  is divisible,  $\phi_L(x) = \phi_L(n \cdot (\frac{1}{n}x)) = n \phi_L(y) = \phi_{L^{\otimes n}}(y) \equiv 0$   
 say  $L^{\otimes n} \cong \mathcal{O}_X$ , some  $y \in X$   
 again trivial for  $y=0$ .  
 ( $H^1(X, \mathbb{Z})$  is free in the ab. var case)

⑥  $L \downarrow \Rightarrow \underline{L_{S_i} \otimes L_{S_0}^{-1}} \in \text{Pic}^0(X); \forall S_i \in S$   
 $X \times S$ , any var  
 this a priori means ~~only~~ alg. equiv. in general ~~seems~~ does not imply (numerical) hom equiv.

pf: By induction and transitivity, by nest to open set we may assume  $L|_{\{s_0\} \times S}$  trivial.  $\uparrow S$

also  $L_{S_0}$  is trivial:

(replace  $L$  by  $L \otimes p_1^* L_{S_0}^{-1}$ )

remains to show  $\underline{L_S \in \text{Pic}^0(X); \forall S \in S}$ .



by ① enough to show that  $m^*(L_S) \otimes p_1^*(L_S^{-1}) \otimes p_2^*(L_S^{-1}) = A$

But for  $M := \mu^* L \otimes p_{13}^* L^{-1} \otimes p_{23}^* L^{-1}$  trivial.

$$\begin{matrix} X \times X \times S \\ \downarrow \\ X \times X \times S \end{matrix} \xrightarrow{p_{13}, p_{23}, \mu} X \times S \quad \mu = (p_1 + p_2, id_S)$$

$M$  is trivial on  $X \times (0) \times S$ ,  $(0) \times X \times S$  and  $X \times X \times (s_0)$

so  $M$  is trivial by thm of cube,

but then  $m|_{X \times X \times (s_0)} = A \neq$

Proposition (Vanishing)

⑦ if  $L \in \text{Pic}^0(X) \setminus \{0\}$  then  $\underline{H^i(X, L) = 0 \quad \forall i}$  vanishing  
 ie not trivial

pf: step 1:  $H^0(X, L) = 0$ :

if not, then  $L \cong \mathcal{O}_X(D)$ ,  $D \geq 0 \neq 0$ .

$$\text{but } \begin{cases} L^{-1} \cong \mathcal{O}_X(-D) \\ L^{-1} \cong (-1_X)^* L \cong \mathcal{O}_X((-1_X)^* D) \end{cases}$$

auto  $> 0$

but ef  $\neq$  (-pf), so get  $\times$ .

- step 1 is true very generally, say when  $X$  is projective.
- for step 2, it is not simply a homological statement, need  $X$  ab.
- there are much more line bds than divisors! from  $\text{Pic}^0(X)$ .

Step 2: Now suppose  $H^i(X, L) = 0$  for  $i \leq k-1$ , ( $k \geq 1$ ) p. 4  
 will show  $H^k(X, L) = 0$  too.

Let  $X \xrightarrow{i_1} X \times X \xrightarrow{m} X$  where  $m \circ i_1 = 1_X$   
 then  $H^k(X, L) \xrightarrow{m^*} H^k(X \times X, m^*L) \xrightarrow{i_1^*} H^k(X, \underbrace{(m \circ i_1)^* L}_L)$   
functorial for  $\parallel \quad p_1^*L \otimes p_2^*L$   
 $\oplus_{i+j=k} H^i(X, L) \otimes H^j(X, L)$  - bec in  $\text{Pic}^0(X)$   
 $0 \cong$  at least one  $i$  or  $j < k$  since  $k \geq 1$ .

so  $i_1^* \circ m^* = \text{id}_X^* = \text{id}$  factor thru 0  $\Rightarrow H^k(X, L) = 0$  \*

THEOREM: If  $L$  ample, then  $\phi_L: X \rightarrow \text{Pic}^0(X)$ !

i.e.  $\forall M \in \text{Pic}^0(X), \exists x \in X$  s.t.  $M \cong \tau_x^*L \otimes L^{-1}$ .

i.e. the abstract gp  $\text{Pic}^0(X) \cong X/K(L)$  has a str. of alg. var.

but does this structure depend on  $L$ ??

pf: consider  $H^*(X \times X, \mathcal{A})$

with  $A = m^*L \otimes p_1^*L^{-1} \otimes p_2^*(L^{-1} \otimes M^{-1})$

$M \in \text{Pic}^0(X)$  any given one. Then

(1)  $H^e(X, R^k p_{1,*} \mathcal{A}) \Rightarrow H^{k+e}(X \times X, \mathcal{A})$

(2)  $H^e(X, R^k p_{2,*} \mathcal{A}) \Rightarrow H^{k+e}(X \times X, \mathcal{A})$

Notice that  $A|_{\{x\} \times X} = \tau_x^*L \otimes L^{-1} \otimes M^{-1}$   
 $A|_{X \times \{x\}} = \tau_x^*L \otimes L^{-1}$  }  $\in \text{Pic}^0(X)$

if  $\forall x \in X, \tau_x^*L \otimes L^{-1} \not\cong M$ , then  $A|_{\{x\} \times X}$  is not trivial, by vanishing thm, get  $H^k(\{x\} \times X, A|_{\{x\} \times X}) = 0 \forall k$  by coh & Base change,

$\Rightarrow R^k p_{1,*} \mathcal{A} \equiv 0 \forall k$ , hence  $H^*(X \times X, \mathcal{A}) = 0$  by (1).

but by (2), for  $x \notin K(L)$ , which is finite since  $L$  ample

$A|_{X \times \{x\}}$  is not trivial &  $\in \text{Pic}^0(X)$ , so

again has vanishing cohomology outside  $K(L)$ , P.5  
 wh & base change  $\Rightarrow \text{Supp}(R^k p_{2*} \mathcal{A}) \subset K(L)$   
 and (2)  $\neq$  the spectral sequence degenerate to

$$\bigoplus_{x \in K(L)} (R^k p_{2*} \mathcal{A})_x \xrightarrow{\sim} H^k(X \times X, \mathcal{A}) \xrightarrow{=} 0$$
  
 so  $R^k p_{2*} \mathcal{A} \equiv 0$  in fact this part already only  $k=0$  left. if sum is false

But this  $\Rightarrow H^k(X \times X, \mathcal{A}|_{X \times X}) = 0 \quad \forall x \in X$

which is NOT true for  $x=0 \in K(L)$ :

$\mathcal{A}|_{X \times \{0\}}$  is trivial, its  $H^0 \neq 0$  ~~X~~ . PED.

### CONSTRUCTING PICARD VARIETY / DUAL AB. VAR:

#### Moduli Problem:

We would like to put variety structure on  $\text{Pic}^0(X)$   
 call it  $\hat{X}$ , together with a universal line bundle  
 or Poincaré bundle  $P$  on it.

(a)  $P_\alpha := P|_{X \times \{\alpha\}}$  repr one element of  $\alpha \in \hat{X} \cong \text{Pic}^0(X)$

We also adjust  $P$  st  $P|_{(0) \times \hat{X}}$  is trivial.

(when  $\hat{X}$  given, st  $P$  is unique by (a))

(b) for any  $A$  on  $X$  at  $A_s := A|_{X \times \{s\}}$  for all  $s \in S$   
 $\downarrow$   
 $X \times S \rightarrow \text{Pic}^0(X)$  (one  $S$  is enough)  
 any normal var and  $A|_{(0) \times S}$  trivial

the set map:  $S \rightarrow \hat{X}$  st  $A_s \cong P_{f(s)}$

is an algebraic morphism. &  $A \cong (1_X \times f)^* P$ .

(b) forces the uniqueness of alg. str of  $\hat{X}$ !

Define:  $\phi_L: X \rightarrow \text{Pic}^0(X) \cong X/K(L) =: \hat{X}$

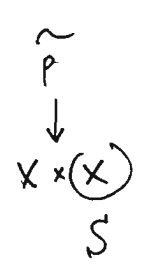
call the morphism  $\pi: X \rightarrow \hat{X}$ , a priori dep on  $L$ .

Since  $X \times \hat{X} = (X \times X) / \mathbb{Q}_X K(L)$

(0)  $\rightarrow$  finite gp.

whomst  $P$  is equiv to whomst  $\tilde{P}$  on  $X \times X$  inv. under it.

