

## Chapter 5

### BASIC LIE THEORY

#### 1. Categories of Lie groups and Lie algebras

A  $C^\infty$  manifold  $G$  is a *Lie group* if  $G$  has a group structure and the group law

$$G \times G \rightarrow G; \quad (g, h) \mapsto gh^{-1} \text{ are } C^\infty.$$

For  $g \in G$ , we denote the left multiplication map  $h \mapsto gh$  by  $L_g$  and right multiplication  $h \mapsto hg$  by  $R_g$ . We have the induced map on tangent spaces:

$$dL_g : T_h G \rightarrow T_{gh} G; \quad dR_g : T_h G \rightarrow T_{hg} G.$$

A vector field  $X \in C^\infty(TG)$  is *left invariant* if  $X_{gh} = dL_g X_h$  for all  $g, h \in G$ . The *Lie algebra*  $\mathfrak{g} = \text{Lie } G$  of  $G$  is the vector space of all left invariant vector fields (l.i.v.f.) under bracket operation. Namely, as differential operators, for  $f \in C^\infty(G)$ :

$$[X, Y]f := X(Yf) - Y(Xf).$$

Since a l.i.v.f.  $X$  is determined by its value  $X_e$  at the identity  $e \in G$ , we identify

$$\mathfrak{g} \cong T_e G.$$

Abstractly, a vector space  $L$  over a field  $F$  (with  $\text{char } F \neq 2$ ) with an  $F$ -bilinear map  $[\cdot, \cdot] : L \times L \rightarrow L$  is called a *Lie algebra* (over  $F$ ) if  $[x, y] = -[y, x]$  and

$$[[x, y], z] + [[y, z], x] + [[z, x], y] = 0. \quad (\text{Jacobi identity}).$$

It is clear that the bracket of vector fields has this property.

*Example 5.1.* Consider the *general linear group*

$$G = \text{GL}(n, \mathbb{R}) = \{g \in M_{n \times n}(\mathbb{R}) \mid \det g \neq 0\}.$$

From Cramer's rule, we see that  $g \mapsto g^{-1}$  is  $C^\infty$  hence that  $G$  is a Lie group.

As an open subset of  $M_{n \times n}(\mathbb{R}) \cong \mathbb{R}^{n^2}$ , we have  $T_e G = M_{n \times n}(\mathbb{R})$ . The matrix algebra has a natural Lie algebra structure  $\mathfrak{gl}(n, \mathbb{R})$  defined by

$$[A, B] := AB - BA.$$

**Theorem 5.2.**  $\mathfrak{gl}(n, \mathbb{R})$  coincides with Lie  $G$ .

PROOF. From  $(gh(t))' = gh'(t)$ , we see that  $(L_g)_* A = gA$  for  $g \in G$ ,  $A \in T_e G$ . Thus if  $\tilde{A}$  is the l.i.v.f. with  $\tilde{A}_e = A$ , then  $\tilde{A}_g = gA$ . Let  $G \hookrightarrow \mathbb{R}^{n^2}$  with coordinates  $(x_{ij})_{i,j=1}^n$  being the entries of the corresponding matrix  $g$ . Then a tangent vector  $A = (a_{ij}) \in T_e G$  and  $\tilde{A}$  are equivalent to

$$A = \sum_{i,j} a_{ij} \frac{\partial}{\partial x_{ij}} \Big|_e \quad \text{and} \quad \tilde{A}_g = \sum_{i,j} (gA)_{ij} \frac{\partial}{\partial x_{ij}} \Big|_g$$

respectively. From

$$\sum_m \frac{\partial}{\partial x_{ij}} (x_{km} b_{ml}) = \sum_m \delta_{ki} \delta_{mj} b_{ml} = \delta_{ki} b_{jl},$$

we compute

$$\begin{aligned} [\tilde{A}, \tilde{B}]_e &= \sum_{i,j,k,l} \left( a_{ij} \frac{\partial}{\partial x_{ij}} ((gB)_{kl}) \frac{\partial}{\partial x_{kl}} - b_{ij} \frac{\partial}{\partial x_{ij}} ((gA)_{kl}) \frac{\partial}{\partial x_{kl}} \right) \Big|_{g=e} \\ &= \sum_{i,j,l} a_{ij} b_{jl} \frac{\partial}{\partial x_{il}} \Big|_e - b_{ij} a_{jl} \frac{\partial}{\partial x_{il}} \Big|_e = \sum_{i,l} (AB - BA)_{il} \frac{\partial}{\partial x_{il}} \Big|_e. \end{aligned}$$

This corresponds to  $AB - BA$  precisely.  $\square$

A Lie subgroup  $H < G$  is itself a Lie group such that  $H$  is both a subgroup and an immersion. We allow  $H \subset G$  to be *not closed*.

**Example 5.3.** Subgroups of matrix groups are the main sources of Lie groups.

- (i) Let  $\text{SL}(n, \mathbb{R}) = \{g \in \text{GL}(n, \mathbb{R}) \mid \det g = 1\}$  be the *special linear group*. Consider a smooth curve  $t \mapsto g(t)$  with  $g(0) = e$  and  $\det g(t) = 1$ . Then we compute  $\text{tr } g'(0) = 0$ . So its Lie algebra is given by  $\mathfrak{sl}(n, \mathbb{R}) = \{A \in M_{n \times n}(\mathbb{R}) \mid \text{tr } A = 0\}$ .

- (ii) Let  $O(n, \mathbb{R}) = \{g \in GL(n, \mathbb{R}) \mid g^T g = e\}$  be the *orthogonal group*. Consider a smooth curve  $t \mapsto g(t)$  with  $g(0) = e$  and  $g(t)^T g(t) = e$ . Then we compute  $g'(0)^T + g'(0) = 0$ . So its Lie algebra is given by:

$$\mathfrak{o}(n, \mathbb{R}) = \{A \in M_{n \times n}(\mathbb{R}) \mid A^T = -A\}.$$

- (iii) Let  $SO(n, \mathbb{R}) = \{g \in O(n, \mathbb{R}) \mid \det g = 1\}$  be the *special orthogonal group*. It is clear that  $O(n, \mathbb{R})$  has two connected components and  $SO(n, \mathbb{R})$  is the identity component, so

$$\mathfrak{so}(n, \mathbb{R}) = \mathfrak{o}(n, \mathbb{R}).$$

- (iv) Let  $Sp(2n, \mathbb{R}) = \{g \in M_{2n \times 2n}(\mathbb{R}) \mid g^T J g = J\}$  be the *symplectic group*, where

$$J = \begin{pmatrix} 0 & I_n \\ -I_n & 0 \end{pmatrix}.$$

Its Lie algebra  $\mathfrak{sp}(2n, \mathbb{R})$  is given by:

$$\{A \in M_{2n \times 2n}(\mathbb{R}) \mid A^T J = -J A\}.$$

- (v) We have similar *complex Lie groups*  $GL(n, \mathbb{C}), SL(n, \mathbb{C}), O(n, \mathbb{C}), SO(n, \mathbb{C})$  and  $Sp(n, \mathbb{C})$ . Indeed they are defined by algebraic equations with integer coefficient, so they can take values in any field. The corresponding Lie algebras  $\mathfrak{gl}(n, \mathbb{C}), \mathfrak{sl}(n, \mathbb{C}), \mathfrak{so}(n, \mathbb{C})$  and  $\mathfrak{sp}(n, \mathbb{C})$  are complex Lie algebras.

- (vi) Let  $U(n) = \{g \in GL(n, \mathbb{C}) \mid g^* g = e\}$  be the *unitary group*. Consider a smooth curve  $t \mapsto g(t)$  with  $g(0) = e$  and  $g(t)^* g(t) = e$ . Then we compute  $g'(0)^* + g'(0) = 0$ . So its Lie algebra is given by  $\mathfrak{u}(n) = \{A \in M_{n \times n}(\mathbb{C}) \mid A^* = -A\}$ . Notice that  $\mathfrak{u}(n)$  is a real Lie algebra.

- (vii) Let  $SU(n) = \{g \in U(n) \mid \det g = 1\}$  be *special unitary group*.  $\mathfrak{su}(n) = \mathfrak{sl}(n, \mathbb{C}) \cap \mathfrak{u}(n)$ .

All these subgroups can be realized as the subgroup preserving certain additional structure. For “S”,  $g$  preserves volume. For “O”,  $g$  preserves the Euclidean inner product. For “Sp”,  $g$  preserves the non-degenerate symplectic form

$$x^T J y = (x_1 y_{n+1} - x_{n+1} y_1) + \cdots + (x_n y_{2n} - x_{2n} y_n).$$

And for “U”,  $g$  preserves the Hermitian inner product.

$\mathfrak{sl}(n, \mathbb{C})$ ,  $\mathfrak{so}(2n, \mathbb{C})$ ,  $\mathfrak{sp}(n, \mathbb{C})$  and  $\mathfrak{so}(2n+1, \mathbb{C})$  are known as *classical complex semi-simple Lie algebras* of type  $A_n$ ,  $B_n$ ,  $C_n$  and  $D_n$  respectively. (To be explained later).

**Theorem 5.4.** *Given a Lie group  $G$ . There is an one to one correspondence between connected Lie subgroups of  $G$  and Lie subalgebras of  $\mathfrak{g}$ .*

PROOF. This follows from the *Frobenius Theorem* (cf. theorem 1.40). For a basis  $X_i$  of a Lie subalgebra  $\mathfrak{h}$  of  $\mathfrak{g}$ , we defined a subspace distribution  $\mathcal{H}_g$  which is spanned by  $X_i g$  for all  $g \in G$ . The distribution  $\mathcal{H} = \bigcup_{g \in G} \mathcal{H}_g$  is integrable. Indeed, for any two  $C^\infty$  vector fields  $V = \sum f_i X_i$  and  $W = \sum g_i X_i$ , we compute

$$[V, W] = \sum f_i g_j [X_i, X_j] + \sum f_i (X_i g_j) X_j - \sum g_j (X_j f_i) X_i \in \mathcal{H}.$$

We then take  $H$  to be the maximal integral submanifold passing through  $e \in G$ .

To check that  $H$  is a group, let  $g \in H$ . The map  $L_g$  maps the manifold  $H$  to  $gH$ . The left invariance says that  $dL_g \mathcal{H}_h = \mathcal{H}_{gh}$ , hence  $gH$  is also an integral submanifold. Now  $H$  and  $gH$  both contain the element  $g$ , hence the maximality (uniqueness) implies that  $H = gH$ . This implies that  $H$  is closed under multiplication and also  $g^{-1} \in H$  (since  $e \in H$ ). So  $H$  is a subgroup of  $G$ .

Finally,  $H$  is a Lie groups simply because the map  $H \times H \rightarrow H$  sending  $(g, h)$  to  $gh^{-1}$  is the restriction of the given  $C^\infty$  map  $G \times G \rightarrow G$ .  $\square$

*Remark 5.5.* For any Lie group  $G$ , the tangent bundle  $TG$  is a trivial vector bundle with global frame given by any basis of  $\mathfrak{g}$ .

More generally, a *Lie group homomorphism*  $\rho : G \rightarrow H$  is a  $C^\infty$  map which is also a group homomorphism. The tangent map  $d\rho : TG \rightarrow TH$  is compatible with l.i.v.f.'s. To see this,  $\rho(gg') = \rho(g)\rho(g')$  means  $\rho \circ L_g = L_{\rho(g)} \circ \rho$ , so

$$d\rho \circ dL_g = dL_{\rho(g)} \circ d\rho.$$

Thus  $d\rho : \mathfrak{g} \rightarrow \mathfrak{h}$ .  $d\rho$  is indeed a Lie algebra homomorphism in the sense that  $d\rho[X, Y] = [d\rho(X), d\rho(Y)]$ , which is easily verified from the definitions.

