

Lectures on Kaehler Geometry  
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Chapter I

**Hodge–Kodaira Theory**

Chapter II

**Hermitian–Yang–Mills and  
Kaehler–Einstein Geometry**

Chapter III

**Kodaira–Spencer–Kuranishi Theory**

Chapter IV

**Harmonic Maps**

Čech coh.

$X$  cpx mfd (not nec. cpt)

Derived funct?

$T_X$  holomorphic tang. bundle

$\Omega_X^i = \Lambda^i(T_X^*)$  sheaf of holo  $i$ -form

$E \rightarrow X$  holo. vector bundle

$\Omega_X^i \otimes E$  sh. of  $i$ -form with value in  $E$

Basic invariants: (holo. inv)

$$H^q(X, \Omega^p \otimes E)$$

Using analytic method to get coh.

Dolbeault thm:

$$0 \rightarrow \Omega^p \rightarrow \Lambda^{p,0} \xrightarrow{\bar{\partial}} \Lambda^{p,1} \xrightarrow{\bar{\partial}} \Lambda^{p,2} \rightarrow \dots$$

is a fine resolution of  $\Omega_X^p$

$$\Rightarrow H^q(X, \Omega^p \otimes E) \cong H^q(\Gamma(X, \Lambda^{p,\bullet} \otimes E))$$

How to get unique repr?

Using metric on  $X$  and  $E$ , let  $X$  cpt

Hodge  $*$  operator: (pointwise)

$$*: \Lambda^{p,q} \otimes E \rightarrow \Lambda^{n-p, n-q} (E^*) \quad \text{st } *^2 = \text{id}$$

$$\text{st. } \alpha, \beta \in \Lambda^{p,q} \otimes E \Rightarrow \langle \alpha, \beta \rangle = \alpha \wedge (*\beta)$$

$$\bar{\partial}^* := - * \bar{\partial} * : \Lambda^{p,q}(E) \rightarrow \Lambda^{p, q-1}(E)$$

$$\text{Laplace: } \Delta_{\bar{\partial}} := \bar{\partial} \bar{\partial}^* + \bar{\partial}^* \bar{\partial}$$

$$\text{st. } (\bar{\partial} \alpha, \beta) = (\alpha, \bar{\partial}^* \beta) \quad \text{(global)}$$

$$H_{\bar{\partial}}^{p,q}(E) := \ker \Delta_{\bar{\partial}}$$

$$\equiv \ker \bar{\partial} \cap \ker \bar{\partial}^*$$

Gauge condition

Hodge thm:

$$I = H + \Delta G, \quad \dim H < \infty.$$

Formal decomposition:

$$V = H \oplus \Delta V$$

for  $V$ : pre-Hilbert space

In general:

$$p: V \rightarrow V$$

$$\leftarrow p^*$$

$$\langle p v, w \rangle = \langle v, p^* w \rangle$$

$$w \in \ker p^* \Leftrightarrow w \in (\text{Im } p)^\perp$$

$$\ker p^* = (\text{Im } p)^\perp$$

Prob:  $V = \text{Im } p \oplus (\text{Im } p)^\perp$  ?

need that  $\text{Im } p$  is closed, eq. finite codim.  
true if  $\ker p^*$  finite dim

for  $p = p^*$ , get: **If  $p$  is Fredholm** ( $\Leftarrow$  elliptic op. on cpt Riem. mfd)

$$V = \ker p \oplus \text{Im } p = H \oplus p V$$

$$v = h_1 + p v_1 = h_2 + p v_2$$

$$\Rightarrow h_1 - h_2 = p(v_2 - v_1) \text{ must } = 0 \text{ so } h_1 = h_2$$

$v_2$  is unique up to  $H$ . pick ! st in  $H^\perp$

$$\boxed{v = H(v) + p G v}$$

Case of  $\bar{\partial}$  Laplace:

$$\Delta = \bar{\partial} \bar{\partial}^* + \bar{\partial}^* \bar{\partial}$$

$$H = \ker \Delta = \ker \bar{\partial} \cap \ker \bar{\partial}^* = (\ker \bar{\partial}) \cap (\text{Im } \bar{\partial})^\perp$$

$$V = H + \bar{\partial}(\dots) + \bar{\partial}^*(\dots) \text{ orthog. decomp.}$$

Important:  $[\bar{\partial}, G] = 0 = [\bar{\partial}^*, G]$

follows from simply  $[\bar{\partial}, \Delta] = 0 = [\bar{\partial}^*, \Delta]$  ✖

Self adjoint operator  $\Delta: V \rightarrow V$

Spectral theory  $\Rightarrow$

$$\Delta \sim \begin{bmatrix} 0 & \\ & \lambda_i \dots \end{bmatrix} \leftarrow H \text{ part}$$
  
$$\leftarrow G = \Delta^{-1} \text{ part}$$

$$V = \ker \Delta \oplus \text{Im } \Delta$$

$$\langle \Delta v, w \rangle = \langle v, \Delta w \rangle = 0$$

if  $w \in \ker \Delta$  then

$$w \in (\text{Im } \Delta)^\perp$$

conversely,  $w \in (\text{Im } \Delta)^\perp$

$$\Rightarrow \ker \Delta = (\text{Im } \Delta)^\perp$$

$$H^q(X, \Omega_X^p(E)) = H_{\bar{\partial}}^{p,q}(E)$$

via harmonic theory

since  $*\Delta = \Delta*$  ( $\bar{\partial}^* = -*\bar{\partial}*$ )

$$\left( \begin{array}{l} *\Delta = *(\bar{\partial}\bar{\partial}^* + \bar{\partial}^*\bar{\partial}) = -*\bar{\partial}*\bar{\partial}* + \underline{-(*)^2\bar{\partial}*\bar{\partial}} \\ \Delta* = (\bar{\partial}\bar{\partial}^* + \bar{\partial}^*\bar{\partial})* = -\underline{\bar{\partial}*\bar{\partial}(*^2)} - *\bar{\partial}*\bar{\partial}* \end{array} \right)$$

get

$$H_{\bar{\partial}}^{p,q}(E) \xrightarrow[*]{\sim} H_{\bar{\partial}}^{n-p, n-q}(E^*)$$

$$\parallel \qquad \qquad \qquad \parallel$$

$$H^q(X, \Omega_X^p(E)) \cong H^{n-q}(X, \Omega_X^{n-p}(E^*))$$

This is the Kodaira-Serre duality thm

- Rmk : (1). Serre for c-m alg. v. coh. sh. via Ext only for  $\mathbb{F}$  v.b. in the form  $H^i(X, \mathbb{F}) \cong H^{n-i}(X, K_X \otimes \mathbb{F}^*)$   
 (2) Kodaira for v.b. E. but for all  $\mathbb{C}P^1$  mfd's.

Serre-Brookhuyk :  $Ext^i(\mathbb{F}, \omega_X) \cong H^{n-i}(X, \mathbb{F})^v$

Q : In the case  $E = \text{trivial } (\mathcal{O}_X)$

$$\omega \in H_{\bar{\partial}}^{p,q}(X) = H^q(X, \Omega_X^p)$$

is that true that  $\underline{d\omega = 0}$ ? Answer: NO!

look at  $H^0(X, \Omega_X^p)$  sp. of holomorphic p-forms

Iwasawa manifold :

$$\mathbb{C}^3 \xrightarrow{\varphi} X = \mathbb{C}^3/G : \begin{bmatrix} 1 & z_1 & z_2 \\ 0 & 1 & z_3 \\ 0 & 0 & 1 \end{bmatrix} \in GL(3, \mathbb{C})$$

check :  $dZ_1, dZ_3, \phi = dZ_2 - z_3 dZ_1$  are inv. hol. 1-forms

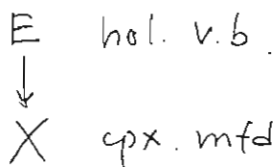
so  $\exists$  hol. 1-form  $\lambda$  st  $\varphi^*\lambda = \phi, \lambda \in H^0(X, \Omega_X^1)$ .

$$d\phi = dZ_1 \wedge dZ_3 \neq 0 \quad (\text{not strange, } \mathbb{C}^3 \text{ is not cpt})$$

$$\Rightarrow d\lambda \neq 0 \text{ on } X$$

$\lambda$  is not a top class!

# CONNECTIONS AND CURVATURE :



$\nabla : T(E) \rightarrow T(E \otimes \wedge^1_X)$  st.  $\mathbb{R}$ -linear (or  $\mathbb{C}$ -linear)

$\nabla(fs) = df \otimes s + f \cdot \nabla s$  **Leibnitz rule**

Let  $h$  be a hermitian metric on  $E$

Def:  $\nabla$  is comp. with  $h$  if  $d\langle \alpha, \beta \rangle = \langle \nabla \alpha, \beta \rangle + \langle \alpha, \nabla \beta \rangle$   
(or called metric conn)

Def:  $\nabla$  is called holomorphic if in  $\nabla = \nabla' + \nabla''$ ,  $\nabla'' \equiv \bar{\partial}$

**Fundamental Thm in hermitian geom.**

$$\begin{array}{c}
 \wedge^{1,0}_X \\
 \wedge^{0,1}_X
 \end{array}$$

$\exists!$  holo conn  $\nabla$  comp. with the given  $h$ .

**Lemma:**  $R := \nabla^2$  is function-linear, called the curvature

if  $\nabla$  metric. hol. then in a unitary frame  $s_i$ :

$\nabla s_i = \theta_{ij} s_j$   $\theta_{ij}$  are skew hermitian, so is  $R_{ij}$

But  $R = R^{2,0} + R^{1,1} + R^{0,2}$  and  $R^{0,2} = \bar{\partial}^2 = 0$  so  $R^{2,0} = 0$

ie.  $R \equiv R^{1,1} \in \wedge^{1,1}_X(\text{End}(E))$

and  $dR = 0$

(Bianchi identity)

From here can define Chern classes. wait!

Let  $h_{ij} = h(s_i, s_j)$  :

$dh_{ij} = \theta_{ik} h_{kj} + \bar{\theta}_{jk} h_{ik}$

$\partial h_{ij} + \bar{\partial} h_{ij}$

$\Rightarrow \theta_{ik} = (\partial h_{ij})(h_{kj})^{-1}$

$R s_i = \nabla^2 s_i = \nabla(\theta_{ij} s_j) = d\theta_{ij} \otimes s_j - \theta_{ij} \nabla s_j$

$= (d\theta_{ij} - \theta_{ik} \wedge \theta_{kj}) s_j \Rightarrow R_{ij} = d\theta_{ij} - \theta_{ik} \wedge \theta_{kj}$

$= \bar{\partial} \theta_{ij}!$

simple enough

special case:  $E = L$ : line bundle

$s_i = s$ . one section  $h(s) = |s|^2$

$\theta = \partial h \cdot h^{-1} = \partial \log h$

$R = d\theta = \bar{\partial} \partial \log h = -\partial \bar{\partial} \log h$

Thm:  $\frac{i}{2\pi} R = -\frac{i}{2\pi} \partial \bar{\partial} \log h$  repr  $c_1(L) \in H^2_{PR}(X, \mathbb{R})$

$\mathbb{Z}$  in fact!

$\downarrow$   
 No Kahler condition is needed

Kähler mfd = "real-like" cpx mfd :

- $X$  almost cpx :  $J : T_X \rightarrow T_X$  st  $J^2 = -Id$   
 $g \in \text{Sym}_+^2 T^*X$  Riem. metric  
 $\Rightarrow \hat{g}(X, Y) := g(X, Y) + g(JX, JY)$  is hermitian  
 ie  $h(JX, JY) = h(X, Y)$

- $X$  cpx,  $h$  hermitian metric  
 $\Rightarrow ds^2 = \sum h_{ij}(z) dz^i \otimes d\bar{z}^j$  (real)  
 associated 2-form  $\omega(X, Y) := h(X, JY)$  skew-sym.  
 $\Rightarrow \omega = \frac{i}{2} \sum h_{ij} dz^i \wedge d\bar{z}^j$  (1,1) form

Def:  $X$  is Kähler if  $\exists h$  st.  $d\omega = 0$

Cor:  $h^{2i}(X) \neq 0 \quad \forall i=0 \dots n$  (since  $\omega^n \sim \text{Vol. form}$ )

Cor: Any cpx sub mfd  $Y \hookrightarrow X$  is also Kähler  
 in fact,  $Y$  is area minimizing in  $[Y] \in H^2(X)$ .

**MOST IMPORTANT EQUIVALENT CONDITION:**

$d\omega = 0 \iff \forall p \in X \exists \mathbb{Z}$  st.  $ds^2 = \sum (g_{ij} + [2]) dz^i \otimes d\bar{z}^j$   
 near  $p$

Einstein's move with it at that moment!

Cor: an identity involves order  $\leq 1$  can be checked in the euclidean metric.

(order 0 case even not use the Kähler cond)

Examples:

I.  $[\Lambda, \partial] = -i \bar{\partial}^*$   $\Rightarrow$  Hodge decomp

II.  $[\Lambda, L] = n - (p+q)$  on  $\Lambda^{p,q}(X) \Rightarrow$  Lefschetz decomp

- Fubini-Study metric on  $\mathbb{P}^n = (\mathbb{C}^{n+1} - 0) / \mathbb{C}^*$   
 $Z \in \mathbb{C}^{n+1} \neq 0$  section of  $\mathcal{O}(1)$ . ie.  $Z : U \rightarrow \mathbb{P}^n$

$\omega = \frac{i}{2\pi} \partial \bar{\partial} (\log |Z|^2)$  for  $Z = (1, w_1, \dots, w_n)$  get

$\omega = \frac{i}{2\pi} \left( \frac{\sum dw_i \wedge d\bar{w}_i}{1 + |w|^2} - \frac{\sum \bar{w}_i dw_i \wedge w_j d\bar{w}_j}{(1 + |w|^2)^2} \right) \sim (\delta_{ij} + [2]) dw_i \wedge d\bar{w}_j$   
 at  $(1, 0, 0, \dots, 0)$ .