From (b) at  $(F)$ :



with map  $X \xrightarrow{(\pi)}$

$\tilde{P}$  has to be  $(1_X \times \pi)^* P$

but one such a choice of  $\tilde{P}$  is

$$\tilde{P} := m^* L \otimes p_1^* L^{-1} \otimes p_2^* L^{-1} \quad (\text{see (a)})$$

it remains to construct the

action of  $(\mathbb{A}^1 \times K(L))$  on  $\tilde{P}$ .

Call  $(0) \rightarrow \ker(1_X \times \pi)$  to get  $P$ .

but for  $a \in K(L)$ ,  $T_{(0,a)}^* \tilde{P} \cong \tilde{P}$  since  $T_a^* L = L$ .

so  $\exists$  automorphism  $\phi_a: \tilde{P} \xrightarrow{\sim} \tilde{P}$  lifts  $T_{(0,a)}: X \times X \rightarrow X \times X$

if  $\phi_a \circ \phi_b = \phi_{a+b} \quad \forall a, b \in K(L)$  then done,

since  $X$  is proper,  $\phi_a$  is det. up to a scalar.

So only need  $(*)$  to hold at one point.

Now the trivial line bundle

$$\tilde{P}|_{(0) \times X} \cong m^* L|_{(0) \times X} \otimes p_1^* L^{-1}|_{(0) \times X} \otimes p_2^* L^{-1}|_{(0) \times X}$$

$$\text{canonical} \xrightarrow{\sim} L \otimes (L^{-1}(0) \times \mathbb{A}^1) \otimes L^{-1} = L^{-1}(0) \times \mathbb{A}^1.$$

↑  
fiber at  $0 \in X$

We may adjust  $\phi_a$  so that to "fix" this fiber  $L^{-1}(0) \times \mathbb{A}^1$

$$\begin{array}{ccc} L^{-1}(0) \times \mathbb{A}^1 & \longrightarrow & L^{-1}(0) \times \mathbb{A}^1 \\ (\lambda, x) & \longmapsto & (\lambda, x+a) \end{array} \quad \begin{array}{c} \downarrow \\ (0) \times \mathbb{A}^1 \end{array}$$

We may do this because auto of trivial line bundle is only a constant function. Done!

Theorem:  $(\hat{X}, P)$  solves the universal problem.

pf: only need to check in (b),  
the set map  $f: S \rightarrow \hat{X}$   $\begin{array}{c} A \\ \downarrow \\ X \times S \end{array}$

is a morphism. because then the

statement  $A \cong f^* P$  follows from the See Saw Thm:

"A is det. by its restrictions to  $X \times \{s\} \forall s \in S$  &  $(0) \times S$ ."

consider

$$E = P_{12}^* A \otimes P_{13}^* (P^{-1})$$

$$\downarrow$$

$$X \times S \times \hat{X}$$

then  $E|_{X \times (s, \alpha)} = A_s \otimes P_\alpha^{-1}$  and

$$\Gamma := \{ (s, \alpha) \in S \times \hat{X} \mid E|_{X \times (s, \alpha)} \text{ trivial} \}$$

is Zariski closed, hence alg. var.

In fact,  $\Gamma = \underline{\text{graph of } f : S \rightarrow \hat{X}}$

since  $E|_{X \times (s, \alpha)} \text{ trivial} \Leftrightarrow A_s \cong P_\alpha$   
 so  $s \xrightarrow{f} \alpha$ .

Example: Let  $F : a \mapsto a^p$ ; if  $a^p = b^p$  then

$$(a-b)^p = 0 \text{ so } a=b$$

$$k = \bar{k}, \text{ char } k = p; A^1 \xrightarrow{F} A^1$$

$F$  is bijective morphism

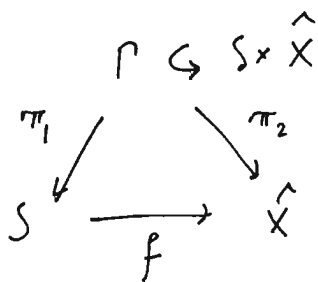
but is not loc. biinertial (not invertible)

$$X = \{ x+y+z=0 \}; Y = \{ x^p+y^p+z^p=0 \}$$

Frobenius map.

$$x_i \mapsto x_i^p$$

in order to be able to invert  $F$ , the downstairs space  $Y$  or  $A^1$ , in general  $\hat{X}$  should be carefully constructed!



in particular,  $\Gamma \xrightarrow{\pi_1} S$  is a bijective morphism.

Now if  $\pi_1$  is biinertial which is true if  $\text{char } k = 0$ ,

then Zariski Main Thm  $\Rightarrow \pi_1$  is an isomorphism

hence  $\pi_2$  is a morphism  $\Rightarrow f$  is an morphism. \*

Unfortunately, when  $\text{char } k = p > 0$ , this  $\pi_1$  is not nec. "invertible". see above example !!

Theorem:  $P \rightarrow X \times \hat{X}$  realizes  $X = \hat{X}$  with same  $P$ .

Simplest gp schemes:

(1)  $\mathbb{G}_a$  additive gp /  $k := \text{Spec } k[T] = \mathbb{A}^1$

gp of  $S$ -valued pt  $\underline{\mathbb{G}}_a(S) = P(S, \mathcal{O}_S)$ .

when  $\text{char } k = p > 0$ ,

$\alpha_{p^n} :=$  sub gp scheme  $\text{Spec} \left( k[T] / \underline{I} T^{p^n} \right) = (\mathbb{G}_a)_n$

$\alpha_{p^n}(S) = \{ f \in P(S, \mathcal{O}_S) \mid f^{p^n} = 0 \}$  ie  $p^n$ -th nilpotent ele.

Notice  $\text{Lie } \mathbb{G}_a = \text{Lie}(\alpha_{p^n}) = k \cdot \frac{\partial}{\partial T}$  ( $\forall n \geq 1$ ).

other  $\alpha_{p^n}$  do not have this is tangent vector for  $\alpha_{p^n}$  nontrivial Lie. since  $\frac{\partial}{\partial T}(T^{p^n}) = p^n T^{p^n-1} = 0$ .

(2)  $\mathbb{G}_m$  multiplicative gp /  $k := \text{Spec} \left[ T, \frac{1}{T} \right] = \mathbb{A}^1 - (0)$ .

gp of  $S$ -valued pt  $\underline{\mathbb{G}}_m(S) = P(S, \mathcal{O}_S^\times)$ .

when  $\text{char } k = p > 0$ ,

$\mu_{p^n} :=$  sub gp scheme  $\text{Spec} k[T, T^{-1}] / \left( \frac{T-I}{T-I} \right)^{p^n} \cong \text{Spec} k[T] / T^{p^n} - 1$

$\mu_{p^n}(S) = \{ f \in P(S, \mathcal{O}_S) \mid f^{p^n} = 1 \}$  ie  $p^n$ -th roots of 1.

Notice  $\text{Lie } \mathbb{G}_m = \text{Lie } \mu_{p^n} = k \cdot T \frac{\partial}{\partial T}$

other  $\mu_{p^n}$  ( $k, p=1$ ) is just a reduced gp.

Characterize Scheme through its Functor of points:

$X$  scheme /  $k$ ,  $\underline{X}(S) := \text{Hom}_k(S, X)$  is a contravariant finite type /  $k$   $k = \bar{k}$  functor:  $\underline{X}: \text{Sch}/k \rightarrow \text{Sets}$  or  $\text{Alg}/k \rightarrow \text{Sets}$

Theorem:

$\text{Sch}/k \rightarrow \text{Functor}(\text{Sch}/k, \text{Sets})$  by  $\underline{X}(R) := \underline{X}(\text{Spec } R)$   
 $X \mapsto \underline{X}$  or  $\text{Alg}/k$  is fully faithful.

ie.  $\text{Hom}_{\text{Sch}}(X, Y) \xrightarrow{\sim} \text{Hom}_{\text{Func}}(\underline{X}, \underline{Y})$ ,  $\mathcal{C} := \text{Func}(\dots)$ .

Corollary: A scheme  $G$  is a gp scheme  $\Leftrightarrow$

$\underline{G}(S)$  is a gp  $\forall S$  and  $\underline{G}(S) \rightarrow \underline{G}(S')$  is a gp homo  $\forall S' \rightarrow S$  of schemes.

Fact: A gp scheme /  $k$  with  $\text{char } k = 0$  is always smooth (hence reduced).