## 2. Exponential map

We call a *nontrivial* Lie group homomorphism  $\mathbb{R} \rightarrow G$  a *one parameter subgroup*, even though it may not be injective. The *exponential map* links Lie algebras with Lie groups through the consideration of all one parameter subgroups. Before treating the abstract setting, we look at the case for matrix groups.

*Example 5.6.* For  $A \in M_{n \times n}(\mathbb{C})$ ,  $t \in \mathbb{C}$ , we define the absolutely convergent series

$$e^{tA} = 1 + tA + \frac{t^2}{2!}A^2 + \cdots + \frac{t^k}{k!}A^k + \cdots.$$

It is easily checked that if  $AB = BA$  then  $e^A e^B = e^{A+B}$ . Hence  $e^A$  has inverse  $e^{-A}$  and so  $e^A \in \text{GL}(n, \mathbb{C})$ . Moreover  $\gamma(t) = e^{tA}$  is the one parameter subgroup with

$$\gamma'(t) = e^{tA}A = dL_{\gamma(t)}A = A_{\gamma(t)}.$$

That is,  $e^{tA}$  is the integral curve of the l.i.v.f. determined by  $A \in \mathfrak{gl}(n, \mathbb{C})$ .

The discussion works for  $\mathbb{C}$  being replaced by  $\mathbb{R}$ . Also if we take  $A$  be in a Lie subalgebra, the  $e^A$  lies in the corresponding Lie subgroup. This follows from the previous theorem. But we can also see how it works explicitly: For example,

$$\text{tr } A = 0 \implies \det e^A = e^{\text{tr } A} = 1.$$

Also

$$A^* = -A \implies (e^A)^* e^A = e^{A^*} e^A = e^{-A} e^A = I_n.$$

Now we turn to a general Lie group  $G$ . Let  $X \in \mathfrak{g}$ . Since  $\mathbb{R}X < \mathfrak{g}$  is a one dimensional Lie subalgebra, by the previous theorem its integral curve is a one dimensional subgroup  $H$ . By taking the universal cover  $\mathbb{R} \rightarrow H$  if necessary, we get a one parameter subgroup which

we denote by  $t \mapsto \exp tX$ . We shall give a direct proof of this with stronger conclusions.

Let  $\phi_t$  be the flow generated by  $X$ . That is,  $\phi_t(g)$  is the curve with  $\phi_0(g) = g$  and

$$\frac{d}{dt} \phi_t(g) = X_{\phi_t(g)}.$$

**Theorem 5.7.** *The range of  $t$  is  $\mathbb{R}$  for all  $g \in G$ . Moreover,  $\phi_t : G \rightarrow G$  is a one-parameter group of diffeomorphisms as right translations  $\phi_t = R_{\phi_t(e)}$ .*

PROOF. Consider the curve  $g\phi_t(e)$ . Since  $g\phi_0(e) = g$  and

$$\begin{aligned} \frac{d}{dt} (g\phi_t(e)) &= dL_g(dL_{\phi_t(e)}X_e) \\ &= dL_{g\phi_t(e)}X_e \\ &= X_{g\phi_t(e)}, \end{aligned}$$

we conclude that  $\phi_t(g) = g\phi_t(e) = R_{\phi_t(e)}g$ .

By substituting  $g = \phi_s(e)$  we find  $\phi_s(e)\phi_t(e) = \phi_t(\phi_s(e)) = \phi_{t+s}(e)$ . This shows that for  $g = e$ , the range of  $t$  can be extended to all  $\mathbb{R}$  and  $\phi_t(e)$  is a one parameter subgroup. The theorem is proved by using the relation  $\phi_t(g) = g\phi_t(e)$  again.  $\square$

Now we define the exponential map

$$\exp : \mathfrak{g} \rightarrow G$$

by  $\exp tX = \phi_t(e)$  where  $\phi_t$  is the flow generated by  $X$ . Since

$$(d \exp)_0(X) = \left. \frac{d}{dt} \right|_{t=0} \exp tX = X,$$

we get  $(d \exp)_0 = \text{Id}_{\mathfrak{g}}$  and  $\exp$  is invertible near  $0 \in \mathfrak{g}$ .

**Corollary 5.8.** *If  $H < G$  is a Lie subgroup, then  $H$  is generated by  $\exp \mathfrak{h}$ .*

However,  $\exp$  is not necessarily surjective, hence  $\exp \mathfrak{g}$  is not necessarily a group.

Exercise 5.1. Let  $X \in \mathfrak{sl}(2, \mathbb{R})$  and  $d = \sqrt{|\det X|}$ . Then

- (i)  $e^X = (\cosh d)I_2 + \frac{1}{d}(\sinh d)X$  if  $\det X < 0$ .
- (ii)  $e^X = (\cos d)I_2 + \frac{1}{d}(\sin d)X$  if  $\det X > 0$ .

(iii)  $e^X = I_2 + X$  if  $\det X = 0$ .

Let  $g_a = \begin{pmatrix} a & 0 \\ 0 & a^{-1} \end{pmatrix} \in \mathrm{SL}(2, \mathbb{R})$ . Then  $g_a$  lies in a unique one parameter subgroup if  $a > 0$ .  $g_a$  lies in infinitely many one parameter subgroup if  $a = -1$ . If  $a \neq -1$  and  $a < 0$ , then  $g_a \notin \exp \mathfrak{sl}(2, \mathbb{R})$ .

### 3. Adjoint representation

3.0.1. *Three adjoints  $I_g$ ,  $\mathrm{Ad}_g$  and  $\mathrm{ad}_X$ .* For  $g \in G$ , let  $I_g : G \rightarrow G$  be the inner automorphism  $I_g(h) = L_g R_{g^{-1}}(h) = R_{g^{-1}} L_g(h) = ghg^{-1}$ . Since  $I_g(e) = e$ , we get its differential

$$\mathrm{Ad}_g := dI_g : \mathfrak{g} \rightarrow \mathfrak{g}$$

as a Lie algebra automorphism. From  $dI_{gg'} = d(I_g \circ I_{g'}) = dI_g \circ dI_{g'}$ , we get the *adjoint representations of Lie group  $G$*

$$\mathrm{Ad} : G \rightarrow \mathrm{Aut} \mathfrak{g}$$

and the *adjoint representation of Lie algebra  $\mathfrak{g}$*

$$\mathrm{ad} := d(\mathrm{Ad}) : \mathfrak{g} \rightarrow \mathrm{End} \mathfrak{g}.$$

For  $G$  a matrix group,  $\mathfrak{g}$  is a matrix Lie algebra and it is clear that  $\mathrm{Ad}_g(Y) = gYg^{-1}$ . For  $g(t)$  a curve with  $g(0) = e$  and  $g'(0) = X$  we then compute

$$\mathrm{ad}_X(Y) = (g(t)Yg(t)^{-1})'(0) = XY - YX = [X, Y].$$

This property holds true in general:

**Theorem 5.9.** For  $X, Y \in \mathfrak{g}$ ,

$$\mathrm{ad}_X Y = [X, Y].$$

PROOF. Let  $f \in C^\infty(G)$  and  $\phi, \psi$  be the flows generated by  $X, Y$ . Then

$$\begin{aligned}
(\text{ad}_X Y)f &= \left. \frac{d}{dt} \right|_{t=0} (\text{Ad}_{\exp tX} Y)f \\
&= \left. \frac{d}{dt} \right|_{t=0} \left. \frac{d}{ds} \right|_{s=0} f(I_{\exp tX}(\exp sY)) \\
&= \left. \frac{d}{ds} \right|_{s=0} \left. \frac{d}{dt} \right|_{t=0} f(\exp tX \cdot \exp sY \cdot \exp(-tX)) \\
&= \left. \frac{d}{ds} \right|_{s=0} \left. \frac{d}{dt} \right|_{t=0} (f \circ \phi_{-t} \circ \psi_s \circ \phi_t)(e)(0,0) \\
&= \left. \frac{d}{ds} \right|_{s=0} df(-X_{\psi_s(e)} + d(f \circ \psi_s)X_e) \Big|_{s=0} \\
&= -\left. \frac{d}{ds} \right|_{s=0} X_{\psi_s(e)}f + X_e \left( \left. \frac{d}{ds} \right|_{s=0} f \circ \psi_s \right) \\
&= -\left. \frac{d}{ds} \right|_{s=0} (Xf) \circ \psi_s(e) + X_e Yf \\
&= -Y_e Xf + X_e Yf = [X, Y]_e f.
\end{aligned}$$

□

*Remark 5.10.* Readers with experience in differential geometry may observe that the proof is identical with the one for *Lie derivative*  $L_X Y = [X, Y]$ . Indeed,

$$\begin{aligned}
\text{ad}_X Y &= \left. \frac{d}{dt} \right|_{t=0} (\text{Ad}_{\exp tX} Y) \\
&= \left. \frac{d}{dt} \right|_{t=0} dR_{\exp(-tX)} dL_{\exp tX} Y \\
&= \left. \frac{d}{dt} \right|_{t=0} d\phi_{-t} Y = L_X Y
\end{aligned}$$

by the left invariance of  $Y$  and the definition of  $L_X Y$ .

It is harder to get explicit formula for  $\text{Ad}_g$  in the abstract setting. We have such a formula in two special cases, both are based on the

commutative diagram

$$\begin{array}{ccc} G & \xrightarrow{\rho} & H \\ \exp \uparrow & & \uparrow \exp \\ \mathfrak{g} & \xrightarrow{d\rho} & \mathfrak{h} \end{array}$$

To see this, simply notice that  $\rho \exp tX$  and  $\exp d\rho(tX)$  are both one parameter subgroups in  $H$  with the same tangent vector  $d\rho(X)$  at  $t = 0$ .

By applying the diagram to  $\rho = I_g$ , we get:

$$\exp(\text{Ad}_g X) = g(\exp X)g^{-1}.$$

(For matrix groups this is obvious).

By applying the diagram to  $H = \text{Aut } \mathfrak{g}$ ,  $\rho = \text{Ad}$  and  $g = \exp X$ , we get

$$\text{Ad}_{\exp X} Y = e^{\text{ad}_X} Y.$$

With these preparation, we give some applications of the adjoint representation:

3.0.2. *Center of a Lie group.* A Lie algebra is called *abelian* if  $[X, Y] = 0$  for all  $X, Y$ . We denote  $Z(G)$  by the center of  $G$ .

**Proposition 5.11.** *Let  $G$  be a connected Lie group, then  $Z(G) = \text{Ker Ad}$ . In particular,  $G$  is abelian if and only if  $\mathfrak{g}$  is abelian.*

PROOF. If  $g$  is in the center, then for all  $t \in \mathbb{R}$  and  $X \in \mathfrak{g}$ ,

$$\exp tX = g(\exp tX)g^{-1} = \exp \text{Ad}_g tX = \exp t\text{Ad}_g X.$$

Hence  $X = \text{Ad}_g X$  for all  $X$ . That is,  $\text{Ad}_g = \text{id}_{\mathfrak{g}}$ .

