

# Critical Dimension of Bosonic String Theory is 26.

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Reference: J. Polchinski, String Theory vol. 1, chapter 1.

$\eta_{\mu\nu} = \text{diag}(-, +, \dots, +)$  : metric on D-dimensional spacetime,  $X^0, X^1, \dots, X^{D-1}$ .

0-dim object · particle position given by D-1 functions of time  $X(X^0)$ .

$\tau$ : world-line parameter  $\rightarrow$  motion of particle  $X^\mu(\tau)$   
(time)

↙ translation & Lorentz invariant.

The simplest Poincaré-invariant and world-line reparameterization-invariant action is

$$S_{PP} = -m \int d\tau (-\dot{X}^\mu \dot{X}_\mu)^{1/2}, \quad m: \text{particle mass.}$$

→ variation  $\delta S_{PP} = -m \int d\tau \dot{u}_\mu \delta X^\mu$ , where  $u^\mu = \dot{X}^\mu (-\dot{X}^\nu \dot{X}_\nu)^{-1/2}$  : normalized D-velocity

Equation of motion:  $\ddot{u}^\mu = 0$ .

Introduce a world-line metric  $\gamma_{\tau\tau}(\tau)$  and tetrad  $\eta(\tau) = (-\gamma_{\tau\tau}(\tau))^{1/2} > 0$ .

Consider new action  $S'_{PP} = \frac{1}{2} \int d\tau (\eta^{-1} \dot{X}^\mu \dot{X}_\mu - \eta m^2)$  : also Poincaré & reparameterization invariant.  
varying tetrad  $\eta = \frac{\eta(\tau) d\tau}{\eta(\tau') d\tau'} = \eta(\tau') d\tau'$

→ Equation of motion  $\ddot{\eta}^2 = -\frac{1}{m^2} \dot{X}^\mu \dot{X}_\mu$ . ( $\Rightarrow S'_{PP}$  recover  $S_{PP}$ .)

$S'_{PP}$  is better than  $S_{PP}$ : ① make sense for massless ( $m=0$ ) particles.

② make sense for path integral formulation.

1-dim object World-sheet parameter  $\tau, \sigma \rightarrow X^\mu(\tau, \sigma)$ .

Simplest reparameterization invariant action: Nambu-Goto action.  $h_{ab} = \partial_a X^\mu \partial_b X_\mu$ .

$$S_{NG} := \int d\tau d\sigma L_{NG}, \quad L_{NG} := -\frac{1}{2\pi\alpha'} (-\det h_{ab})^{1/2}.$$

constant called Regge slope. The tension of the string  $T = \frac{1}{2\pi\alpha'}$ .

$S_{NG}$  is Poincaré invariant:  $S_{NG}[X'] = S_{NG}[X]$  for all  $X'^\mu(\tau, \sigma) = \underline{X}^\mu \underline{X}^\nu(\tau, \sigma) + \underline{a}^\mu$

Lorentz transform translation.

and reparameterization invariant  $X'^\mu(\tau', \sigma') = X^\mu(\tau, \sigma)$ .

To simplify  $S_{NG}$ , we introduce world-sheet metric  $\gamma_{ab}(\tau, \sigma)$   
s.t.  $\gamma_{ab}$  has signature  $(-, +)$ .

From now on, all the  
indices raised/  
lowered by this

The Polyakov action is

$$S_P[X, \gamma] = -\frac{1}{4\pi\alpha'} \int d\tau d\sigma (-\gamma)^{1/2} \gamma^{ab} \partial_a X^\mu \partial_b X_\mu, \quad \gamma := \det \gamma_{ab}.$$

$S_p$  is equivalent to  $S_{NG}$ : Take variation on metric  $\gamma$ ,

$$\delta_\gamma S_p[x, \gamma] = -\frac{1}{4\pi\alpha'} \int d\tau d\sigma (-\gamma)^{1/2} \delta \gamma^{ab} \left( h_{ab} - \frac{1}{2} \gamma_{ab} \gamma^{cd} h_{cd} \right).$$

$$\delta_\gamma S_p = 0 \Rightarrow h_{ab} = \frac{1}{2} \gamma_{ab} \gamma^{cd} h_{cd} \Rightarrow h_{ab} \cdot (-h)^{-1/2} = \gamma_{ab} \cdot (-\gamma)^{-1/2}.$$

$$\rightarrow S_p[x, \gamma] \text{ becomes } -\frac{1}{2\pi\alpha'} \int d\tau d\sigma (-h)^{1/2} = S_{NG}[x]. \quad \square$$

Now,  $S_p$  has symmetries:

$$\textcircled{1} \text{ D-dimensional Poincaré invariance } X'^\mu(\tau, \sigma) = \Lambda^\mu_\nu X^\nu(\tau, \sigma) + a^\mu, \quad \gamma'_{ab}(\tau, \sigma) = \gamma_{ab}(\tau, \sigma)$$

$$\textcircled{2} \text{ Diff invariance } X'^\mu(\tau', \sigma') = X(\tau, \sigma), \quad \frac{\partial \sigma'^c}{\partial \sigma^a} \frac{\partial \sigma'^d}{\partial \sigma^b} \gamma'_{cd}(\tau', \sigma') = \gamma_{ab}(\tau, \sigma)$$

$$\textcircled{3} \text{ Weyl invariance: } X'^\mu(\tau, \sigma) = X(\tau, \sigma), \quad \gamma'_{ab}(\tau, \sigma) = \exp(zw(\tau, \sigma)) \gamma_{ab}(\tau, \sigma).$$

(not appear in NG-action: up to local scaling,  $\gamma_{ab}$  gives "same" spacetime embedding.)