# Dual Abelian Variety in Any Characteristic. 2/8

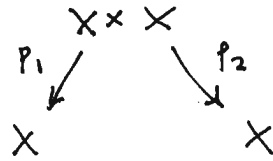
$X$  ab. var  $L$  line bundle

$$K(L) \text{ closed subgp} = \{ x \in X \mid \tau_x^* L \cong L \}$$

GOAL: define subscheme structure on  $K(L)$ .

$$M = m^* L \otimes p_1^* L^{-1} \otimes p_2^* L^{-1}$$

\*  $K(L) :=$  maximal subscheme of  $X$  at



$M \mid_{K(L) \times X}$  is trivial. Is it a subgroup scheme

lemma:  $f \in K(L)(s)$  (ie.  $s$ -valued pt of  $K(L)$ )

$$\Leftrightarrow \tau_f^* L_s \cong L_s \otimes p_2^* N \quad (*) \text{ some } N \in \text{Pic}(s).$$

where  $L_s = p_1^* L$  on  $X_s = X \times s$ ,  $\tau_f(x, s) = (x + f(s), s)$ .

PF: Composite

$$\begin{array}{ccccc} X \times s & \xrightarrow{\tau_f} & X \times s & \xrightarrow{p_1} & X \\ X \times s & \xrightarrow{1_X \times f} & X \times X & \xrightarrow{m} & X \end{array}$$

equals

$$\text{So } \tau_f^* L_s \cong (1_X \times f)^* m^* L$$

since  $L_s|_{(0) \times s}$  trivial and  $\tau_f^* L_s|_{(0) \times s} \cong f^* L$

$$(*) \text{ holds will } \Rightarrow f^* L \cong (L_s \otimes p_2^* N)|_{(0) \times s} \cong N$$

$$\text{So } (*) \text{ holds } \Leftrightarrow (1_X \times f)^* m^* L \cong p_1^* L \otimes p_2^* f^* L$$

$$\text{But } (1_X \times f)^* m^* L \otimes p_1^* L^{-1} \otimes p_2^* f^* L^{-1} \cong (1_X \times f)^* M$$

$$\text{So } (*) \text{ holds } \Leftrightarrow (1_X \times f)^* M \text{ trivial}$$

ie.  $f$  factors thru  $K(L)$  by def \*

or, equiv.  $f \in K(L)(s)$  \*

since  $(*)$  satisfies a gp law for  $f$ , this  $\Rightarrow$

Corollary:  $K(L)(s)$  is a subgp of  $X(s)$ ,  $\forall s$

hence  $K(L)$  is a subgroup scheme of  $X$ .

Fundamental Theorem:

(A).  $G \curvearrowright X$  - scheme  
 finite gp scheme  
 then  $\exists$  pair  $(Y, \pi)$ ,  $\pi: X \rightarrow Y$  st.  
 scheme morphism  
 at. any orbit is contained  
 in an affine open set of  $X$ .

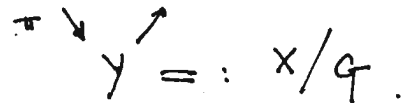
(i) As top. space  $(Y, \pi) =$  quotient of  $X$  by  $G$  red.

(ii)  $\pi$  is  $G$ -equivariant, and  $\mathcal{O}_Y \cong (\pi_* \mathcal{O}_X)^G$   
 see back side for some def.

$\pi$  is finite surj and  $(Y, \pi)$  has univ. property :

$\forall G$ -equivariant morphism  $f: X \rightarrow Z$

$\exists!$   $g: Y \rightarrow Z$  st  $f = g \circ \pi$



(B). If further the  $G$ -action is free

with  $G = \text{Spec } R$ ,  $\dim_k R = n$  (not nec. = 0!)

then  $\pi_* \mathcal{O}_X$  is locally free  $\mathcal{O}_Y$ -module even  $G$  red = pt  
 of rank =  $n$ .

(ie.  $\pi$  is flat finite of deg  $n$ )

and the closed immersion

$$(p_1, p_2): G \times X \rightarrow X \times X$$

coincide with the subscheme  $X \times_Y X \hookrightarrow X \times X$ .

Finally,  $\mathcal{F} \mapsto \pi^* \mathcal{F}$

is an equivalence of cat. between

$$\left( \text{coh. } \mathcal{O}_X\text{-mod} \right) \longleftrightarrow \left( \text{coh. } \mathcal{O}_Y\text{-mod with } G\text{-action} \right)$$

$$\left( \text{loc. free } \mathcal{O}_X\text{-mod of finite rk} \right) \longleftrightarrow \left( \text{loc. free } \mathcal{O}_Y\text{-mod of finite rk, with } G\text{-action} \right)$$

Corollary:  $X$  ab. var.  $L$  ample,  $K(L)$  finite gp scheme

then  $\pi: X \rightarrow X/K(L)$  an ab. var.

Pf: Since  $\mathcal{O}_X$  is reduced ( $X$  is an var),

$\mathcal{O}_Y = (\pi_* \mathcal{O}_X)^G$  must also be reduced, hence

$X/K(L)$  is an var. (comes to prev. def' but diff scheme str.)

Now let  $\hat{X} = X/K(L)$

4/8

want to construct Poincaré bundle  $P$

in the variety case before,  $M = m^* L \otimes p_1^* L^{-1} \otimes p_2^* L^{-1}$   
 $\downarrow$   
 $\hat{X} \times X$   
 with  $K(L)$ -action

then  $P =$  quotient line bundle of  $M$  by  $K(L)$ .

In the present case,  $K(L)$  is a finite sp scheme

need to perform all construction for  $s$ -points.  $\forall$  scheme  $S$ .

Let  $*_s = *$  base change  $S \rightarrow X$

here do not use this since  $p_i$  has diff meaning  $*$   
 $\downarrow$   
 $(p_2^*) N$   
 $L_0$

$K(L)(s) =$  sub sp of  $f \in X(s)$  s.t.  $\tau_f^* L_s \cong L_s \otimes (p_2^*) N$

or  $X_s \times_s X_s = (X \times X)_s = X \times X \times S$

$$M_s \cong m_s^*(L_s) \otimes p_1^* L_s^{-1} \otimes p_2^* L_s^{-1}$$

so  $\tau_{(f,0)}^* M_s \cong m_s^* \tau_f^* L_s \otimes p_1^* \tau_f^* L_s^{-1} \otimes p_2^* L_s^{-1}$

$$\cong M_s \otimes (p_1^* L_0^{-1} \otimes m_s^* L_0) \cong M_s$$

cancel, both come from  $S \leftarrow N$ .

$\Rightarrow \tau_{(f,0)}^* M_s \cong M_s$

it remain to fix this isomorphism consistently so that to get a  $K(L)(s)$ -action.

As before, it is enough to fix the isomorphism on the subscheme  $X_s \times_s 0_s$ .

because diff isom. diff by a unit, but

$$H^0((X \times X)_s, \mathcal{O}^X) \cong \underline{H^0(s, \mathcal{O}_s^X)} \cong H^0(X_s \times_s 0_s, \mathcal{O}^X)$$

by the properness of  $X$ .

Now let  $V =$  dual of fiber of  $L$  at  $0$  on  $X$

$$i: X_s = X_s \times_s 0_s \rightarrow X_s \times_s X_s \text{ the closed imm.}$$

$$i^* M_s \cong i^* m_s^* L_s \otimes i^* p_1^* L_s^{-1} \otimes i^* p_2^* L^{-1}$$

$$\cong L_s \otimes L_s^{-1} \otimes_{\mathbb{R}} V \cong V \times X_s \text{ (trivial line bundle)}$$

canonically, require the action on  $M_s$  to be

$1_V \times \tau_f$  on  $X_s \times_s 0_s$ , this is a  $K(L)(s)$  action, done  $*$

THEOREM:  $S$  scheme.  $L \rightarrow S \times X$  line bundle

st.  $L|_{S \times \{0\}}$  trivial and  $L|_{\{s\} \times X} \in \text{Pic}^0(X)$ ,  $\forall s \in S$   
 then  $\exists!$  morphism  $\phi: S \rightarrow \hat{X}$  st  $L \cong (\phi \times 1_X)^* \mathcal{P}$ .

Remark: The pattern of pf is completely analogous to char=0 case.

pf:  $M := p_{23}^* \mathcal{P} \otimes p_{13}^* L^{-1}$  on  $S \times \hat{X} \times X$

$\Gamma_S \hookrightarrow S \times \hat{X}$  maximal subscheme st.  $M$  trivial

let  $\pi: \Gamma_S \rightarrow S$  be projection  $S \times X \rightarrow X$

Main point:  $\pi$  is an isomorphism.

for then  $S \xrightarrow{\pi^{-1}} \Gamma_S \rightarrow \hat{X}$  is the desired morphism.

it is enough to show that for any closed subscheme  $S_0 \hookrightarrow S$  with  $\text{Supp } S_0 = \text{one pt}$ ,

$\Gamma_{S_0} = S_0 \times_S \Gamma_S \rightarrow S_0$  is an isom.

wrt  $L|_{S_0 \times X}$ , the max. subscheme st  $M$  trivial

ie. may assume  $S = \text{Spec } B$ .  $B$  a f.d. local  $k$ -alg.

may also assume  $L|_{\{s\} \times X}$  trivial

the unique point of  $S$ .