Conversely,  $g \in \text{Ker Ad}$  implies that  $\exp X = g(\exp X)g^{-1}$ . Hence  $g$  commutes with all elements in a neighborhood of  $e$  in  $G$ . By the connectedness of  $G$  we conclude that  $g$  commutes with every elements in  $G$ .  $\square$

**Corollary 5.12.**  $[X, Y] = 0$  implies that  $\exp X \cdot \exp Y = \exp(X + Y)$ .

PROOF. Let  $\mathfrak{h}$  be the two dimensional abelian Lie subalgebra of  $\mathfrak{g}$  spanned by  $X$  and  $Y$ . Consider the Lie group  $H$  generated by  $\exp \mathfrak{h}$ . The proposition show that  $H$  is abelian and so the curve  $\gamma(t) = \exp tX \cdot \exp tY$  is an one parameter subgroup. Since  $\gamma'(0) = X + Y$ , we conclude that  $\exp tX \cdot \exp tY = \exp t(X + Y)$ .  $\square$

**Corollary 5.13.** *If  $G$  is a connected Lie groups with trivial center, then*

$$\text{Ad} : G \hookrightarrow \text{Aut } \mathfrak{g} = \text{GL}(\mathfrak{g})$$

*is a faithful representation. In particular,  $G$  is a matrix subgroup.*

3.0.3. *Normal Lie subgroups.* A subspace  $\mathfrak{h}$  of  $\mathfrak{g}$  is a *Lie ideal* if  $[\mathfrak{h}, \mathfrak{g}] \subset \mathfrak{h}$ . In this case we denote by  $\mathfrak{h} \triangleleft \mathfrak{g}$ . It is clear that  $\mathfrak{h}$  is at least a subalgebra.

**Proposition 5.14.** *Let  $H < G$  be a connected Lie subgroup of a connected Lie group. Then*

$$H \triangleleft G \iff \mathfrak{h} := \text{Lie } H \triangleleft \mathfrak{g}.$$

PROOF. Let  $g = \exp X$  with  $X \in \mathfrak{g}$  and  $Y \in \mathfrak{h}$ ,  
If  $\mathfrak{h}$  is a Lie ideal of  $\mathfrak{g}$ , then

$$\begin{aligned} g(\exp Y)g^{-1} &= \exp \text{Ad}_g Y \\ &= \exp(e^{\text{ad}_X} Y) \\ &= \exp \left[ \left( I + \text{ad}_X + \frac{1}{2!} \text{ad}_X^2 + \cdots \right) Y \right] \\ &\in \exp \mathfrak{h} \subset H. \end{aligned}$$

Since  $H$  is generated by  $\mathfrak{h}$ , this proves that  $H$  is normal.

Conversely, if  $H$  is normal, then the above computation shows that

$$\gamma(t) := \exp(e^{\text{ad}_{tX}} Y) \in H.$$

Hence  $\mathfrak{h} \ni \gamma'(0) = \text{ad}_X Y = [X, Y]$  and  $\mathfrak{h}$  is a Lie ideal.  $\square$

### 3.1. Fundamental correspondences.

3.1.1. *Equivalence of categories.*

**Theorem 5.15.** *Let  $G$  and  $H$  be connected Lie groups with Lie algebras  $\mathfrak{g}$  and  $\mathfrak{h}$ . If  $G$  is simply connected, then there is a one to one correspondence between Lie group homomorphisms  $G \rightarrow H$  and Lie algebra homomorphisms  $\mathfrak{g} \rightarrow \mathfrak{h}$ .*

IDEA OF PROOF. This is proved by exploring the Frobenius theorem on the product group  $G \times H$  in a manner similar to the subgroup case.

Indeed a morphism  $\rho : G \rightarrow H$  is equivalent to a subgroup  $\Gamma \subset G \times H$  (graph of  $\rho$ ) such that  $\pi_G : G \times H \rightarrow G$  maps  $\Gamma$  onto  $G$  bijectively.

The given map  $\mathfrak{g} \rightarrow \mathfrak{h}$  gives rise to a Lie subalgebra of  $\mathfrak{g} \oplus \mathfrak{h}$  and by the subgroup case we have proved, the corresponding Lie subgroup exists. The remaining problem is to prove the bijectivity of  $\Gamma$  onto  $G$  when  $G$  is simply connected.  $\square$

Exercise 5.2. Complete the remaining problem of Theorem 5.15.

3.1.2. *Ado's imbedding theorem.*

**Theorem 5.16.** *Every (finite dimensional) Lie algebra can be regarded as a Lie subalgebra of some  $\mathfrak{gl}(n, \mathbb{R})$ . Hence every simply connected Lie group is a subgroup of  $GL(n, \mathbb{R})$ . Moreover, every compact Lie group can be imbedded as a closed subgroup of some  $O(n, \mathbb{R})$ .*

For a proof, see [Bou98], chapter I.

## 4. Differential geometry on Lie groups

**4.1. Levi-Civita connection.** Any inner product  $\langle \cdot, \cdot \rangle_e$  on  $T_e G = \mathfrak{g}$  uniquely determines a left invariant (Riemannian) metric on  $G$  by left translations. Namely for  $v, w \in T_g G$ ,

$$\langle v, w \rangle_g := \langle dL_{g^{-1}}v, dL_{g^{-1}}w \rangle_e.$$

A *bi-invariant metric* is a metric which is both left and right invariant. We will shortly determine all Lie groups which admit bi-invariant metrics.

**Proposition 5.17.** (i) For any left invariant metric  $\langle \cdot, \cdot \rangle$  on  $G$ , and  $X, Y \in \mathfrak{g}$ , the Levi-Civita connection is given by

$$\nabla_X Y = \frac{1}{2}([X, Y] - \text{ad}_X^* Y - \text{ad}_Y^* X).$$

(ii) If  $\langle \cdot, \cdot \rangle$  is bi-invariant, then  $\langle \text{ad}_Z X, Y \rangle + \langle X, \text{ad}_Z Y \rangle = 0$  for  $X, Y, Z \in \mathfrak{g}$ . In particular,  $\nabla_X Y = \frac{1}{2}[X, Y]$ .

Moreover,  $R(X, Y)Z = -\frac{1}{4}[[X, Y], Z]$  and  $R(X, Y, X, Y) = \frac{1}{4}|[X, Y]|^2 \geq 0$ .

PROOF. Recall that the Levi-Civita connection is the unique first order differential operator  $\nabla_X : C^\infty(TM) \rightarrow C^\infty(TM)$  with  $\nabla_X Y - \nabla_Y X = [X, Y]$  (torsion free) and  $X \langle Y, Z \rangle = \langle \nabla_X Y, Z \rangle + \langle X, \nabla_Y Z \rangle$  (metrical). For any three vector fields  $X, Y, Z \in C^\infty(TM)$ , a cyclic computation leads to

$$\begin{aligned} 2 \langle \nabla_X Y, Z \rangle &= X \langle Y, Z \rangle + Y \langle Z, X \rangle - Z \langle X, Y \rangle \\ &\quad - \langle Z, [Y, X] \rangle - \langle Y, [X, Z] \rangle - \langle X, [Y, Z] \rangle. \end{aligned}$$

If  $X, Y, Z \in \mathfrak{g}$ , all the inner products are constant in  $G$ . This leads to (i).

For (ii), the bi-invariance implies in particular that for  $X, Y, Z \in \mathfrak{g}$ ,

$$\langle \text{Ad}_{\exp tZ} X, \text{Ad}_{\exp tZ} Y \rangle = \langle X, Y \rangle.$$

Take differentiation at  $t = 0$  leads to  $\langle \text{ad}_Z X, Y \rangle + \langle X, \text{ad}_Z Y \rangle = 0$ . In the above formula, only the term  $-\langle Z, [Y, X] \rangle$  is left, hence  $\nabla_X Y = \frac{1}{2}[X, Y]$ .

By the definition of the Riemann curvature operator,

$$\begin{aligned} R(X, Y)Z &= \nabla_X \nabla_Y Z - \nabla_Y \nabla_X Z - \nabla_{[X, Y]} Z \\ &= \frac{1}{4}[X, [Y, Z]] - \frac{1}{4}[Y, [X, Z]] - \frac{1}{2}[[X, Y], Z] = -\frac{1}{4}[[X, Y], Z], \end{aligned}$$

where the Jacobi identity is used to rewrite the second term. Finally,

$$\begin{aligned} R(X, Y, Z, W) &:= \langle R(X, Y)W, Z \rangle = -\frac{1}{4}\langle [[X, Y], W], Z \rangle \\ &= \frac{1}{4}\langle [W, [X, Y]], Z \rangle = -\frac{1}{4}\langle [X, Y], [W, Z] \rangle = \frac{1}{4}\langle [X, Y], [Z, W] \rangle, \end{aligned}$$

where the  $\text{ad}_W$  invariance of  $\langle \cdot, \cdot \rangle$  is used.  $\square$

It is also straightforward to deduce from (i):

**Corollary 5.18.** *For left invariant metrics,*

$$R(X, Y, X, Y) = |\text{ad}_X^* Y + \text{ad}_Y^* X|^2 - \langle \text{ad}_X^* X, \text{ad}_Y^* Y \rangle \\ - \frac{3}{4} |[X, Y]|^2 - \frac{1}{2} \langle [[X, Y], Y], X \rangle - \frac{1}{2} \langle [[Y, X], X], Y \rangle.$$

Exercise 5.3. Show the Corollary 5.18 by Proposition 5.17(i).

4.1.1. *Lie groups with bi-invariant metrics.*

**Theorem 5.19.** *A connected Lie group  $G$  with a bi-invariant metric is complete, the exponential map is surjective and its one parameter subgroups coincides with geodesics through  $e \in G$ .*

PROOF. By Proposition 5.17, for any l.i.v.f.  $X$ ,  $\nabla_X X = \frac{1}{2}[X, X] = 0$ . Hence one parameter subgroups are the same as geodesics through  $e \in G$ . This implies that geodesics through  $e$  can be extended infinitely, so  $G$  is complete by the *Hopf-Rinow theorem*. In particular, the two exponential maps  $\exp$  and  $\exp_e$  (in Riemannian geometry) coincide and are surjective.  $\square$

**Corollary 5.20.** *If  $G$  has a bi-invariant metric, then any Lie group immersion  $H \rightarrow G$  is totally geodesic.*

**Corollary 5.21.** *There is no bi-invariant metrics on  $SL(2, \mathbb{R})$ .*

Exercise 5.4. When  $G$  is compact, the bi-invariant metrics always exist. For example, for  $G \subset O(n, \mathbb{R}) \subset S^{n^2-1}(\sqrt{n})$ , the Euclidean metric  $\langle A, B \rangle = \text{tr } AB^T$  is bi-invariant.

*Example 5.22.* The Euclidean metric on  $\mathbb{R}^n$  is clearly bi-invariant.

These examples turns out to be basically all the examples:

**Theorem 5.23.** *A simply connected Lie group  $G$  which admits a bi-invariant metric takes the form  $G = \mathbb{R}^n \times H$  for  $H$  compact and  $n \in \mathbb{Z}_{\geq 0}$ .*

PROOF. Let  $\mathfrak{z} \triangleleft \mathfrak{g}$  be the center, which is clearly an ideal. Then  $\mathfrak{h} := \mathfrak{z}^\perp < \mathfrak{g}$  is also an ideal: For  $a \in \mathfrak{z}^\perp$ ,  $b \in \mathfrak{g}$ , and  $c \in \mathfrak{z}$ ,

$$\langle [b, a], c \rangle = -\langle a, [b, c] \rangle = 0 \implies [b, a] \in \mathfrak{z}^\perp.$$

(This holds true for any ideal  $\mathfrak{z}$ .) Since  $G$  is simply connected, the decomposition  $\mathfrak{g} = \mathfrak{z} \oplus \mathfrak{h}$  leads to  $G = Z \times H$  with  $\text{Lie } Z = \mathfrak{z}$  and  $\text{Lie } H = \mathfrak{h}$ .