The energy-momentum tensor is

$$\begin{aligned} T^{ab}(\tau, \sigma) &:= -4\pi (-\gamma)^{-1/2} \frac{\delta}{\delta \gamma_{ab}} S_p \\ &= -\frac{1}{\alpha'} \left( \partial^a X^\mu \partial^b X_\mu - \frac{1}{2} \gamma^{ab} \partial_c X^\mu \partial^c X_\mu \right) \end{aligned}$$

$$\text{diff invariance} \Rightarrow \nabla_a T^{ab} = 0. \quad \text{Weyl invariance} \Rightarrow \gamma_{ab} \frac{\delta}{\delta \gamma_{ab}} S_p = 0 \Rightarrow T_a^a = 0.$$

Varying  $\gamma_{ab}$  in  $S_p$  gives eq. of motion  $T_{ab} = 0$ .

Varying  $X^\mu$  gives  $\partial_a ((-\gamma)^{1/2} \gamma^{ab} \gamma_b X^\mu) = (-\gamma)^{1/2} \nabla^2 X^\mu = 0$  under some boundary conditions:

assume  $(\tau, \sigma) \in \mathbb{R} \times [0, l]$ , then

$$\delta S_p = \frac{1}{2\pi\alpha'} \int_{-\infty}^{\infty} d\tau \int_0^l d\sigma (-\gamma)^{1/2} \delta X^\mu \nabla^2 X_\mu - \frac{1}{2\pi\alpha'} \int_{-\infty}^{\infty} d\tau (-\gamma)^{1/2} \delta X^\mu \partial^\sigma X_\mu \Big|_{\sigma=0}^{\sigma=l}. \quad (*)$$

- If  $\partial^\sigma X^\mu(\tau, 0) = \partial^\sigma X^\mu(\tau, l) = 0$ , then  $(*) = 0$ . (open string condition)
- Alternatively, if  $X^\mu(\tau, l) = X^\mu(\tau, 0)$ ,  $\partial^\sigma X^\mu(\tau, 0) = \partial^\sigma X^\mu(\tau, l)$ ,  $\gamma_{ab}(\tau, l) = \gamma(\tau, 0)$ , then  $(*)$  vanishes as well. i.e.  $X$  is periodic. (closed string condition)

(Under Poincaré invariant, we must have these conditions!)

## Open String Spectrum

Consider light-cone coordinate  $x^\pm = \frac{1}{\sqrt{2}}(x^0 \pm x^1)$ ,  $x^i$ ,  $i=2, 3, \dots, D-1$ .

Under this coordinate, metric:  $\eta^{\mu\nu} g_{\mu\nu} = -a^+ b^- - a^- b^+ + a^i b^i$   
 $a_- = -a^+$ ,  $a_+ = -a^-$ ,  $a_i = a^i$ .

Particle case: Make a Gauge choice:  $X^+(\tau) = \tau$ .

The action  $S'_{pp} = \frac{1}{2} \int d\tau (-2\eta^{-1} \dot{x}^- + \eta^{-1} \dot{x}^i \dot{x}^i - \eta m^2)$

Canonical momenta:  $P_\mu = \frac{\partial L}{\partial \dot{x}^\mu} \Rightarrow P_- = -\eta^{-1}$ ,  $P_i = \eta^{-1} \dot{x}^i$

$\leadsto$  Hamiltonian  $H = P_- \dot{x}^- + P_i \dot{x}^i - L = \frac{P^i P^i + m^2}{2P^+}$  (\*\*).

To quantize, we impose conditions  $[P_i, X^j] = -i S_i^j$ ,  $[P_-, X^-] = -i$ .

Gauge choice relates  $\tau$  and  $X^+$  translations, so  $H = -P_+ = P^-$ .

$\leadsto$  (\*) becomes  $-2P^+P^- + P^i P^i + m^2 = 0$ , i.e.  $P_\mu P^\mu + m^2 = 0$ . (mass-shell equation)

Open string case: Make a Gauge choice  $\begin{cases} X^+ = \tau & \dots (a) \\ \partial_\sigma \gamma_{\sigma\sigma} = 0 & \dots (b) \\ \det \gamma_{ab} = -1 & \dots (c) \end{cases}$

How to get these? Choose (a) by changing coordinates.

Now,  $f = \gamma_{\sigma\sigma} (-\det \gamma_{ab})^{-1/2}$  transform as  $f' d\sigma' = f d\sigma$  (reparameterize  $\sigma$ .)

$\leadsto$  invariant length  $f d\sigma = d\ell$ . Reparameterize  $\sigma$  by arclength  $\int d\ell$   
 $\leadsto f = \frac{d\ell}{d\sigma}$  is independent of  $\sigma$ .

Next, use Weyl transformation to make (c). Thus, since  $f$  is Weyl-invariant,

$\partial_\sigma f = 0$ . Also,  $\det(\gamma_{ab}) = -1$  gives  $\partial_\sigma \gamma_{\sigma\sigma} = 0$

The metric becomes  $\begin{bmatrix} \gamma^{\tau\tau} & \gamma^{\tau\sigma} \\ \gamma^{\sigma\tau} & \gamma^{\sigma\sigma} \end{bmatrix} = \begin{bmatrix} -\gamma_{\sigma\sigma}(\tau) & \gamma_{\tau\sigma}(\tau, \sigma) \\ \gamma_{\sigma\tau}(\tau, \sigma) & \gamma_{\sigma\sigma}^{-1}(\tau) (1 - \gamma_{\tau\sigma}^2(\tau, \sigma)) \end{bmatrix}$

Polyakov Lagrangian becomes

$$L = -\frac{1}{4\pi\alpha'} \int_0^l d\sigma \left[ \gamma_{\sigma\sigma} (2\partial_\tau x^- - \partial_\tau x^i \partial_\tau x^i) - 2\gamma_{\tau\sigma} (\partial_\sigma \gamma^- - \partial_\tau x^i \partial_\sigma x^i) + \gamma_{\sigma\sigma}^{-1} (1 - \gamma_{\tau\sigma}^2) \partial_\sigma x^i \partial_\sigma x^i \right]$$

where  $X^-(\tau, \sigma) = x^-(\tau) + \gamma^-(\tau, \sigma)$ ,  $x^-(\tau) = \frac{1}{l} \int_0^l d\sigma X^-(\tau, \sigma)$ .

open string condition  $\partial^\sigma X^\mu(\tau, \sigma) = \partial^\sigma X^\mu(\tau, \ell) = 0 \Rightarrow \gamma_{\tau\sigma} \partial_\tau X^\mu - \gamma_{\tau\ell} \partial_\sigma X^\mu = 0$  at  $\sigma = 0, \ell$ .