$X, \omega$  Kahler,  $L : \Lambda^{p,q} \rightarrow \Lambda^{p+1,q+1}; L\alpha = \alpha \wedge \omega$   
 $\Lambda : \Lambda^{p,q} \rightarrow \Lambda^{p-1,q-1}$  adjoint of  $L$

Fundamental Kahler identity (due to Hodge)

$$[\Lambda, \partial] = -i \bar{\partial}^*$$

take  $\bar{\phantom{x}} \Rightarrow [\Lambda, \bar{\partial}] = i \partial^*$

$$\begin{aligned} \Delta_d &= dd^* + d^*d = (\partial + \bar{\partial})(\partial^* + \bar{\partial}^*) + (\partial^* + \bar{\partial}^*)(\partial + \bar{\partial}) \\ &= (\partial\partial^* + \partial^*\partial) + (\bar{\partial}\bar{\partial}^* + \bar{\partial}^*\bar{\partial}) \\ &\quad + \cancel{\bar{\partial}\partial^*} + \cancel{\partial\bar{\partial}^*} + \cancel{\bar{\partial}^*\partial} + \cancel{\partial^*\bar{\partial}} = \Delta_{\partial} + \Delta_{\bar{\partial}} \end{aligned}$$

$$\begin{aligned} \Delta_{\partial} &= \partial\partial^* + \partial^*\partial = -i(\partial(\Lambda\bar{\partial} - \bar{\partial}\Lambda) + (\Lambda\bar{\partial} - \bar{\partial}\Lambda)\partial) \\ &= -i(\partial\Lambda\bar{\partial} - \partial\bar{\partial}\Lambda + \Lambda\bar{\partial}\partial - \bar{\partial}\Lambda\partial) \end{aligned}$$

$$\begin{aligned} \Delta_{\bar{\partial}} &= \bar{\partial}\bar{\partial}^* + \bar{\partial}^*\bar{\partial} = i(\bar{\partial}(\Lambda\partial - \partial\Lambda) + (\Lambda\partial - \partial\Lambda)\bar{\partial}) \\ &= i(\bar{\partial}\Lambda\partial - \bar{\partial}\partial\Lambda + \Lambda\partial\bar{\partial} - \partial\Lambda\bar{\partial}) \end{aligned}$$

So,  $\Delta_{\partial} = \Delta_{\bar{\partial}}$  and  $= \frac{1}{2} \Delta_d$  !

get Hodge decomposition of cpx cohomologies.

$$H_{DR}^k(X, \mathbb{C}) = \bigoplus_{p+q=k} H^q(X, \Omega_X^p)$$

via  $H_{DR}^k(X, \mathbb{C}) = \bigoplus_{\bar{\partial}} H^{p,q}(X)$    
 Hodge thm  $\swarrow$  harmonic space wrt to  $\bar{\partial}$    
 // Dolbeault thm

CONSEQUENCES :

- $H^{p,q} = \overline{H^{q,p}}$  since  $d$  is real   
  $\Rightarrow h^i$  is even for  $i$  odd. eg.  $h^1$  is even!
- Serre duality  $\rightarrow$  Hodge duality  $H^{p,q} \cong H^{n-p, n-q}$  \*

rational Hodge structure of  $H^k(X, \mathbb{Q}) \otimes \mathbb{C}$ .



1-1 correspondence between hermitian metric  $h$  on  $L$  and  $g(L)$

$h$   $L$

$$g(L) = H_{DR}^2(M)$$

line bundle

$$-\frac{i}{2\pi} \partial \bar{\partial} \log h$$

$$= [\omega]$$

real closed

$$-\frac{i}{2\pi} \partial \bar{\partial} \log(e^f h) = -\frac{i}{2\pi} \partial \bar{\partial} f - \frac{i}{2\pi} \partial \bar{\partial} \log h = \omega$$

want

i.e.  $\alpha, \beta$  (1,1) form

$$[\alpha] = [\beta] \Rightarrow \alpha - \beta = \partial \bar{\partial} f$$

( $\partial \bar{\partial}$ -lemma) : Let  $\eta$  be  $\bar{\partial}$  closed +  $\partial$  exact or  $\partial$  closed +  $\bar{\partial}$  exact then  $\eta = \partial \bar{\partial} \xi$

pf:  $\eta = H + G \Delta$

$$\eta = H(\eta) + G \Delta \eta$$

if  $\eta$  is  $\bar{\partial}$  exact

then  $\eta$  is closed

in  $\partial$  too? (No!)

but  $H_2(\eta) = 0$

so  $H_2(\eta) = 0$  too

$$= G_2 \Delta_2 \eta$$

$$= G_2 (\partial \partial^* + \partial^* \partial) \eta$$

$$= G_2 \partial \partial^* \eta$$

$$= \partial \bar{\partial} (G_2 \partial^* \eta)$$

$$([\partial^*, \bar{\partial}] = 0)$$

$$H^g(M, \Omega^p \otimes L) \cong H_{\bar{\partial}}^{p,g}(L)$$

$h$  metric on  $L$ , ample, has pos. curvature  
 $\Rightarrow M$  is Kähler  
 $R = \text{Ric}(h) = 2\pi/i \omega$  ie.  $\frac{i}{2\pi} \text{Ric}(h) = \omega$

$$R = \nabla^2 = (\nabla' + \bar{\partial})^2 = \nabla' \bar{\partial} + \bar{\partial} \nabla'$$

Let  $\eta \in H^{p,g}(L)$

$$R\eta = \bar{\partial} \nabla' \eta + \nabla' \bar{\partial} \eta$$

1st. identity

"Kähler identity"

$$[\wedge, \bar{\partial}] = -i \nabla'^*$$

$$\begin{aligned} \langle \wedge R\eta, \eta \rangle &= \langle \wedge \bar{\partial} \nabla' \eta, \eta \rangle \\ &= \langle ([\wedge, \bar{\partial}] + \bar{\partial} \wedge) \nabla' \eta, \eta \rangle \\ &= -i \langle \nabla'^* \nabla' \eta, \eta \rangle + \langle \bar{\partial} \wedge \nabla' \eta, \eta \rangle \\ &= -i \langle \nabla' \eta, \nabla' \eta \rangle + \langle \wedge \nabla' \eta, \bar{\partial}^* \eta \rangle \end{aligned}$$

$$\begin{aligned} \langle R \wedge \eta, \eta \rangle &= \langle \bar{\partial} \nabla' \wedge \eta, \eta \rangle + \langle \nabla' \bar{\partial} \wedge \eta, \eta \rangle \\ &= \langle \nabla' (-[\wedge, \bar{\partial}] + \wedge \bar{\partial}) \eta, \eta \rangle \\ &= i \langle \nabla' \nabla'^* \eta, \eta \rangle = i \langle \nabla'^* \eta, \nabla'^* \eta \rangle \end{aligned}$$

$$\langle [\wedge, \frac{i}{2\pi} R] \eta, \eta \rangle = \frac{1}{4\pi} (|\nabla' \eta|^2 + |\nabla'^* \eta|^2)$$

$$[\wedge, L] = \text{number operator } n - (p+q)$$

2nd identity

so  $p+q > n \Rightarrow \eta \equiv 0$ , ie.

Kodaira vanishing thm:

$$H^g(X, \Omega_X^p \otimes L) = 0 \text{ for } p+q > n$$

CONSEQUENCES:

1. Hard Lefschetz:  $H^{n-i}(X) \xrightarrow{\sim} H^{n+i}(X)$   
 this is the consequence of Lefschetz decomp. slz repr theory.

2. Weak Lefschetz:  $i: H \subset X$  st  $\int_H$  is positive, then

$$H^k(X) \xrightarrow{i^*} H^k(H) \quad \begin{array}{l} \text{isom for } k \leq n-2 \\ \text{injective } k = n-1 \end{array}$$

pf: look at  $H^g(X, \Omega_X^p) \xrightarrow{i^*} H^g(H, \Omega_H^p)$  need  $\Omega_X^p \rightarrow \Omega_X^p|_H \rightarrow \Omega_H^p$

(i)  $0 \rightarrow \Omega_X^p(-H) \rightarrow \Omega_X^p \rightarrow \Omega_X^p|_H \rightarrow 0$

$$0 \rightarrow H^g(X, \Omega_X^p) \rightarrow H^g(X, \Omega_X^p|_H) \rightarrow H^{g+1}(X, \Omega_X^p(-H))$$

for  $p+g \leq n-1$

(ii)  $0 \rightarrow \Omega_H^{p-1}(N_H^*) \rightarrow \Omega_X^p|_H \rightarrow \Omega_H^p \rightarrow 0$   
 $\parallel$   
 $\Omega_H^{p-1}(-H)$

$$\begin{array}{l} 0 \rightarrow T_H \rightarrow T_X|_H \rightarrow N_H \rightarrow 0 \\ 0 \rightarrow N_H^* \rightarrow T_X^*|_H \rightarrow T_H^* \rightarrow 0 \end{array}$$

line take  $\Lambda^p$

$$0 \rightarrow H^g(H, \Omega_X^p|_H) \rightarrow H^g(H, \Omega_H^p) \rightarrow H^{g+1}(H, \Omega_H^{p-1}(-H))$$

for  $p-1+g \leq (n-1)-1$   
 i.e.  $p+g \leq n-1$

Q.E.D.

3. Hodge conjecture for (1,1). (Lefschetz's thm.) for proj. v.

$H^{1,p}(X) \cap H^{2,p}(X, \mathbb{Z})$  repr by alg. cycles.  
 analytic cycles.

pf:  $0 \rightarrow \mathbb{Z} \rightarrow 0 \rightarrow \mathcal{O}^X \rightarrow 0$  get

$$H^1(X, \mathcal{O}^X) \rightarrow H^2(X, \mathbb{Z}) \rightarrow H^2(X, \mathcal{O})$$

$\downarrow \quad \downarrow$   
 $\alpha \quad \text{proj map} \rightarrow H_{\mathbb{Z}}^{0,2}(X)$

$\alpha \rightarrow 0$   
 $\Rightarrow \alpha = c_1(L)$  for a line bundle

now every  $L$  has zero section: or use  $L+kH$ .  $k \gg 0$ .  
 induction on  $\dim X$  and see

$$0 \rightarrow \mathcal{O}_X(L+(k-1)H) \rightarrow \mathcal{O}_X(L+kH) \rightarrow \mathcal{O}_H(L+kH) \rightarrow 0 \quad \text{Q.E.D.}$$

Kodaira embedding thm:

A line bundle  $L$  on a kahler  $X$  is positive  $\Leftrightarrow L$  is ample  
i.e.  $X$  alg.  $\Leftrightarrow \exists$  closed positive  $H^{1,1}(X) \cap H^2(X, \mathbb{Z})$ .

CHERN CLASSES:

$E$   $\text{cp}^X$  v.b.  $\text{rk} = r$

$\int$  universal subbundle

$\downarrow$

$f: X \rightarrow G(r, N)$   $N$  large

$X$  top. space

pointwise-linear mapping  $E \rightarrow \mathbb{C}^N$ ,  $E = f^*S$

$E_1 \cong E_2 \iff f_1 \sim f_2$  as homotopy classes in  $[X, G(r, N)]$

$H^*(G(r, N), \mathbb{Z})$  is generated by the "universal chern classes

as a ring  $\mathbb{Z}[c_1, c_2, c_3, \dots, c_r]$   $\text{deg } c_i = 2i$

eg.  $H^2(G(r, N)) = \mathbb{Z}c_1$

$H^4(G(r, N)) = \mathbb{Z}c_1^2 \oplus \mathbb{Z}c_2$

$H^6(G(r, N)) = \mathbb{Z}c_1^3 \oplus \mathbb{Z}c_1c_2 \oplus \mathbb{Z}c_3$

$H^8(G(r, N)) = \mathbb{Z}c_1^4 \oplus \mathbb{Z}c_1^2c_2 \oplus \mathbb{Z}c_1c_3 \oplus \mathbb{Z}c_2^2$  etc ...

(This can be calculated by writing down the CW  $\text{cp}^X$  decomp of  $G(r, N)$  using the "schubert cycles")

call  $c_i(S) =$  this  $c_i$  and

$c_i(E) = f^*c_i(S) \in H^{2i}(X, \mathbb{Z})$  for  $i=1 \dots r$

basic properties:

• functorial if  $X \xrightarrow{g} Y$  and  $E \rightarrow Y$  then

$g^*c_i(E) = c_i(g^*E)$

• Whitney summation formula

$c_k(E \oplus F) = \sum_{i+j=k} c_i(E) \cup c_j(F)$

(How to prove this?) How about  $c_k(E \otimes F)$ ??

