

# Meromorphic Connections (Deligne's theory)

Def<sup>n</sup>: Mero. conn.  $(M, \nabla)$  over  $B_r^1 = \{ |t| < r \}$  as in §5-1.  $K = \mathbb{C}\{\{x\}\}[[x^{-1}]]$ ,  $M \cong K^{\oplus m}$ , with conn.  $\nabla$ .  $(M, \nabla)$  is regular if  $\exists$  f.g.  $\mathcal{O}$ -submodule  $L \subset M$  gen  $M$  over  $K$  and  $x \nabla L \subset \mathcal{O}' \otimes L \neq L \subset \mathcal{O}^{\oplus m}$  (Lattès) over alg. curve  $C$ , reg. at  $p \in C$  is defined by using  $K_{c,p}$ . Alg. mero. conn. is reg. at  $p \iff (M^{\text{an}}, \nabla)$  is

Def<sup>n</sup>/Lemma:  $M \in \text{Mod}_c(D_C)$ . Then  $M \in \text{Mod}_h(D_C) \iff M$  is generic an int. conn. ( $\dim \text{Ch}(M) = 1$ ).

$M$  is called reg.  $\triangleq$  the alg. mero. ext.  $j_* M$  is reg. at all  $p \in \bar{C} \iff \exists$   $c \subset C$ ,  $M|_c$  a reg. int. conn.

Lemma: Reg. is inv. under  $f^*$ ,  $f_*$  for  $f: C \rightarrow C'$  dominant.

Example:  $j: U = \mathbb{C}^* \hookrightarrow \mathbb{C}$ ,  $M = D_U/D_U \otimes \text{reg.}$ ,  $N = D_U/D_U(x^2 \partial - 1)$  irreg.

$X \subset \mathbb{P}^1$  mfd.  $E \subset X$  div.  $Y = X \setminus E$ .  $M^{\text{an}} \cong N^{\text{an}}$  by  $p \mapsto p \exp(1/x)$  !!

locally  $\mathcal{O}_X[E] \cong \mathcal{O}_X(*E) = \mathcal{O}_X[h^{-1}] = \mathcal{O}_X[t]/(t^k - 1)$  for  $(h) = E$ ,

Def<sup>n</sup>: Category  $\text{Conn}(X; E) \ni (M, \nabla)$  st.  $M \in \text{Mod}_c(\mathcal{O}_X(*E))$  and  $\nabla: M \rightarrow \mathcal{O}_X^1 \otimes_{\mathcal{O}_X} M$ . So  $M|_Y \in \text{Conn}(Y)$ .

clearly  $\text{Conn}(X; E) \subset \text{Mod}(D_X)$  (is fact in  $\text{Mod}_h(D_X)$ , will see this in alg case). Ab. cat.

$\varphi: (M, \nabla) \rightarrow (N, \nabla)$  is  $\mathcal{O}_X(*E)$ -linear and  $\nabla \circ \varphi = (\text{id} \otimes \varphi) \circ \nabla$ , i.e. a  $D_X$ -mod. hom.

Def<sup>n</sup>:  $M \otimes_{\mathcal{O}_X(*E)} N$ ,  $\text{Hom}_{\mathcal{O}_X(*E)}(M, N)$ ,  $M^* = \text{Hom}_{\mathcal{O}_X(*E)}(M, \mathcal{O}_X(*E))$  have obvious def<sup>n</sup> of  $\nabla$ .

Prop:  $\varphi|_Y$  isom  $\nRightarrow \varphi$  isom. In fact,  $\text{Mod}_c^E(D_X(*E)) = 0$ . In particular,  $M^{**} \cong M$ .

Pf:  $s \in M$ ,  $\mathcal{O}_X s$  supp in  $E \Rightarrow \{N s = 0 \Rightarrow s = \{N \cdot \} s = 0$  via Nullstellensatz

Lemma: Let  $f: Z \rightarrow X$  st.  $f^*E$  is a div. Let  $M \in \text{Conn}(X; E)$ , then

$L f^* M \cong H^0 L f^* M = f^* M = \mathcal{O}_Z(*f^*E) \otimes_{f^{-1}\mathcal{O}_X(*E)} f^{-1} M \in \text{Conn}(Z; f^*E)$ .

Pf:  $\mathcal{O}_X(*E)$  is flat over  $\mathcal{O}_X \Rightarrow \mathcal{O}_Z \otimes_{f^{-1}\mathcal{O}_X}^L f^{-1}\mathcal{O}_X(*E) \cong \mathcal{O}_Z \otimes_{f^{-1}\mathcal{O}_X} f^{-1}\mathcal{O}_X(*E) = \mathcal{O}_Z(*f^*E)$ .