$\mu = + \Rightarrow \gamma_{\tau\sigma} = 0$  at  $\sigma = 0, \ell$ . Also,  $\partial_\sigma^2 \gamma_{\tau\sigma} = 0 \Rightarrow \gamma_{\tau\sigma} \equiv 0$ ,

$\mu = i \Rightarrow \partial_\sigma X^i = 0$  at  $\sigma = 0, \ell$ .

$$L = -\frac{\ell}{2\pi\alpha'} \gamma_{\sigma\sigma} \partial_\tau X^- + \frac{1}{4\pi\alpha'} \int_0^\ell d\sigma \left( \gamma_{\sigma\sigma} \partial_\tau X^i \partial_\tau X^i - \gamma_{\sigma\sigma}^{-1} \partial_\sigma X^i \partial_\sigma X^i \right)$$

only  $X^-(\tau)$   
 $\gamma_{\sigma\sigma}(\tau)$   
 $X^i(\tau, \sigma)$ .

• momentum conjugate  $P_- = -P^+ = \frac{\partial L}{\partial(\partial_\tau X^-)} = -\frac{\ell}{2\pi\alpha'} \gamma_{\sigma\sigma}$ .

• momentum density conjugate  $\Pi^i = \frac{\delta L}{\delta(\partial_\tau X^i)} = \frac{1}{2\pi\alpha'} \gamma_{\sigma\sigma} \partial_\tau X^i = \frac{P^+}{\ell} \partial_\tau X^i$ .

$$\begin{aligned} \leadsto \text{Hamiltonian } H &= P_- \partial_\tau X^- - L + \int_0^\ell d\sigma \Pi_i \partial_\tau X^i \\ &= -\frac{\ell}{4\pi\alpha' P^+} \int_0^\ell d\sigma \left( 2\pi\alpha' \Pi^i \Pi^i + \frac{1}{2\pi\alpha'} \partial_\sigma X^i \partial_\sigma X^i \right) \end{aligned}$$

$$\begin{aligned} \leadsto \text{eq. of motion } \partial_\tau X^- &= \frac{\partial H}{\partial P_-} = \frac{H}{P^+}, \quad \partial_\tau P^+ = \frac{\partial H}{\partial X^-} = 0, \quad C = \frac{\ell}{2\pi\alpha' P^+}. \\ \partial_\tau X^i &= \frac{\delta H}{\delta \Pi^i} = 2\pi\alpha' C \Pi^i, \quad \partial_\tau \Pi^i = -\frac{\delta H}{\delta X^i} = \frac{C}{2\pi\alpha'} \partial_\sigma^2 X^i \end{aligned}$$

$$\Rightarrow \text{wave eq: } \partial_\tau^2 X^i = C^2 \partial_\sigma^2 X^i.$$

Solve it with boundary condition

$$X^i(\tau, \sigma) = X^i + \frac{P^i}{P^+} \tau + i(2\alpha')^{1/2} \sum_{n=-\infty}^{\infty} \frac{1}{n} \alpha_n^i \exp\left(-\frac{\pi i n \tau}{\ell}\right) \cos\left(\frac{\pi n \sigma}{\ell}\right). \quad \alpha_{-n}^i := (\alpha_n^i)^T.$$

$$\text{where } X^i(\tau) = \frac{1}{\ell} \int_0^\ell d\sigma X^i(\tau, \sigma) \text{ and } P^i(\tau) = \int_0^\ell d\sigma \Pi^i(\tau, \sigma).$$

$$\begin{aligned} \text{To quantize, we impose } [X^-, P^+] &= -i \eta^{-+} = -i \quad \text{r.e. } [X^i, P^j] = i \delta^{ij} \\ [X^i(\sigma), \Pi^j(\sigma')] &= i \delta^{ij} \delta(\sigma - \sigma'), \quad [\alpha_m^i, \alpha_n^j] = m \delta^{ij} \delta_{m,-n} \end{aligned}$$

$$\leadsto \text{eigenstate } |0; k\rangle, \quad k = (k^+, k^i) : \quad P^+ |0; k\rangle = k^+ |0; k\rangle, \quad P^i |0; k\rangle = k^i |0; k\rangle$$

$$\alpha_m^i |0; k\rangle = 0 \text{ for } m > 0.$$

$$\text{general state: } |N; k\rangle = \left( \prod_{i=2}^{D-1} \prod_{n=1}^{\infty} \frac{(\alpha_{-n}^i)^{N_{in}}}{(n^{N_{in}} N_{in}!)^{1/2}} \right) |0; k\rangle.$$

$$\text{Now, Hamiltonian becomes } H = \frac{P^- P^i}{2P^+} + \frac{1}{2P^+ \alpha'} \left( \sum_{n=1}^{\infty} \alpha_{-n}^i \alpha_n^i + A \right), \quad A = \frac{D-2}{2} \sum_{n=1}^{\infty} n = \frac{2-D}{24}.$$

commutators

The Gauge  $X^+(\tau) = \tau$  gives  $P^- = H$ . Then, the mass-shell eq. becomes

$$m^2 = 2P^+ H - P^- P^i = \frac{1}{\alpha'} \left( N + \frac{2-D}{24} \right), \quad N \text{ is level} \quad N = \sum_{i=2}^{D-1} \sum_{n=1}^{\infty} n \cdot N_{in}.$$

Now, we look at states.

- The lightest state is  $|0;k\rangle$ ,  $m^2 = \frac{2-D}{24}$ .  
 $m^2 < 0$  if  $D > 2$ . Such states is called "tachyon". Some physical reason  
 $\rightarrow$  we ignore it.
- The next lowest states are  $n=1$  modes:  $\alpha_{-1}^i |0;k\rangle$ ,  $m^2 = \frac{26-D}{24\alpha'}$   
there are  $D-2$  many.