Better formulation: Chern characters

The total chern class

$c(E) := 1 + c_1(E) + c_2(E) + \dots \in H^*(X, \mathbb{Z})$

formally factorizes  $= (1 + \lambda_1)(1 + \lambda_2) \dots (1 + \lambda_r)$

$\lambda_i$  called chern roots

So  $c_k(E) =$  elementary sym function of  $\lambda_i$  with  $\text{deg} = k$

$$\begin{aligned} \text{ch}(E) &= \sum_{i=1}^r e^{\lambda_i} \in H^*(X, \mathbb{Z}) \otimes \mathbb{Q} \\ &= \sum_{i=1}^r \left( 1 + \lambda_i + \frac{\lambda_i^2}{2} + \frac{\lambda_i^3}{3!} + \dots \right) \\ &= r + c_1 + \frac{c_1^2 - 2c_2}{2} + \frac{c_1^3 - 3c_1c_2 + 3c_3}{6} + \dots \end{aligned}$$

which does not dep on the existence of  $\lambda_i$

**Splitting principle:**

any eq<sup>n</sup> of  $c_i$ 's can be reduced to check the line bundle case.

$$\begin{array}{ccc} \varphi^*E & \longrightarrow & E \\ \downarrow & & \downarrow \pi \\ P(E) & \xrightarrow{\varphi} & X \end{array} \quad \left( \begin{array}{l} + 3c_3 \\ \sum \lambda_i^3 = \lambda_1^3 + \lambda_2^3 + \lambda_3^3 - 3\lambda_1\lambda_2\lambda_3 \\ = c_1(c_1^2 - 3c_2) + 3c_3 \end{array} \right)$$

for each  $y \in P(E)$   $y$  corresponds to a line  $\cong \mathbb{C}$  in  $E_{\pi(y)} = \varphi^*E_y$   
 hence there is a sub line bundle  $\xi \subset \varphi^*E$

(in fact, universal one)

Repeat  $r$  times  $\dots$  get  $\varphi^*E = \xi_1 \oplus \dots \oplus \xi_r$   
 $c_1(\xi_i) \in H^2(X^{(r)}, \mathbb{Z}) = \lambda_i$

$$\begin{array}{ccc} \varphi^*E & \longrightarrow & E \\ \downarrow & & \downarrow \\ X^{(r)} & \xrightarrow{\varphi} & X \end{array}$$

Moreover,  $H^i(X, \mathbb{Z}) \xrightarrow{\varphi^*} H^i(X^{(r)}, \mathbb{Z})$  is injective

In fact  $\mathcal{Q}$ : write down explicit relation between

$H^*(P(E), \mathbb{Z})$  and  $H^*(X, \mathbb{Z})$  (Leray thm)

Now its clear that

$\text{ch}(E \oplus F) = \sum_{i=1}^{r_1+r_2} e^{\lambda_i} = \text{ch}(E) + \text{ch}(F)$

$\text{ch}(E \otimes F) = \left( \sum_{i=1}^{r_1} e^{\lambda_i} \right) \left( \sum_{j=1}^{r_2} e^{\lambda_j} \right) = \sum_{i,j} e^{\lambda_i + \lambda_j}$

$E \otimes F = \left( \sum \xi_i \right) \otimes \left( \sum \xi_j \right) = \sum_{i,j} \xi_i \otimes \xi_j$

but for line bundles,  $c(\xi_i \otimes \xi_j) = c(\xi_i) + c(\xi_j)$   
 $= \text{ch}(E) \cdot \text{ch}(F)$

Get  $\text{ch}: K(X) \longrightarrow H^{\text{even}}(X, \mathbb{Q})$  chern homomorphism

**Example I:** Let  $E \rightarrow X$  cpt cpx mfd  
cpx. v.b.

$\text{End}(E) = E^* \otimes E$ ; so  $c_1(\text{End } E) = 0$  (see also below)

Write  $c_2(E^* \otimes E)$  in terms of  $c_i(E)$ :

$\text{ch}(E^* \otimes E) = \text{ch}(E^*) \cdot \text{ch}(E)$  E: semi-stable bundle  
 $r^2 + c_1' + \frac{c_1'^2 - 2c_2'}{2} = (r - c_1 + \frac{c_1^2 - 2c_2}{2}) \cdot (r + c_1 + \frac{c_1^2 - 2c_2}{2}) + \dots$

$\Rightarrow \begin{cases} c_1' = 0 \\ -c_2' = (r-1)c_1^2 - 2rc_2 \end{cases} = r^2 + r(c_1^2 - 2c_2) - c_1^2 \dots$

trivial formula

$c_2(\text{End } E) = 2rc_2(E) - (r-1)c_1(E)^2$

This is useful later.

**Example II: Adjunction Formula**

Let  $X$  be a cpt cpx mfd,  $j: D \hookrightarrow X$  a smooth divisor  
 the chern class of a mfd  $X$  is defined to be the chern class of the holomorphic tangent bundle  $T_X$ .  $c(X) := c(T_X)$ .

then

$$0 \rightarrow T_D \rightarrow T_X|_D \rightarrow N_D \rightarrow 0$$

$N_D \cong \mathcal{O}_D(D)$  is the "normal bundle" of  $D$ .  $j^* T_X$

Notice also that  $c_1(\mathcal{O}_X(D)) = [D] \in H^2(X, \mathbb{Z})$ ,  $c_i(\mathcal{O}_X(D)) = 0 \forall i \geq 2$

so  $j^* c(X) = c(D) \cdot c(N_D)$ , may also use

$$j^* \text{ch}(X) = \text{ch}(D) + \text{ch}(N_D)$$

$\Rightarrow c_1(X)|_D = c_1(D) + D|_D$  (ie.  $K_D = (K_X + D)|_D$ )

$c_2(X)|_D = c_2(D) + c_1(D) \cdot D|_D$  etc.

Point of View from Diff. Geom :

How to construct  $c_i(E)$  using diff form in  $H_{DR}^*(X, \mathbb{R})$ ?

$$\begin{array}{ccc} E & \text{Cplx v.b.} & \text{connection } \nabla : C^\infty(E) \rightarrow C^\infty(E \otimes \Lambda^1) \\ \downarrow & & \Lambda^0(E) \quad \Lambda^1(E) \\ X & C^\infty \text{ manifold} & \text{st. } \nabla(fs) = df \cdot s + f \nabla s \end{array}$$

$$\left( \begin{array}{l} \text{and } \nabla_X s = (\nabla s)(X) \text{ so} \\ \nabla_X(fs) = df(X) \cdot s + f \nabla_X s \\ = Xf \cdot s + f \nabla_X s \text{ etc..} \end{array} \right)$$

Extension via Leibnitz rule : (Generalized de Rham Cplx)

$$\mathbb{R} \rightarrow \Lambda^0(E) \xrightarrow{d^\nabla} \Lambda^1(E) \xrightarrow{d^\nabla} \Lambda^2(E) \xrightarrow{d^\nabla} \dots$$

$$\text{st } d^\nabla(\eta s) = d\eta \wedge s + (-1)^{\deg \eta} \eta \wedge d^\nabla s$$

Lemma :  $R := d^\nabla \circ d^\nabla$  (usually  $\nabla^2, D^2 \dots$ )  $\in \Lambda^2(\text{End } E)$

pf: only need to prove that  $R$  is "function linear", i.e.

$$\begin{aligned} R(fs) &= d^\nabla d^\nabla(fs) = d^\nabla(df \cdot s + f \nabla s) \\ &= \cancel{d^2 f} \cdot s - \underline{df \wedge \nabla s} + \underline{df \wedge \nabla s} + f \nabla^2 s = f R(s) \quad \square \end{aligned}$$

$R$  is called the curvature matrix 2-form of  $(E, \nabla)$

Cartan Formula:

$$\begin{aligned} d^\nabla \omega(x_0, \dots, x_p) &= \sum (H)^i d_{x_i}^\nabla \omega(x_0 \dots \hat{x}_i \dots x_p) \\ &\quad + \sum (H)^{i+1} \omega([x_i, x_j], \hat{x}_i, \dots, \hat{x}_j, \dots, x_p) \end{aligned}$$

Curvature Formula:

$$R(X, Y) = \nabla_X \nabla_Y - \nabla_Y \nabla_X - \nabla_{[X, Y]} \quad ([\nabla_X, \nabla_Y] - \nabla_{[X, Y]})$$

In case  $X = \frac{\partial}{\partial x^i}, Y = \frac{\partial}{\partial x^j}$  then  $R_{ij}$  (matrix) :=  $R(\frac{\partial}{\partial x^i}, \frac{\partial}{\partial x^j})$

$$= [\nabla_i, \nabla_j] \text{ since } [a_i, a_j] = 0.$$

measure the "non commutativity" of double derivative

Def:  $c(E) := \det(I + \frac{i}{2\pi} R)$  total Chern form!

characteristic poly:  $\sum c_i(E) t^i = \det(tI + \frac{i}{2\pi} R)$

Lemma (Bianchi):  $d^\nabla R = 0$ , hence  $dc(E) = 0$ . closed!



**Local Formula:** For simplicity, consider only the case

$E$  hermitian holo. v.b. with inner product  $\langle, \rangle = h$

↓

$X$  cpx mfd with her. metric  $g$ ,

$\exists!$  conn.  $\nabla$  st in  $\nabla = \nabla' + \nabla''$   
wrt  $\Lambda' = \Lambda'^{1,0} \oplus \Lambda'^{0,1}$ , have  $\nabla'' = \bar{\partial}$

$s_i$  holo. frame of  $E$ ,  $\nabla$  any connection on  $E$

$$\nabla s_i := \sum_j \omega_i^j s_j, \text{ then}$$

$$R s_i = \nabla^2 s_i = \nabla(\omega_i^j s_j) = d\omega_i^j s_j - \omega_i^k \wedge \omega_j^k s_k$$

ie.  $\Omega_i^j s_j = (d\omega_i^j - \omega_i^k \wedge \omega_k^j) s_j$

$$(*) \quad \Omega_i^j = d\omega_i^j - \omega_i^k \wedge \omega_k^j$$

Now let  $(I + \frac{i}{2\pi} \Omega) = (1 + c_1 + c_2 + \dots)$ ;  $c_i \in \Lambda^{2i}(X)$ , chern forms

eg.  $c_1 = \frac{i}{2\pi} \sum \Omega_i^i$

$$c_2 = -\frac{1}{8\pi^2} \sum_{j,k} (\Omega_j^i \wedge \Omega_k^k - \Omega_k^j \wedge \Omega_j^k)$$

the 2nd one follows from the fact that

$$\sum_{i < j} \lambda_i \lambda_j = \frac{1}{2} [(\sum_i \lambda_i)^2 - \sum_i \lambda_i^2] = \frac{1}{2} [(\text{tr} A)^2 - \text{tr}(A^2)]$$

with  $A = \frac{i}{2\pi} \Omega$  and  $\lambda_i$  eigenvalues of  $A$

We are interested in rewriting the chern forms  $c_1, c_2$  using the notion of "Ricci tensor". Namely:

- since  $\Omega_i^j$  are in fact (1,1) forms (if use  $\nabla$  the unique conn. st  $\nabla'' = \bar{\partial}$ , then  $R^{(0,2)}$  part =  $\nabla''^2 = \bar{\partial}^2 = 0$ , also  $R$  is skew-hermitian  $\Rightarrow R^{(2,0)}$  part = 0, so  $R$  is (1,1))

let  $\Omega_i^j = R_{i\alpha\bar{\beta}}^j dZ^\alpha d\bar{Z}^\beta$

and  $R_{i\bar{j}\alpha\bar{\beta}} = R_{i\alpha\bar{\beta}}^k h_{k\bar{j}}$

There are 2 Ricci tensors  $P_{\alpha\bar{\beta}} = \sum_i R_{i\alpha\bar{\beta}}^i$  and  $K_{i\bar{j}} = R_{i\alpha\bar{\beta}}^j g^{\alpha\bar{\beta}}$

From: Kobayashi's book IV. §4. P.112 -

Given hol. v.b. rank  $E = r$ :

$E, h$ : hermitian v.b.  $h = \langle , \rangle$

No.

↓  $\exists!$  metric connection (Levi-Civita)

$X$  cpx mfd  $\nabla: \Gamma(E) \rightarrow \Gamma(\Lambda^1 \otimes E)$

$g$ : her.

$$d\langle s, t \rangle = \langle \nabla s, t \rangle + \langle s, \nabla t \rangle$$

st. in  $\nabla = \nabla' + \nabla''$ , have  $\nabla'' = \bar{\partial}$

Fact: Curvature  $R \in \Gamma(\Lambda^2 \otimes \text{End} E) := R(s) = \nabla^2 s$  is (1,1) type

Def: total Chern form  $c(E, \nabla) := \det \left( I + \frac{i}{2\pi} R \right) \in \Lambda^{\text{even}}(X)$   
 $= 1 + c_1(E, \nabla) + c_2(E, \nabla) + \dots$

on  $U$ , local unitary frame

$$s_1, \dots, s_r \in \Gamma(U, E)$$

$$\theta^1, \dots, \theta^n \in \Gamma(U, T^*X)$$

write  $R(s_i) = \sum R_{i\alpha\bar{\beta}}^j s_j \otimes (\underbrace{d\bar{z}^\alpha}_{\theta^\alpha} \wedge \underbrace{dz^\beta}_{\bar{\theta}^\beta}) =: \sum \Omega_i^j$

$$\Rightarrow \begin{cases} c_1(E, \nabla) = \frac{i}{2\pi} \text{tr} R = \frac{\sqrt{-1}}{2\pi} \sum \Omega_i^i \in \Lambda^{1,1} \\ c_2(E, \nabla) = \left(\frac{\sqrt{-1}}{2\pi}\right)^2 \cdot \frac{1}{2} \left[ (\text{tr} R)^2 - \text{tr} R^2 \right] = \frac{-1}{8\pi^2} \left( \Omega_i^i \Omega_j^j - \Omega_i^j \Omega_j^i \right) \end{cases}$$

let  $\omega = \sqrt{-1} \sum \theta^\alpha \wedge \bar{\theta}^\alpha$  pre-Kähler form  $\in \Lambda^{2,2}$

Fact:  $n(n-1) \theta^\alpha \wedge \bar{\theta}^\beta \wedge \theta^\gamma \wedge \bar{\theta}^\delta \wedge \omega^{n-2} = \begin{cases} \omega^n & \alpha = \delta \neq \beta = \gamma \\ -\omega^n & \alpha = \beta \neq \gamma = \delta \\ 0 & \text{otherwise} \end{cases}$

$$\begin{aligned} \Rightarrow n(n-1) \Omega_i^j \wedge \Omega_j^i \wedge \omega^{n-2} &= n(n-1) R_{i\alpha\bar{\beta}}^j R_{j\gamma\bar{\delta}}^i \theta^\alpha \wedge \bar{\theta}^\beta \wedge \theta^\gamma \wedge \bar{\theta}^\delta \wedge \omega^{n-2} \\ &= - \left( R_{\alpha\bar{\alpha}} R_{\gamma\bar{\gamma}} - R_{\alpha\bar{\gamma}} R_{\gamma\bar{\alpha}} \right) \omega^n \\ &=: - \left( R^2 - \|P\|^2 \right) \omega^n \end{aligned}$$