The center  $Z \triangleleft G$  is simply connected and abelian, hence  $Z \cong \mathbb{R}^n$  for some  $n$ . Let  $e_1, \dots, e_h \in \mathfrak{h}$  be an orthonormal basis. For any  $X \in \mathfrak{h}$ , the group  $H$  with the induced bi-invariant metric has Ricci curvature

$$\text{Ric}(X, X) = \frac{1}{4} \sum_{i=1}^h |[X, e_i]|^2 > 0.$$

By translation, this show that the Ricci curvature has a positive lower bound on  $H$ . Hence by the theorem of Bonnet-Meyer  $H$  must be compact.  $\square$

## 5. Homogeneous spaces

**5.1. General homogeneous spaces.** Let  $H < G$  be a *closed* Lie subgroup. Then the coset space  $G/H = \{gH \mid g \in G\}$  has a natural  $C^\infty$  manifold structure such that the projection map  $\pi : G \rightarrow G/H$  is  $C^\infty$ .  $G$  acts transitively on  $G/H$  by left translations. Also the stabilizer (also called isotropy subgroup)  $G_{[gH]} \cong H$  at each point  $[gH]$ . Conversely, given a transitive  $C^\infty$  action  $G \times M \rightarrow M$  on a  $C^\infty$  manifold  $M$ . Let  $H = G_{m_0}$  for some  $m_0 \in M$ . Then  $G/H \cong M$ . A space of the form  $G/H$  is called a *homogeneous space*. If  $H \triangleleft G$  then  $G/H$  is a also Lie group.

*Example 5.24.* Here are some standard examples:

- (i)  $O(n) \times S^{n-1} \rightarrow S^{n-1}$  is transitive and  $O(n)_{e_n} \cong O(n-1)$ .  
So  $S^{n-1} \cong O(n)/O(n-1)$ .
- (ii)  $U(n) \times S^{2n-1} \rightarrow S^{2n-1}$  is transitive and  $U(n)_{e_n} \cong U(n-1)$ .  
So  $S^{2n-1} \cong U(n)/U(n-1)$ . Similarly,  $S^{2n-1} \cong SU(n)/SU(n-1)$ . In particular,  $S^1 \cong U(1)$  and  $S^3 \cong SU(2)$  are Lie groups.

(iii) *Real projective space:*  $\mathbb{R}P^{n-1} = S^{n-1}/\{\pm 1\}$ . So

$$\mathbb{R}P^{n-1} \cong O(n)/O(n-1) \times \{\pm 1\} \cong SO(n)/O(n-1).$$

(iv) *Complex projective space:*  $\mathbb{C}P^{n-1} = (\mathbb{C}^n \setminus \{0\})/\mathbb{C}^\times$ . So

$$\mathbb{C}P^{n-1} \cong S^{2n-1}/S^1 \cong U(n)/U(n-1) \times U(1) \cong SU(n)/U(n-1).$$

(v) *Stiefel manifold of  $k$ -frames:*  $GL(n, \mathbb{R}) \times \tilde{V}_{n,k} \rightarrow \tilde{V}_{n,k}$  is transitive where  $\tilde{V}_{n,k}$  is the set of all  $k$  frames in  $\mathbb{R}^n$ . For  $S = \{e_1, \dots, e_k\}$ ,

$$G_S = \left\{ \begin{pmatrix} I & A \\ 0 & B \end{pmatrix} \in GL(n, \mathbb{R}) \right\}.$$

So  $\tilde{V}_{n,k} \cong GL(n, \mathbb{R})/G_S$ . For  $V_{n,k}$  the set of all orthonormal  $k$ -frames,

$$V_{n,k} \cong O(n)/O(n-k) \cong SO(n)/SO(n-k).$$

For complex Stiefel manifold  $V_{n,k}^{\mathbb{C}}$  of  $k$ -frames in  $\mathbb{C}^n$ ,

$$V_{n,k}^{\mathbb{C}} \cong U(n)/U(n-k) \cong SU(n)/SU(n-k).$$

(vi) *Grassmannian manifolds:* Let  $G_{n,k}$  be the set of all  $k$ -dimensional subspaces in  $\mathbb{R}^n$ , then  $G_{n,k} \cong V_{n,k}/O(k) \cong O(n)/O(n-k) \times O(k)$  and  $\dim G_{n,k} = k(n-k)$ . Similarly for the complex Grassmannian

$$G_{n,k}^{\mathbb{C}} \cong V_{n,k}^{\mathbb{C}}/U(k) \cong U(n)/U(n-k) \times U(k).$$

It is a complex manifold with  $\dim_{\mathbb{C}} G_{n,k}^{\mathbb{C}} = k(n-k)$ . Grassmannians generalize projective spaces. They are very important for the study of vector bundles.

(vii) *Poincaré's upper half plane:* Let  $\mathbf{H} = \{z \in \mathbb{C} \mid \text{Im } z > 0\}$ .  $SL(2, \mathbb{R})$  acts on  $\mathbf{H}$  transitively by

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} z = \frac{az + b}{cz + d},$$

The stabilizer at  $i$  is  $SO(2, \mathbb{R})$ , so  $\mathbf{H} \cong SL(2, \mathbb{R})/SO(2, \mathbb{R})$ .  $\mathbf{H}$  is non-compact and analytically isomorphic to the unit disk,

an example of the *bounded symmetric domains*. The double coset space

$$\Gamma \backslash \mathbb{H} \cong \Gamma \backslash \mathrm{SL}(2, \mathbb{R}) / \mathrm{SO}(2, \mathbb{R})$$

with  $\Gamma < \mathrm{SL}(2, \mathbb{R})$  contains all Riemann surfaces of genus  $g \geq 2$  (uniformization theorem). If  $\Gamma < \mathrm{SL}(2, \mathbb{Z})$  is an *arithmetic subgroup*, then it represents certain moduli spaces of elliptic curves.

**5.2. Riemannian homogeneous spaces.** For further study, we need notions and results from differential geometry. Let  $(M, ds^2)$  be a Riemannian manifold. That is,  $ds^2$  is a family of inner products  $\langle \cdot, \cdot \rangle_x$  on  $T_x M$  varying smoothly in  $x \in M$ . An isometry  $g : M \rightarrow M$  is a  $C^\infty$  map such that  $g^* ds^2 = ds^2$ . Equivalently,  $\langle dg(v), dg(w) \rangle_{g(x)} = \langle v, w \rangle_x$  for all  $v, w \in T_x M$ . It is known that the *full isometry group*

$$G \equiv \mathrm{O}(M, ds^2) := \{ g \in C^\infty(M, M) \mid g^* ds^2 = ds^2 \}$$

is a Lie group. For each  $x \in M$ ,  $G_x$  induces a linear representation  $\rho : G_x \rightarrow \mathrm{O}(T_x M)$ . Since an isometry maps geodesics to geodesics,  $\rho(h)$  determines  $h$  through the *geodesic exponential map*  $\exp_x : U \subset T_x M \rightarrow M$  and thus  $\rho$  is injective. In particular, each isotropy group  $G_x$  is *compact*.

A connected Riemannian manifold  $(M, ds^2)$  is *Riemannian homogeneous* if for any two points  $x, y \in M$ , there exists an isometry  $g$  such that  $g(x) = y$ . In this case, we have a transitive action  $G \times M \rightarrow M$  and  $M \cong G/G_x$ . In particular,  $M$  is homogeneous with compact isotropy.

**Proposition 5.25.** *A Riemannian homogeneous space is complete.*

Exercise 5.5. Prove the Proposition 5.25

A natural question arises: *When is a general homogeneous space  $M \cong G/H$  Riemannian homogeneous? That is we are searching for metrics on  $G/H$  such that  $G$  acts on it as isometries.* Such a metric is called a  *$G$ -invariant metric*, which may not always exist. Also there could

be different ways to represent  $M$  as a group quotient. Thus we need to clarify these issues first.

In considering the homogeneous structure we may assume that  $G$  acts on  $G/H$  *effectively* in the sense that any  $g \in G \setminus \{e\}$  acts non-trivially. Indeed,

$$g[kH] = [kH] \iff k^{-1}gk \in H \iff g \in kHk^{-1}.$$

Hence  $g$  acts trivially if and only if  $g \in \bigcap_{k \in G} kHk^{-1} =: H_0$ . It is clear that  $H_0$  is the largest subgroup of  $H$  with  $H_0 \triangleleft G$ . Thus

$$G/H \cong \frac{G/H_0}{H/H_0} =: G_1/H_1$$

has an effective  $G_1$  action.

Denote  $G \rightarrow G/H$  by  $g \mapsto \bar{g} := gH$ . There is a natural identification  $T_{\bar{g}}G/H = \mathfrak{g}/\mathfrak{h}$ . Since  $\text{Ad}_H$  and  $\text{ad}_{\mathfrak{h}}$  act on  $\mathfrak{g}$  and leave the subspace  $\mathfrak{h}$  invariant, we get the natural adjoint actions on  $\mathfrak{g}/\mathfrak{h}$  induced from  $\pi : \mathfrak{g} \rightarrow \mathfrak{g}/\mathfrak{h}$ .

**Lemma 5.26.** *For  $h \in H$ ,  $dL_h \equiv \text{Ad}_h$  modulo  $\mathfrak{h}$  on  $T_{\bar{g}}G/H$ .*

PROOF. Differentiate the equation  $h \exp(tX)H = h \exp(tX)h^{-1}H$ . □

**Proposition 5.27.** *A  $G$ -invariant metric on the homogeneous space  $M = G/H$  is equivalent to an inner product  $\langle \cdot, \cdot \rangle$  on  $\mathfrak{g}/\mathfrak{h} \cong T_{\bar{g}}M$  which is  $\text{Ad}_H$ -invariant. If  $H$  is connected, this is equivalent to “ $\text{ad}_{\mathfrak{h}}$ -invariance”: Namely, for  $A \in \mathfrak{h}$ ,  $X, Y \in \mathfrak{g}/\mathfrak{h}$ ,*

$$\langle \text{ad}_A X, Y \rangle + \langle X, \text{ad}_A Y \rangle = 0.$$

PROOF. The necessity of  $\text{Ad}_H$  invariance on  $\langle \cdot, \cdot \rangle$  follows from the above lemma. To see its sufficiency, we simply define for  $v, w \in T_{\bar{g}}G/H$

$$\langle v, w \rangle_{\bar{g}} := \langle dL_{g^{-1}}v, dL_{g^{-1}}w \rangle.$$

Then  $\langle v, w \rangle_{\bar{gh}} = \langle dL_{h^{-1}}dL_{g^{-1}}v, dL_{h^{-1}}dL_{g^{-1}}w \rangle = \langle dL_{g^{-1}}v, dL_{g^{-1}}w \rangle = \langle v, w \rangle_{\bar{g}}$ . Hence the left invariant metric on  $G/H$  is well defined.