Now, we need to use Lorentz invariance:

- For a massive particle, one goes to the rest frame  $p^\mu = (m, 0, \dots, 0)$ .  
 $\rightarrow$  these states form a representation of  $SO(D-1)$ .
- For a massless particle, choose  $p^\mu = (E, E, 0, \dots, 0)$ .  $SO(D-2)$  action on them.

The massive particle has  $D-1$  spin states;

the massless particle has  $D-2$  spin states.

There are only  $D-2$  many states at level 1:  $\alpha_{-1}^i |0;k\rangle$ .

$\Rightarrow$  they are all massless, i.e.  $m=0 \Rightarrow D=26$ ,  $A=-1$

### Closed strings

Very similar to open strings. Impose Gauge  $\begin{cases} X^+ = \tau & \dots (a) \\ \partial_\sigma Y_{\sigma\sigma} = 0 & \dots (b) \\ \det Y_{ab} = -1 & \dots (c) \end{cases}$ . But now, we

have an extra freedom:  $\sigma' = \sigma + s(\tau) \pmod{\ell}$ . we can impose  $Y_{\tau\sigma}(\tau, 0) = 0$ .

Then, the remaining gauge freedom is  $\sigma' = \sigma + s \pmod{\ell}$ .

The Lagrangian, canonical momenta, Hamiltonian, eq. of motion are the same as in the open string. Now, the solution to wave eq. becomes

$$X^i(\tau, \sigma) = x^i + \frac{p^i}{p^+} \tau + i \left( \frac{\alpha'}{2} \right)^{1/2} \sum_{n=0}^{\infty} \left( \frac{\alpha_n^i}{n} \exp \left( -\frac{2\pi i n (\sigma + c\tau)}{\ell} \right) + \frac{\tilde{\alpha}_n^i}{n} \exp \left( \frac{2\pi i n (\sigma - c\tau)}{\ell} \right) \right).$$

left-move                                   right-move

$\alpha_n^i, \tilde{\alpha}_n^i, x^i, p^i, x^-, p^+$  has commutation relation  $[x^-, p^+] = -i$ ,  $[x^i, p^j] = i \delta^{ij}$   
 $[\alpha_m^i, \alpha_n^j] = m \delta^{ij} \delta_{m-n} = [\tilde{\alpha}_m^i, \tilde{\alpha}_n^j]$

Ground state  $|0, 0; k\rangle$  annihilated by  $\alpha_m^i$  and  $\tilde{\alpha}_m^i$  for  $m > 0$ .

$$\begin{aligned} \text{Mass formula: } m^2 &= 2p^+ H - p^i p^i = \frac{2}{\alpha'} \left[ \sum_{n=1}^{\infty} (\alpha_{-n}^i \alpha_n^i + \tilde{\alpha}_{-n}^i \tilde{\alpha}_n^i) + A + \tilde{A} \right] \\ &= \frac{2}{\alpha'} (N + \tilde{N} + \underline{A + \tilde{A}}) \\ &\quad \text{commutators} = \frac{2-D}{24} \end{aligned}$$

Note that we still have the Gauge freedom  $\sigma' = \sigma + s \pmod{\ell}$ .

The spectrum is obtained by restricting to Gauge-invariant states.

The operator generate  $\sigma$ -translation is

$$P = - \int_0^\ell d\sigma \pi^i \partial_\sigma X^i = - \frac{2\pi}{\ell} \left[ \sum_{n=1}^{\infty} (\alpha_{-n}^i \alpha_n^i - \tilde{\alpha}_{-n}^i \tilde{\alpha}_n^i) + A - \tilde{A} \right]$$
$$= - \frac{2\pi}{\ell} (N - \tilde{N})$$

$$\Rightarrow N = \tilde{N}.$$

Now, we look at states.

- The lightest state is  $|0,0;k\rangle$ ,  $m^2 = \frac{2-D}{6\alpha'}$ .  $\leadsto$  "tachyon".

- The next lowest states are  $N=\tilde{N}=1$  modes:

$$\underbrace{\alpha_{-1}^i \tilde{\alpha}_{-1}^j}_{i,j=2,\dots,D-1} |0,0;k\rangle, m^2 = \frac{26-D}{6\alpha'}.$$

$i,j=2,\dots,D-1$ , there are  $(D-2)^2$  states. If  $m>0$ , they fit into  $SO(D-1)$ -representation.

But this is impossible:  $(D-1) \nmid (D-2)^2$ .

$$\Rightarrow m=0 \Rightarrow A = \tilde{A} = -1, D = 26. \quad (\text{Wigner's classification.})$$

# Critical Dimension of Bosonic String Theory is 26.

2021. 1. 3.

Reference : D. Freed, Determinants, Torsion, and Strings.

Determinant :

If  $V \xrightarrow{D} W$  : linear map,  $\dim_{\mathbb{C}} V = \dim_{\mathbb{C}} W = n$ .

$\rightsquigarrow \det(D) : \Lambda^n V \rightarrow \Lambda^n W \rightsquigarrow$  regard  $\det(D) \in (\det V^*) \otimes (\det W)$ .

By the exact sequence  $0 \rightarrow \ker D \rightarrow V \xrightarrow{D} W \rightarrow \text{coker } D \rightarrow 0$ , we have  $(\det V)^* \otimes (\det W) \simeq (\det \ker D)^* \otimes (\det \text{coker } D)$ .

Use this, in general, we can define  $(\det D)$  for  $D : V \rightarrow W$  having f.d.  $\ker D, \text{coker } D$

Now, consider a smooth family of operators  $D_y : V_y \rightarrow W_y$  with  $\dim \ker D_y = \dim \text{coker } D_y$ .

Each  $y \in Y$  gives a point on  $(\det V_y)^* \otimes (\det W_y)$

$\xrightarrow[\text{patch together}]{} a \text{ section } \det(D) \text{ of a line bundle } L \rightarrow Y$ .

We will mainly concern the Dirac operators on cpt. manifolds.