$- R_{\alpha\bar{\gamma}} \overline{R_{\alpha\bar{\gamma}}} = \sum |R_{\alpha\bar{\gamma}}|^2 =: \|P\|^2$

$$\begin{aligned} n(n-1) \Omega_i^j \wedge \Omega_j^i \wedge \omega^{n-2} &= n(n-1) R_{j\alpha\bar{\beta}}^i R_{i\gamma\bar{\delta}}^j \theta^\alpha \wedge \bar{\theta}^\beta \wedge \theta^\gamma \wedge \bar{\theta}^\delta \wedge \omega^{n-2} \\ &= - \left( R_{j\alpha\bar{\alpha}}^i R_{i\gamma\bar{\gamma}}^j - R_{j\alpha\bar{\gamma}}^i R_{i\gamma\bar{\alpha}}^j \right) \omega^n \\ &=: \left( \|R\|^2 - \|K\|^2 \right) \omega^n \end{aligned}$$

$K_j^i := \sum R_{j\alpha\bar{\alpha}}^i$  hermitian

Now define "Einstein type tensor"

No.

$$T^i_{j\alpha\bar{\beta}} := R^i_{j\alpha\bar{\beta}} - \frac{1}{r} \delta^i_j R_{\alpha\bar{\beta}}$$

$$\begin{aligned} \Rightarrow \|T\|^2 &= \sum |R^i_{j\alpha\bar{\beta}} - \frac{1}{r} \delta^i_j R_{\alpha\bar{\beta}}|^2 \\ &= \sum |R^i_{j\alpha\bar{\beta}}|^2 - \frac{2}{r} \sum |R_{\alpha\bar{\beta}}|^2 + \frac{1}{r^2} \cdot r \sum |R_{\alpha\bar{\beta}}|^2 \\ &= \|R\|^2 - \frac{1}{r} \|P\|^2 \end{aligned}$$

$$\geq 0 \text{ and } = 0 \Leftrightarrow R^i_{j\alpha\bar{\beta}} = \frac{1}{r} \delta^i_j R_{\alpha\bar{\beta}} \text{ (PMJ. flat)}$$

Now consider  $c_2(\text{End } E) = 2r c_2(E) - (r-1) c_2^2(E)$

$$\begin{aligned} \wedge \omega^{n-2} &= \frac{1}{4\pi^2 n(n-1)} (r \|R\|^2 - r \|P\|^2 + r \|R\|^2 - r \|K\|^2 \\ &\quad - (r-1) R^2 + (r+1) \|P\|^2) \\ &= \frac{1}{4\pi^2 n(n-1)} (r \|T\|^2 + (R^2 - r \|K\|^2)) \end{aligned}$$

Hermitian-Yang-Mills eq<sup>n</sup>:  $\boxed{\Lambda R = \varphi I}$   $\leftarrow \Lambda := L^*$

ie.  $K^i_j = \varphi \delta^i_j \Rightarrow \|K\|^2 = \varphi^2 r$  &  $R = \varphi r$   $L\alpha := \omega \wedge \alpha$

$$\Rightarrow R^2 = \varphi^2 r^2 = r \|K\|^2$$

In this case: get

$$\begin{aligned} c_2(\text{End } E) \cdot \omega^{n-2} &= (2r c_2(E) - (r-1) c_2^2(E)) \cdot \omega^{n-2} \\ &= \frac{r}{4\pi^2 n(n-1)} \|T\|^2 \geq 0. \end{aligned}$$

even not necessary!

Theorem (Donaldson alg. case / Uhlenbeck-Yau '87 Kähler case)

$E$  is  $\omega$ -stable  $\Leftrightarrow \exists$  metric  $h$  on  $E$  st.  $\Lambda R = \varphi I$ .

under this, then  $(2r c_2(E) - (r-1) c_2^2(E)) \cdot \omega^{n-2} \geq 0$

Definition:  $\omega$  Kähler form,  $E$  is  $\omega$ -stable if

$$\forall \text{ torsion free subsheaf } \mathcal{F}_1 \text{ of } \mathcal{E} : \frac{\int c_1(\mathcal{F}_1) \omega^{n-1}}{\text{rk } \mathcal{F}_1} < \frac{\int c_1(\mathcal{E}) \omega^{n-1}}{\text{rk } \mathcal{E}}$$

Ex. Special case:  $X$  alg. surface,  $E$  rk 2.

Bogomolov instability thm:

$$4c_2(E) - c_1^2(E) < 0 \Rightarrow \exists 0 \rightarrow M \rightarrow E \rightarrow N \otimes I_Z \rightarrow 0$$

st.  $(M-N)^2 > 0$  "0-dim"

$(M-N) \cdot H > 0 \quad \forall$  ample  $H$ .

For the case  $E = T_X$  tangent bundle ( $r=n$ ),  $c_i(x) := c_i(T_X)$ .  
 May consider the refined tensor, notice that  $R_{ijk\bar{l}} = R_{\bar{l}ij}$ .

$$T_{ijk\bar{l}} := R_{ijk\bar{l}} - \frac{R}{n(n+1)} (\delta_{ij}\delta_{k\bar{l}} + \delta_{il}\delta_{j\bar{k}})$$

$T=0 \Leftrightarrow$  holo. sectional curvature  $\Leftrightarrow \tilde{X} = \mathbb{P}^n, \mathbb{C}^n$  or  $\mathbb{D}^n$ .

$$\begin{aligned} \|T\|^2 &= \|R\|^2 - \frac{4R^2}{n(n+1)} + \frac{R^2}{n^2(n+1)} (n^2 + 2n + n^2) \quad \text{Ex. 1) show first that } R = \text{const.} \\ &= \|R\|^2 - \frac{2}{n(n+1)} R^2 \quad \text{2) show } \Rightarrow \end{aligned}$$

$$\begin{aligned} \text{So } & (2(n+1)c_2(x) - n c_1^2(x)) \cdot \omega^{n-2} \\ &= \frac{1}{4\pi^2 n(n-1)} ((n+1)R^2 - (n+1)\|P\|^2 + (n+1)\|R\|^2 - (n+1)\|K\|^2 \\ & \quad - nR^2 + n\|P\|^2) \end{aligned}$$

notice  $P \equiv K \equiv Ric$

$$= \frac{1}{4\pi^2 n(n-1)} \left( [(n+1)\|R\|^2 - \frac{2}{n}R^2] + (1 + \frac{2}{n})R^2 - (n+2)\|P\|^2 \right)$$

$$= \frac{1}{4\pi^2 n(n-1)} \left[ (n+1)\|T\|^2 + \frac{n+2}{n} (R^2 - n\|P\|^2) \right]$$

For Kähler-Einstein metrics  $R_{\alpha\bar{\beta}} = \frac{R}{n} \delta_{\alpha\bar{\beta}}$  i.e.  $Ric = \frac{R}{n} I$ .  
 $\Rightarrow \|P\|^2 = \left(\frac{R}{n}\right)^2 \cdot n = \frac{1}{n} R^2$ . \ here we have to assume that  $\omega$  is the K-E form.

$$\text{hence K.E } \Rightarrow \int_X (2(n+1)c_2 - n c_1^2) \omega^{n-2} \geq 0$$

with " $\geq$ "  $\Leftrightarrow$  universal lower of  $X = \mathbb{P}^n, \mathbb{C}^n$  or  $\mathbb{D}^n$ .

This is Yau's uniformization theorem.

THEOREM (Yau: 1976)

$X$  cpt Kähler mfd with

$c_1(x) = 0$  (ie  $K_X$  trivial)  $\Rightarrow \exists!$  K-E metric ( $Ric = 0$ )  
 in each Kähler class

$c_1(x) < 0$  (ie.  $K_X$  ample)  $\Rightarrow \exists!$  K-E metric.

Rmk:  $c_1 > 0$  (Fano case)  $\rightarrow$  works of G. Tian (1990~)

Appendix:

(I)  $\Lambda F = \varphi I$  H-E metric for

E  $rk = r$ , hol. v.b  
 $\downarrow$   
 X cpx mfd.

(II)  $\begin{cases} DF = 0 \\ D^*F = 0 \end{cases}$   $\leftarrow$  Y-M connection  
 auto true (Bianchi)

E cpx v.b.  $rk = r$   
 $\downarrow$   
 X real 4 mfd.

when X is a Kähler surface

$$0 = D^*F = *D*F \iff D(*F) = 0$$

special solution: SD or ASD solutions

SD/ASD YM:  $*F = \pm F$

since  $*$ :  $\Lambda^2 \rightarrow \Lambda^2$  maps

$$\begin{matrix} \Lambda^{2,0} & \rightarrow & \Lambda^{0,2} \\ \Lambda^{1,1} & \rightarrow & \Lambda^{1,1} \\ \Lambda^{0,2} & \rightarrow & \Lambda^{2,0} \end{matrix}$$

$\Rightarrow$  F is of (1,1) type; ie.

in  $D = D' + D''$  get  $D''^2 = 0$

hence an unique hol. str. on E st.  $D'' = \bar{\partial}$

Ex.  $Eq^n$   $*F = F$   $F \in \Lambda^{1,1}(\text{End } E)$

is equiv to  $\Lambda F = \varphi I$  for some const.  $\varphi$ .

Bogomolov Thm:

$$4C_2(E) - 4^2(E) < 0 \implies \exists M, N \text{ line bundles, } Z \text{ 0-cyell}$$

$$0 \rightarrow M \rightarrow E \rightarrow N \otimes I_Z \rightarrow 0$$

$$\text{st. } (M-N)^2 > 0$$

$$(M-N).H > 0 \quad \forall \text{ ample } H$$

Obviously:

$$h(E) = M + N$$

$$C_2(E) = MN + |Z|$$

$$\begin{aligned} 4C_2(E) - 4^2(E) &= 4MN + 4|Z| - (M+N)^2 \\ &= -(M-N)^2 + 4|Z| \end{aligned}$$

Notice: Mumford's

H-stability means  $\frac{M.H}{1} \geq \frac{(M+N).H}{2}$  ie.  $(M-N).H \geq 0$

pf  $\Rightarrow$ : Donaldson/Uhlenbeck-Yau: (poly) stable  $\Rightarrow \exists H-E \Rightarrow 4C_2 - 4^2 \geq 0$   
 hence  $4C_2 - 4^2 < 0 \Rightarrow$  not (even) (poly) stable  $\forall H$  ample.

So  $\exists M, N \dots$  st.  $(M-N).H \geq 0$  and  $0 \rightarrow M \rightarrow E \rightarrow N \otimes I_Z \rightarrow 0$

but then  $(M-N)^2 = -(4C_2 - 4^2) + 4|Z| > 0$

Now  $H^2 > 0$  (ample); Hodge index thm  $\Rightarrow (M-N).H > 0$

\*

Further directions :

- Simpson's theory of Higgs fields  
 — general uniformization thm
- Harmonic metric / variation of Hodge structures
- General fiberwise-Ricci flat metric

let  $X$  minimal, assume abundance conjecture :

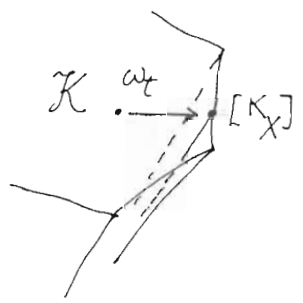
$$|rK| : X \xrightarrow{\varphi} Z \subset \mathbb{P}^N$$

$$\left[ \frac{1}{r} \varphi^* \omega_{FS} \right] \in K = -c_1(X)$$

in any Kähler class  $[\omega]$ ,  $\exists!$  Kähler metric

$$\omega \text{ st. } Ric(\omega) = -\frac{1}{r} \varphi^* \omega_{FS} = -\beta$$

ie.  $Ric(\omega)|_{X_Z} = 0$  along any fiber  $X_Z$ .



consider solu.  $\omega_t$

$$[\omega_t] \rightarrow [K_X] \text{ as } t \rightarrow \infty$$

compare  $\lim_{t \rightarrow \infty} \omega_t$  with  $\beta$

May also replace  $\omega_{FS}$  by any metric  
 eg. K-E if  $\exists$ .

$$\begin{aligned} & \left(1 + \frac{2}{n}\right) R^2 - (n+2) \|p\|^2 \\ &= \frac{n+2}{n} (R^2 - n \|p\|^2) \end{aligned}$$

# Ch.3 Kodaira - Spencer theory :

$X$  almost cpx :  $TX \xrightarrow{J} TX$  st  $J^2 = -id$

$$TX \otimes \mathbb{C} = T^{1,0} \oplus T^{0,1}$$

"holomorphic part"

eigen space of  $i$

$T = T^{1,0}$  span by  $v - iJv$  since  $J(v - iJv) = i(v - iJv)$

$\bar{T} = T^{0,1}$  span by  $v + iJv$ . so  $T^{0,1} = \overline{T^{1,0}}$

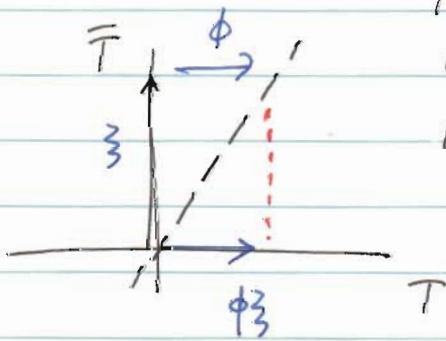
Dual  $T_X^* \otimes \mathbb{C} = \Lambda^{1,0} \oplus \Lambda^{0,1}$

$$\Lambda^0 \xrightarrow{d} \Lambda^1 = \Lambda^{1,0} \oplus \Lambda^{0,1}; \quad d = \partial + \bar{\partial}$$

Newlander - Nirenberg :  $J$  integrable  $\Leftrightarrow \bar{\partial}^2 = 0$

New (near by) almost cpx str comes from a map  $\phi: \bar{T} \rightarrow T$

New  $\bar{T}' : \xi + \phi \xi, \quad \xi \in \bar{T}$



How New  $\bar{\partial}$  operator looks like?