The remaining statement on  $\text{ad}_{\mathfrak{h}}$  is left as an exercise. □

Exercise 5.6. Show the remaining statement on  $\text{ad}_{\mathfrak{h}}$  in Proposition 5.27.s

**Theorem 5.28.** *Assume that  $G$  acts on  $M = G/H$  effectively. Then  $M$  admits a  $G$  invariant metric if and only if  $\text{Ad}_H \subset \text{GL}(\mathfrak{g})$  has compact closure.*

*Moreover,  $G$  invariant metrics on  $G/H$  are precisely left invariant metrics on  $G$  which is also  $H$  bi-invariant.*

PROOF. ( $\Rightarrow$ ) Write  $G/H = G^*/H^*$  with  $G^* = O(M, ds^2)$ ,  $H^* = G_{\bar{e}}^*$ . Then  $G \rightarrow G^*$ , and hence  $\mathfrak{g} \rightarrow \mathfrak{g}^*$ , is injective. We know that  $\text{im Ad}_{H^*} \subset \text{GL}(\mathfrak{g}^*)$  is compact since  $H^*$  is. To realize it inside the orthogonal group we simply pick an arbitrary inner product on  $\mathfrak{g}^*$  and average it by this compact image so that the resulting inner product  $\langle \cdot, \cdot \rangle^*$  on  $\mathfrak{g}^*$  is  $\text{Ad}_{H^*}$ -invariant. (This is the same procedure to construct bi-invariant metrics on a compact Lie group.) Let  $\langle \cdot, \cdot \rangle = \langle \cdot, \cdot \rangle^*|_{\mathfrak{g}}$ . Then it is clear that the image  $\text{Ad}_H \subset O(\mathfrak{g}, \langle \cdot, \cdot \rangle)$ .

( $\Leftarrow$ ) If  $\text{Ad}_H$  has compact closure  $K \subset \text{GL}(\mathfrak{g})$ , starting with any inner product on  $\mathfrak{g}$  the averaging procedure over  $K$  again produces an  $\text{Ad}_H$ -invariant inner product  $\langle \cdot, \cdot \rangle$  on  $\mathfrak{g}$ . Let  $\mathfrak{p} := \mathfrak{h}^\perp$  which is isomorphic onto  $\mathfrak{g}/\mathfrak{h}$  under  $\pi$ . It is clear that  $\text{Ad}_H(\mathfrak{p}) \subset \mathfrak{p}$  since  $\langle \text{Ad}_H(\mathfrak{h}^\perp), \mathfrak{h} \rangle = \langle \mathfrak{h}^\perp, \text{Ad}_H \mathfrak{h} \rangle = 0$ . Thus  $\langle \cdot, \cdot \rangle|_{\mathfrak{p}}$  defines the desired  $\text{Ad}_H$ -invariant inner product on  $\mathfrak{g}/\mathfrak{h}$ .  $\square$

## 6. Symmetric spaces

**6.1. Local and global symmetric spaces.** A connected Riemannian manifold  $(M, ds^2)$  is a *symmetric space* if for all  $x \in M$  there is an isometry  $s_x : M \rightarrow M$  such that  $x$  is an isolated fixed point of  $s_x$  and  $ds_x : T_x M \rightarrow T_x M$  sends  $v \rightarrow -v$ . It is *locally symmetric* if  $s_x$  exists only locally.

To construct local isometry, consider the map  $\hat{s}_x$  which reverses geodesics  $\gamma$  with  $\gamma(0) = x$ :

$$\hat{s}_x(\gamma(t)) = \gamma(-t).$$

This coincides with  $s_x$  when  $(M, ds^2)$  is locally symmetric, because local isometry maps geodesics to geodesics and geodesics are determined by initial conditions  $\gamma(0)$  and  $\gamma'(0)$ .

**Proposition 5.29.** *Symmetric spaces are Riemannian homogeneous.*

PROOF. Let  $G = O(M, ds^2)$ . In particular it contains the subgroup generated by the symmetries  $s_x$ ,  $x \in M$ . We only need to show that  $G$  acts on  $M$  transitively. For any  $x, y$  which are joined by a geodesic  $\gamma$  with  $\gamma(0) = x$ ,  $\gamma(T) = y$ , let  $s_z$  be the isometry with  $z = \gamma(T/2)$ . Clearly  $s_z(x) = y$ .

In general,  $x$  and  $y$  can be joined by a sequence of broken geodesics  $\gamma_i$ . Then we take the isometry to be the composite of those  $s_{z_i}$ 's.  $\square$

**Proposition 5.30.** *In terms of curvature,  $(M, ds^2)$  is locally symmetric if and only if that  $\nabla R = 0$ , that is the curvature tensor is parallel.*

PROOF. Indeed, " $\Rightarrow$ " is easy: For any tensor  $T$  of even degree,  $\nabla T$  is of odd degree. Since  $s_x$  is a local isometry, we get

$$\nabla T = s_x^*(\nabla T) = -\nabla T,$$

hence  $\nabla T = 0$ . " $\Leftarrow$ " is a consequence of the *Cartan Theorem* (cf. theorem 3.47).  $\square$

**Corollary 5.31.** *Simply connected locally symmetric spaces are symmetric.*

This follows from  $\nabla R = 0$  and the *Cartan-Ambrose-Hicks Theorem* (cf. theorem 3.48).

**Theorem 5.32.** *A connected Lie group  $G$  with a bi-invariant metric, e.g., for  $G$  compact times Euclidean, is a  $G \times G$  symmetric space.*

PROOF. Let  $G \times G$  act on  $G$  by  $(g, h)\alpha = g\alpha h^{-1}$ . Then  $G \cong G \times G/G$ , with the stabilizer at  $e \in G$  being the diagonal group isomorphic to  $G$ . We claim that the map

$$s_g : h \mapsto gh^{-1}g$$

defined the symmetry at  $g$ .

We check this for  $s_e : h \mapsto h^{-1}$  first. Indeed, near  $e \in G$  the map  $s_e$  is given by  $\exp X \mapsto \exp(-X)$ . From this we see that  $s_e$  reverses one parameter subgroups and  $ds_e = -\text{Id}_{T_e G}$ .

To show that  $s_e$  is an isometry, consider any point  $g \in G$  and a vector  $v = dL_g X \in T_g G$  with  $X \in T_e G$ . Then  $v = \gamma'(0)$  where  $\gamma(t) = g \exp tX$ . Then  $s_e \gamma(t) = \exp(-tX)g^{-1} = R_{g^{-1}} \exp(-tX)$ . Hence

$$(ds_e)_g v = (ds_e)_g \gamma'(0) = -dR_{g^{-1}} X.$$

With  $w = dL_g Y$ , we compute by using bi-invariance of the metric that

$$\langle (ds_e)_g v, (ds_e)_g w \rangle = \langle -dR_{g^{-1}} X, -dR_{g^{-1}} Y \rangle = \langle X, Y \rangle = \langle v, w \rangle.$$

For general  $g \in G$ ,  $s_g = L_g R_g s_e$  is the composite of three isometries, hence  $s_g$  is also an isometry.

It remains to check that  $(ds_g)_g = -\text{Id}_{T_g G}$ . As before let  $v = dL_g X \in T_g G$ .  $\gamma(t) = g \exp tX$ . Then  $s_g \gamma(t) = g \exp(-tX)g^{-1}g = g \exp(-tX)$ . Hence

$$(ds_g)_g v = (ds_g)_g \gamma'(0) = -dL_g X = -v.$$

This completes the proof that  $G$  is symmetric. □

**6.2. Symmetric spaces via Lie algebras.** When is a homogeneous space  $M = G/H$  symmetric? This will be reduced to a problem on Lie algebras. Recall that  $\sigma \in \text{Aut } G$  is an *involution* if  $\sigma \neq \text{Id}_G$  and  $\sigma^2 = \text{Id}_G$ .

**Theorem 5.33.** (Basic structure theorem for symmetric spaces).

(a) Let  $M = G/H$  be a symmetric space with  $G = \text{O}(M, ds^2)$ , then

$$\sigma : G \rightarrow G; \quad g \mapsto \sigma(g) = s_x g s_x$$

is an involution of  $G$  and  $K = G^\sigma$  is a closed subgroup containing  $H$  such that  $K^\circ = H^\circ$ .  $H$  contains no non-trivial normal subgroup of  $G$ .

(b) Conversely, let  $G$  be a Lie group with an involution  $\sigma$ . Let  $K = G^\sigma$  and fix a  $G$ -invariant metric  $\langle \cdot, \cdot \rangle$  on  $M = G/K$ . Let  $\bar{\sigma}$  be the

diffeomorphism on  $M$  induced from  $\sigma$ . If  $\langle , \rangle$  is  $\bar{\sigma}$ -invariant then  $M$  is symmetric.

(c) A simply connected Lie group  $G$  with an involution  $\sigma$  is equivalent to a  $\mathbb{Z}_2$  graded decomposition  $\mathfrak{g} = \mathfrak{h} \oplus \mathfrak{p}$  in the sense that

$$[\mathfrak{h}, \mathfrak{h}] \subset \mathfrak{h}, \quad [\mathfrak{h}, \mathfrak{p}] \subset \mathfrak{p}, \quad [\mathfrak{p}, \mathfrak{p}] \subset \mathfrak{h}.$$

Given  $\sigma$ , the subalgebra  $\mathfrak{h}$  and the subspace  $\mathfrak{p}$  are the  $\pm 1$  eigenspace of  $d\sigma : \mathfrak{g} \rightarrow \mathfrak{g}$  respectively.

PROOF. For (a),  $\sigma$  is an involution since

$$\sigma(gh) = s_x g h s_x = (s_x g s_x)(s_x h s_x) = \sigma(g)\sigma(h)$$

and  $\sigma^2(g) = \sigma(s_x g s_x) = s_x (s_x g s_x) s_x = g$ . One can check that  $K \cap H$  is open and closed in  $K$ , hence  $F^\circ = H^\circ$ .  $H$  contains no non-trivial normal subgroup of  $G$  since otherwise the action of  $G$  on  $M$  is not effective.

For (b),  $\langle , \rangle$  is  $\bar{\sigma}$ -invariant means that  $\bar{\sigma}$  is an isometry on  $M$ . Since  $(d\sigma_e)^2 = \text{id}_{\mathfrak{g}}$ , we have the  $\pm 1$  eigenspace decomposition  $\mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$  and  $T_{\bar{e}}M \cong \mathfrak{p}$ . So  $d\bar{\sigma}_{\bar{e}} = -\text{id}_{T_{\bar{e}}M}$ . Thus  $s_{\bar{e}} := \bar{\sigma}$  is the symmetry at  $\bar{e}$ . We noticed that a Riemannian homogeneous space which is symmetric at one point is then symmetric everywhere. Indeed, the symmetry at  $\bar{g}$  is given by

$$s_{\bar{g}} := L_{\bar{g}} \circ \bar{\sigma} \circ L_{\bar{g}^{-1}} = L_{\bar{g}} \circ \sigma \circ L_{\bar{g}^{-1}} \pmod{K}.$$

It is clear that  $s_{\bar{g}}$  is well defined,  $s_{\bar{g}}(\bar{g}) = \bar{g}$ ,  $s_{\bar{g}}^2 = \text{id}_M$  and  $s_{\bar{g}}$  is an isometry. The property  $(ds_{\bar{g}})_{\bar{g}} = -\text{id}$  can be easily checked as in the Lie group case.