Def The geometric anomaly is the obstruction to trivializing the connection on  $L$ .

i.e. to find a global flat non-zero section.

## Examples

### (1) (Gravitational anomalies)

$X$  : cpt. spin manifold with  $\dim X = n = 2r$ .

$\text{Met}(X)$  : space of Riemannian metric on  $X$ .  $GL(X)$  : frame bundle.

$\alpha$  : spin structure on  $X \rightsquigarrow \alpha$  gives a unique double cover  $\widetilde{GL}(X) \rightarrow GL(X)$ .

$\mathcal{F} := \{(g, f) \in \text{Met}(X) \times \widetilde{GL}(X) \mid \text{frame } f \text{ is orthonormal under } g\}$ .

$\rightsquigarrow \mathcal{F}$  : principal  $\text{Spin}(n)$ -bundle. Restriction  $\mathcal{F}|_{\{g\} \times X}$  is just bundle of spin frames  
 $\downarrow$  on  $X$  with metric  $g$ .

$\text{Met}(X) \times X$

$\{g\} \times X$

$E_{\pm}$

Half-spinor representation  $\sigma_{\pm}$  of  $\text{Spin}(n)$  applied to  $\mathcal{F} \rightsquigarrow$   
 $\wedge^{\text{even}}, \wedge^{\text{odd}}$

$\downarrow$

$\text{Met}(X) \times X$

Restriction on  $\{g\} \times X$  is just positive / negative spinor over  $X$ .

For each  $g$ , we can define chiral Dirac operator as usual

$\rightsquigarrow$  family of chiral Dirac operators (parameterized by  $\text{Met}(X)$ ) .

$\text{Diff}(X) \rightsquigarrow \underbrace{\text{Met}(X) \times X}_{\substack{\text{by pull back} \\ \text{metric}}}$ . We restrict to  $\text{Diff}_d(X) = \{\text{diffeo. of } X \text{ preserving } \alpha\}$ .

natural action.

$\text{Diff}_\alpha(X) \curvearrowright \text{Met}(X) \times X$  lifts to action on  $\mathcal{F}$ .

Take quotient  $\sim Z = \text{Met}(X) \times X / \text{Diff}_\alpha(X)$ . Along the fiber, spin structure is consistent  
 $\downarrow_X$   
 $\rightarrow$  spinors are defined.  
 $Y = \text{Met}(X) / \text{Diff}_\alpha(X)$

Metric along fiber is preserved by  $\text{Diff}_\alpha(X) \curvearrowright Z$  carries metric along fibers  
and there is a projection  $P: TZ \rightarrow T_{\text{vert}} Z$ .

Rmk:  $\text{Diff}_\alpha(X)$  acts on  $\text{Met}(X)$  may not free.

The isotropy group at  $g \in \text{Met}(X)$  is the isometry group of  $g$ .

If  $X$  is Riemann surface of genus  $\geq 2$ , this group for metrics of curvature  $-1$   
is finite. Then,  $Y$  is an orbifold.

(2) ( $\sigma$ -model)

$(X, g)$ : cpt. spin manifold with  $\dim X = 2r$ .  $M$ : arbitrary manifold.

$E$ : Hermitian v.b. with unitary connection  $\nabla^{(E)}$ .  $\mathcal{Y} := \text{Map}(X, M)$ : mapping space.  
 $\downarrow$

$M$

For each  $\varphi \in \mathcal{Y}$ , we have pull back bundle  $\varphi^* E$  with connection  $\varphi^* \nabla^{(E)}$ .

Dirac operator on  $X$  with  $\varphi^* \nabla^{(E)}$  gives a Dirac operator on  $\varphi^* E$ -valued spinor field.  
 $\leadsto$  family parameterized by  $\mathcal{Y}$ .

Set  $Z = Y \times X \leadsto (E, \nabla^{(E)}) \rightarrow (E, \nabla^{(E)})$

$$\begin{array}{ccc} & \downarrow & \\ Z & \xrightarrow{e} & M \end{array}$$

evaluation map

$$\begin{array}{c} \downarrow_X \\ Y \end{array}$$

(3) Polyakov formulation of string theory is the combination of these two examples:  $X = \Sigma$ : Riemann surface, bosonic fields are  $\text{Met}(\Sigma)$ ,  $\text{Map}(\Sigma, M)$ .

## (Geometric data)

- (1) A smooth fibration  $\pi: Z \xrightarrow{X} Y$  with  $\dim X = n$  even s.t. the tangent bundle along fibers  $T_{\text{vert}} Z \rightarrow Z$  has fixed spin structure.
- (2) (In order to define family of Dirac operators. In family of  $\bar{\partial}$ , this is irrelevant.) A metric along fibers:  $g^{(T_{\text{vert}} Z)}$  on  $T_{\text{vert}} Z$ .
- (3) A projection  $P: TZ \rightarrow T_{\text{vert}} Z$  with kernel = horizontal complements to vertical tangent space
- (4) A complex representation  $\rho$  of  $\text{Spin}(n)$ .  
(To determine a bundle  $V_\rho \rightarrow Z$  with Dirac operator)
- (5) A complex v.b.  $E \rightarrow Z$  with hermitian metric  $g^{(E)}$  and connection  $\nabla^{(E)}$ .

Note: (2) & (3) determine a connection  $\nabla^{(T_{\text{vert}} Z)}$  along fibers of  $Z \rightarrow Y$  by projection of Levi-Civita connection on  $Z$  via choosing arbitrary metric on  $Y$ .  $\Omega^{(T_{\text{vert}} Z)}$ : curvature.

Thm (Bismut-Freed) The geometric data determine functorially a smooth determinant line bundle  $L \rightarrow Y$ . It carries the Quillen metric  $g^{(L)}$  and compatible connection  $\nabla^{(L)}$ . Moreover, if the Dirac operator have index 0, there is a section  $\det D$  of  $L$ .

sketch: Construct  $L \rightarrow Y$  by patching. For jumping kernels, throw them in a finite dimensional space of "low eigenmode" for Laplacian  $D^*D, DD^*$ .