$\bar{\partial}\phi = \bar{\partial} + \phi$  as operators ;  $\bar{T} = T^{0,1}$  spanned by  $\frac{\partial}{\partial \bar{z}^\beta}$

$\Rightarrow \bar{T}_\phi = T^{0,1}_\phi$  spanned by  $\frac{\partial}{\partial \bar{z}^\beta} + \phi(\frac{\partial}{\partial \bar{z}^\beta})$

$$\phi = \sum \phi_\beta^\alpha \frac{\partial \bar{z}^\beta}{\partial \bar{z}^\alpha} ; \quad \phi(\frac{\partial}{\partial \bar{z}^\beta}) = \sum \phi_\beta^\alpha \frac{\partial}{\partial \bar{z}^\alpha}$$

formal reason :

want  $(\bar{\partial} + \phi)^2 = \bar{\partial}^2 + \bar{\partial}\phi + \phi\bar{\partial} + \phi^2 = 0$

actual form  $\bar{\partial}\phi = \frac{1}{2}[\phi, \phi]$ . A simple calculation!

(see next page)

$$\bar{\partial}\phi^2 = (\bar{\partial} + \phi)^2 = \cancel{\bar{\partial}f} + \bar{\partial}\phi f + \phi \bar{\partial} f + \phi \circ \phi f$$

$$= -\phi \bar{\partial} f + \underline{(\bar{\partial}\phi) f} + \cancel{\phi \bar{\partial} f} + \underline{\phi \circ \phi f}$$

A.  $\phi \circ \phi f = \sum \phi_{\beta}^{\alpha} d\bar{z}^{\beta} \frac{\partial}{\partial z^{\alpha}} \left( \phi_{\delta}^{\gamma} d\bar{z}^{\delta} \frac{\partial}{\partial z^{\gamma}} f \right)$

$$= \sum \phi_{\beta}^{\alpha} \left( \frac{\partial}{\partial z^{\alpha}} \phi_{\delta}^{\gamma} \right) \frac{\partial f}{\partial z^{\gamma}} \underbrace{d\bar{z}^{\beta} \wedge d\bar{z}^{\delta}}_{=0} + \sum \phi_{\beta}^{\alpha} \phi_{\delta}^{\gamma} d\bar{z}^{\beta} \wedge d\bar{z}^{\delta} \frac{\partial}{\partial z^{\alpha}} \frac{\partial}{\partial z^{\gamma}} f$$

B.  $[\phi, \phi] = \sum \left[ \phi_{\beta}^{\alpha} \frac{\partial}{\partial z^{\alpha}}, \phi_{\delta}^{\gamma} \frac{\partial}{\partial z^{\gamma}} \right] d\bar{z}^{\beta} \wedge d\bar{z}^{\delta}$

$$= \sum \left[ \phi_{\beta}^{\alpha} \left( \frac{\partial}{\partial z^{\alpha}} \phi_{\delta}^{\gamma} \right) \frac{\partial}{\partial z^{\gamma}} - \phi_{\delta}^{\gamma} \left( \frac{\partial}{\partial z^{\gamma}} \phi_{\beta}^{\alpha} \right) \frac{\partial}{\partial z^{\alpha}} \right] d\bar{z}^{\beta} \wedge d\bar{z}^{\delta}$$

$$= 2 \cdot \phi \circ \phi f$$

the equation  $\bar{\partial}\phi = 0 \iff (\bar{\partial}\phi + \phi \circ \phi) f = 0$

$$\iff \bar{\partial}\phi + \frac{1}{2} [\phi, \phi] = 0$$

change sign :  $\bar{\partial}\phi = \frac{1}{2} [\phi, \phi]$

change scale :  $\boxed{\bar{\partial}\phi = [\phi, \phi]}$  integrable a.c. structure.



$X$  vpx mfd

\* Lie algebra str. on  $\oplus \Lambda^{0,r}(T)$ ;  $\mathbb{Z}_2$  graded

$\alpha \in \Lambda^{0,r}(T)$ ,  $\beta \in \Lambda^{0,s}(T)$

$\alpha, \beta$  are linear combinations of the form

$$X \otimes \phi \quad \text{st. } \partial \phi = 0, \quad X \in C^\infty(T).$$

in local cov.  $\phi$  looks like  $d\bar{z}^I$ .

consider  $\alpha = X \otimes \phi$ ,  $\beta = Y \otimes \psi$ , then

$$[\alpha, \beta] := [X, Y] \otimes \phi \wedge \psi$$

Well-definedness:

$$[X_I \otimes d\bar{z}^I, Y_J \otimes d\bar{z}^J] = [X_I, Y_J] \otimes d\bar{z}^I \wedge d\bar{z}^J$$

$$X_I \otimes d\bar{z}^I = X_I \frac{\partial \bar{z}^I}{\partial w^I} d\bar{w}^I$$

change cov  $z \leftrightarrow w$

$$Y_J \otimes d\bar{z}^J = Y_J \frac{\partial \bar{z}^J}{\partial w^J} d\bar{w}^J$$

$$[X_I \frac{\partial \bar{z}^I}{\partial w^I}, Y_J \frac{\partial \bar{z}^J}{\partial w^J}] = \frac{\partial \bar{z}^I}{\partial w^I} \frac{\partial \bar{z}^J}{\partial w^J} [X_I, Y_J] d\bar{w}^I \wedge d\bar{w}^J$$

$$+ \frac{\partial \bar{z}^I}{\partial w^I} \left( X_I \frac{\partial \bar{z}^J}{\partial w^J} \right) Y_J - \frac{\partial \bar{z}^J}{\partial w^J} \left( Y_J \frac{\partial \bar{z}^I}{\partial w^I} \right) X_I$$

this is zero! zero

$$[fv, gw] = fv(gw) - gw(fv)$$

$$= f(vg)w + fgvw - g(wf)v - gfvw$$

$$= f(vg)w - g(wf)v + fg[v, w]$$

Link: not true for  $\Lambda^{p,q}(T)$ !

Basic properties for  $[\alpha, \beta]$

•  $[\alpha, \beta] = -(-1)^{rs} [\beta, \alpha]$

•  $\bar{\partial}[\alpha, \beta] = [\bar{\partial}\alpha, \beta] + (-1)^r [\alpha, \bar{\partial}\beta]$  Leibnitz rule

• for  $\alpha \in \Lambda^{0,r}(T)$ ,  $\beta \in \Lambda^{0,s}(T)$ ,  $\gamma \in \Lambda^{0,t}(T)$  Jacobi identity

$$(-1)^{rt} [[\alpha, \beta], \gamma] + (-1)^{sr} [[\beta, \gamma], \alpha] + (-1)^{ts} [[\gamma, \alpha], \beta] = 0$$

# 2.9 Kuranishi theorem Part I

(problem)  $\bar{\partial}\alpha = [\alpha, \alpha]$

has solution given by the power series

$$\alpha = \alpha_1 + \bar{\partial}^* G[\alpha, \alpha] \iff H[\alpha, \alpha] = 0$$

( $\alpha$  in this form satisfies  $\bar{\partial}^*\alpha = 0$  automatically)

(T)  $G \bar{\partial}^* \bar{\partial}\alpha = G \bar{\partial}^* [\alpha, \alpha]$  still need  $\bar{\partial}^*\alpha = 0$

pf:  $\bar{\partial}\alpha = \bar{\partial} \bar{\partial}^* G[\alpha, \alpha]$

$$= \Delta G[\alpha, \alpha] - \bar{\partial}^* \bar{\partial} G[\alpha, \alpha]$$

$$= [\alpha, \alpha] - H[\alpha, \alpha] - \bar{\partial}^* G \bar{\partial}[\alpha, \alpha]$$

$$\beta = \bar{\partial}\alpha - [\alpha, \alpha] = -2 \bar{\partial}^* G[\bar{\partial}\alpha, \alpha]$$

$$= -2 \bar{\partial}^* G[\beta + [\alpha, \alpha], \alpha]$$

$$= -2 \bar{\partial}^* G[\beta, \alpha] \quad \text{Jacobi identity } [[\alpha, \alpha], \alpha] = 0$$

$$\|\beta\|_{k+\alpha} \leq C_{k,\alpha} \|\beta\|_{k+\alpha+1} \|\alpha\|_{k+\alpha+1}$$

pick  $\epsilon$  small  $\times$

so must  $\beta = 0$

$k+\alpha+1 \rightarrow k+\alpha$   
 $\uparrow \quad \uparrow$   
 $G \quad \bar{\partial}^*$

Here we have used the basic estimates:

I.  $\|[\varphi, \psi]\|_{k+\alpha} \leq C \|\varphi\|_{k+\alpha+1} \|\psi\|_{k+\alpha+1}$

C indep. of  $\varphi, \psi$  (EASY)

II.  $\|G\varphi\|_{k+\alpha} \leq C \|\varphi\|_{k-2+\alpha}, k \geq 2$

C dep only on  $k, \alpha$  not on  $\varphi$  (HARD)

III. We have used  $\bar{\partial}^* G = G \bar{\partial}^*, \bar{\partial} G = G \bar{\partial}$

{ which follows easily from  $[\bar{\partial}, \Delta] = 0 = [\bar{\partial}^*, \Delta]$

IV. We are motivated by the cond:  $\bar{\partial}^*\alpha = 0$

Formal  $\Rightarrow$  Convergence (Kodaira-Spencer-Nirenberg)

$\alpha = \alpha(t) = \alpha_1 t + \alpha_2 t^2 + \dots$  constructed by

$$\alpha_1(t) = \sum \eta_i t_i$$

$$\alpha_2(t) = \delta^* G[\alpha_1(t), \alpha_1(t)]$$

$\eta_i$  basis of  $H_{\mathbb{C}}^{0,1}(T)$

$$\alpha_3(t) = \delta^* G([\alpha_1(t), \alpha_2(t)] + [\alpha_2(t), \alpha_1(t)])$$

$$\alpha_l(t) = \delta^* G \sum_{i=1}^{l-1} [\alpha_i(t), \alpha_{l-i}(t)]$$

for  $|t|$  small,  $\alpha(t)$  converges

pf: Let  $\alpha^{(l)} = \alpha_1(t) + \dots + \alpha_l(t)$

$$\text{then } \alpha^{(l)} = \delta^* G[\alpha^{(l-1)}, \alpha^{(l-1)}] \pmod{t^{l+1}}$$

$$(*) \Rightarrow \|\alpha^{(l)}\|_{k+\alpha} \leq C_{k,\alpha} \|\alpha^{(l-1)}\|_{k+\alpha}^2$$

since  $k, \alpha$  are fixed, pick  $|t|$  small such that

$$(C_{k,\alpha} \cdot \|\alpha^{(1)}(t)\|_{k,\alpha} < 1 \text{ get the result?})$$

or use induction to show that

$$\|\alpha^{(l)}(t)\|_{k+\alpha} \leq A(t) := \frac{\beta}{16\gamma} \sum_{\mu=1}^{\infty} \gamma^{\mu} (t_1 + \dots + t_m)^{\mu}$$

$$\text{if } \|\alpha^{(l-1)}\|_{k+\alpha} \leq A(t)$$

$$(*) \Rightarrow \|\alpha^l\|_{k+\alpha} \leq C_{k,\alpha} A(t)^2$$

take  $|t|$  small st.

$$C_{k,\alpha} \cdot A(t) < 1. \text{ done. } \square$$

componentwise

(then can be

specialized to

specific value of  $t$ )

Alg-geom  $\longleftrightarrow$  Artin approximation theorem

Kuranishi's thm Part II:  $\{t \mid H[\alpha(t), \alpha(t)] = 0\}$

is an analytic space and defines complete family of cpx structures.

## Bogomolov-Tian-Todorov theorem (BTT)

$X$  cpt Kähler with  $c_1(X) = 0$  then the Kuranishi space of  $X$  is smooth of (maximal) dim =  $h^{n-1,1}(X) = h^1(T_X)$

Need to show that given  $\alpha_1 \in H^1_{\bar{\partial}}(X, T_X)$

$\exists$  formal power series  $\alpha = \alpha_1 t + \alpha_2 t^2 + \alpha_3 t^3 + \dots$

with  $\alpha_i \in \Lambda^{0,1}(T_X)$  such that

$$(A) \quad \bar{\partial}\alpha = [\alpha, \alpha]$$

$$\bar{\partial}^* \alpha = 0$$

equivalently  $\bar{\partial}\alpha_k = \sum_{i=1}^{k-1} [\alpha_i, \alpha_{k-i}]$  and  $\bar{\partial}^* \alpha_k = 0$

Idea:  $H^1_{\bar{\partial}}(X, T_X) \xrightarrow{\sim} H^{n-1,1}(X)$

$$v \longmapsto i(v)\Omega$$

$\Omega$  the global nonvanishing holomorphic  $(n,0)$  form.

Try to solve eq in  $H^{n-1,*}(X)$  using  $\partial\bar{\partial}$  lemma.

In fact, we need  $I: \Lambda^{0,q}(T) \xrightarrow{\sim} \Lambda^{n-1,q}$

$$v \longmapsto i(v)\Omega$$

Tian's lemma: For  $\alpha, \beta \in \Lambda^{0,1}(T)$

$$L([\alpha, \beta])\Omega = \partial(L(\alpha)L(\beta)\Omega) - L(\alpha)\partial(L(\beta)\Omega) + L(\beta)\partial(L(\alpha)\Omega)$$

Induction, can solve  $\alpha_k$  st  $\partial(L(\alpha_k)\Omega) = 0$   $k=1, 2, \dots$

$\partial(L(\alpha_1)\Omega) = 0$  can be done since  $\alpha_1$  is harmonic

so  $L([\alpha_1, \alpha_1])\Omega = \partial(L(\alpha_1)L(\alpha_1)\Omega)$  is  $\partial$  exact

But  $\bar{\partial}\sum_{i=1}^{k-1} [\alpha_i, \alpha_{k-i}] = 0$  always by Jacobi identity

by  $\partial\bar{\partial}$  lemma  $L([\alpha_1, \alpha_1])\Omega = \bar{\partial}\partial\xi$ ,  $\xi \in \Lambda^{n-2,1}$

take  $\alpha_2 = I^{-1}(\bar{\partial}\xi)$  then  $\partial(L(\alpha_2)\Omega) = \partial(I\alpha_2) = \partial\bar{\partial}\xi = 0$

Now use induction  $\square$  Use K-E metric can get  $\alpha_i$  also  $\bar{\partial}^* \alpha_i = 0$

Recall  $i_X \omega = \omega(X, \dots)$ ;  $df(X) = Xf$   
**THE PROOF OF LIAN'S LEMMA**  $([i_X, d] = L_X)$

$$\begin{aligned}
 & [[i_X, d], i_Y] \varphi \\
 &= [i_X, d] i_Y \varphi - i_Y [i_X, d] \varphi \\
 &= i_X d \varphi(Y) + d i_X \varphi(Y) - i_Y (i_X d + d i_X) \varphi \\
 &= d \varphi(Y)(X) + i_Y i_X d \varphi - d \varphi(X)(Y) \\
 &= X \varphi(Y) - Y \varphi(X) - d \varphi(X, Y)
 \end{aligned}$$

Any better reason?