For (c), let  $v \in \mathfrak{h}$  and  $w \in \mathfrak{p}$ . Then

$$d\sigma[v, w] = [d\sigma(v), d\sigma(w)] = [+v, -w] = -[v, w].$$

Hence  $[\mathfrak{h}, \mathfrak{p}] \subset \mathfrak{p}$ . The proofs of the other two inclusions are similar.

Conversely, given  $\mathbb{Z}_2$  graded decomposition  $\mathfrak{g} = \mathfrak{h} \oplus \mathfrak{p}$ , define a Lie algebra morphism  $T : \mathfrak{g} \rightarrow \mathfrak{g}$  with  $T|_{\mathfrak{h}} = \text{id}$  and  $T|_{\mathfrak{p}} = -\text{id}$ . Since  $G$  is simply connected, this gives rise to a Lie group morphism

$\sigma : G \rightarrow G$ . Since  $d(\sigma^2) = d\sigma \circ d\sigma = T \circ T = \text{id}_{\mathfrak{g}}$ , we conclude that  $\sigma^2 = \text{id}_G$  by the unique correspondence between morphisms.  $\square$

Exercise 5.7. Show that in  $K \cap H$  in Theorem 5.33 is open.

So the problem on constructing symmetric spaces is reduced to finding a  $\mathbb{Z}_2$  decomposition  $\mathfrak{g} = \mathfrak{h} \oplus \mathfrak{p}$  with compatible inner product  $\langle , \rangle$  on  $\mathfrak{p}$ . Combining with Proposition 5.27 and Theorem 5.28, the corresponding metric on  $G/H$  can be constructed from a left invariant metric on  $G$  which is bi-invariant on  $H$ . Examples are provided by the *semi-simple Lie groups*.

**6.3. Examples via semi-simple Lie groups.** Let  $\mathfrak{g}$  be a Lie algebra over  $F = \mathbb{R}$  or  $\mathbb{C}$ . Define the *Killing form*

$$B(X, Y) = \text{tr}(\text{ad } X \text{ ad } Y); \quad B : \mathfrak{g} \times \mathfrak{g} \rightarrow F.$$

It is the main source to provide adjoint invariant quadratic forms:

**Lemma 5.34** (Exercise). *B is ad-invariant:  $B(\text{ad}_Z X, Y) + B(X, \text{ad}_Z Y) = 0$ .*

Exercise 5.8. Show Lemma 5.34

We say that  $\mathfrak{g}$  is *semi-simple* if  $B$  is non-degenerate,  $\mathfrak{g}$  is *simple* if  $\mathfrak{g}$  is not abelian and  $\mathfrak{g}$  contains no proper Lie ideals.

**Theorem 5.35.**  *$\mathfrak{g}$  is semi-simple if and only if  $\mathfrak{g}$  is a direct sum of simple ideals.*

The proof for the “only if” part is similar to the proof of Theorem 5.23 by using  $B$  in place of the bi-invariant metric. The “if” part follows from the *Killing-Cartan criterion* which will not be presented here.

We say  $G$  is semi-simple (simple) if  $\mathfrak{g}$  is semi-simple (simple). For  $G$  simple, every bi-invariant metric  $\langle , \rangle$  is determined by its value at  $e$  and proportional to the Killing form.

*Example 5.36.* We give two main series of examples of symmetric spaces  $G/H$  that arise from semi-simple Lie groups  $G$ . Notice that we had seen that  $H$  may always be assumed to be compact.

(i) Type I:  $G$  is compact and  $B$  is negative definite. E.g.

$$\begin{aligned} & \mathrm{SO}(2n)/\mathrm{U}(n), \quad \mathrm{SO}(p+q)/\mathrm{SO}(p) \times \mathrm{SO}(q), \\ & \mathrm{SU}(2n)/\mathrm{SO}(n), \quad \mathrm{SU}(p+q)/\mathrm{SU}(p) \times \mathrm{U}(q), \\ & \mathrm{Sp}(n)/\mathrm{U}(n), \quad \mathrm{Sp}(p+q)/\mathrm{Sp}(p) \times \mathrm{Sp}(q). \end{aligned}$$

These includes spheres, projective spaces and Grassmannians.

(ii) Type II:  $G$  is non-compact and  $B$  is indefinite.

In this case there is a maximal compact subalgebra  $\mathfrak{h}$  and  $\mathbb{Z}_2$  decomposition  $\mathfrak{g} = \mathfrak{h} \oplus \mathfrak{p}$  (the Cartan decomposition). Moreover,  $B$  is *negative definite* on  $\mathfrak{h}$  and *positive definite* on  $\mathfrak{p}$ . E.g.

$$\mathrm{SO}(p, q)/\mathrm{SO}(p) \times \mathrm{SO}(q),$$

with respect to the indefinite inner product  $\sum_{i=1}^p x_i^2 - \sum_{j=p+1}^{p+q} x_j^2$ . For  $q = 1$ , we get the *Poincaré upper half space*  $\mathbf{H}^p$ .

Similarly

$$\mathrm{SU}(p, q)/\mathrm{U}(p) \times \mathrm{SU}(q),$$

with respect to the indefinite Hermitian inner product  $\sum_{i=1}^p |z_i|^2 - \sum_{j=p+1}^{p+q} |z_j|^2$ . For  $q = 1$ , we get the unit ball in  $\mathbb{C}^p$ . Other examples are  $\mathrm{SL}(n, \mathbb{R})/\mathrm{SO}(n, \mathbb{R})$  (for  $n = 2$  we had seen that this gives Poincaré upper half plane),  $\mathrm{SO}(n, \mathbb{C})/\mathrm{SO}(n, \mathbb{R})$ ,  $\mathrm{SL}(n, \mathbb{C})/\mathrm{SU}(n)$ .

The main theory of Cartan says that any simply connected symmetric space may be decomposed into a product of three factors

$$M = M_0 \times M_+ \times M_-,$$

where  $M_0$  is a Euclidean space,  $M_+$  is of compact type and  $M_-$  is of non-compact type. Both  $M_+$  and  $M_-$  may be further decomposed into irreducible factors and each factor can be constructed from certain semi-simple Lie algebras in a way similar to the above examples. The details can be found in Helgason's classic text.

## 7. Curvature for symmetric spaces

**7.1. Riemannian submersion.** A map  $f : (\bar{M}, \bar{g}) \rightarrow (M, g)$  is a *Riemannian submersion* if it is a  $C^\infty$  submersion  $f : \bar{M} \rightarrow M$  and  $df : T^h\bar{M} \rightarrow TM$  is an isometry, where  $T\bar{M} = T^v\bar{M} \oplus T^h\bar{M}$  is the orthogonal decomposition defined by:  $T^v_{\bar{p}}\bar{M} := \ker df_{\bar{p}}$  is the *vertical tangent space* which is also the tangent space of the fiber submanifold  $\bar{M}_p := f^{-1}(p)$  with  $p = f(\bar{p})$ , and  $T^h_{\bar{p}}\bar{M} := (T^v_{\bar{p}}\bar{M})^\perp$  is the *horizontal tangent space*.

If  $f(\bar{p}) = p$  and  $X \in T_pM$ , then there is a unique *horizontal lift*  $\bar{X} \in T^h_{\bar{p}}\bar{M}$  such that  $df_{\bar{p}}\bar{X} = X$ . Under such a lifting, one may relate the Levi-Civita connection and Riemannian curvature tensor on  $M$  in terms of those on  $\bar{M}$ . This is particularly useful in dealing with Riemannian homogeneous spaces or symmetric spaces of the form  $G \rightarrow M = G/H$ . The following simple relations, due to O'Neill, can be found in most textbook in Riemannian geometry. The proofs are left as exercises.

**Theorem 5.37.** *Let  $f : \bar{M} \rightarrow M$  be a Riemannian submersion. Then*

(a)  $\bar{\nabla}_{\bar{X}}\bar{Y} = \overline{\nabla_X Y} + \frac{1}{2}[\bar{X}, \bar{Y}]^v$  for any vector fields  $X, Y$  on  $M$  and any lifts  $\bar{X}, \bar{Y}$ . The vertical component  $[\bar{X}, \bar{Y}]^v$  is tensorial in  $X$  and  $Y$ .

(b) For any  $X, Y, Z, W \in T_pM$ ,

$$\begin{aligned} R(X, Y, Z, W) &= \bar{R}(\bar{X}, \bar{Y}, \bar{Z}, \bar{W}) + \frac{1}{2}\langle [\bar{X}, \bar{Y}]^v, [\bar{Z}, \bar{W}]^v \rangle \\ &\quad + \frac{1}{4}\langle [\bar{X}, \bar{Z}]^v, [\bar{Y}, \bar{W}]^v \rangle - \frac{1}{4}\langle [\bar{X}, \bar{W}]^v, [\bar{Y}, \bar{Z}]^v \rangle. \end{aligned}$$

(c)  $R(X, Y, X, Y) = \bar{R}(\bar{X}, \bar{Y}, \bar{X}, \bar{Y}) + \frac{3}{4}||[\bar{X}, \bar{Y}]^v||^2$ .

Combining with the curvature formula for Lie groups, we may achieve

**Theorem 5.38.** (a) *Let  $G$  be a compact semi-simple Lie group with an involution  $\sigma$  ( $\sigma^2 = \text{id}$ ). Let  $\mathfrak{g} = \mathfrak{h} \oplus \mathfrak{p}$  be the  $\pm$  eigenspace decomposition. Then  $-B$  defines a bi-invariant metric on  $G$  and  $G/H$  is a symmetric space with curvature*

$$R(X, Y, X, Y) = |[X, Y]|^2.$$

(b) Let  $G$  be a non-compact semi-simple Lie group and  $\mathfrak{g} = \mathfrak{h} \oplus \mathfrak{p}$  be a  $\mathbb{Z}_2$  decomposition as in Example 5.36 (ii). Then  $B|_{\mathfrak{p}}$  defines an invariant metric on  $G/H$  and make it a symmetric space with curvature

$$R(X, Y, X, Y) = -|[X, Y]|^2.$$

PROOF. We only give the proof for (b). The proof for (a) is similar and easier.

For the Riemannian submersion  $G \rightarrow G/H$  with the left invariant metric on  $G$  defined by

$$\langle \cdot, \cdot \rangle|_{\mathfrak{h}} = -B|_{\mathfrak{h}}, \quad \langle \cdot, \cdot \rangle|_{\mathfrak{p}} = B|_{\mathfrak{p}},$$

we have  $T_e^v G = \mathfrak{h}$  and  $T_e^h G = \mathfrak{p}$ . Let  $X, Y, Z \in T_{[H]} G/H \cong \mathfrak{p}$ . By Corollary 5.18 and Theorem 5.37 (c), we get

$$\begin{aligned} R(X, Y, X, Y) &= |\text{ad}_X^* Y + \text{ad}_Y^* X|^2 - \langle \text{ad}_X^* X, \text{ad}_Y^* Y \rangle \\ &\quad - \frac{3}{4} |[X, Y]^{\mathfrak{p}}|^2 - \frac{1}{2} \langle [[X, Y], Y], X \rangle - \frac{1}{2} \langle [[Y, X], X], Y \rangle. \end{aligned}$$

Since  $[\mathfrak{p}, \mathfrak{p}] \subset \mathfrak{h}$ ,  $[X, Y]^{\mathfrak{p}} = 0$ . Also  $[X, Z] \in \mathfrak{h}$  implies that  $\langle \text{ad}_X^* Y, Z \rangle = \langle Y, [X, Z] \rangle = 0$ , hence  $\text{ad}_X^* Y \in \mathfrak{h}$ . Now for  $T \in \mathfrak{h}$ , the left invariant metric  $\langle \cdot, \cdot \rangle$  on  $G$  is also right invariant under  $H$  (i.e. ad-invariance of  $B$ ) says that

$$\langle [T, X], Y \rangle + \langle X, [T, Y] \rangle = 0,$$

which is equivalent to  $\langle \text{ad}_X^* Y + \text{ad}_Y^* X, T \rangle = 0$ , hence  $\text{ad}_X^* Y + \text{ad}_Y^* X = 0$ . By setting  $X = Y$  we get also  $\text{ad}_X^* X = 0$ . So only the last two terms remained in the curvature formula.