$\Rightarrow L f^* M := \mathcal{O}_Z \otimes_{f^{-1}\mathcal{O}_X}^L f^{-1} M \cong \mathcal{O}_Z(*f^*E) \otimes_{f^{-1}\mathcal{O}_X(*E)}^L f^{-1} M \cong \mathcal{O}_Z(*f^*E) \otimes_{f^{-1}\mathcal{O}_X(*E)} f^{-1} M$  why?

Def<sup>n</sup>:  $(M, \nabla)$  is effective if  $M$  is generated by a coherent  $\mathcal{O}_X$ -submodule over  $\mathcal{O}_X(*E)$ .

$\text{Conn}^{\text{reg}}(X; E): (M, \nabla)$  is reg. if  $(i^* M)_0$  is regular  $\forall$  disk  $i: B \rightarrow X$  st.  $i^{-1}(E) = \{0\}$

Lemma:  $f: X' \rightarrow X$  proper surj,  $E' = f^{-1}E$  is a div.,  $X' \setminus E' \cong X \setminus E$ . Then  $\int_{f'} M \cong \int_f M$ , preserving eff/reg.

Sketch:  $N = \mathcal{O}_{X'}[D'] \otimes_{\mathcal{O}_{X'}} L \Rightarrow \int_{f'} N \cong \mathcal{O}_X[D] \otimes_{\mathcal{O}_X} R f_* L$ .

$H^k(\int_{f'} N) = 0$  for  $k \neq 0$  since  $R^k f_* L$  coh  $b = 0$  on  $X \setminus E$ . Also curve testing lifts to  $X'$  (if proper) \*

## The case $E$ is NCD and $M = \mathcal{O}_X[E] \otimes_{\mathcal{O}_X} L$ ( $L$ v.b.)

Def<sup>n</sup>:  $M$  has log pole along  $E$  wrt  $L$  if for a basis  $e_i$  of  $L$ ,  $E = (x_1 \dots x_r)$  locally:

$$\nabla e_j = \sum a_{ij}^k d \log x_k \otimes e_j,$$

$B^k := x_k A^k$   $k=1, \dots, r$  and  $A^k, k > r$  are holomorphic,  $\Rightarrow$  regular.

Prop: Let  $\text{Res}_{E_k}^L \nabla := B^k|_{E_k} \in \text{End}_{\mathcal{O}_{E_k}}(L|_{E_k})$ . It is indep of choices of  $e_i$ 's and conn. Also,

(i)  $[\text{Res}_{E_k}^L \nabla, \text{Res}_{E_l}^L \nabla] = 0$  on  $E_k \cap E_l$

(ii)  $\text{Res}_{E_k}^L \nabla$  is horizontal wrt. induced conn.  $\bar{\nabla}$  on  $L|_{E_k}$ .

Pf:  $\partial_x A^k - \partial_k A^x = [A^k, A^x] \Rightarrow$  (i) by Laurent expansion. Let  $\bar{A}^i = A^i|_{E_k}, \bar{B}^k = B^k|_{E_k}$ .

$\Rightarrow \partial_j \bar{A}^i - \partial_i \bar{A}^j = [\bar{A}^i, \bar{A}^j]$  for  $i, j \neq k \Rightarrow$  get  $\bar{\nabla}$  on  $L|_{E_k}$ .

Also  $\partial_i \bar{B}^k - x_k \partial_k \bar{A}^i|_{E_k} = [\bar{B}^k, \bar{A}^i]$ , i.e.  $\bar{\nabla}_i \bar{B}^k = 0 \Rightarrow$  (ii) \* (eg. eigenvalues = const.)

Thm: Fix a section  $\tau: \mathbb{C}/\mathbb{Z} \hookrightarrow \mathbb{C} \rightarrow \mathbb{C}/\mathbb{Z}$ . Then  $\forall M \in \text{Conn}(Y)$ ,  $Y = X \setminus E$ ,  $\exists$  v.b. Lt on  $X$ ,  $Lt|_Y = M$  st. (i)  $\nabla^M$  extends uniquely to  $\mathbb{D}$  on  $\mathcal{O}_X(E) \otimes_{\mathcal{O}_X} Lt$  with log pole along  $E$  wrt Lt. (ii) for any invd conn  $E' \subset E$ , the eigenvalues of  $\text{Res}_{E'}^L \nabla \subset \tau(\mathbb{C}/\mathbb{Z})$ .