(by replacing  $L$  by  $L \otimes p_2^* (L|_{\{s\} \times X})$ .)

Part I:  
epimorphism.

Now  $\exists$  only finite  $(s, x)$  st.  $M|_{\{s\} \times \hat{X} \times \{x\}}$  trivial

(it is just the case  $S = \text{pt}$ , reduced str. reduce to

$m^* L \otimes p_1^* L^{-1} \otimes p_2^* L^{-1}|_{X \times \{x\}} = \tau_x^* L \otimes L^{-1}$  trivial.)

$\Rightarrow R^p p_{13*} M$  has discrete support

$\Rightarrow H^p(S \times \hat{X} \times X, M) \stackrel{\text{s.s.}}{=} H^0(S \times X, R^p p_{13*} M)$

$\cong H^0(S \times X, (R^p p_{13*} p_{23}^* \mathcal{P}) \otimes L^{-1})$

$\cong H^p(S \times \hat{X} \times X, p_{23}^* \mathcal{P})$

reverse s.s.

$\cong B \otimes_k H^p(\hat{X} \times X, \mathcal{P})$

take away some coh  
 sep only on the space  
 with reduced str.  
 then  $L$  trivial  
 on it.

since  $p_{23}^* \mathcal{P}$  is trivial on the factor  $S$

ie. wh. gpts are all free  $B$ -modules. ( $\hat{X} \times X$  is a variety /  $k$ )

Another direction:  $R^p P_{12} * M$

$M|_{(s,0) \times \hat{X} \times X} \in \text{Pic}^0 X$  and trivial  $\Leftrightarrow \hat{X} = 0$ , hence

by coh. vanishing  $\Rightarrow R^p P_{12} * M$  uncentrate at  $(s,0)$

Let  $0 \rightarrow K_0 \rightarrow K_1 \rightarrow \dots \rightarrow K_g \rightarrow 0$  here a fidim v.s. over the residue field  $k((s,0))$

be the cpx of finite free  $A = B \otimes \mathcal{O}_{\hat{X},0}$  -module

at  $(R^i P_{12} * M)|_{(s,0)} = H^i(K_0)$  length  $K$  finite  $A$ -module

hence also length finite over  $\mathcal{O}_{\hat{X},0}$  (since  $B$  is finite-dim  $k$ )

But this  $\Rightarrow \underline{R^i P_{12} * M = 0 \quad \forall 0 \leq i < g}$  ( $g = \dim X$ )

by: Lemma: Let  $\mathcal{O}$  be a  $g$ -dim'l regular local ring,

$0 \rightarrow K_0 \rightarrow K_1 \rightarrow \dots \rightarrow K_g \rightarrow 0$  a cpx of finite free  $\mathcal{O}$ -module

If  $H^i(K_0)$  artinian, then  $H^i(K_0) = 0$  for  $0 \leq i < g$ .

Pf:  $g=0$  o.k. Assume  $g > 0$  and lemma holds for  $\dim < g$

choose  $x \in \mathfrak{m} \setminus \mathfrak{m}^2$ , so  $\bar{\mathcal{O}} = \mathcal{O}/\mathcal{O}_x$  reg of  $\dim g-1$

let  $\bar{K}_0 = \bar{\mathcal{O}} \otimes_{\mathcal{O}} K_0$ . then  $0 \rightarrow K_0 \xrightarrow{x} K_0 \rightarrow \bar{K}_0 \rightarrow 0$

$\Rightarrow H^p(K_0) \xrightarrow{x} H^p(K_0) \rightarrow H^p(\bar{K}_0) \rightarrow H^{p+1}(K_0) \xrightarrow{x} H^{p+1}(K_0)$

this  $\Rightarrow H^p(\bar{K}_0)$  artinian, so  $= 0$  for  $p < g-1$

$\Rightarrow H^{p+1}(K_0) \xleftarrow{x} H^{p+1}(K_0)$  for  $p < g-1$

inductively  $H^{p+1}(K_0) \xleftarrow{x^n} H^{p+1}(K_0)$

but artinian module was killed by  $x^n$  for  $n \gg 0$

hence  $\Rightarrow H^{p+1}(K_0) = 0$  for  $p < g-1$

ie.  $p+1 < g$  \*

Remark: only need  $\mathcal{O}$  to be CM.

Write exact sequence  $0 \rightarrow K_0 \rightarrow K_1 \rightarrow \dots \rightarrow K_g \rightarrow N \rightarrow 0$

then  $N = R^g P_{12} * M|_{(s,0)} \cong H^g(S \times \hat{X} \times X, M)$

had seen this is a free  $B$ -module s.s. and since  $X$  is a complete var /  $k$

consider the dual cpx of free  $A$ -module

$0 \rightarrow \hat{K}_g \rightarrow \dots \rightarrow \hat{K}_1 \rightarrow \hat{K}_0 \rightarrow 0$

which also has artinian hol. module, hence

by lemma  $\Rightarrow$  exact sequence, artin  $A$ -module 7/8

$$0 \rightarrow \hat{K}_g \rightarrow \hat{K}_{g-1} \rightarrow \dots \rightarrow \hat{K}_0 \rightarrow K \rightarrow 0$$

From thm of Coh & Base change (p. 46), get exact

$$0 \rightarrow H^0((s) \times (t) \times X, \mathcal{P}|_{(s) \times (t) \times X}) \rightarrow K_0 \otimes_A k \rightarrow K_1 \otimes_A k \rightarrow \dots$$

notice that  $\otimes$  does not preserve left exact (injectivity).

so  $k$

trivial

this cpx compute coh of fiber at  $(s, 0)$

$\Rightarrow$  coher of  $\hat{K}_1 \otimes_A k \rightarrow \hat{K}_0 \otimes_A k$  is 1-dim'l (over fields)

ie.  $K \otimes_A k = K/m_A K$  is 1-dim'l.

$\Rightarrow \underline{K \cong A/\mathfrak{q}}$  for ideal  $\mathfrak{q} \triangleleft A$  and  $(\hat{K}_i)$  resolves  $A/\mathfrak{q}$ .

this will  $\Rightarrow$  homologies of  $(K_i)$  are killed by  $\mathfrak{q}$

hence  $\underline{\mathfrak{q} \cdot N = 0}$ . (exercise)

in  $A := B \otimes \mathcal{O}_{\hat{X}, 0}$ , then get  $\mathfrak{q} \cap (B \otimes 1) = (0)$

by the  $B$ -freeness of  $N$ .

equivalently,  $B \hookrightarrow A/\mathfrak{q} = K$ .

for any  $\mathfrak{m}_X$ -primary  $\mathfrak{t} \triangleleft A$ , let  $V(\mathfrak{t}) \subset S \times \hat{X}$ , then a closed subscheme

$$H^0(V(\mathfrak{t}) \times X, \mathcal{M}|_{V(\mathfrak{t}) \times X}) \cong \text{Ker} (K_0/\mathfrak{t} K_0 \rightarrow K_1/\mathfrak{t} K_1)$$

thm of coh. & base change

Since  $\dots \rightarrow \hat{K}_1 \rightarrow \hat{K}_0 \rightarrow A/\mathfrak{q} \rightarrow 0 \xleftarrow{\text{Hom}_A(A/\mathfrak{q}, A/\mathfrak{t})}$

get  $0 \rightarrow \text{Hom}_A(A/\mathfrak{q}, A/\mathfrak{t}) \rightarrow \text{Hom}_A(\hat{K}_0, A/\mathfrak{t}) \rightarrow \text{Hom}_A(\hat{K}_1, A/\mathfrak{t})$

$K_0 \otimes_A A/\mathfrak{t}$  etc.

$\mathcal{M}|_{V(\mathfrak{t}) \times X}$  trivial

$\mathfrak{a} \subset \mathfrak{b}$

(ie.  $V(\mathfrak{a}) \supset V(\mathfrak{b})$ )

$\Rightarrow \mathfrak{q} \neq 0 \Leftrightarrow$

so  $V(\mathfrak{a}) \supset$  the maximal subscheme  $\Gamma_S$ .