By Cartan formula  $L_X \varphi = X \varphi(Y) - Y \varphi(X) - \varphi([X, Y])$   $[L_X, L(Y)] = L(L_X Y)$   
 get that

$$\boxed{[[i_X, d], i_Y] \varphi = i_{[X, Y]} \varphi} \text{ on } k\text{-forms.}$$

Both sides are derivatives of forms, hence has unique extension to any  $\mathbb{R}$  forms  $\varphi$ . i.e.

$$\begin{aligned}
 L([X, Y]) \varphi &= (L(X)d + dL(X))L(Y)\varphi - L(Y)(L(X)d + dL(X))\varphi \\
 &= L(X)d(L(Y)\varphi) - L(Y)d(L(X)\varphi) \\
 &\quad + d(L(X)L(Y)\varphi) - L(Y)L(X)d\varphi
 \end{aligned}$$

We are interested in the case that replaces  $[X, Y]$  by  $[\alpha, \beta]$ ,  $\alpha, \beta \in \Lambda^0, *(T)$

Rmk:  $\text{Sing: } [L_1, L_2] = L_1 L_2 - (-1)^{s+t} L_2 L_1$   
 $s = \text{ord } L_1$  as shifting of  $\Lambda^* \rightarrow \Lambda^{*+s}$   
 eq. ord of  $i_X, d = -1, +1$ , ord  $L_X = 0$

$$\begin{aligned}
 \text{Rmk: } i_Y i_X d \varphi &= i_Y (i_X d \varphi) = (i_X d \varphi)(Y) \\
 &= d \varphi(X, \cdot)(Y) = d \varphi(X, Y)
 \end{aligned}$$

$$\begin{aligned}
 & i_X d \varphi(Z) \\
 &= d \varphi(X, Z)
 \end{aligned}$$

So, If  $\alpha = X \otimes \varphi$   $\partial\varphi = 0$   $\varphi \in \Lambda^{0,s}$  (In Tian's case,  $s=t=1$ )  
 $\beta = Y \otimes \psi$   $\partial\psi = 0$   $\psi \in \Lambda^{0,t}$

$$[\alpha, \beta] = [X, Y] \otimes \varphi \wedge \psi$$

and  $L([\alpha, \beta])\Phi$  means as usual

$$\left( \begin{array}{l} \text{for } \gamma = Z \otimes \zeta, \\ L(\gamma)\Phi := \zeta \wedge (L(Z)\Phi) \end{array} \right) \begin{array}{l} Z \in C^\infty(\Lambda^{p,T}) \\ \zeta \text{ any } \mathbb{R} \text{ form.} \end{array}$$

$$\text{Get } L([\alpha, \beta])\Phi = \varphi \wedge \psi \wedge L([X, Y])\Phi$$

Since  $X, Y$  all in  $T$  direction (i.e.  $T^{1,0}$ ) and  $\varphi, \psi$  all in anti-holo direction, so we can commute them.

$$\begin{aligned} &= \varphi \wedge \psi \wedge L(X) d(L(Y)\Phi) \rightarrow \varphi \wedge \psi \wedge L(X) \partial(L(Y)\Phi) \\ &+ \varphi \wedge \psi \wedge d(L(X)L(Y)\Phi) \rightarrow \varphi \wedge \psi \wedge \partial(L(X)L(Y)\Phi) \\ &- \varphi \wedge \psi \wedge L(Y) d(L(X)\Phi) \rightarrow -\varphi \wedge \psi \wedge L(Y) L(X) \partial\Phi \\ &- \varphi \wedge \psi \wedge L(Y) d(L(X)\Phi) \rightarrow -\varphi \wedge \psi \wedge L(Y) \partial(L(X)\Phi) \end{aligned}$$

When  $\Phi \in C^\infty(\Lambda^{p,q})$ , by comparing with type, the right hand can only apply  $\partial$  but not  $\bar{\partial}$ . (type of LHS is  $\Lambda^{p-1, q+s+t}$ )

Now combine with  $\partial\varphi = 0 = \partial\psi$  get

$$\begin{aligned} L([\alpha, \beta])\Phi &= (+)^{s+t} \partial(L(\alpha)L(\beta)\Phi) \leftarrow \text{good term} \\ &+ (+)^t L(\alpha) \partial(L(\beta)\Phi) \\ &- (-)^s L(\beta) \partial(L(\alpha)\Phi) \\ &- (-)^s L(\beta) L(\alpha) \partial\Phi \end{aligned}$$

For  $s=t=1$ , we get Tian's lemma

## Variations of path, geodesics and Bonnet's theorem

<p>The consequence we need is: pos. Ric <math>\Rightarrow \pi_1</math> finite with const. lower bound <math>&gt; 0</math></p>	<p>which follows from Bonnet's theorem: positive Ricci <math>\Rightarrow</math> compactness with a const. lower bound <math>&gt; 0</math></p>
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Let  $\gamma: [0, \ell] \rightarrow X$  be a curve with  $|\dot{\gamma}| = \left| \frac{d\gamma}{dt} \right| = 1$ .

For Any variation  $F: [0, \ell] \times [-1, 1] \rightarrow X$  of  $\gamma$  with  $F|_{t=0} \equiv \gamma(0)$   
 $F|_{t=\ell} \equiv \gamma(\ell)$

Length of  $F|_s = L(s) := \int_0^\ell \langle T, T \rangle^{\frac{1}{2}} dt$   
 $L'(s) = \int_0^\ell \frac{d}{ds} \langle T, T \rangle^{\frac{1}{2}} dt = \int_0^\ell \frac{2 \langle \nabla_N T, T \rangle}{2 \langle T, T \rangle^{\frac{1}{2}}} dt$  (\*)

For  $\gamma \equiv F|_{s=0}$  to be a geodesic, this has to be 0 at  $s=0$ .

Bonnet-Meyer's Thm:  
 $\text{diam}(X) \leq \frac{\pi}{\sqrt{K}}$   
 if  $\text{Ric} \geq (n-1)K > 0$

Note:  $\nabla_N T - \nabla_T N = [N, T] = 0$  since  $N, T$  are coordinates vectors.

so  $\langle \nabla_N T, T \rangle = \langle \nabla_T N, T \rangle$   
 $= T \langle N, T \rangle - \langle N, \nabla_T T \rangle$

Get  $L'(0) = \int_0^\ell T \langle N, T \rangle dt - \int_0^\ell \langle N, \nabla_T T \rangle dt \stackrel{\text{want}}{=} 0$   
 $\langle N, T \rangle|_0^\ell = 0$

since  $N(0) = 0 = N(\ell)$

Since this is true  $\forall F$ , take  $N = \nabla_T T$  get  $\int_0^\ell \|\nabla_T T\|^2 dt = 0 \Leftrightarrow \nabla_T T = 0$

Get Equation for geodesics:

If in local coord. system  $\gamma(t) = (x^1(t), \dots, x^n(t))$  then  $\frac{d}{dt} = T = \sum_i \frac{dx^i}{dt} \frac{\partial}{\partial x^i}$ , so (recall  $\nabla_{\partial_i} \partial_j = \Gamma_{ij}^k \partial_k$ )

$$\begin{aligned} \nabla_T T &= \sum_j \nabla_{\frac{dx^j}{dt}} \left[ \frac{dx^j}{dt} \partial_j \right] \\ &= \sum_j \frac{d^2 x^j}{dt^2} \frac{\partial}{\partial x^j} + \sum_j \frac{dx^j}{dt} \nabla_{\frac{dx^j}{dt}} \left( \frac{\partial}{\partial x^j} \right) \\ &= \sum_j \frac{d^2 x^j}{dt^2} \frac{\partial}{\partial x^j} + \sum_{i,j} \frac{dx^i}{dt} \frac{dx^j}{dt} \Gamma_{ij}^k \frac{\partial}{\partial x^k} \\ &= \sum_k \left( \frac{d^2 x^k}{dt^2} + \sum_{i,j} \Gamma_{ij}^k \frac{dx^i}{dt} \frac{dx^j}{dt} \right) \frac{\partial}{\partial x^k} = 0 \end{aligned}$$

Now assume that  $\gamma$  is a geodesic :

$$L'(s) = \int_0^l \frac{\langle \nabla_T N, T \rangle}{\langle T, T \rangle^{1/2}} dt$$

$$L''(s) = \int_0^l \left( \frac{\langle \nabla_N \nabla_T N, T \rangle + \|\nabla_T N\|^2}{\langle T, T \rangle^{1/2}} - \frac{\langle \nabla_T N, T \rangle \cdot \langle \nabla_T N, T \rangle}{\langle T, T \rangle^{3/2}} \right) dt$$

$$L''(0) = \int_0^l \left( \|\nabla_T N\|^2 + \langle \nabla_T N, N \rangle \right) \Big|_0^l - \int_0^l \left( T \langle N, T \rangle - \langle N, \nabla_T T \rangle \right) \Big|_0^l dt$$

because :

$$\begin{aligned} & \langle \nabla_N \nabla_T N, T \rangle \\ &= R(N, T, T, N) + \langle \nabla_T \nabla_N N, T \rangle \\ &= -R(N, T, N, T) + T \langle \nabla_N N, T \rangle - \langle \nabla_N N, \nabla_T T \rangle \end{aligned}$$

goes away if we take the normal variation  $\langle N, T \rangle = 0$  at  $s=0$ .

so for end-pts fixed normal variations : Get 2nd variation formula :

$$L''(0) = \int_0^l \left( \|\nabla_T N\|^2 - R(N, T, N, T) \right) dt + \left( \langle \nabla_N N, T \rangle \Big|_0^l \text{ since } N \langle N, T \rangle - \langle N, \nabla_T T \rangle \right)$$

Another form =  $-\int_0^l \langle \nabla_T^2 N + R(N, T)T, N \rangle dt$

• pf of Bonnet-Meyer thm :

if  $\text{diam}(X) > \frac{\pi}{\sqrt{k}}$  then  $\exists p, q \in X, d(p, q) > \frac{\pi}{\sqrt{k}}$

and shortest geodesic  $\gamma$  joins  $p, q$

Hence must  $L''(0) \geq 0$

$$\begin{aligned} & \sum_{i=1}^{n-2} \|\nabla_T N_i\|^2 - R(N_i, T, N_i, T) \\ &= \frac{\pi^2}{\ell^2} (n-1) \cos^2\left(\frac{\pi}{\ell} t\right) - \text{Ric}(T, T) \sin^2\left(\frac{\pi}{\ell} t\right) \end{aligned}$$

take integration get  $\frac{1}{2} \left[ \frac{\pi^2}{\ell^2} (n-1) - k \right] < 0 \rightarrow \text{Q.E.D.}$

• Here  $e_1 = T, e_2, \dots, e_n$  parallel basis. Let  $N_i = e_i$  for  $i \geq 2$ .  
 then  $\|\nabla_T N\|^2 = \frac{\pi^2}{\ell^2} \cos^2\left(\frac{\pi}{\ell} t\right)^2$

$$R(N_i, T, N_i, T) = \sin^2\left(\frac{\pi}{\ell} t\right)^2 R_{NTNT}$$

parallel means  $\nabla_T e_i = 0$ , parallel translation preserves inner product :

$$\frac{d}{dt} \langle e_i, e_j \rangle = \langle \nabla_T e_i, e_j \rangle + \langle e_i, \nabla_T e_j \rangle \equiv 0 \text{ along } \gamma. \text{ Moreover,}$$

$$\nabla_T (f(t) e) = f'(t) e + f(t) \nabla_T e = f'(t) e$$



Harmonic maps: Let  $N, M$  cpt Riem. mfd's.

$$f: N \rightarrow M \quad \text{locally } (t_1, \dots, t_n) \mapsto (x_1, \dots, x_m)$$

$$g \quad h \quad x_i = f^i(t_1, \dots, t_n), \mathbb{C}^\infty$$

Riemannian metrics

Let  $T_i = f^* \frac{\partial}{\partial t_i} \in \Gamma(f^* T_M)$  with induced conn.  $f^* \nabla$   
 coord. vector fields  $\nabla$  conn. of  $h$

then the d-energy

$$E(f) = \int_N |df|^2 = \int_N g^{ij} \langle T_i, T_j \rangle_h$$

$$\text{since } T_i = f^* \frac{\partial}{\partial t_i} = \sum_\alpha \frac{\partial f^\alpha}{\partial t_i} \frac{\partial}{\partial x^\alpha} = \sum_\alpha f_i^\alpha \partial_\alpha$$

$$E(f) = \int_N g^{ij} f_i^\alpha f_j^\beta h_{\alpha\beta}$$

Harmonic map = critical pt of energy functional:

Let  $F: N \times (-1, 1) \rightarrow M$  be a 1-parameter variation of  $f$

$S := F^* \frac{\partial}{\partial s} \in \Gamma(F^* T_M)$  is also a coord. v.f. on  $N \times (-1, 1)$

$$\begin{aligned} E'(s) &= \int_N g^{ij} S \langle T_i, T_j \rangle \\ &= 2 \int_N g^{ij} (\langle \nabla_S T_i, T_j \rangle + \langle T_i, \nabla_S T_j \rangle) \\ &= 2 \int_N g^{ij} (\langle \nabla_{T_i} S, T_j \rangle + \langle T_i, \nabla_{T_j} S \rangle) \\ &= 2 \int_N g^{ij} T_i \langle S, T_j \rangle - g^{ij} \langle S, \nabla_{T_i} T_j \rangle \\ &= 2 \int_N \text{div} \langle S, \cdot \rangle - 2 \int_N \langle S, g^{ij} \nabla_{T_i} T_j \rangle \\ &= 0 \end{aligned}$$

so  $E'(0) = 0 \iff \sum_{i,j} g^{ij} \nabla_{T_i} T_j = 0$  (since  $\nabla_S S = 0$ )

In local coordinates, this is,  $\forall \alpha$

$$\sum_{i,j} g^{ij} \left( \frac{\partial^2 f^\alpha}{\partial t_i \partial t_j} + \Gamma_{\beta\gamma}^\alpha \frac{\partial f^\beta}{\partial t_i} \frac{\partial f^\gamma}{\partial t_j} \right) = 0$$

this generalizes the geodesic equation.