Then, use  $\begin{cases} 0 \rightarrow \ker D \rightarrow V \xrightarrow{D} W \rightarrow \text{coker } D \rightarrow 0 \\ (\det V)^* \otimes (\det W) \simeq (\det \ker D)^* \otimes (\det \text{coker } D) \end{cases}$  to patch these

low eigenmode bundle.

For  $y \in Y$  where  $D$  is invertible,  $\det D$  trivialize  $L$  and  $\|\det D\|_{(L)}^2 = \det D^*D$ .

To define  $\det D^*D$ , we use zeta-function regularization:  $\zeta(s) = \sum_{\lambda: \text{eigenvalue}(D^*D)} \lambda^{-s} = \text{Tr}((D^*D)^{-s})$ .  
 $\zeta$  is analytic when  $\text{Re}(s) \gg 0$  and has meromorphic continuation  $\rightarrow \det D^*D := e^{-\zeta'(0)}$ .

The connection  $\nabla^{(L)}$  on section  $\det D$  ( $D$  is invertible) is given by

$\nabla^{(L)}(\det D) = \text{Tr}(\tilde{\nabla} DD^{-1}) \det D$ ,  $\tilde{\nabla}$ : connection  $\nabla^{(T_{\text{vert}} Z)}$  operating pointwise with

$\zeta$ -regularization: correction term making  $\tilde{\nabla}$  to be unitary.

$w(s) := \text{Tr}((DD^*)^{-s} \tilde{\nabla} DD^{-1})$ : analytic for  $\text{Re}(s) \gg 0$ , meromorphic continuation.

$\rightsquigarrow w(s)$  has a pole at  $s=0$  in general, define  $\text{Tr}(\tilde{\nabla} DD^{-1}) = (sw(s))'(0)$ .

$\rightsquigarrow$  These construction extend to all  $L$  by patching.

If the Dirac operator  $D$  is s.t.  $\ker D$ ,  $\text{coker } D$  have constant dimension, we want to use sections of  $\det D$  to trivialize  $L$ .

Choose smoothing varying base  $\{\psi_i\}$  for  $\ker D$ ,  $\{\psi_\alpha\}$  for  $\ker D^*$ .

Pass to determinant, get nonzero section of  $L$ .

$$\text{Then, } \frac{\|s\|^2}{(L)} = \frac{\det(\psi_\alpha, \psi_\beta)}{\det(\psi_i, \psi_j)} \det' D^* D$$

$\zeta$ -function regularization but omit zero eigenvalues.  
 $L^2$ -inner product of harmonic spinors

The connection is given by

$$\nabla^{(L)}(s) = \left[ \sum_\alpha (\tilde{\nabla} \psi_\alpha, \psi_\alpha) + \sum_i (\tilde{\nabla} \psi_i, \psi_i) + \text{Tr}' (\tilde{\nabla} DD^{-1}) \right] \cdot s$$

Tr restrict to  $(\ker D^*)^\perp$

Thm (Bismut-Freed) The curvature of the determinant line bundle  $L \rightarrow Y$  is

$$\text{the 2-form } \Omega^{(L)} = \left[ 2\pi i \int_X \hat{A}(\Omega^{(T_{\text{vert}} Z)}) \text{ch}(\Omega^{(T_{\text{vert}} Z)}) \text{ch}(\Omega^{(E)}) \right]_{(2)}$$

↑  
integrate over fiber  $X$  in the fibration  $Z \rightarrow Y$ .

$$\hat{A}(\Omega) = \det \left( \frac{\Omega/4\pi}{\sinh(\Omega/4\pi)} \right)^{1/2}, \quad \text{ch}(\Omega) = \text{Tr } e^{i\Omega/2\pi}.$$

The formula is obtained by Bismut's heat equation approach to the index theorem for families.

Next time: Specialize to Polyakov formulation for bosonic string in flat  $\mathbb{R}^d$ .

# Critical Dimension of Bosonic String Theory is 26.

2021.1.10.

Reference: D. Freed, Determinants, Torsion, and Strings.

Let  $\Sigma$  be a Riemann surface of genus  $\geq 2$ .

Partition function:  $Z = \int_{g \in \text{Met}(\Sigma)} [dg] \int_{\varphi \in \text{Map}(\Sigma, \mathbb{R}^d)} [d\varphi] \exp \left( -\frac{1}{2} \int_{\Sigma} (d\varphi, d\varphi)_g \right)$

conformal rescaling  
of metric.  
↓

invariant under translation of  $\mathbb{R}^d$ ,  $\text{Diff}(\Sigma)$ ,  $C_f^\infty(\Sigma)$

$[dg]$ ,  $[d\varphi]$ : formal measure divided by vol. of the orbits of the symmetric group.

$$\text{Gaussian integral} \rightarrow \int_{g \in \text{Met}(\Sigma)} [dg] \left( \frac{\det' \frac{1}{2} \Delta_g}{(1, 1)_g} \right)^{-d/2}$$

$$\text{change of variable} \rightarrow \int [dg]' \left( \frac{\det' \frac{1}{2} \Delta_g}{(1, 1)_g} \right)^{-d/2} \left( \frac{\det' \bar{\partial}_L^* \bar{\partial}_L}{\det(\phi_i, \phi_j)} \right). \quad \bar{\partial}_L: \bar{\partial} \text{ on holomorphic tangent bundle } L \rightarrow \Sigma.$$

$$\text{Met}(\Sigma) = \text{Conf}(\Sigma) \times C_f^\infty(\Sigma) \quad (*)$$

$\{\phi_i\}$ : basis of holomorphic quadratic

differential, invariant under  $C_f^\infty(\Sigma)$ .

Q: Whether the product of determinants pass to

$$\text{Conf}(\Sigma) = \text{Met}(\Sigma) / C_f^\infty(\Sigma) \text{ i.e. invariant under } C_f^\infty(\Sigma).$$

This is called conformal anomaly and it vanishes exactly at  $d=26$ .

Geometric data:

$Y = \text{Met}(\Sigma)$ ,  $Z = \text{Met}(\Sigma) \times \Sigma$  with natural projection  $Z \xrightarrow{\Sigma} Y$  and vertical metric.