Now  $A/\mathfrak{q} \cong \text{Hom}_A(A/\mathfrak{q}, A/\mathfrak{q}) \cong H^0(V(\mathfrak{a}) \times X, \mathcal{M}|_{V(\mathfrak{a}) \times X})$

this  $\Rightarrow \mathcal{M}|_{V(\mathfrak{a}) \times X}$  trivial, hence  $\underline{V(\mathfrak{a}) \equiv \Gamma_S}$ .

In particular,  $B \hookrightarrow A/\mathfrak{q} = H^0(\Gamma_S, \mathcal{M}|_{\Gamma_S})$  injective.

( $S = \text{Spec } B$ )

ie.  $\pi: \Gamma_S \rightarrow S$  is "surjective", even this is so non-trivial !!

On the other hand, for  $\pi: P_S \rightarrow S$   
 $\pi^{-1}(s) \subset P_S \cap (s) \times X$  is a closed subscheme of

$$(s) \times P \mid \pi^{-1}(s) \times X \text{ trivial (this is } M)$$

since by def of  $\hat{X}$ ,  $(0) = \max$  subscheme st  $P$  trivial  
 $\Rightarrow \pi^{-1}(s) =$  the reduced point  $(s, 0)$ .

ie.  $A/\mathfrak{a} + \mathfrak{m}_B A = B$ , so  $B \twoheadrightarrow A/\mathfrak{a}$

combine get  $B \cong A/\mathfrak{a}$ , ie.  $\pi: P_S \xrightarrow{\sim} S$  \*

COROLLARY 1:  $H^i(\hat{X} \times X, \mathcal{P}) = \begin{cases} k & i=0 \\ 0 & \text{otherwise} \end{cases}$

COROLLARY 2:  $\lim_k H^i(P, \mathcal{O}_P^{\otimes g}) = C_P^g$ ,  $g = \dim X$ .

COROLLARY 3:  $T_{(0)} \hat{X} \cong H^1(X, \mathcal{O}_X)$ .

pf: let  $S = \text{Spec } k[\epsilon]/\epsilon^2$ . Then  $T_{(0)} \hat{X} \cong \text{Hom}_0(S, \hat{X})$

means  $s_0 \xrightarrow{\sim} 0$   
 unique pt of  $S$ .

= { line bundle on  $S \times X$  which is trivial on  $(s_0) \times X$  }

$$= \ker [ H^1(S \times X, \mathcal{O}_{S \times X}^{\otimes 2}) \rightarrow H^1((s_0) \times X, \mathcal{O}_X^{\otimes 2}) ]$$

From  $1 \rightarrow 1 + \epsilon \mathcal{O}_X \rightarrow \mathcal{O}_{S \times X}^{\otimes 2} \rightarrow \mathcal{O}_X^{\otimes 2} \rightarrow 1$  exact sequence of sheaves  
 $\mathcal{O}_X$  as sheaf of abelian grps,  $\text{mod } \epsilon$

$$(1 + \epsilon a)(1 + \epsilon b) = 1 + \epsilon(a + b)$$

$$\Rightarrow 0 \rightarrow H^1(\mathcal{O}_X) \rightarrow H^1(S \times X, \mathcal{O}_{S \times X}^{\otimes 2}) \rightarrow H^1(X, \mathcal{O}_X^{\otimes 2}) \text{ line } *$$

COROLLARY 4:  $f: X \rightarrow Y$  isogeny  $\Rightarrow \deg f = \deg \hat{f}$ .

pf: thm  $\Rightarrow \exists!$  map  $\hat{f}: \hat{Y} \rightarrow \hat{X}$  st.  $(1 \times f)^* P_Y = (\hat{f} \times 1)^* P_X$   
 apply to  $(1 \times f)^* P_Y \rightarrow P_Y$

$$\hat{Y} \times \hat{X} \rightarrow \hat{Y} \times Y \rightarrow P_Y$$

$$\hat{X} \times X \rightarrow \hat{X} \times Y \rightarrow P_X$$

$\Rightarrow \chi(Q) = \deg f \cdot \chi(P_Y) = \deg \hat{f} \cdot \chi(P_X)$  (may also use divisor to avoid wrt?)  
 but  $\chi(P_Y) = \chi(P_X)$  both =  $(-1)^g$ . done.

COROLLARY 5: (Duality Hypothesis):

The canonical morphism defined by  $P \rightarrow X \times \hat{X}$ :

$i: X \rightarrow \hat{X}$  is an isomorphism.

\*

Etale coverings / Alg. Fund. Group

$f: X \rightarrow Y$  /  $k = \bar{k}$  etale  $\Leftrightarrow \hat{f}^*: \hat{\mathcal{O}}_{f(x)} \xrightarrow{\sim} \hat{\mathcal{O}}_x$  ("formal isomorphism")

Thm (Serre-Lang):  $X$  ab. var  $f: Y \rightarrow X$  etale covering  $\Leftrightarrow Y$  ab. var any var and  $f$  becomes a separable isogeny

Rank:  $f: Y \rightarrow X$  isogeny then  $\exists g: X \rightarrow Y$  s.t.  $f \circ g = n \cdot \text{id}_X$  for some  $n > 0$ .  
(not nec. separable) i.e.  $K(Y)$  over  $K(X)$  separable. Ap. only etale by generic pt. but gp law  $\Rightarrow$  all pts. an isogeny

$\ker f \subset Y$  is a finite gp scheme, so killed by some  $n > 0$ , hence  $\ker f \subset \ker(n_Y)$

$$f: Y \rightarrow X \cong Y/\ker f \Rightarrow \exists g: X \rightarrow Y \text{ st } n_Y = g \circ f$$

but then  $f \circ g(x) = f \circ g(f(y)) = f(n_Y) = n \cdot x$

i.e.  $g$  is both left & right inverse of  $f$  (up to  $\otimes \mathbb{Q}$ ). right is the key point.  
(Algebraic) fundamental group

$$\pi_1(X, x_0) := \varprojlim_{(Y, y_0, \pi)} G_Y \text{ where}$$

finite gp

$G_Y \curvearrowright Y \xrightarrow{\pi} X$  st  $y_0 \rightarrow x_0$  and  $X \cong Y/G_Y$

acts freely on  $Y$ .  $Y$  connected. ( $X$  sm  $\neq Y$  sm.)

Given 2 such

$\exists$  at most one  $f: Y_1 \rightarrow Y_2$  st

$$\begin{array}{ccc} Y_1 & \xrightarrow{\pi_1} & X \\ f \downarrow & & \nearrow \\ Y_2 & \xrightarrow{\pi_2} & X \end{array}$$

$\pi_2 \circ f = \pi_1$  &  $f(y_1) = y_2$ . In this case, set

$$\rho: G_{Y_1} \rightarrow G_{Y_2} \text{ st. } f(\sigma y) = \rho(\sigma) f(y)$$

hence set  $Y_1 > Y_2$  to form an inverse system.

- $\pi_2 \circ f = \pi_1 \Rightarrow f: Y_1 \rightarrow Y_2$  is also an etale covering  $G_{Y_1}$  acts on  $Y$  hence on  $Y_2$ , set  $f$  in fact  $Y_2 = Y_1/K$  with  $K = \ker f$ .



For  $X$  abelian var.  $X_0 = 0$ .

(Serre-Lang thm  $\Rightarrow$  all  $(Y, Y_0) = (ab. var, 0)$ )

hence  $G_Y = \ker \pi$  acting on  $Y$  via translations.  $\pi \downarrow (X, 0) \setminus$  separable isogeny.

$\Rightarrow \pi_1(X)$  is an ab. gp.

By remark, given étale cover  $Y \xrightarrow{\pi} X$  with  $|\ker \pi| = \ell^n$ , then  $\exists g$  "right inv."  $g \uparrow X \xrightarrow{\ell^n} X$

ie. All such  $\pi$  is cofinal to the system  $X \xrightarrow{\ell^n} X$ . For the condition of free action, this is étale  $\Leftrightarrow (\ell, p) = 1$ . with  $G_n = X_{\ell^n} = \ker(\ell^n)$ ,  $\rightarrow X_{\ell^{n+1}} \rightarrow X_{\ell^n} \rightarrow \dots$

Def<sup>'n</sup>: For  $\ell$  prime  $\neq p$ . (torsion subgps)

$T_\ell(X) := \varprojlim_n X_{\ell^n}$  the  $\ell$ -adic Tate module as the  $\ell$ -adic component of  $\pi_1(X)$ . ( $\mathbb{Z}_\ell$ -module)

In fact,  $X_{\ell^n} \cong (\mathbb{Z}/\ell^n \mathbb{Z})^{2g}$  hence  $T_\ell(X) \cong \mathbb{Z}_\ell^{2g}$ .