Since  $[[X, Y], Y], [[Y, X], X] \in \mathfrak{p}$ , we compute by ad-invariance of  $B$

$$\begin{aligned} R(X, Y, X, Y) &= -\frac{1}{2} B([[X, Y], Y], X) - \frac{1}{2} B([[Y, X], X], Y) \\ &= \frac{1}{2} B([X, Y], [X, Y]) + \frac{1}{2} B([Y, X], [Y, X]). \end{aligned}$$

Since  $[X, Y] \in \mathfrak{h}$ , this gives  $-|[X, Y]|^2$ . The proof is complete.  $\square$

## 8. Topology of Lie groups and symmetric spaces

For a group action  $G$  on a manifold  $M$ , a differential form  $\omega \in \Lambda^p(M)$  is an invariant form if  $g^*\omega = \omega$  for all  $g \in G$ .

**Theorem 5.39.** *Let  $M = G/H$  be a symmetric space with compact  $G$ , Then*

$$H^*(M, \mathbb{R}) \cong A_{\text{inv}}^*(M) = \mathbf{H}^*(M).$$

PROOF. By the de Rham theorem  $H^*(M, \mathbb{R}) \cong H_{\text{dR}}^*(M, \mathbb{R})$ , hence we need to show that every invariant form is also closed and every closed differential form is equivalent to a unique invariant form.

Step 1.  $\omega \in A_{\text{inv}}^p(M) \Rightarrow d\omega = 0$ . We show first that  $\hat{\omega} := s_x^*\omega \in A_{\text{inv}}^p(M)$  for any  $x \in M$ . For this, recall  $s_x g = \sigma(g)s_x$ , where  $\sigma$  is the involution. So

$$g^*\hat{\omega} = g^*s_x^*\omega = s_x^*\sigma(g)^*\omega = s_x^*\omega = \hat{\omega}.$$

From  $ds_x = -\text{Id}$  on  $T_x M$  we get  $\hat{\omega}|_x = (-1)^p \omega|_x$ . Together with the invariance of  $\omega$  and  $\hat{\omega}$ , this implies that  $\hat{\omega} = (-1)^p \omega$ .

Now  $d\omega$  and  $d\hat{\omega}$  are also invariant forms (since  $d$  commutes with  $g^*$ ) and  $s_x^*d\omega = d(s_x^*\omega) = d\hat{\omega} \in A_{\text{inv}}^{p+1}(M)$ . So similarly  $d\hat{\omega} = (-1)^{p+1}d\omega$ . But we also have  $d\hat{\omega} = (-1)^p d\omega$ , hence we conclude  $d\omega = 0$ .

Step 2.  $d\omega = 0 \Rightarrow \omega \sim \tilde{\omega} \in A_{\text{inv}}^p(M)$ . We prove this step for any homogeneous space with compact  $G$ . On a Lie group  $G$ , pick up any left invariant metric, its volume form give rise to invariant measure  $d\mu$  which can be normalized to have total volume 1 if  $G$  is compact.

For any  $g \in G$ ,  $g^*\omega \sim \omega$  since the map  $g : M \rightarrow M$  is homotopic to identity. This holds true for any affine linear combination:  $\sum \mu_i g_i^*\omega \sim \omega$  with  $\sum \mu_i = 1$ . Taking limits (by definition of Riemann sum) we find that

$$\tilde{\omega} := \int_G g^*\omega d\mu_g \sim \omega.$$

$\tilde{\omega} \in \Lambda_{\text{inv}}^p(M)$  since for any  $h \in G$ ,

$$h^*\omega = h^* \int_G g^*\omega d\mu_g = \int_G h^*g^*\omega h^*d\mu_g = \int_G (gh)^*\omega d\mu_{gh} = \tilde{\omega}.$$

Step 3. We show that an exact invariant form must be zero. Fix a  $G$  invariant metric on  $M$ . We recall the Hodge star operator  $*$  :  $\Lambda^p T_x^* M \rightarrow \Lambda^{n-p} T_x^* M$ . The  $G$  invariance implies that  $*g^* = g^*$  for any  $g \in G$ . Hence  $\omega \in A_{\text{inv}}^p(M) \Rightarrow *\omega \in A_{\text{inv}}^p(M)$ . In particular  $d(*\omega) = 0$  by step 1.

For  $\omega, \eta \in A^p(M)$ ,

$$\langle \omega, \eta \rangle = \int_M \omega \wedge *\eta$$

is a inner product on  $A^p(M)$ . Now for  $\omega = d\eta$  an exact invariant  $p$  form,

$$\langle \omega, \omega \rangle = \int_M d\eta \wedge (*\omega) = \int_M d(\eta \wedge (*\omega)) - (-1)^p \int_M \eta \wedge d(*\omega) = 0$$

by Stokes theorem and  $d(*\omega) = 0$ . Hence  $\omega = 0$  as desired.

Step 4. It remains to show that invariant forms are precisely harmonic forms. If  $\omega \in A_{\text{inv}}^p(M)$ , we have just seen that

$$d\omega = 0 \quad \text{and} \quad d^*\omega = (-1)^{p(n-p)} *d*\omega = 0.$$

Thus  $\Delta\omega = (dd^* + d^*d)\omega = 0$ . If we assume the *Hodge theorem* which says that  $H_{dR}^*(M, \mathbb{R}) \cong \mathbf{H}^*(M)$ , then harmonic forms must be invariant forms.

We would like to give a direct proof: First notice that  $\Delta\eta = 0$  if and only if that  $d\eta = 0$  and  $d^*\eta = 0$ , which is seen from the identity

$$\langle \Delta\eta, \eta \rangle = \|d\eta\|^2 + \|d^*\eta\|^2.$$

Let  $\Delta\omega = 0$ . For any  $X \in \mathfrak{g}$ , we compute by *Cartan's homotopy formula*

$$L_X\omega = \iota_X d\omega + d\iota_X\omega = d\iota_X\omega.$$

The invariance of the metric implies that  $\Delta$  commutes with  $L_X$ , hence  $L_X\omega$  is also harmonic. So  $\langle L_X\omega, L_X\omega \rangle = \langle L_X, d\iota_X\omega \rangle = \langle d^*L_X\omega, \iota_X\omega \rangle = 0$  and then  $L_X\omega = 0$ . By definition of Lie derivatives this implies that  $\omega$  is an invariant form. The proof is completed.  $\square$

**Corollary 5.40.** *For a connected compact Lie group  $G$ , viewed as a  $G \times G$  symmetric space, the de Rham cohomology are given by bi-invariant forms, which are precisely harmonic forms:*

$$H^*(G, \mathbb{R}) \cong A_{G \times G\text{-inv}}^*(G) \cong \Lambda_{\text{Ad-inv}}^*[\mathfrak{g}^*].$$

PROOF. Only the last equality requires explanation. The left invariant forms are uniquely determined by their values at  $e \in G$ , so we have

$$A_{\text{left-inv}}^*(G) \cong \Lambda^*[\mathfrak{g}^*].$$

A left invariant form is right invariant if and only if it is adjoint invariant:

$$R_{g^{-1}}^* \omega = R_{g^{-1}}^* \circ L_g^* \omega = I_g^* \omega.$$

The inner automorphism  $I_g$  induces the adjoint action  $\text{Ad}_g$  on  $\mathfrak{g}$ , hence on the dual space  $\mathfrak{g}^*$ . This gives the action  $I_g^*$  on left invariant forms.  $\square$

**Corollary 5.41.** *Let  $G$  be a compact Lie group and  $\Omega(X, Y, Z) := B([X, Y], Z)$  where  $B$  is the Killing form. If  $\Omega \neq 0$ , say if  $G$  is semi-simple, then  $H^3(G) \neq 0$ .*

PROOF. The skew symmetry of  $\Omega$  in  $X, Y$  is obvious. In  $Z$ , this is equivalent to adjoint invariance of  $B$ . The adjoint invariance of  $\Omega$  follows from the adjoint invariance of  $B$  and the Jacobi identity.  $\square$

We conclude the discussion with two fundamental results in cohomology and homotopy theory of Lie groups without proof.

**Theorem 5.42 (Hopf Theorem).** *For connected Lie group  $G$ ,  $H^*(G)$  is a finitely generated free exterior algebra  $\Lambda[y_1, \dots, y_n]$ , with  $y_i$  being of odd degree. For example,  $H^*(\text{U}(n), \mathbb{R}) \cong \Lambda[y_1, \dots, y_{2n-1}]$ ,  $\deg y_i = i$ .*

**Theorem 5.43 (Bott Periodicity Theorem).**

- (i) *Unitary case:  $\pi_{i-1}(\text{SU}(2m)) \cong \pi_{i+1}(\text{SU}(2m))$  for  $1 \leq i \leq 2m$ . Hence for  $\text{U} := \varinjlim \text{U}(m)$ , we have  $\pi_{i-1}(\text{U}) \cong \pi_{i+1}(\text{U})$ .*

- (ii) *Orthogonal case: For  $\mathbf{O} := \varinjlim \mathbf{O}(n)$ , we have  $\pi_i(\mathbf{O}) \cong \pi_{i+8}(\mathbf{O})$ . The first eight values  $\pi_i(\mathbf{O})$  for  $0 \leq i \leq 7$  are  $\mathbb{Z}_2, \mathbb{Z}_2, 0, \mathbb{Z}, 0, 0, 0, \mathbb{Z}$  respectively.*

## 9. Problems

**5.1** ([War83] Ch.6 #20) (The Peter-Weyl Theorem). The *representative ring* of a compact Lie group  $G$  is the ring generated over the complex numbers by the set of all continuous functions  $f$  for which there is a continuous homomorphism  $\rho : G \rightarrow GL(n, \mathbb{C})$  for some  $n$  such that  $f = \rho_{ij}$  for some choice of  $i$  and  $j$ . The Peter-Weyl theorem states that *representative ring is dense in the space of complex-valued continuous functions on  $G$  in the uniform norm*. That is, if  $g$  is a complex valued continuous function on  $G$ , and if  $\epsilon > 0$  is given, then there is a function  $f$  in the representative ring such that  $|f(\sigma) - g(\sigma)| < \epsilon$  for all  $\sigma \in G$ . We outline the proof of this theorem which is based on the uniform completeness of the eigenfunctions of the Laplacian. One can choose a Riemannian structure on  $G$  such that each of the diffeomorphisms  $\ell_\sigma$  for  $\sigma \in G$  (left translation by  $\sigma$ ) is an isometry (that is,  $\langle v, w \rangle_\tau = \langle d\ell_\sigma v, d\ell_\sigma w \rangle_{\sigma\tau}$  for all  $\tau \in G$  and all  $v, w \in G_\tau$ ). Since the  $C^\infty$  functions are dense in the space of continuous functions in the uniform norm, and since by result of above exercise ([War83] Ch.6 #16 (h)) the direct sum of the eigenspaces of the Laplacian is dense in the space of  $C^\infty$  functions in the uniform norm, it suffices for the Peter-Weyl theorem to prove that each eigenfunction of the Laplacian  $\Delta : C^\infty(G) \rightarrow C^\infty(G)$  belongs to the representative ring.