# Local Formulas in Kähler Geometry

## notations

real index  $i, j, k, \dots$

cpx index  $\alpha, \beta, \gamma, \dots \quad \bar{\alpha}, \bar{\beta}, \bar{\gamma}, \dots$

• Kähler condition: Let  $g_{\alpha\bar{\beta}} dz^\alpha \otimes d\bar{z}^\beta$  be a hermitian metric.

$$\omega = g_{\alpha\bar{\beta}} dz^\alpha \wedge d\bar{z}^\beta \quad (g_{\bar{\beta}\alpha} = g_{\alpha\bar{\beta}}; \overline{g_{\alpha\bar{\beta}}} = g_{\bar{\alpha}\beta})$$

$$d\omega = \partial_\gamma g_{\alpha\bar{\beta}} dz^\gamma \wedge dz^\alpha \wedge d\bar{z}^\beta + \partial_{\bar{\gamma}} g_{\alpha\bar{\beta}} d\bar{z}^\gamma \wedge dz^\alpha \wedge d\bar{z}^\beta$$

so  $d\omega = 0 \iff \underline{\partial_\gamma g_{\alpha\bar{\beta}} = \partial_\alpha g_{\gamma\bar{\beta}}} \ \& \ \underline{\partial_{\bar{\gamma}} g_{\alpha\bar{\beta}} = \partial_{\bar{\beta}} g_{\alpha\bar{\gamma}}}$

In fact, locally  $g_{\alpha\bar{\beta}} = \partial_\alpha \partial_{\bar{\beta}} K$  for some  $C^\infty$  function  $K$  (\*)

• Levi-Civita connection: This is the unique connection st.

(1) metrical:  $d\langle u, v \rangle = \langle \nabla u, v \rangle + \langle u, \nabla v \rangle$

(2) torsion free:  $\nabla_u v - \nabla_v u - [u, v] = 0$

in the cpx case, (2) is equivalent to that for  $\nabla = \nabla' + \nabla''$

wrt  $\Lambda^1 = \Lambda^{1,0} \oplus \Lambda^{0,1}$ , one has  $\nabla'' \equiv \bar{\partial}$

It is well known in elementary diff. geom. that if

$$\nabla_{\partial_i} \partial_j = \Gamma_{ij}^k \partial_k$$

then (1) + (2)  $\Rightarrow \Gamma_{ij}^k = \Gamma_{ji}^k$  and

$$\Gamma_{ij}^k = \frac{1}{2} g^{kl} \{ \partial_i g_{jl} + \partial_j g_{il} - \partial_l g_{ij} \}$$

In the Kähler case,

$$\Gamma_{\alpha\bar{\beta}}^{\gamma} = \frac{1}{2} g^{\gamma\bar{\delta}} \{ \partial_\alpha g_{\beta\bar{\delta}} + \partial_{\bar{\beta}} g_{\alpha\bar{\delta}} - \cancel{\partial_{\bar{\delta}} g_{\alpha\bar{\beta}}} \} = \underline{g^{\gamma\bar{\delta}} \partial_\alpha g_{\beta\bar{\delta}}}$$

$$\Gamma_{\alpha\bar{\beta}}^{\bar{\gamma}} = 0 = \Gamma_{\bar{\alpha}\beta}^{\gamma} \text{ clearly, also } \Gamma_{\alpha\bar{\beta}}^{\gamma} = 0 = \Gamma_{\bar{\alpha}\beta}^{\bar{\gamma}}$$

$$\Gamma_{\alpha\bar{\beta}}^{\bar{\gamma}} = \frac{1}{2} g^{\gamma\bar{\delta}} \{ \cancel{\partial_\alpha g_{\beta\bar{\delta}}} + \cancel{\partial_{\bar{\beta}} g_{\alpha\bar{\delta}}} - \cancel{\partial_{\bar{\delta}} g_{\alpha\bar{\beta}}} \} = 0$$

So the only nontrivial terms are of pure type

$$\underline{\Gamma_{\alpha\bar{\beta}}^{\gamma} = \Gamma_{\beta\bar{\alpha}}^{\gamma} = g^{\gamma\bar{\delta}} \partial_\alpha g_{\beta\bar{\delta}}} \quad \text{and} \quad \Gamma_{\bar{\alpha}\beta}^{\bar{\gamma}} = \overline{\Gamma_{\alpha\bar{\beta}}^{\gamma}}$$

At any point  $p$ ,  $\exists$  local cov. st  $\Gamma_{\alpha\bar{\beta}}^{\gamma}(p) \equiv 0$  (via (\*))

For connection 1-forms  $\omega_i^j, \omega_\alpha^\beta$  etc.

$$\nabla_{\partial_j} \partial_j = \Gamma_{ij}^k \partial_k \text{ ie.}$$

$$\nabla \partial_j = (\Gamma_{ij}^k dx^i) \partial_k =: \omega_j^k \partial_k, \text{ so } \underline{\omega_j^k = \Gamma_{ij}^k dx^i}$$

In the Kähler case,

$$\begin{aligned} \underline{\omega_\alpha^\beta} &= \Gamma_{\gamma\alpha}^\beta dZ^\gamma; \quad \omega_\alpha^{\bar{\beta}} = 0 \quad (\text{no mixed term}) \\ &= g^{\beta\bar{\delta}} \partial_\gamma g_{\alpha\bar{\delta}} dZ^\gamma = \underline{g^{\beta\bar{\delta}} \cdot \partial g_{\alpha\bar{\delta}}} \end{aligned}$$

• Curvature form :

$$\Omega_i^j := d\omega_i^j - \omega_i^k \wedge \omega_k^j$$

In the Kähler case, since  $\Omega_\alpha^\beta$  is of type (1,1), so

$$\begin{aligned} \Omega_\alpha^\beta &= \bar{\partial} \omega_\alpha^\beta = \bar{\partial} (g^{\beta\bar{\nu}} \cdot \partial g_{\alpha\bar{\nu}}) \\ &= \partial_{\bar{\delta}} (g^{\beta\bar{\nu}} \cdot \partial_\gamma g_{\alpha\bar{\nu}}) d\bar{Z}^\delta \wedge dZ^\gamma \\ &= [-g^{\beta\bar{\nu}} \cdot \partial_\gamma \partial_{\bar{\delta}} g_{\alpha\bar{\nu}} + g^{\beta\bar{\lambda}} (\partial_{\bar{\delta}} g_{\lambda\bar{\mu}}) g^{\mu\bar{\nu}} \cdot \partial_\gamma g_{\alpha\bar{\nu}}] dZ^\gamma \wedge d\bar{Z}^\delta \end{aligned}$$

$\nabla^2 = \bar{\partial}^2 = 0 \Rightarrow$  no (0,2)  
 (1)  $\Rightarrow \Omega$  skew hermitian  
 $\Rightarrow$  no (2,0) part.

ie.

$$\underline{\Omega_\alpha^\beta = \left( -g^{\beta\bar{\lambda}} \frac{\partial^2 g_{\alpha\bar{\lambda}}}{\partial Z^\gamma \partial \bar{Z}^\delta} + g^{\mu\bar{\nu}} \frac{\partial g_{\alpha\bar{\nu}}}{\partial Z^\gamma} \cdot g^{\beta\bar{\lambda}} \frac{\partial g_{\lambda\bar{\mu}}}{\partial \bar{Z}^\delta} \right) dZ^\gamma \wedge d\bar{Z}^\delta}$$

Write  $\Omega_\alpha^\beta = R_{\alpha\bar{\gamma}\delta}^\beta$  and  $R_{\alpha\bar{\beta}\gamma\delta} := g_{\lambda\bar{\beta}} R_{\alpha\bar{\gamma}\delta}^\lambda$ , get

$$R_{\alpha\bar{\beta}\gamma\delta} = -\partial_\gamma \partial_{\bar{\delta}} g_{\alpha\bar{\beta}} + g^{\mu\bar{\nu}} \partial_\gamma g_{\alpha\bar{\nu}} \cdot \partial_{\bar{\delta}} g_{\mu\bar{\beta}}$$

This is in fact a very easy formula, since there is only one way to write down such an expression !

BASIC PROPERTIES:

- (1)  $R_{\alpha\bar{\beta}\gamma\delta} = R_{\gamma\delta\alpha\bar{\beta}}$  (symmetric)
- (2)  $R_{\alpha\bar{\beta}\gamma\delta} = -R_{\alpha\bar{\beta}\delta\gamma}$  (anti-symmetric)
- (3)  $R_{\alpha\bar{\beta}\dots} = 0 = R_{\bar{\alpha}\beta\dots}$  (no mixed terms)
- (4)  $R_{\alpha\bar{\beta}\gamma\delta} \quad \alpha \leftrightarrow \gamma, \beta \leftrightarrow \delta$  (Bianchi symmetry + (3) or (\*))  
 $R_{\alpha\bar{\beta}\gamma\delta, \lambda} ; R_{\alpha\bar{\beta}\gamma\delta, \bar{\mu}} \quad \alpha \leftrightarrow \gamma \leftrightarrow \lambda ; \beta \leftrightarrow \delta \leftrightarrow \bar{\mu}$

# HARMONIC MAPS IN KÄHLER GEOMETRY

p. 6

$$ds_N^2 = g_{ij} dw^i \otimes d\bar{w}^j; ds_M^2 = h_{\alpha\beta} dz^\alpha \otimes d\bar{z}^\beta$$

Given  $f: N \rightarrow M$   $C^\infty$  map of Hermitian m.f.d.o.:

want to consider  $\bar{\partial}$ -energy:

$$|\bar{\partial}f|^2 := g_{i\bar{j}} f_i^\alpha \overline{f_j^\beta} h_{\alpha\bar{\beta}}; f_i^\alpha := \frac{\partial f^\alpha}{\partial w^i}$$

• More intrinsically: For general mapping  $f: N \rightarrow M, C^\infty$

$$|df|^2 = \text{Tr}_{ds_N^2} (f^* ds_M^2)$$

$x^i$ : real coordinates

$i, j, \alpha, \beta$  run through all real indexes.

$$f^* ds_M^2 = h_{\alpha\beta} \frac{\partial f^\alpha}{\partial x^i} \frac{\partial f^\beta}{\partial x^j} dx^i \otimes dx^j$$

$$\text{Take trace} = g_{i\bar{j}} h_{\alpha\bar{\beta}} f_i^\alpha \overline{f_j^\beta}$$

• In the cpx case, since  $g, h \neq 0$  only for mixed type:

$$\text{if define } |\partial f|^2 = g_{i\bar{j}} f_j^\alpha \overline{f_i^\beta} h_{\alpha\bar{\beta}}$$

$$\text{then } |df|^2 = |\partial f|^2 + |\bar{\partial}f|^2$$

$$\text{ie. } \int_N |df|^2 = \int_N |\partial f|^2 + \int_N |\bar{\partial}f|^2 \quad \text{energy} \quad \textcircled{1}$$

• Let  $\dim_{\mathbb{C}} N = 1$ , then:

$$f^* (h_{\alpha\bar{\beta}} dz^\alpha \wedge d\bar{z}^\beta) = h_{\alpha\bar{\beta}} \left( \frac{\partial f^\alpha}{\partial w} dw + \frac{\partial f^\alpha}{\partial \bar{w}} d\bar{w} \right) \wedge \left( \frac{\partial \bar{f}^\beta}{\partial w} dw + \frac{\partial \bar{f}^\beta}{\partial \bar{w}} d\bar{w} \right)$$

$$= h_{\alpha\bar{\beta}} \left( \frac{\partial f^\alpha}{\partial w} \frac{\partial \bar{f}^\beta}{\partial \bar{w}} - \frac{\partial f^\alpha}{\partial \bar{w}} \frac{\partial \bar{f}^\beta}{\partial w} \right) dw \wedge d\bar{w}$$

add  $g^{-1}$

add  $g$  factor

$$\text{ie. } \int_N |\partial f|^2 - \int_N |\bar{\partial}f|^2 = f^* \omega_M [N] = \omega_M ([f(N)]) \quad \textcircled{2}$$

• so if  $d\omega_M = 0$  ( $M$  is Kähler) then  $\rightarrow$  is topological #.

$$\Rightarrow E_{\bar{\partial}}(f) = \frac{1}{2} E_d(f) - \frac{1}{2} \omega_M [f(N)]$$

$$E_{\partial}(f) = \frac{1}{2} E_d(f) - \frac{1}{2} \omega_M [f(N)]$$

Hence they have the same critical points (harmonic maps)

1st variation of  $\bar{\omega}$ -energy: Let  $f(s): N \rightarrow M$

$|s| < \epsilon, \text{ in } \mathbb{C}$

$$\frac{d}{ds} \int_N |\bar{\omega} f|^2 = \int_N \frac{d}{ds} \left( g_{i\bar{j}} f_i^\alpha \overline{f_j^\beta} h_{\alpha\bar{\beta}} \right)$$

$$= \underbrace{g_{i\bar{j}} \partial_s f_i^\alpha \cdot \overline{f_j^\beta} h_{\alpha\bar{\beta}}}_{A} + \underbrace{g_{i\bar{j}} f_i^\alpha \overline{\partial_s f_j^\beta} h_{\alpha\bar{\beta}}}_{B}$$

$$+ g_{i\bar{j}} f_i^\alpha \overline{f_j^\beta} \partial_\mu h_{\alpha\bar{\beta}} f_s^\mu + g_{i\bar{j}} f_i^\alpha \overline{f_j^\beta} \partial_{\bar{\nu}} h_{\alpha\bar{\beta}} f_s^{\bar{\nu}}$$