For  $\ell = p$ .  $\ker(p^n) = X_{p^n}^{lo} \times X_{p^n}^{re}$  finite gp scheme

local part,  $\uparrow$  the reduced part  
ie. space = pt.  $r = p$ -rank ( $r \leq g$ ).  
but with nontrivial tangent space (Lie algebra).

Given  $Y \xrightarrow{\pi} X$  this is not étale  
 $Y_n := X/X_{p^n}^{lo}$   $\pi_n$  is étale with degree  $p^n$ .  
if only for the gp consideration, then just replace  $\pi$  by  $\pi_n$ .  
ie. all such  $\pi$  is cofinal to system  $Y_{n+1} \rightarrow Y_n$ .  
< For the map ?, this should follow from  $\pi$ 's étale >

Def<sup>'n</sup>:  $T_p(X) = \varprojlim_n X_{p^n}^{re} \cong (\mathbb{Z}_p)^r$  where  $r = p$ -rk of  $X$ .  
the  $p$ -adic Tate module,  $p$ -adic comp. of  $\pi_1(X)$ .

Full Fund. gp

$$\pi_1(X) \cong \prod_{\text{all primes } \ell} T_\ell(X).$$

Link: when  $k = \mathbb{C}$ ,  $\pi_1(X)$  is always the profinite completion of  $\pi_1^{top}(X)$ , ie.  $\hat{\pi}_1^{top}(X) := \varprojlim (\text{finite quotients})$ .

$$\text{Hom}^\circ(X, Y) := \mathbb{Q} \otimes_{\mathbb{Z}} \text{Hom}(X, Y)$$

$$\text{End}^\circ(X) := \mathbb{Q} \otimes_{\mathbb{Z}} \text{End}(X) \quad X, Y, \text{ ab. var.}$$

form a category "ab. var. up to isogeny"

since for any isogeny  $f: Y \rightarrow X$

$\exists g: X \leftarrow Y$  isogeny st.  $f \circ g = \pi_X$ , hence isogeny are isom in this cat.

write  $f^{-1} \in \text{Hom}^\circ(X, Y)$ .

Thm (Poincaré) : This cat. is semi-simple abelian.  
 i.e.  $Y \subset X$  ab. var.  $\exists Z$  ab.  $\hookrightarrow X$  st.  
 $Y \cap Z$  finite &  $Y + Z = X$ , i.e.  $X$  isogeny to  $Y \times Z$

pf:  $i: Y \rightarrow X$  inclusion

$\hat{i}: \hat{X} \rightarrow \hat{Y}$  dual morphism (restriction of line bundle)

take  $L$  ample:  $\phi_L: X \rightarrow \hat{X}$

then  $Z := \text{var. imp. of } 0 \text{ of } \phi_L^{-1}(\text{ker } \hat{i})$

$$\dim Z = \dim \text{ker } \hat{i} \geq \dim \hat{X} - \dim \hat{Y} = \dim X - \dim Y$$

for  $z \in Y$ ,  $z \in \phi_L^{-1}(\text{ker } \hat{i}) \cap Y \Leftrightarrow \phi_L(z) \upharpoonright_Y$  trivial

$\Leftrightarrow z \in K(L|_Y) \Rightarrow$  finite gp.

$\Rightarrow Z \cap Y$  finite ample

and  $Z \times Y \rightarrow X, (z, y) \mapsto (z - y)$  has finite kernel

$\Rightarrow \dim Z + \dim Y = \dim(Z \times Y)$  surjective hence  
 $\Downarrow \in$  by above  $\Rightarrow$  isogeny.

Cor 1.  $X \xrightarrow{\text{isogeny}} X_1^{n_1} \times \dots \times X_k^{n_k}$  (  $X_i$  simple ab. var  
 the isogeny type  $X_i$  and  $n_i$  i.e. contains no  
 are uniquely determined. ab. sub. var  
 $\neq 0$  and  $X_i$ .

Cor 2.  $X$  simple  $\Rightarrow \text{End}^\circ X$  is a division ring

for  $X$  in Cor 1. Let  $D_i = \text{End}^\circ X_i$  then

$$\text{End}^\circ(X) \cong M_{n_1}(D_1) \times \dots \times M_{n_k}(D_k)$$

Will see that  $\text{End}^\circ X$  is finite dim'l semi-simple alg /  $\mathbb{Q}$ .

R-R Thm:  $L \rightarrow X$ .  $X$  ab. var.  $L \cong \mathcal{O}(D)$  then  
 $\chi(L) = \frac{\mathcal{D}^g}{g!}$ ; also  $\deg \phi_L = (\chi(L))^2$ .

Def<sup>n</sup>:  $\phi$  on  $V$  a poly function of degree  $n$   
 if  $\phi(xv + yw) = \text{poly in } x, y \text{ of degree } n$   
 $\forall v, w \in V$ .

Theorem:  $\phi \mapsto \deg \phi$  on  $\text{End}(X)$  extends to  
 a homog. poly fun of degree  $2g$  on  $\text{End}^0 X$ .

Pf:  $R-R \Rightarrow \chi((n\phi + \psi)^* L) = \frac{[(n\phi + \psi)^* D]^n}{n!}$   
 $\stackrel{\text{irr. theory}}{\uparrow} \deg(n\phi + \psi) \cdot \frac{\mathcal{D}^n}{n!}$   
 if show  $\chi((n\phi + \psi)^* L)$  a poly function  $\checkmark$  then  
 since  $\deg(n\phi) = \deg n\phi = n \deg \phi = \frac{n^2 g}{1} \deg \phi$   
 then done.  $\checkmark$  last time

Let  $L(n) := (n\phi + \psi)^* L$   
 Apply cor 2 of sum of cube to  $n\phi + \psi, \phi, \phi$  get

$$\underline{L(n+2)} \otimes \underline{L(n+1)}^{-2} \otimes (2\phi)^* L^{-1} \otimes \underline{L(n)} \otimes (\phi^* L)^2 = 1$$

$$\Rightarrow L(n) = L_1^{\frac{1}{2}n(n-1)} \otimes L_2^n \otimes L_3$$

since  $\chi(L)$  is poly in  $L$  (eg. R-R)

$$\Rightarrow \chi(L(n)) = \text{poly in } n \quad *$$

- The main point of all the following is that the geometric number  $\deg \phi$  can be calculated by homological number (linearized) thr  $T_{\mathbb{P}^1}(x)$ . This is the "Lefschetz fixed point"-style

Think: geometric  $\leftrightarrow$  coherent ctr.  $\leftrightarrow$  topological formula.

Given  $f: X_1 \rightarrow X_2$  get  $(X_1)_\ell^n \xrightarrow{f} (X_2)_\ell^n$   
 hence  $T_\ell(f): T_\ell(X_1) \rightarrow T_\ell(X_2)$ .

ie.  $T_\ell: \text{Hom}_{\text{var}}^{\text{ab.}}(X_1, X_2) \rightarrow \text{Hom}_{\mathbb{Z}_\ell}(T_\ell(X_1), T_\ell(X_2))$

"l-adic repr." always torsion free

Theorem:  $\text{Hom}(X, Y)$  is f.g. free ab. gp. and  
 eg.  $\cong \mathbb{Z}^r$   
 $\mathbb{Z}_\ell \otimes_{\mathbb{Z}} \text{Hom}(X, Y) \hookrightarrow \text{Hom}_{\mathbb{Z}_\ell}(T_\ell(X), T_\ell(Y))$   
 in particular  $p \nmid \dim X, \dim Y$ .

pf: if  $M \subset \text{Hom}(X, Y)$  f.g. st.

$M = \mathbb{Q}M \cap \text{Hom}(X, Y)$  then  $\mathbb{Z}_\ell \otimes_{\mathbb{Z}} M \hookrightarrow \text{Hom}_{\mathbb{Z}_\ell}(T_\ell(X), T_\ell(Y))$

let  $f_1, \dots, f_p$  a  $\mathbb{Z}$ -basis of  $M$ . if not inj.  $\mathbb{Z}_\ell$ -free

then  $\exists \alpha_i \in \mathbb{Z}_\ell, f = \sum \alpha_i f_i \mapsto 0$  & some  $\alpha_i$  is an unit.