Now,  $G$  acts on the  $C^\infty$  functions on  $G$  by

$$\sigma(f) = f \circ \ell_\sigma \text{ for } \sigma \in G.$$

Prove that since the  $\ell_\sigma$  are isometries, this action commutes with the Laplacian

$$\Delta(f \circ \ell_\sigma) = (\Delta f) \circ \ell_\sigma, \text{ for } \sigma \in G.$$

Let  $V_\lambda$  be the (finite dimensional) eigenspace associated with the eigenvalue  $\lambda$  of  $\Delta : C^\infty(G) \rightarrow C^\infty(G)$ . Prove that the action of  $G$  leaves  $V_\lambda$  invariant. Then let  $\varphi_1, \dots, \varphi_n$  be a basis of  $V_\lambda$ , and let

$$\sigma(\varphi_i) = \sum_j g_{ji}(\sigma) \varphi_j.$$

Then  $\sigma \rightarrow \{g_{ji}(\sigma)\}$  is a homomorphism of  $G \rightarrow GL(n, \mathbb{R})$ . Prove that this homomorphism is continuous. Then observe that

$$\varphi_i(\sigma) = \varphi_i \circ \ell_\sigma(e) = \sum_j g_{ji}(\sigma) \varphi_j(e),$$

so that  $\varphi_i$  belongs to the representative ring.

**5.2** (cf. [Car92] Ch.1 #7). When  $G$  is compact, the bi-invariant metrics always exist. For example, for  $G \subset O(n, \mathbb{R}) \subset S^{n^2-1}(\sqrt{n})$ , the Euclidean metric  $\langle A, B \rangle = \text{tr } AB^T$  is bi-invariant.

**5.3** ([Car92] Ch.8 #8) (Riemannian submersions). A differentiable mapping  $f : \overline{M}^{n+k} \rightarrow M^n$  is called a *submersion* if  $f$  is surjective, and for all  $\bar{p} \in \overline{M}$ ,  $df_{\bar{p}} : T_{\bar{p}}\overline{M} \rightarrow T_{f(\bar{p})}M$  has rank  $n$ . In this case, for all  $p \in M$ , the fiber  $f^{-1}(p) = F_p$  is a submanifold of  $\overline{M}$  and a tangent vector of  $\overline{M}$ , tangent to some  $F_p$ ,  $p \in M$ , is called a *vertical vector* of the submersion. If, in addition,  $\overline{M}$  and  $M$  have Riemannian metrics, the submersion  $f$  is said to be *Riemannian* if, for all  $p \in \overline{M}$ ,  $df_p : T_p\overline{M} \rightarrow T_{f(p)}M$  preserves lengths of vectors orthogonal to  $F_p$ . Show that:

- (a) If  $M_1 \times M_2$  is the Riemannian product, then the natural projections  $\pi_i : M_1 \times M_2 \rightarrow M_i$ ,  $i = 1, 2$  are Riemannian submersions.  
 (b) Let the tangent bundle  $TM$  be given the Riemannian metric as:

$$\langle V, W \rangle_{(p,v)} = \langle d\pi(V), d\pi(W) \rangle_p + \left\langle \frac{Dv}{dt}(0), \frac{Dw}{ds}(0) \right\rangle_p$$

for  $(p, v) \in TM$ ,  $V, W$  tangent vectors at  $(p, v)$  in  $TM$  where  $V = \alpha'(0)$ ,  $W = \beta'(0)$  for curves  $\alpha, \beta$  chosen such that  $\alpha(t) = (p(t), v(t))$ ,  $\beta(t) = (q(s), w(s))$ ,  $p(0) = q(0) = 0$ ,  $v(0) = w(0) = v$  (cf. [Car92] Ch.3 #2). Show that the projection  $\pi : TM \rightarrow M$  is a Riemannian submersion.

**5.4** ([Car92] Ch.8 #9) (Conneciton of a Riemannian submersion). Let  $f : \overline{M} \rightarrow M$  be a Riemannian submersion. A vector  $\bar{x} \in T_{\bar{p}}\overline{M}$  is *horizontal* if it is orthogonal to the fiber. The tangent space  $T_{\bar{p}}\overline{M}$  then admits a decomposition  $T_{\bar{p}}\overline{M} = (T_{\bar{p}}\overline{M})^h \oplus (T_{\bar{p}}\overline{M})^v$ , where  $(T_{\bar{p}}\overline{M})^h$  and  $(T_{\bar{p}}\overline{M})^v$  denote the subspaces of horizontal and vertical vectors, respectively. If  $X \in \mathcal{X}(M)$ , the *horizontal lift*  $\overline{X}$  of  $X$  is the horizontal field defined by  $df_{\bar{p}}(\overline{X}(\bar{p})) = X(f(\bar{p}))$ .

- (1) Show that  $\overline{X}$  is differentiable.

- (2) Let  $\nabla$  and  $\bar{\nabla}$  be the Riemannian connections of  $M$  and  $\bar{M}$  respectively. Show that

$$\bar{\nabla}_{\bar{X}}\bar{Y} = \nabla_X Y + \frac{1}{2}[\bar{X}, \bar{Y}]^v, \quad X, Y \in \mathcal{X}(M),$$

where  $Z^v$  is the vertical component of  $Z$ .

- (3)  $[\bar{X}, \bar{Y}]^v(\bar{p})$  depends only on  $\bar{X}(\bar{p})$  and  $\bar{Y}(\bar{p})$ .

**5.5** ([Car92] Ch.8 #10) (Curvature of a Riemannian submersion). Let  $f : \bar{M} \rightarrow M$  be a Riemannian submersion. Let  $X, Y, Z, W \in \mathcal{X}(M)$ ,  $\bar{X}, \bar{Y}, \bar{Z}, \bar{W}$  be their horizontal lifts, and let  $R$  and  $\bar{R}$  be the curvature tensors of  $M$  and  $\bar{M}$  respectively. Prove that:

- (1)

$$\begin{aligned} \langle \bar{R}(\bar{X}, \bar{Y})\bar{Z}, \bar{W} \rangle &= \langle R(X, Y)Z, W \rangle - \frac{1}{4} \langle [\bar{X}, \bar{Z}]^v, [\bar{Y}, \bar{W}]^v \rangle \\ &\quad + \frac{1}{4} \langle [\bar{Y}, \bar{Z}]^v, [\bar{X}, \bar{W}]^v \rangle - \frac{1}{2} \langle [\bar{Z}, \bar{W}]^v, [\bar{X}, \bar{Y}]^v \rangle \end{aligned}$$

- (2)  $K(\sigma) = \bar{K}(\bar{\sigma}) + \frac{3}{4} |[\bar{X}, \bar{Y}]^v|^2 \geq \bar{K}(\bar{\sigma})$ , where  $\sigma$  is the plane generated by the orthonormal vectors  $X, Y \in \mathcal{X}(M)$  and  $\bar{\sigma}$  is the plane generated by  $\bar{X}, \bar{Y}$ .

**5.6.** ([Car92] Ch.8 #11) [The complex projective space] Let

$$\mathbb{C}^{n+1} \setminus \{0\} = \{(z_0, \dots, z_n) = Z \neq 0 \mid z_j = x_j + iy_j, j = 0, \dots, n\}$$

be the set of all non-zero  $(n+1)$ -tuples of complex numbers  $z_j$ . Define equivalence relation on  $\mathbb{C}^{n+1} \setminus \{0\}$ :  $(z_0, \dots, z_n) \sim W = (w_0, \dots, w_n)$  if  $z_j = \lambda w_j$ ,  $\lambda \in \mathbb{C}$ ,  $\lambda \neq 0$ . The equivalence class of  $Z$  will be denoted by  $[Z]$  (the complex line passing through the origin and through  $Z$ ). The set of such classes is called, by analogy with the real case, *the complex projective space*  $\mathbb{P}^n(\mathbb{C})$  of complex dimension  $n$ .

- (1) Show that  $\mathbb{P}^n(\mathbb{C})$  has a differentiable structure of a manifold of real dimension  $2n$  and that  $\mathbb{P}^1(\mathbb{C})$  is diffeomorphic to  $\mathbb{S}^2$ .
- (2) Let  $(Z, W) = z_0 \bar{w}_0 + \dots + z_n \bar{w}_n$  be the hermitian product on  $\mathbb{C}^{n+1}$ , where the bar denotes complex conjugation. Identify  $\mathbb{C}^{n+1} \approx \mathbb{R}^{2n+2}$  by putting  $z_j = x_j + iy_j = (x_j, y_j)$ . Show that

$$\mathbb{S}^{2n+1} = \{N \in \mathbb{C}^{n+1} \approx \mathbb{R}^{2n+2} \mid (N, N) = 1\}$$

is the unit sphere in  $\mathbb{R}^{2n+2}$ .

- (3) Show that the equivalence relation  $\sim$  induces on  $\mathbb{S}^{2n+1}$  the following equivalence relation:  $Z \sim W$  if  $e^{i\theta}Z = W$ . Establish that there exists a differentiable map (the Hopf fibering)  $f : \mathbb{S}^{2n+1} \rightarrow \mathbb{P}^n(\mathbb{C})$  such that

$$\begin{aligned} f^{-1}([Z]) &= \{e^{i\theta}N \in \mathbb{S}^{2n+1} \mid N \in [Z] \cap \mathbb{S}^{2n+1}, 0 \leq \theta \leq 2\pi\} \\ &= [Z] \cap \mathbb{S}^{2n+1}. \end{aligned}$$

- (4) Show that  $f$  is a submersion.

5.7 ([Car92] Ch.8 #12) (Curvature of the complex projective space). Define a Riemannian metric on  $\mathbb{C}^{n+1} \setminus \{0\}$  in the following way: If  $Z \in \mathbb{C}^{n+1} \setminus \{0\}$  and  $V, W \in T_Z(\mathbb{C}^{n+1} \setminus \{0\})$ ,

$$\langle V, W \rangle_Z = \frac{\operatorname{Re}(V, W)}{(Z, Z)}.$$

Observe that the metric  $\langle \cdot, \cdot \rangle$  restricted to  $\mathbb{S}^{2n+1} \subset \mathbb{C}^{n+1} \setminus \{0\}$  coincides with the metric induced from  $\mathbb{R}^{2n+2}$ .

- (1) Show that, for all  $0 \leq \theta \leq 2\pi$ ,  $e^{i\theta} : \mathbb{S}^{2n+1} \rightarrow \mathbb{S}^{2n+1}$  is an isometry, and that, therefore, it is possible to define a Riemannian metric on  $\mathbb{P}^n(\mathbb{C})$  in such a way that the submersion  $f$  is Riemannian.
- (2) Show that, in this metric, the sectional curvature of  $\mathbb{P}^n(\mathbb{C})$  is given by

$$K(\sigma) = 1 + 3 \cos^2 \varphi,$$

where  $\sigma$  is generated by the orthonormal pair  $X, Y$ ,  $\cos \varphi = \langle \bar{X}, i\bar{Y} \rangle$ , and  $\bar{X}, \bar{Y}$  are the horizontal lifts of  $X$  and  $Y$ , respectively. In particular,  $1 \leq K(\sigma) \leq 4$ .

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