Choose representation  $\rho$  of  $\text{Spn}(n)$  s.t.  $V_p = -\frac{d}{2} + L$ . (bundle of  $Z$ )  
 negative trivial  $\frac{d}{2}$ -dim bundle holomorphic tangent bundle of  $\Sigma$   
 $L \rightarrow \text{Met}(\Sigma) \times \Sigma$ .

These data gives a family of operators  $\bar{\partial}_{-d/2+L}$  on  $\Sigma$ , parameterized by  $\text{Met}(\Sigma)$ .

$L \rightarrow \text{Met}(\Sigma)$ : the determinant line bundle for  $\bar{\partial}_{-d/2+L}$ .

Now,  $\ker \bar{\partial}_{-d/2+L}$ ,  $\text{coker } \bar{\partial}_{-d/2+L}$  has constant dimension (not index zero!)

Kodaira vanishing thm  $\Rightarrow \ker \bar{\partial}_L = 0$ .  $\ker \bar{\partial} = \{\text{holo. fun. on } \Sigma\} = \mathbb{C}$ .

Serre duality  $\Rightarrow \ker \bar{\partial}^* = H^0(\Sigma, \mathcal{L}')$ .

Let  $\{\omega_\alpha\}$ : locally a basis of holo. one-form on  $\text{Met}(X)$ .  $\rightarrow$  give a section  $s$  of  $\bigwedge^L \text{Met}(X)$   
 with  $\|s\|_{(L)}^2 = \left( \frac{\det' \bar{\partial}^* \bar{\partial}}{(1, 1)_g \cdot \det(\omega_\alpha, \omega_\beta)} \right)^{-d/2} \cdot \frac{\det' \bar{\partial}_L^* \bar{\partial}_L}{\det(\phi_i, \phi_j)}$ .

Last time

$$\frac{1}{2} \Delta_g = \bar{\partial}^* \bar{\partial} \rightsquigarrow \|s\|_{(\mathcal{L})}^2 \text{ differs from } (*) \text{ only in } \det(w_\alpha, w_\beta)^{-d/2}.$$

May pick  $w_\alpha$  inv. under  $C_+^\infty(\Sigma)$ .

Now, since  $\bar{\partial}$  only depend on  $\text{Conf}(\Sigma)$ ,  $L^2$ -inner product  $(\cdot, \cdot)$ : inv. under  $\text{Conf}(\Sigma)$

$\rightsquigarrow$  irrelevant to computation of conformal anomaly:

conformal anomaly = 0  $\Leftrightarrow \|s\|^2$  on  $\text{Met}(\Sigma)$  is inv. under  $C_+^\infty(\Sigma)$ .

Lift action  $C_+^\infty(\Sigma) \curvearrowright \text{Met}(\Sigma)$  to  $C_+^\infty(\Sigma) \curvearrowright \text{Met}(\Sigma) \times \underline{\Sigma}$   
trial action

$\rightsquigarrow C_+^\infty(\Sigma)$  action on  $\mathcal{L}$ .

Claim:  $s$  is inv. under  $C_+^\infty(\Sigma)$ .

$$\|s\|_{(\mathcal{L})}^2 = \left( \frac{\det' \bar{\partial}^* \bar{\partial}}{(1,1)_g \cdot \det(w_\alpha, w_\beta)} \right)^{-d/2} \cdot \frac{\det' \bar{\partial}_L^* \bar{\partial}_L}{\det(\phi_i, \phi_j)}$$

$\bar{\partial}$  operator: complex structure unchange  $\Rightarrow$  inv. under rescaling  $C_+^\infty(\Sigma)$ .

$w_\alpha, \phi_i$ : chosen to be  $C_+^\infty(\Sigma)$  mv.

Now,  $s$  is inv. under  $C_+^\infty(\Sigma) \Leftrightarrow g^{(\mathcal{L})}$  is inv. under  $C_+^\infty(\Sigma)$ .

Suffice to show  $\nabla^{(\mathcal{L})}$  is inv.:

$$G = C_+^\infty(\Sigma)$$

Lemma Let  $\mathcal{L} \rightarrow Y$  be a line bundle with  $\nabla^{(\mathcal{L})}$  and  $G$ : connected Lie group acting on  $\mathcal{L}$  and  $Y$  freely.  $\omega^{(\mathcal{L})}$ : connection one form,  $\Omega^{(\mathcal{L})}$ : curvature two form.

Suppose for each  $X \in \text{Lie}(G)$ , (1)  $L_X \omega^{(\mathcal{L})} = 0$ , (2)  $L_X \Omega^{(\mathcal{L})} = 0$ .

Then,  $\nabla^{(\mathcal{L})}$  pass to  $\mathcal{L}/G \rightarrow Y/G$ .

proof: For section  $s$  and vector field  $Y$ , need to prove  $g(\nabla_Y s) = \nabla_{g(Y)} s + \nabla_Y g(s)$

for all  $g \in G$ . Since  $G$ : connected, suffices to check  $X(\nabla_Y s) = \nabla_{L_X Y} s + \nabla_Y X(s)$

for all  $X \in \text{Lie}(G)$ . Write  $s = s^i \cdot \sigma_i$ , where  $\{\sigma_i\}$ : basis of sections.

$$\begin{aligned} \nabla_{L_X Y} s + \nabla_Y X(s) &= L_{L_X Y} (ds^i \cdot \sigma_i + w_j^i s^j \sigma_i) + \nabla_Y (X(s^i) \cdot \sigma_i + s^i \cdot X(\sigma_i)) \\ &= (ds^i ([X, Y]) + w_j^i ([X, Y]) s^j) \sigma_i + L_Y (dX(s^i) \sigma_i + X(s^j) w_j^i \sigma_i) + \nabla_Y (s^i \cdot X(\sigma_i)) \\ &= ([X, Y] s^i + (X(w_j^i(Y)) - Y(w_j^i(X))) s^j - d w_j^i (X, Y) s^j) \sigma_i + Y X(s^i) \sigma_i + w_j^i(Y) X(s^j) \sigma_i \\ &\quad + w_j^i(Y) s^j X(\sigma_i) + Y(s^i) X(\sigma_i) \stackrel{\text{"}}{\Rightarrow} (\Omega_j^i + w_j^k \wedge w_k^i)(X, Y) = 0 \\ &= X(w_j^i(Y) s^j \sigma_i + Y(s^i) \sigma_i) = X(\nabla_Y s). \end{aligned}$$