$\Rightarrow T_\ell(\sum \alpha_i f_i)$  maps  $T_\ell(X)$  to 0. (RHS  $\mathbb{Z}_\ell$ -free. may take away factors.)

in particular maps  $x_\ell$  to 0. hence  $f$  factorizes

$X \xrightarrow{f} Y$  with  $g \in \mathbb{Q}M \cap \text{Hom}(X, Y) = M$

$\begin{matrix} \ell \cdot \downarrow & \nearrow g \\ X & \end{matrix} \Rightarrow \sum \alpha_i f_i = \ell \sum m_i f_i$  so  $\ell | \alpha_i \forall i$

claim:  $M \subset \text{Hom}(X, Y)$  f.g. subgp  $\Rightarrow \mathbb{Q}M \cap \text{Hom}(X, Y)$

is also f.g. it is enough to prove for  $X, Y$

Again may assume  $X \sim Y$  <sup>simple</sup> isogeny, otherwise  $\text{Hom}(X, Y) = \{0\}$   
 via isogeny  $Y \rightarrow X$  get  $\text{Hom}(X, Y) \hookrightarrow \text{End } X$ ,  
 so may assume  $Y = X$ . (simple ab. var).

Now  $\deg \phi = P(\phi)$  poly of degree  $2g$   
 $\mathbb{Z} \xrightarrow{\uparrow} \mathbb{Z} \quad \mathbb{Z} \xrightarrow{\downarrow} \mathbb{Z}$  if  $\phi \neq 0$ .

Now  $\mathbb{Q}M$  is finite dim v.s /  $\mathbb{Q}$  and  $|P(\phi)| < 1$

is a ubd u of 0 st  $u \cap \text{End } X = \{0\}$

$\Rightarrow \text{End } X \cap \mathbb{Q}M$  is discrete in  $\mathbb{Q}M$ ,

hence f.g.  $\ast$

Now start with  $M$ , replace by  $\mathbb{Q}M \cap \text{Hom}(X, Y)$ , then apply the 1st part of pf.  $\ast$

$A/\Gamma$  finite dim asso. alg  $\Gamma$ .  $(\Gamma) = \mathbb{A}$

$A$  trace (form)/ $\Gamma$  :  $S: A \rightarrow \Gamma$  is  $\begin{cases} \Gamma\text{-linear} \\ S(XY) = S(YX) \end{cases}$

$A$  norm (form)/ $\Gamma$  :  $N: A \rightarrow \Gamma$  is a poly function ( $\neq 0$ )

it. if  $v_i$  a  $\Gamma$ -basis of  $A$

then  $N(a) = N(\sum a_i v_i) = \text{poly in } a_i$

st.  $N(XY) = N(X) \cdot N(Y)$  for  $X, Y \in A$ .

Lemma: If  $A/\Gamma$  is a simple algebra

whose center  $\Lambda$  is separable ext. of  $\Gamma$

then  $\exists$  canonical norm  $N^\circ$  and trace  $\text{Tr}^\circ / \Gamma$

st. Any norm is of the type:  $(N_{M_{d/\Gamma} N^\circ})^k$  same  $k \geq 0$

Any trace is of the type:  $\phi \circ \text{Tr}^\circ$

for some  $\Gamma$ -linear map  $\phi: \Lambda \rightarrow \Gamma$ .

If  $[A:\Lambda] = d^2$ , then  $N^\circ$  is homogeneous of degree  $d$ .

pf: when  $\Lambda = \Gamma$ , and also separably closed.

it is well known that  $A \cong M_d(\Gamma)$

then simply take  $N^\circ = \det$ ,  $\text{Tr}^\circ = \text{tr}$ .

because:  $S: M_d(\Gamma) \rightarrow \Gamma$   $\left( \begin{array}{l} S \equiv 0 \text{ on the space } W \\ \text{spanned by } \sum XY - YX \\ \text{which is exactly all} \\ \text{trace 0 matrix.} \end{array} \right.$  not used!

so  $S$  is det by its value on one 1-dim'l space  $M_d(\Gamma)/W$ , hence  $S \sim \text{tr}$ .

For  $N: M_d(\Gamma) \rightarrow \Gamma$  it induces a gp homo.

$\rho: GL(d) \rightarrow G_m / \Gamma$  both induces  $GL(d)/K \rightarrow \Gamma^\times$

hence differs only by a map  $\rho^\times \rightarrow \rho^\times$   
 $a \mapsto a^k$   
(character)

in particular,  $\det = N^\circ$  is homogeneous of degree  $d$  \*

the general case was postponed.

Theorem. Let  $f \in \text{End}(X)$  with induced ab. var.

$T_\ell(f) \in \text{End}(T_\ell(X))$ ,  $\ell \neq \text{char } k = p$ , then

$\deg f = \det T_\ell(f)$  hence

$\deg(n \cdot 1_X - f) = P(n) = \det(n - T_\ell(f))$   
the characteristic polynomial of  $T_\ell(f)$ .

$P$  is monic of degree  $2g$ ,  $\mathbb{Z}$ -coeff and  $P(f) = 0$ .

pf: both  $\deg f$  &  $\det T_\ell(f)$  are degree  $2g$  norms on the semi-simple  $\mathbb{Q}_\ell$ -alg.  $(\text{End } X) \otimes_{\mathbb{Z}} \mathbb{Q}_\ell$

step 1: enough to show  $(N_1 \alpha) = (N_2 \alpha)$  (i.e. local absolute value)  
 $\deg(\cdot)$        $\det T_\ell(\cdot)$

for  $\alpha \in \text{End } X \otimes_{\mathbb{Z}} \mathbb{Z}_\ell$ .

i.e.  $\ell^v \mid \deg f \iff \ell^v \mid \det T_\ell(f)$ . which is true:

this is the order of  $\ker f: X_{\ell^n} \xrightarrow{f} X_{\ell^n}$  for  $n$  large.  $\equiv$  order of  $\ker$  of the same map. take limits  $n \rightarrow \infty$  get  $|\ker T_\ell(f)| = \ell^v$  but this  $\implies \ell^v \mid \det T_\ell(f)$ .  
(so that  $f^{-1}(0)$  as a finite subgroup of  $X$  all occurs in  $X_{\ell^n}$ .)

step 2: To see enough:

let  $\text{End } X \otimes_{\mathbb{Z}} \mathbb{Q}_\ell = \prod_{j=1}^r A_j$ ,  $A_j$  simple algebras

$N_1, N_2$  go to norms to the product,

i.e.  $N_1(\alpha_1, \dots, \alpha_r) = \prod_{j=1}^r N_j^{\nu_j}(\alpha_j)^{\nu_j}$  also  $1 \leftarrow 2$

take  $\alpha_j = 1$  for  $j \neq j_0$ , get  $|N_{j_0}^{\nu_{j_0}}(\alpha_{j_0})|^{\nu_{j_0} - \nu_{2j_0}} = 1$

but  $N_{j_0}^{\nu_{j_0}}$  homog. of positive degree  $\forall \alpha_{j_0} \in A_{j_0}$

$\implies \nu_{1j_0} = \nu_{2j_0}$ ,  $\implies$  true  $\forall j_0 \implies N_1 = N_2$ .

This is the 1st statement \*

step 3. Remaining integral structures:

$$\text{Now } T_{\mathbb{Z}}(n1_X - f) = n \cdot 1_{T_{\mathbb{Z}}(X)} - T_{\mathbb{Z}}(f)$$

$$\text{So } \deg(n1_X - f) \stackrel{\uparrow}{=} \det(n - T_{\mathbb{Z}}(f))$$

$\mathbb{Z}^n$   $\uparrow$   $n$  call  $p(n)$

$\Rightarrow P(n)$  has rational coefficients.

Since  $\text{End } X$  is a finite  $\mathbb{Z}$ -module,  
 $\Rightarrow f$  is integral over  $\mathbb{Z}$  i.e.

$f$  (hence  $T_{\mathbb{Z}}(f)$ ) satisfies monic eq<sup>n</sup> /  $\mathbb{Z}$ .

$\Rightarrow$  all eigenvalues of  $T_{\mathbb{Z}}(f)$  are alg. integers

$\Rightarrow P(n) = \prod (n - \lambda_i)$  char. poly has  
alg. integers as coefficients.