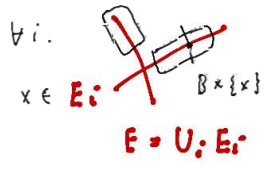
pf:  $M$  is det. by  $\rho: \pi_1(Y) \rightarrow \text{GL}_m(\mathbb{C})$ . Locally at  $p \in E$ ,  $Y = (B^x)^r \times B^{n-r}$  and  $\pi_1(Y) \simeq \mathbb{Z}^r = \bigoplus_{i=1}^r \mathbb{Z} \gamma_i$ . Let  $c_i = \rho(\gamma_i)$ , then  $\exists! \rho_i, i=1, \dots, r$  with  $\exp(2\pi\sqrt{-1} \rho_i) = c_i$ , eigenvalues  $[\rho_i] \subset \tau(\mathbb{C}/\mathbb{Z})$ , and  $[\rho_i, \rho_j] = 0$  (Ex.). Now refine the extended  $\mathbb{D}$  by  $\nabla e_p = -\sum \frac{[\rho_i]}{x_i} dx_i \otimes e_j$  where  $e_j$ 's are a frame for  $Lt \simeq \mathcal{O}_X^{\oplus m}$  (locally at  $p$ ). Q: How to make this global?

Key idea: (local) uniqueness up to "unique isomorphism". Given two extensions  $(L, \nabla)$  and  $(L', \nabla')$  with conn. 1-form  $\omega, \omega'$  wrt basis  $e_i$ 's;  $e_j$ 's.  $L|_Y \simeq L'|_Y \Rightarrow \exists! S \in \text{GL}_m(\mathcal{O}_Y)$  st.  $dS = S\omega - \omega'S$  on  $Y$ . Will show both  $S, S^{-1} \in M_m(\mathcal{O}_X)$ .

Hartog's thm  $\Rightarrow$  enough to extend over divisors  $E_k^o = E_k \setminus \cup_{j \neq k} E_j$ .  $\Rightarrow x_k \partial_k S = S B^k - B'^k S$   $\Rightarrow \|x_k \partial_k S\| \leq (\|B^k\| + \|B'^k\|) \|S\| \stackrel{*}{\Rightarrow} S$  ext. meromorphically over  $E_k^o$  (why?)

Ex. Prove Gronwall's inequality and prove  $*$ . By Laurent expansion  $S = \sum_{j=-\infty}^{\infty} s_j x^j$  with  $s_p \neq 0$ . Then  $p S_p = S_p (\text{Res}_{E_k}^L \nabla) - (\text{Res}_{E_k}^{L'} \nabla') S_p$ , i.e.  $(p I_m + \text{Res}_{E_k}^{L'} \nabla') S_p = S_p (\text{Res}_{E_k}^L \nabla)$ . If  $p \neq 0$ , then by (ii) the eigenvalues of  $\nabla$  are shifted by  $p$  and no overlapped with RHS. This contradicts to the commutativity with  $S_p \neq 0$ . The pf applies to  $S^{-1}$  too.  $*$

For  $E \subset X$  a general div, Condition (R):



Regularity on 1-dim'l slices in  $\tilde{U}: \emptyset \neq U = \tilde{U} \cap E; \mathbb{C} \text{Ereg}$ . Lemma:  $(N, \nabla) \in \text{Conn}(X; E)$  satisfying (R)  $\Rightarrow \Gamma(X, N \nabla) \xrightarrow{\sim} \Gamma(Y, N \nabla)$ . (Ex.) Cor:  $\text{Hom}_{\text{Conn}(X; E)}((N_1, \nabla_1), (N_2, \nabla_2)) \simeq \Gamma(X, \text{Hom}_{\mathcal{O}_X(E)}(N_1, N_2) \nabla)$  is determined on  $Y$ .

Thm (Deligne):  $\text{Conn}^{\text{reg}}(X; E) \simeq \text{Conn}(Y)$ . pf: Reg.  $\Rightarrow$  (R)  $\Rightarrow$  fully-faithful by Cor. Essential surj: Hirouaka  $\Rightarrow \exists f: (X', E') \rightarrow (X, E)$  good resol.

We extend  $f|_{X', E'}^*$  to a log conn.  $N \in \text{Conn}(X'; E')$  wrt. a lattice  $L$  (exists). Then  $H^0 \int_f N$  is the extension.  $*$  Cor: Condition (R)  $\Leftrightarrow$  regularity. Also,  $N \in \text{Conn}^{\text{reg}}(X; E) \Rightarrow N$  is effective.