Let  $p \in N \mapsto f(p) = q \in M$ , will pick coord. st

$$dg_{i\bar{j}} = 0 = dh_{\alpha\bar{\beta}}, \text{ so}$$

$$\partial_s f_i^\alpha \cdot \overline{f_j^\beta} = \partial_{\bar{i}} f_s^\alpha \cdot \overline{f_j^\beta} = \partial_{\bar{i}} (f_s^\alpha \overline{f_j^\beta}) - f_s^\alpha \overline{\partial_{\bar{i}} f_j^\beta}$$

the relevant part is (for part A):

$$g_{i\bar{j}} \partial_{\bar{i}} (f_s^\alpha \overline{f_j^\beta} h_{\alpha\bar{\beta}}) - (g_{i\bar{j}} \partial_{\bar{i}} \overline{f_j^\beta}) f_s^\alpha h_{\alpha\bar{\beta}}$$

$\int_N = 0$  by div. thm

Get  $\bar{\omega}$ -harmonic map equation:

$$\underline{g_{i\bar{j}} \nabla_{\partial_{\bar{i}}} f_j^\alpha = 0 \quad \forall \alpha, \text{ ie.}}$$

$$\sum_{i,j} g_{i\bar{j}} \left( \frac{\partial^2 f^\alpha}{\partial t^i \partial \bar{t}^j} + M \Gamma_{\beta\gamma}^\alpha \frac{\partial f^\beta}{\partial t^i} \cdot \frac{\partial f^\gamma}{\partial \bar{t}^j} \right) = 0 \quad \forall \alpha.$$

Rmk: For part (B):

by div. thm

$$g_{i\bar{j}} f_i^\alpha \overline{\partial_{\bar{j}} f_s^\beta} h_{\alpha\bar{\beta}} = g_{i\bar{j}} \partial_{\bar{j}} (f_i^\alpha \overline{f_s^\beta} h_{\alpha\bar{\beta}}) - (g_{i\bar{j}} \partial_{\bar{j}} f_i^\alpha) \overline{f_s^\beta} h_{\alpha\bar{\beta}}$$

get the same contribution.

2nd variation of  $\bar{\omega}$ -energy :

P.8

$\frac{\partial}{\partial \bar{s}} \int_N |\bar{\omega} f|^2 = \int_N$  of 6 terms :

- (1)  $g_{\bar{i}j} \partial_{\bar{s}} \partial_s f_{\bar{\alpha}}^{\alpha} \cdot \overline{f_{\bar{j}}^{\beta}} h_{\alpha\bar{\beta}} + g_{\bar{i}j} \partial_s f_{\bar{\alpha}}^{\alpha} \cdot \overline{\partial_s f_{\bar{j}}^{\beta}} h_{\alpha\bar{\beta}}$
- (3)  $+ g_{\bar{i}j} \partial_{\bar{s}} f_{\bar{\alpha}}^{\alpha} \cdot \overline{\partial_{\bar{s}} f_{\bar{j}}^{\beta}} h_{\alpha\bar{\beta}} + g_{\bar{i}j} f_{\bar{\alpha}}^{\alpha} \cdot \overline{\partial_s \partial_{\bar{s}} f_{\bar{j}}^{\beta}} h_{\alpha\bar{\beta}}$
- (5)  $+ g_{\bar{i}j} f_{\bar{\alpha}}^{\alpha} \overline{f_{\bar{j}}^{\beta}} \partial_{\bar{v}} \partial_{\mu} h_{\alpha\bar{\beta}} f_s^{\mu} \overline{f_{\bar{s}}^{\nu}}$
- (6)  $+ g_{\bar{i}j} f_{\bar{\alpha}}^{\alpha} \overline{f_{\bar{j}}^{\beta}} \partial_{\mu} \partial_{\bar{v}} h_{\alpha\bar{\beta}} f_s^{\nu} \overline{f_{\bar{s}}^{\mu}}$

(2), (3), (5), (6) are good terms,

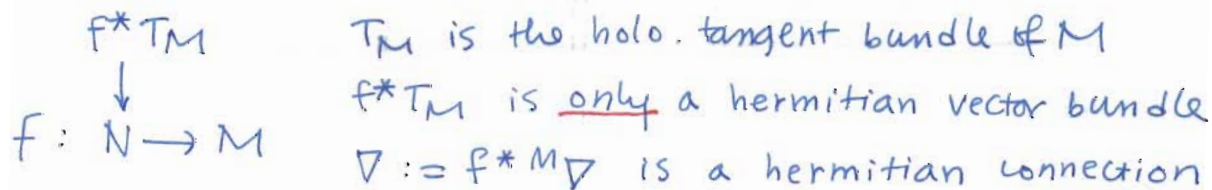
(1) + (4) go to

$$2 \operatorname{Re} g_{\bar{i}j} \left( \frac{\partial^2}{\partial s \partial \bar{s}} f_{\bar{\alpha}}^{\alpha} \right) \overline{f_{\bar{j}}^{\beta}} h_{\alpha\bar{\beta}} \quad (**)$$

$$\begin{aligned} f_s^{\bar{\nu}} &= \frac{\partial f_s^{\nu}}{\partial s} = \overline{f_{\bar{s}}^{\nu}} \\ f_{\bar{s}}^{\bar{\nu}} &= \frac{\partial f_{\bar{s}}^{\nu}}{\partial \bar{s}} = \overline{f_s^{\nu}} \end{aligned}$$

where  $\bar{z}^{\nu} = \overline{z^{\nu}} (\dots)$

To compute it, recall the Hermitian bundle picture :



$\nabla := f^* \nabla_M$  is a hermitian connection

then  $f_{\bar{s}}$  is a global ( $C^\infty$ , cpx) section of  $f^* T_M$ ,  $f_{\bar{j}}$  is a local section

consider

$$\begin{aligned} g_{\bar{i}j} \partial_{\bar{i}} \langle \nabla_s f_{\bar{s}}, f_{\bar{j}} \rangle & \text{ (divergence form)} \\ &= g_{\bar{i}j} \langle \nabla_s f_{\bar{s}}, \nabla_{\bar{i}} f_{\bar{j}} \rangle + g_{\bar{i}j} \langle \nabla_{\bar{i}} \nabla_s f_{\bar{s}}, f_{\bar{j}} \rangle \\ & \quad \text{by harmonicity} \end{aligned}$$

since  $\nabla_s f_{\bar{s}} = \left( \frac{\partial^2 f_{\bar{s}}^{\alpha}}{\partial s \partial \bar{s}} + \Gamma_{\mu\nu}^{\alpha} \frac{\partial f_s^{\mu}}{\partial s} \frac{\partial f_{\bar{s}}^{\nu}}{\partial \bar{s}} \right) \frac{\partial}{\partial z^{\alpha}}$

so  $= (**)$  +  $\partial_{\bar{i}} \Gamma_{\mu\nu}^{\alpha} \frac{\partial f_s^{\mu}}{\partial s} \frac{\partial f_{\bar{s}}^{\nu}}{\partial \bar{s}} \overline{\left( \frac{\partial f_{\bar{j}}^{\beta}}{\partial \bar{z}^{\lambda}} \right)} h_{\alpha\bar{\beta}} g_{\bar{i}j}$

$= (**)$  +  $\frac{\partial \Gamma_{\mu\nu}^{\alpha}}{\partial \bar{z}^{\lambda}} g_{\bar{i}j} h_{\alpha\bar{\beta}} f_s^{\mu} f_{\bar{s}}^{\nu} \overline{f_{\bar{i}}^{\lambda}} \overline{f_{\bar{j}}^{\beta}}$ ;  $\partial_{\bar{i}} = f_{\bar{i}}^{\lambda} \frac{\partial}{\partial \bar{z}^{\lambda}} + \overline{f_{\bar{i}}^{\lambda}} \frac{\partial}{\partial z^{\lambda}}$

$\partial_{\bar{i}} (h^{\alpha\bar{\delta}} \partial_{\bar{v}} h_{\mu\bar{\delta}} h_{\alpha\bar{\beta}}) = \partial_{\bar{i}} \partial_{\bar{v}} h_{\mu\bar{\beta}}$

ie.  $(**) = \operatorname{div} \langle \nabla_s, \cdot \rangle + g_{\bar{i}j} R_{\alpha\bar{\beta}\mu\bar{\nu}} f_s^{\alpha} \overline{f_{\bar{j}}^{\beta}} f_s^{\mu} \overline{f_{\bar{i}}^{\nu}}$

but  $f_{\bar{i}}^{\lambda} \frac{\partial}{\partial \bar{z}^{\lambda}}$  has no contribution in later calculation

$$\text{ie. } \frac{\partial^2}{\partial s \partial \bar{s}} \int_N |\bar{f}|^2$$

$$= \int_N g^{i\bar{j}} \left( \langle \nabla_{\partial_{\bar{i}}} f_s, \nabla_{\partial_{\bar{j}}} f_s \rangle + \langle \nabla_{\partial_{\bar{i}}} f_{\bar{s}}, \nabla_{\partial_{\bar{j}}} f_{\bar{s}} \rangle \right) \\ - g^{i\bar{j}} \left( R(f_{\bar{i}}, \bar{f}_{\bar{j}}, f_s, \bar{f}_{\bar{s}}) + R(f_{\bar{i}}, \bar{f}_{\bar{j}}, f_{\bar{s}}, \bar{f}_{\bar{s}}) \right) \\ + 2 \operatorname{Re} g^{i\bar{j}} R(f_s, \bar{f}_{\bar{j}}, f_{\bar{s}}, \bar{f}_{\bar{i}})$$

In the special case that  $\dim_{\mathbb{C}} N = 1$  with coord.  $w, \bar{w}$ , get

2nd Variation Formula:

$$\frac{\partial^2}{\partial s \partial \bar{s}} \Big|_{s=0} \int_N |\bar{f}|^2 = \int_N \|\nabla_{\bar{w}} f_s\|^2 + \|\nabla_{\bar{w}} f_{\bar{s}}\|^2 \\ - \int_N R(f_{\bar{w}}, \bar{f}_{\bar{w}}, f_s, \bar{f}_{\bar{s}}) + R(f_{\bar{w}}, \bar{f}_{\bar{w}}, f_{\bar{s}}, \bar{f}_{\bar{s}}) \\ + 2 \operatorname{Re} \int_N R(f_s, \bar{f}_{\bar{w}}, f_{\bar{s}}, \bar{f}_{\bar{w}}) \cdot \frac{i}{2} dw \wedge d\bar{w}$$

In the even more special case that  $N = \mathbb{P}^1$ , we are able to create certain special variations:

(1)  $f^* T_M$  is a holo. v.b. over  $\mathbb{P}^1$

this means that  $\exists$  sections  $v_\alpha$   $\alpha=1, \dots, m$  locally

st.  $\nabla_{\bar{w}} v_\alpha = 0$  and  $v_\alpha$  form a basis

(2) Now assume that  $f: \mathbb{P}^1 \rightarrow M$  is energy minimizing and  $f^* g(M)$  is non-negative on  $\mathbb{P}^1$

Question: when can we conclude that  $f$  is holomorphic?

(1) + Grothendieck  $\Rightarrow f^* T_M = L_1 \oplus \dots \oplus L_m$  over  $\mathbb{P}^1$

$L_i$  holo. line bundle

(2) then  $\Rightarrow$  some  $L_i$  has non-neg degree over  $\mathbb{P}^1$

R-R thm on  $\mathbb{P}^1 \Rightarrow \exists$  global hol. section  $v$  of  $f^* T_M$

$$h^0(L) - h^1(L) = \deg L + 1 - g = \deg L + 1$$

$$\text{"}$$

$$h^0(K-L)$$

$$\deg(K-L) < 0 \Rightarrow h^1(L) = 0$$

Now construct a  $C^\infty$  family of  $C^\infty$  maps  
 $f(s) : \mathbb{P}^1 \rightarrow M$ ,  $|s| < \epsilon$ ,  $s \in \mathbb{C}$  st.  $f(0) = f$   
 and  $f_s = v$ ;  $f_{\bar{s}} = 0$  at time  $s=0$

But then  $\nabla_{\bar{w}} f_s = \nabla_{\bar{w}} v = 0$  at  $s=0$

so

$$\frac{\partial^2}{\partial s \partial \bar{s}} \Big|_{s=0} \int_{\mathbb{P}^1} |\bar{\partial} f|^2 = - \int_{\mathbb{P}^1} R(f_{\bar{w}}, \bar{f}_{\bar{w}}, v, \bar{v}) \leq 0$$

But  $R(u, \bar{u}, v, \bar{v}) > 0$  if  $u \neq 0 \neq v$ , hence  $\Rightarrow f_{\bar{w}} = 0$   
 this means that  $f : \mathbb{P}^1 \rightarrow M$  is holomorphic.

### Frenkel Conjecture (Siu-Yau 1980)

If  $X$  is a cpt Kähler mfd with positive bisectional curvature, then  $X = \mathbb{P}^n$ .

Sketch of proof:

Step 1: Bonnet-Meyer  $\Rightarrow \pi_1$  finite

may assume in fact  $\pi_1 = \text{trivial}$

Step 2: Bishop-Goldberg-Kobayashi  $\Rightarrow H^2(X, \mathbb{Z})$  rk = 1.

Step 3: Hurwitz thm  $\pi_2(X) = H^2(X, \mathbb{Z})$

hence the generator  $\alpha \in H^2(X, \mathbb{Z})_{\text{free}}$  is repr

by  $\sum f_i$ ;  $f_i : S^2 \rightarrow X$  energy minimizing  
 and  $\sum E(f_i) = E(f)$  where  $[f] \in \pi_2(X)$

by Sacks-Uhlenbeck (Meeks-Yau)  
 corr. to  $\alpha$ .

Step 4: The above  $\Rightarrow f_i$  holo. or anti holo

Step 5: Top. argument  $\Rightarrow$  if more than 2 maps. then  
 holo. anti-holo both occurs

Step 6: Tube argument  $\Rightarrow$  get smaller energy. Q.E.D.