□

Proposition The curvature  $\Omega^{(L)}$  of the determinant line bundle for the  $\bar{\partial}_{-d/2+L}$  family vanishes if  $d=26$ .

proof: Let  $x = \frac{i}{2\pi} \Omega^{(L)} \in \Omega^2(\text{Met}(\Sigma) \times \bar{\Sigma})$  be curvature of holo. tangent bundle  $L$ .

$$\rightsquigarrow \text{ch}(\Omega^{(-d/2+L)}) = (1 - \frac{d}{2}) + x + \frac{x^2}{2} + \dots$$

$$\text{Apply Thm } \Omega^{(L)} = \left[ 2\pi i \int_X \hat{A}(\Omega^{(T_{\text{vert}} \Sigma)}) \text{ch}(\Omega^{(T_{\text{vert}} \Sigma)}) \text{ch}(\Omega^{(E)}) \right]_{(2)} \text{ last}$$

time. When consider  $\bar{\partial}$  operator,  $\hat{A}$  is replaced by Todd polynomial.

$$\text{Todd}(\Omega^{(L)}) = 1 + \frac{x}{2} + \frac{x^2}{12} + \dots$$

$$\left[ (1 + \frac{x}{2} + \frac{x^2}{12} + \dots)(1 - \frac{d}{2} + x + \frac{x^2}{2} + \dots) \right]_{(2)} = \frac{26-d}{24} x^2 = \frac{26-0}{24} \cdot \frac{-1}{4\pi^2} (\Omega^{(L)})^2.$$

$$\rightsquigarrow \Omega^{(L)} = i \cdot \left( \frac{d-26}{48\pi} \right) \int_{\Sigma} (\Omega^{(L)})^2 = 0 \text{ if } d=26.$$

□

Now, condition (2) holds if  $d=26$  and condition (1) is the direct computation of connection one form using

$$\nabla^{(L)}(s) = \left[ \sum_{\alpha} (\tilde{\nabla} \varphi_{\alpha}, \varphi_{\alpha}) + \sum_i (\tilde{\nabla} \varphi_i, \varphi_i) + \text{Tr}'(\tilde{\nabla} D D^{-1}) \right] \cdot s.$$

⇒ the conformal anomaly vanishes at  $d=26$ .

In  $d=26$ , the line bundle  $L \rightarrow \text{Met}(\Sigma)$  pass to  $\overset{!!}{L} / C_+^\infty(M) \xrightarrow{\text{Diff}_0(\Sigma)} \text{Conf}(\Sigma) / \overset{!!}{C_+^\infty(M)}$

with metric, connection, non-vanishing section  $s$ .

Next Step: In critial dim  $d=26$ , interpret the partition function  $Z$  to be norm square of a holo. function  $F$  on  $\text{Teich}(\Sigma) = \overset{\uparrow}{\text{Conf}(\Sigma)} / \text{Diff}_0(\Sigma)$ .  
 Teichmüller space (diffeo. of connected comp.)

$\text{Conf}(\Sigma) = \{ \text{cpx. structure on } \Sigma \} : \text{a cpx. mfd.} \rightsquigarrow \text{Conf}(\Sigma) \times \bar{\Sigma} : \text{cpx. mfd.}$

$\rightsquigarrow \text{Conf}(\Sigma) \times \bar{\Sigma}$  is holo. with  $\text{Diff}_0(\Sigma)$  action freely, preserving cpx. structure  
 $\downarrow \text{projection}$   
 $\text{Conf}(\Sigma)$

$\rightsquigarrow Z := \text{Conf}(\Sigma) \times \Sigma / \text{Diff}_0(\Sigma)$  : holo. fibration.

$$\downarrow \Sigma$$

$$Y := \text{Conf}(\Sigma) / \text{Diff}_0(\Sigma) = \text{Teich}(\Sigma)$$

Also,  $T(\text{Conf}(\Sigma) \times \Sigma)$  pass to quotient  $TZ$ .

$$\begin{array}{ccc} \downarrow & & \downarrow P \\ T(\text{Conf}(\Sigma)) & & T_{\text{vert}} Z \end{array}$$

Back to bosonic string:

We have line bundle  $L \rightarrow \text{Conf}(\Sigma)$  with  $g^{(L)}$ ,  $\nabla^{(L)}$ , non-vanishing sections  $s$ .

$\text{Diff}_0(\Sigma)$  preserve all those data  $\rightsquigarrow$  pass to quotient and get  $L \rightarrow \text{Teich}(\Sigma)$ .

Theorem The bundle  $L \rightarrow \text{Teich}(\Sigma)$  is holo. and  $\nabla^{(L)}$  is the unique holo. conn. compatible with  $g^{(L)}$ . Also,  $s$  is a holo. section.

(Group action on geometric data pass to quotient.  
including: metric, connection, holomorphic structure...)

Theorem In  $d=26$ , the partition function  $Z$  is the norm square of a holo. function on  $\text{Teich}(\Sigma)$ .

proof: Had seen  $Z$  is realized as  $\|s\|^2$  for a section  $L \rightarrow \text{Teich}(\Sigma)$ .

Suffice to find a holo. section of  $L \rightarrow \text{Teich}(\Sigma)$  with unit norm.

Curvature  $\Omega^{(L)}$  vanishes,  $\text{Teich}(\Sigma)$ : simply connected

$\Rightarrow \exists$  global flat section  $s_0$  of unit norm.

$s_0$  is holo. since  $\nabla^{(L)}$  is holo.

Finally, pick  $F = s/s_0$ .

□