$\mathbb{Q} + \text{Alg. } \mathbb{Z} \Rightarrow \mathbb{Z}$ .

thus  $P(f)$  is in  $\text{End } X$ .

$$\text{Finally } P(T_{\mathbb{Z}}(f)) = T_{\mathbb{Z}}(P(f))$$

$$\stackrel{=}{=} \det(T_{\mathbb{Z}}(f) - T_{\mathbb{Z}}(f)) = 0$$

(Cayley-Hamilton's  
trivial theorem)

by injectivity of  $T_{\mathbb{Z}} \Rightarrow P(f) = 0$  \*

Def<sup>n</sup>: The above  $P(t) \in \mathbb{Z}[t]$ , indep of  $\mathbb{Z}$   
 is called the characteristic polynomial of  $f$

- $\left\{ \begin{array}{l} \text{norm of } f := \text{constant term } P(0) \\ \text{trace of } f := -\text{coeff of } t^{g-1} \end{array} \right.$

Rosati Involution:

$L$  ample,  $\phi_L: X \rightarrow \hat{X}$  an isogeny

for  $\phi \in \text{End}^{\circ} X$ ,  $\phi' := \phi_L^{-1} \circ \phi \circ \phi_L \in \text{End}^{\circ} X$ .  
(same up to isogeny)

Will see next time that  $\exists$  Riemann form

$E^L: T_{\mathbb{Z}}(X) \otimes T_{\mathbb{Z}}(\hat{X}) \rightarrow \mu_{2g}$  at  $T_{\mathbb{Z}}(\phi')$  is adjoint of  $T_{\mathbb{Z}}(\phi)$

$$\text{i.e. } E^L(\phi x, y) = E^L(x, \phi' y).$$

Most important is positivity theorem:

Thm. for  $L = \mathcal{O}(H)$  ample,  $\text{Tr}(\phi \phi') = \frac{2g}{H^g} (H^{g-1} \cdot \phi^* H) \geq 0$ .

Prop:  $X$  ab. var.  $\alpha \in \text{End } X$  st.  $\alpha^2 = a \in \mathbb{Z}$   
 let  $w_1, \dots, w_g$  be the cpx roots of  $P$ : the characteristic polynomial of  $\alpha$ . Then

- the subalgebra  $\mathbb{Q}[\alpha] \subset \text{End } X$  is semi-simple
- $|w_i|^2 = a \ \forall i$  and  $w_i \mapsto \frac{a}{w_i}$  is a permutation of  $w_i$ 's

pf: let  $Q = \text{min poly of } \alpha \text{ (as in End}(X))$

claim:  $P, Q$  has the same cpx roots.

since  $P \in \mathbb{Z}[x]$  and  $P(\alpha) = 0$ , this  $\Rightarrow Q \mid P$ .

But  $P$  is also char poly of  $T_\ell(\alpha)$  in the repr

$$T_\ell: \text{End}(X) \rightarrow \text{End}(T_\ell(X)) \quad / \mathbb{Q}_\ell$$

if  $w \in \bar{\mathbb{Q}}_\ell$  with  $P(w) = 0$  then

$w$  is an eigenvalue of  $T_\ell(\alpha)$

$$\Rightarrow Q(w) = 0 \quad \text{of } T_\ell(Q(\alpha)) \equiv 0 \Rightarrow Q(w) = 0$$

ie. roots of  $P$  in  $\bar{\mathbb{Q}}_\ell$  are roots of  $Q$

$\Rightarrow P \mid Q^n$  some  $n \Rightarrow$  the claim  $\star$

Now let  $S = \text{tr} |_{\mathbb{Q}[\alpha]}$ , which has  $S(x x') \geq 0$  if  $x \in \mathbb{Q}[\alpha] \setminus \{0\}$ .

$\alpha$  invertible in  $\text{End}^\circ X$   
 $\mathbb{Q}[\alpha]$  finite dim  $\Rightarrow \alpha^{-1} \in \mathbb{Q}[\alpha]$

(for min. poly  $q(x) = 0 = 1 + \alpha(\dots)$  etc.)

$\Rightarrow \alpha' = a \cdot \alpha^{-1} \in \mathbb{Q}[\alpha]$ , so  $\mathbb{Q}[\alpha]$  stable under involution,

$\mathbb{Q}[\alpha]$  is semi-simple: (commutative algebra)

if  $\mathfrak{a} \triangleleft \mathbb{Q}[\alpha]$  any ideal,  $\mathfrak{b}$  orthogonal complement of  $\mathfrak{a}$  in  $\mathbb{Q}[\alpha]$  wrt.  $S(x x')$ .

$\mathfrak{b}$  is also an ideal

$$\text{(general fact: } \text{tr}(u(\alpha v)') = \text{tr}(u v' \alpha') = \text{tr}(\alpha' u v') = \text{tr}(\alpha' u) v' = 0 \text{.)}$$

$$\text{so } \mathfrak{a} \cap \mathfrak{b} = \{0\}, \mathfrak{a} \oplus \mathfrak{b} = \mathbb{Q}[\alpha].$$

ie. semi-simple.



$\mathbb{Q}[\alpha] \cong K_1 \times \dots \times K_p$ ,  $K_i$  alg. number field, since simple factor has to be division ring  
the Rosati involution maps  $K_i$  to  $K_i$  since  $s(\alpha\alpha') > 0$

$\Rightarrow$  each  $K_i$  is either

- $\left\{ \begin{array}{l} \text{totally real with identity involution or} \\ \text{totally imaginary quadratic extension} \end{array} \right.$

Galois theory of a totally real field, involution = cpx conjugation.

$\Rightarrow$  Roots of min poly of  $\alpha$

$\longleftrightarrow$  image of  $\alpha$  under various imbedding

$\phi_j : K_j \hookrightarrow \mathbb{C}$ .

since  $\phi_j(\alpha') = \overline{\phi_j(\alpha)} \quad \forall x \in \mathbb{Q}[\alpha]$ .

$\Rightarrow a = \phi_j(\alpha) = \phi_j(\alpha'\alpha) = \phi_j(\alpha')\phi_j(\alpha) = |\phi_j(\alpha)|^2$

ie.  $|\omega_i|^2 = a \quad \forall i$ ,

Now  $\omega_i \mapsto \frac{a}{\omega_i}$  is a permutation since  $\frac{a}{\omega_i} = \overline{\omega_i}$

and the polynomial  $P$  has real (integer) coeff \*

Abelian Var over Finite Fields.

General setup:  $X_0/\mathbb{F} = \mathbb{F}_q$ ,  $q = p^f$ . scheme of finite type /  $\mathbb{F}$ .

$\pi_0 : X_0 \rightarrow X_0$  Frobenis  $\left\{ \begin{array}{l} = \text{id on space} \\ f \mapsto f^q \text{ on } \mathcal{O}_{X_0} \end{array} \right.$

$k := \overline{\mathbb{F}_q}$ ,  $X = X_0 \otimes_{\mathbb{F}} k$

$\pi : X \rightarrow X \quad (x_1, \dots, x_n) \mapsto (x_1^q, \dots, x_n^q)$

For  $X$  ab. var

$N_n := |X(\mathbb{F}_{q^n})| = \# \text{ Ker}(1 - \pi^n) : \text{Galois theory}$   
 $= \text{deg}(1 - \pi^n)$

$P_n(t) : \text{char. poly of } \pi^n = \prod_{i=1}^{2g} (t - \omega_i^n)$

$N_n = \text{deg}(1 - \pi^n) = \frac{P_n(1)}{\text{by thm}} \cong \det(1 - T_\ell(\pi^n)) = \prod_{i=1}^{2g} (1 - \omega_i^n)$

Wish to show that  $|\omega_i| = q^{1/2}$ .

It is enough to show that

$|\omega_x^m| = 1^{m/2}$  so may replace  $\mathbb{F}_q$  by  $\mathbb{F}_{q^m}$

$\Rightarrow$  may assume  $\exists$  line

bundle  $L_0$  on  $X_0$  st  $L \otimes_{\mathbb{F}} k$

$X_0$  by  $X_0 \otimes_{\mathbb{F}_q} \mathbb{F}_{q^m}$

$\pi$  by  $\pi^m$

Recall  $\phi' := \phi_L^{-1} \circ \phi \circ \phi_L$  the Rosati involution, ample on  $X$

In order to apply prop. Need to show  $\pi' \circ \pi = q$ .

ie.  $\phi_L^{-1} \pi \phi_L \pi(x) = q x$  or

$$\pi \phi_L(\pi(x)) = q \phi_L(x)$$

$\pi_0$  acts on  $\mathcal{O}_{X_0}$  by  $f \mapsto f^q \Rightarrow \pi_0^* L_0 \cong L_0^q$

$$\neq \pi^* L \cong L^q$$

and notice  $\pi$  acts on  $\hat{X}$  as  $q$  well back.

$$\text{so } \pi^* \left( \tau_{\pi x}^* L \otimes L^{-1} \right) \cong \tau_x^* \pi^* L \otimes (\pi^* L)^{-1}$$

$$\text{ie. LHS} = \text{RHS} \quad \cong \left( \tau_x^* \otimes L^{-1} \right) \otimes q$$

General Remark on Weil Conjectures: