

## 10 SMOOTH MORPHISMS

### Recall. (Flat)

Let  $f : X \rightarrow Y$  be a morphism of schemes, and let  $\mathcal{F}$  be an  $\mathcal{O}_x$ -module. We say that  $\mathcal{F}$  is flat over  $Y$  at a point  $x \in X$ , if the stalk at  $x$  is a flat  $\mathcal{O}_y$ -module, where  $y = f(x)$ . We say simply  $\mathcal{F}$  is flat over  $Y$  if it is flat at every point of  $X$ .

We say  $X$  is flat over  $Y$  if  $\mathcal{O}_X$  is.

### Definition. (Smooth)

Let  $f : X \rightarrow Y$  be a morphism of schemes of finite type over a field  $k$ , we say it's smooth of relative dimension  $n$  if:

- (1)  $f$  is flat.
- (2) If  $X' \subseteq X, Y' \subseteq Y$  are irreducible components such that  $f(X') \subseteq Y'$ , then  $\dim X' = \dim Y' + n$ .
- (3) For each point  $x \in X$  (closed or not),

$$\dim_{k(x)}(\Omega_{X/Y} \otimes k(x)) = n$$

**Proposition. 0.1** If  $X$  is integral, (3) is equivalent to  $\Omega_{X/Y}$  is locally free of rank  $n$ .

Recall **(II 8.9)**: Let  $A$  be a noetherian local integral domain, with residue field  $k$  and quotient field  $K$ . If  $M$  is a finitely generated  $A$ -module and if  $\dim_k M \otimes_A k = \dim_K M \otimes_A K = r$ , then  $M$  is free of rank  $r$ .

**Proposition. 0.2** If  $Y = \text{Spec } k, k = \bar{k}$ , then  $X$  is smooth over  $k$  if and only if  $X$  is regular of dimension  $n$ .

Recall **(II 8.8)**: Let  $B$  be a local ring containing a field  $k$  isomorphic to its residue field. Assume furthermore that  $k$  is perfect, and that  $B$  is a localization of a finitely generated  $k$ -algebra. Then

$$\Omega_{B/k} \text{ is a free } B\text{-module of rank } \dim B \iff B \text{ is a regular local ring.}$$

In particular, if  $X$  is irreducible separated over  $k$ , then it's smooth if and only if it's a nonsingular variety.

Recall **(II 8.15)**: Let  $X$  be an irreducible separated scheme of finite type over an algebraically closed field  $k$ . Then

$$\Omega_{X/k} \text{ is locally free of rank } \dim X \iff X \text{ is a nonsingular variety over } k.$$

**Remark.** Over a nonperfect field, the last proposition is false: let  $k_0$  be a field of characteristic  $p > 0$ , let  $k = k_0(t)$ , and let  $X \subseteq A_k^2$  be the curve defined by  $y^2 = x^p - t$ , then every local ring of  $X$  is a regular local ring, but  $X$  is not smooth over  $k$ .

### Proposition. 1

- (a) An open immersion is smooth of relative dimension 0.

- (b) If  $f : X \rightarrow Y$  is smooth of relative dimension  $n$ , and  $g : Y' \rightarrow Y$  is any morphism, then the morphism  $f' : X' \rightarrow Y'$  obtained by base extension is also smooth of relative dimension  $n$ .
- (c) If  $f : X \rightarrow Y$  is smooth of relative dimension  $n$ , and  $g : Y \rightarrow Z$  is smooth of relative dimension  $m$ , then  $g \circ f : X \rightarrow Z$  is smooth of relative dimension  $n + m$ .
- (d) If  $f : X \rightarrow Z$  is smooth of relative dimension  $n$ , and  $g : Y \rightarrow Z$  is smooth of relative dimension  $m$ , then  $X \times_Z Y \rightarrow Z$  is smooth of relative dimension  $n + m$ .

**Proof.** (a) Just check the condition.

- (b) (1) By **(III 9.2)**,  $f'$  is flat.
- (2) Recall **(III 9.6)**: Let  $f : X \rightarrow Y$  be a flat morphism of schemes of finite type over a field  $k$ , and assume that  $Y$  is irreducible. Then the following conditions are equivalent:
  - (i) every irreducible component of  $X$  has dimension equal to  $\dim Y + n$ .
  - (ii) for any point  $y \in Y$  (closed or not), every irreducible component of the fibre  $X_y$  has dimension  $n$ .

In this case, (2) is equivalent to say every irreducible component of every fibre  $X_y$  of  $f'$  has dimension  $n$ , which, by **(II Ex. 3.20)**, is preserved under base change.

- (3) By **(II 8.10)**,  $\Omega_{X/Y}$  is stable under base extension, thus (3) holds for  $f'$ .
- (c) (1) By **(III 9.2)**.
- (2) If  $X' \subseteq X, Y' \subseteq Y, Z' \subseteq Z$  are irreducible components such that  $f(X') \subseteq Y', g(Y') \subseteq Z'$ , then  $\dim X' = \dim Y' + n = \dim Z' + n + m$ .
- (3) Use the exact sequence in **(II 8.11)**

$$f^*\Omega_{Y/Z} \longrightarrow \Omega_{X/Z} \longrightarrow \Omega_{X/Y} \longrightarrow 0$$

Tensoring  $k(x)$  to get:

$$f^*\Omega_{Y/Z} \otimes k(x) \longrightarrow \Omega_{X/Z} \otimes k(x) \longrightarrow \Omega_{X/Y} \otimes k(x) \longrightarrow 0$$

Then  $\dim \Omega_{X/Z} \otimes k(x) \leq \dim f^*\Omega_{Y/Z} \otimes k(x) + \dim \Omega_{X/Y} \otimes k(x) = n + m$ . On the other hand, let  $z = g(f(x))$ , we have  $\Omega_{X/Z} \otimes k(x) = \Omega_{X_z/k(z)} \otimes k(x)$ , let  $X'$  be an irreducible component of  $X_z$  containing  $x$ , with its reduced induced structure. Then by **(II 8.12)**,

$$\Omega_{X_z/k(z)} \otimes k(x) \longrightarrow \Omega_{X'/k(z)} \otimes k(x)$$

is surjective.

But again by **(III 9.6)**,  $X'$  is an integral scheme of finite type over  $k(z)$  of dimension  $n + m$ , thus by **(II 8.6A)**, we see  $\Omega_{X'/k(z)}$  is locally generated by at least  $n + m$  elements. Combining above, (3) is verified.

- (d) Use (b), (c).

**Theorem. 2** Let  $f : X \rightarrow Y$  be a morphism of schemes of finite type over a field  $k$ , then  $f$  is smooth of relative dimension  $n$  if and only if:

- (i)  $f$  is flat.
- (ii) The fibres of  $f$  are geometrically regular of equidimension  $n$ , i.e., for point  $y \in Y$ , let  $X_{\bar{y}} = X_y \otimes_{k(y)} \bar{k}(y)$ , then  $X_{\bar{y}}$  is equidimensional of dimension  $n$  and regular.

**Proof.**  $(\Rightarrow)$  By **Proposition (0.2),(1(b))**.

$(\Leftarrow)$  (1) By assumption.

(2) By **(III 9.6)**.

(3) By **Proposition (0.2)**, regularity implies  $\Omega_{X_{\bar{y}}/\bar{k}(y)}$  is locally free of rank  $n$ . By the fact states below, it is equivalent to  $\Omega_{X_y/k(y)}$  is locally free of rank  $n$ , thereby (3) holds.

**Fact.** If  $M$  is an  $A$ -module with  $A$  being a local ring,  $B$  is a faithfully flat  $A$ -algebra, then

$$M \text{ is free} \iff M \otimes_A B \text{ is free.}$$

**Recall.** The Zaraski tangent space  $T_x$  for a point in a scheme  $X$  be the dual of  $k$ -vector space  $m_x/m_x^2$ . If  $f : X \rightarrow Y$  is a morphism,  $y = f(x)$ , then there is a natural induced mapping

$$T_f : T_x \rightarrow T_y \otimes_{k(y)} k(x)$$

**Lemma. 3-1** Suppose that  $R \rightarrow S$  is a local homomorphism of Noetherian local rings. Denote  $\mathfrak{m}$  the maximal ideal of  $R$ . Let  $M$  be a flat  $R$ -module and  $N$  a finite  $S$ -module. Let  $u : N \rightarrow M$  be a map of  $R$ -modules. If  $u_1 : N/\mathfrak{m}N \rightarrow M/\mathfrak{m}M$  is injective then  $u$  is injective. In this case  $M/u(N)$  is flat over  $R$ .

**Proof.** Claim:  $u_k : N/\mathfrak{m}^k N \rightarrow M/\mathfrak{m}^k M$  is injective  $\forall k \in \mathbb{N}$ .

The case  $k = 1$  is just the assumption. To prove by induction, assuming the case  $k = n$  holds. Consider the diagram:

$$\begin{array}{ccccccc} & & M/\mathfrak{m}M \otimes_{R/\mathfrak{m}} \mathfrak{m}^n/\mathfrak{m}^{n+1} & & & & \\ & & \parallel & & & & \\ 0 & \rightarrow & M \otimes_R \mathfrak{m}^n/\mathfrak{m}^{n+1} & \rightarrow & M/\mathfrak{m}^{n+1}M & \rightarrow & M/\mathfrak{m}^nM & \rightarrow & 0 \\ & & \uparrow & & \uparrow & & \uparrow & & \\ & & N \otimes_R \mathfrak{m}^n/\mathfrak{m}^{n+1} & \rightarrow & N/\mathfrak{m}^{n+1}N & \rightarrow & N/\mathfrak{m}^nN & \rightarrow & 0 \\ & & \parallel & & & & & & \\ & & N/\mathfrak{m}N \otimes_{R/\mathfrak{m}} \mathfrak{m}^n/\mathfrak{m}^{n+1} & & & & & & \end{array}$$

The first and the last map are injective, which imply the middle one is also injective. Therefore the claim is proved by induction.

By Krull's intersection theorem,  $\bigcap \mathfrak{m}^n N = 0$ , thus the injectivity of  $u_n \forall n \in \mathbb{N}$  implies  $u$  is injective.

To show that  $M/u(N)$  is flat over  $R$ , it suffices to show that  $I \otimes_R M/u(N) \rightarrow M/u(N)$  is injective for every ideal  $I$  in  $R$ . Consider the diagram

$$\begin{array}{ccccccc} & & 0 & & 0 & & 0 \\ & & \uparrow & & \uparrow & & \uparrow \\ & & N/IN & \rightarrow & M/IM & \rightarrow & M/(IM + u(N)) & \rightarrow & 0 \\ & & \uparrow & & \uparrow & & \uparrow & & \\ 0 & \rightarrow & N & \rightarrow & M & \rightarrow & M/u(N) & \rightarrow & 0 \\ & & \uparrow & & \uparrow & & \uparrow & & \\ & & N \otimes_R I & \rightarrow & M \otimes_R I & \rightarrow & M/u(N) \otimes_R I & \rightarrow & 0 \end{array}$$

$M \otimes_R I \rightarrow M$  is injective, then by the snake lemma, it suffices to prove that  $N/IN$  injects into  $M/IM$ . Note that  $R/I \rightarrow S/IS$  is a local homomorphism of Noetherian local rings,  $N/IN \rightarrow M/IM$  is a map of  $R/I$ -modules,  $N/IN$  is finite over  $S/IS$ , and  $M/IM$  is flat over  $R/I$  and  $\bar{u} : N/IN \rightarrow M/IM$  is injective modulo  $\mathfrak{m}$ . Thus we may apply the first part of the proof to  $\bar{u}$  to conclude.

**Definition.** Let  $A$  be a commutative ring,  $I$  be an ideal of  $A$ . An  $A$ -module  $M$  is ideally Hausdorff w.r.t.  $J$  if  $\forall a$  is a finitely generated ideal of  $A$ ,  $a \otimes_A M$  is Hausdorff with  $J$ -adic topology.

**Lemma. 3-2** Let  $A$  be a commutative ring,  $I, J$  be ideals of  $A$ ,  $M$  be an  $A$ -module,  $gr(A)$  be the graded ring with  $I$ -adic filtration,  $gr(M)$  be the graded  $gr(A)$ -module associated with  $M$  with  $I$ -adic topology. Consider the following:

- (i)  $M$  is a flat  $A$ -module.
- (ii)  $\text{Tor}_1^A(N, M) = 0 \forall N$  is an  $A$ -module annihilated by  $J$ .
- (iii)  $M/IM$  is a flat  $A/I$ -module and the canonical map  $I \otimes_A M \rightarrow IM$  is bijective.
- (iv)  $M/IM$  is a flat  $A/I$ -module and canonical homomorphism  $r : gr(A) \otimes_{gr_0(A)} gr_0(M)$  is bijective.
- (v)  $\forall n \in \mathbb{N}$ ,  $M/I^n$  is a flat  $A/I^n$ -module.

Then (i) $\Rightarrow$ (ii) $\Leftrightarrow$ (iii) $\Rightarrow$ (iv) $\Leftrightarrow$ (v). Furthermore, if  $A$  is noetherian and  $M$  is ideally Hausdorff, all of them are equivalent.

**Proof.**

(i) $\Rightarrow$ (ii) $\Leftrightarrow$ (iii) Omitted.

(ii) $\Leftrightarrow$ (ii)' (ii)':  $\text{Tor}_1^A(N, M) = 0 \forall N$  is an  $A$ -module annihilated by some power of  $J$ .  
( $\Leftarrow$ ) is trivial, so let's focus on ( $\Rightarrow$ ).

In particular  $\text{Tor}_1^A(I^n N/I^{n+1} N, M) = 0 \forall n \in \mathbb{N}$ . Then the exact sequence

$$0 \rightarrow I^{n+1} N \rightarrow I^n N \rightarrow I^n N/I^{n+1} N \rightarrow 0$$

which induces

$$\text{Tor}_1^A(I^{n+1} N, M) \rightarrow \text{Tor}_1^A(I^n N, M) \rightarrow \text{Tor}_1^A(I^n N/I^{n+1} N, M)$$

Notice that  $\exists m \in \mathbb{N}$  s.t.  $I^m N = 0$ , using descending induction, we have  $\text{Tor}_1^A(I^n N, M) = 0 \forall n \leq m$ , especially  $m = 0$ .

(ii) $\Rightarrow$ (iv) First we have a lemma:

- (a)  $\text{Tor}_1^A(A/I^n, M) = 0 \forall n \in \mathbb{N}$ .
- (b) The canonical homomorphism  $\theta_n : I^n \otimes_A M \rightarrow I^n M$  is bijective.
- (c)  $r : gr(A) \otimes_{gr_0(A)} gr_0(M)$  is bijective.

Then (a) $\Leftrightarrow$ (b) $\Rightarrow$ (c), (b) $\Leftarrow$ (c) when  $I$  is nilpotent.

**Proof.** (a) $\Leftrightarrow$ (b) by the exact sequence

$$0 = \text{Tor}_1^A(A/I, M) \rightarrow \text{Tor}_1^A(A/I^n, M) \rightarrow I^n \otimes M \rightarrow M$$

Consider the diagram:

$$\begin{array}{ccccccc} I^{n+1} \otimes_A M & \rightarrow & I^n \otimes_A M & \rightarrow & I^n/I^{n+1} \otimes_{A/I} M/IM & \rightarrow & 0 \\ & & \downarrow \theta_{n+1} & & \downarrow \theta_n & & \\ 0 \rightarrow & I^{n+1} M & \rightarrow & I^n M & \rightarrow & gr_n(M) & \end{array}$$

Where  $r_n$  is the canonical surjective map. It's commutative by the definition of  $r_n$ . In this case,  $\theta_n$  is bijective, thus  $r_n$  is bijective. For the second statement, notice that  $I^n \otimes_A M = I^n M = 0$  for some  $n$ , thus using descending induction we see it's true.

Thus by lemma, (ii) $\Rightarrow$ (ii)' $\Rightarrow r$  is bijective, also (ii) $\Rightarrow$ (iii), a fortiori  $M/IM$  is a flat  $A/I$ -module, thereby (iv) stands.

Also, (iii)  $\Leftarrow$ (iv) when  $I$  is nilpotent is also shown by the above process.

(iv) $\Leftrightarrow$ (v) Observe  $gr_m(M/I^n M) = \begin{cases} gr_m(M), m < n \\ 0, m \geq n \end{cases}$  For  $k \in \mathbb{N}$ , let (iv) $_k$  and (v) $_k$  be

the statement replacing  $A, I, M$  by  $A/I^k A, I/I^k, M/I^k M$ . Obviously

$$(iv) \Rightarrow (iv)_k \forall k \in \mathbb{N}$$

$$(v) \Rightarrow (v)_k \forall k \in \mathbb{N}$$

Thus it's sufficient to show that (iv) $_k \Rightarrow$  (v) $_k \forall k \in \mathbb{N}$  or also (iv) $\Leftrightarrow$ (v) for the case  $I$  is nilpotent, which will be assumed below. Since  $M/I^n M \cong M \otimes_A A/I^n$ , we know (v)  $\Rightarrow$  (i), more apparently, (i)  $\Rightarrow$  (v), so the statement is proved.

(v) $\Rightarrow$ (i) (For the case  $A$  is noetherian and  $M$  is ideally Hausdorff) It's proved in **Lemma 3-1**.

With above we now conclude:

**Lemma. 3** Let  $A \rightarrow B$  be a local homomorphism of local noetherian rings. Let  $M$  be a finitely generated  $B$ -module, and let  $t \in A$  be a nonunit that is not a zero divisor. Then  $M$  is flat over  $A$  if and only if  $t$  is not a zero divisor in  $M$  and  $M/tM$  is flat over  $A/tA$ .

**Proof.** Take  $I = J = (t)$  in **Lemma 3-1, 3-2**.

**Proposition. 4** Let  $f : X \rightarrow Y$  be a morphism of nonsingular varieties over an algebraically closed field  $k$ . Let  $n = \dim X - \dim Y$ . Then the following are equivalent:

- (i)  $f$  is smooth of relative dimension  $n$ .
- (ii)  $\Omega_{X/Y}$  is locally free of rank  $n$  on  $X$ .
- (iii) For every closed point  $x \in X$ ,  $T_f : T_x \rightarrow T_y \otimes_{k(y)} k(x)$  is surjective.

**Proof.**

(i) $\Rightarrow$ (ii) By **Proposition (0.2)**.

(ii) $\Rightarrow$ (iii) Use the exact sequence in **(II 8.11)** tensoring  $k(x) \cong k$  (since  $x$  is a closed point), we have:

$$f^* \Omega_{Y/k} \otimes k(x) \xrightarrow{\phi} \Omega_{X/k} \otimes k(x) \longrightarrow \Omega_{X/Y} \otimes k(x) \longrightarrow 0$$

since the dimension of each terms are  $\dim Y, \dim X, n$ ,  $\phi$  is actually an injection. Recall **(II 8.7)**: Let  $(B, m)$  be a local ring with a field  $k \cong B/m$  containing in  $B$ . Then

$$\begin{aligned} m &\rightarrow m/m^2 \xrightarrow{\partial} \Omega_{B/k} \otimes_B k \\ b &\mapsto \bar{b} \mapsto db \otimes 1 \end{aligned}$$

is an isomorphism.

So  $\phi$  is actually  $m_y/m_y^2 \hookrightarrow m_x/m_x^2$ , take dual to get our result.

(iii) $\Rightarrow$ (i) Recall **(III 9.1A(d))**:  $M$  is an  $A$ -module,  $M$  is flat over  $A$  if and only if  $M_p$  is flat over  $A_p \forall p \in \text{Spec } A$ . So to prove  $f$  is flat, we only have to verify  $\mathcal{O}_{X,x}$  is flat over  $\mathcal{O}_{Y,y} \forall x \in X$  is a closed point,  $y = f(x)$ .

Since  $X, Y$  both nonsingular,  $\mathcal{O}_x, \mathcal{O}_y$  are regular local rings. Also by taking dual on  $T_f$ ,  $m_y/m_y^2 \hookrightarrow m_x/m_x^2$  is injective.

Take a regular system of parameters of  $\mathcal{O}_y : (t) = (t_1, \dots, t_r)$ , then  $(t)$  forms a part of regular system of parameters of  $\mathcal{O}_x$ . Since  $\mathcal{O}_x/(t)$  is flat over  $\mathcal{O}_y/(t) \cong k$ , by **Lemma 3** and induction,  $\mathcal{O}_x/(t_1, \dots, t_i)$  is flat over  $\mathcal{O}_y/(t_1, \dots, t_i) \forall i = 0, \dots, r$ , in particular it's already proved  $f$  is flat. Proceed similar to (ii) $\Rightarrow$ (iii) but backward, we see for  $x$  is a closed point,

$$\dim_{k(x)}(\Omega_{X/Y} \otimes k(x)) = n$$

On the other hand,  $f$  is flat, by **(III Ex 9.1)**, is also dominant, by **(II 8.6A)**, the generic point  $\xi$  has the property:

$$\dim_{k(x)}(\Omega_{X/Y} \otimes k(x)) \geq n$$

In either case, we can conclude  $\Omega_{X/Y}$  is a coherent sheaf of rank  $\geq n$ , so by **(II 8.9)**, it's locally free of rank  $n$ , thus  $f$  is smooth of relative dimension  $n$ .

**Lemma. 5** Let  $f : X \rightarrow Y$  be a dominant morphism of integral schemes of finite type over an algebraically closed field  $k$  of characteristic 0. Then there is a nonempty open set  $U \subseteq X$  such that  $f : U \rightarrow Y$  is smooth.

**Proof.** By **(II 8.16)**, every variety over  $k$  has an open dense nonsingular subset, thus we may assume  $X, Y$  are nonsingular. Since  $\text{char } k = 0$ , thus perfect, by **(I, 4.8A)**,  $K(X)$  is a separably generated field extension of  $K(Y)$ . Therefore by **(II 8.6A)**,  $\Omega_{X/Y}$  is free of rank  $n$  at the generic point, thus locally free of rank  $n$  on some nonempty open set  $U$ . By **Proposition 4**,  $f : U \rightarrow Y$  is smooth.

**Remark.** The lemma may fail when characteristic of field is not zero. Let  $\text{char } k = p > 0, k = \bar{k}, f : P_k^1 \rightarrow P_k^1$  be the Frobenius morphism, then  $f$  is not smooth on any open set.

**Proposition. 6** Let  $f : X \rightarrow Y$  be a morphism of schemes of finite type over an algebraically closed field  $k$  of characteristic 0. For any  $r$ , let

$$X_r = \{\text{closed points } x \in X \mid \text{rank } T_{f,x} \leq r\}$$

Then  $\dim \overline{f(X_r)} \leq r$ .

**Proof.** Let  $Y'$  be any irreducible component of  $f(X_r)$ , and let  $X'$  be an irreducible component of  $X_r$  which dominates  $Y'$ . Give  $X'$  and  $Y'$  their reduced induced structures, and consider the induced dominant morphism  $f' : X' \rightarrow Y'$ . By **(Lemma 5)**, there is a nonempty open subset  $U' \subseteq X'$  such that  $f : U' \rightarrow Y'$  is smooth. Let  $x \in U' \cap X_r$ , then consider the diagram

$$\begin{array}{ccc} T_{x,U'} & \rightarrow & T_{x,X} \\ \downarrow T_{f',x} & & \downarrow T_{f,x} \\ T_{y,Y'} & \rightarrow & T_{y,Y} \end{array}$$

The horizontal arrows are injective, because  $U'$  and  $Y'$  are locally closed subschemes of  $X$  and  $Y$ , respectively. Also  $T_{f',x}$  is surjective by **Proposition 4**. Since  $\dim T_{f,x} \leq r$ ,  $\dim T_{y,Y'} \leq r$ , we get  $\dim Y' \leq r$ .

**Proposition. 7** Let  $f : X \rightarrow Y$  be a morphism of varieties over an algebraically closed field  $k$  of characteristic 0, suppose  $X$  is nonsingular. Then there exists a nonempty open subset  $V \subseteq Y$  such that  $f : f^{-1}V \rightarrow V$  is smooth.

**Proof.** Again may assume  $Y$  is nonsingular by **(II 8.16)**. Let  $\dim Y = r$ ,  $X_{r-1} \subseteq X$  as defined in **Proposition 6**, then  $\dim \overline{f(X_{r-1})} \leq r-1$ , moving it from  $X$ , therefore we can assume for every closed point in  $X$ ,  $\text{rank } T_f \geq r = \dim Y$ , which means they're all surjective, thus  $f$  is smooth by **Proposition 4**.

**Proposition. 8** A morphism  $f : X \rightarrow Y$  of schemes of finite type over  $k$  is étale if it is smooth of relative dimension 0. It is unramified if for every  $x \in X$ , letting  $y = f(x)$ , we have  $m_y \mathcal{O}_X = m_x$ , and  $k(x)$  is a separable algebraic extension of  $k(y)$ . Show that the following conditions are equivalent:

- (i)  $f$  is étale.
- (ii)  $f$  is flat,  $\Omega_{X/Y} = 0$ .
- (iii)  $f$  is flat and unramified.

**Proof.**

(i)  $\Leftrightarrow$  (ii) By the definition of smooth.

(iii)  $\Rightarrow$  (ii) We have

$$m_y/m_y^2 \otimes_{k(y)} k(x) = (m_y \otimes_{\mathcal{O}_y} k(y)) \otimes_{k(y)} k(x) = m_y \otimes_{\mathcal{O}_y} (\mathcal{O}_x/m_y \mathcal{O}_x)$$

Also,  $m_y \otimes_A \mathcal{O}_x \cong m_y \mathcal{O}_x = m_x$ , thus

$$m_y \otimes (\mathcal{O}_x/m_y \mathcal{O}_x) = (m_y \otimes \mathcal{O}_x)/m_y^2 = m_x/m_x^2$$

Thus the map  $T_{f,x}$  is an isomorphism, i.e. it's smooth of relative dimension 0.

(ii)  $\Rightarrow$  (iii) Fact: Let  $\mathcal{O}_x = B, \mathcal{O}_y = A$ , if  $\hat{A} \rightarrow \hat{B}$  is an isomorphism then  $f$  is unramified at  $x$ .

**Proof.** We know  $m_y^n \mathcal{O}_x = m_x^n \forall n \in \mathbb{N}$ , and the composition

$$A/m_y^n \rightarrow B/m_y^n B \rightarrow \hat{A}/m_y^n \hat{A}$$

is an isomorphism, it's left to show  $\hat{A} \rightarrow \hat{B}$  is injective, in other words,

$$m_y^n \hat{A} \cap B = m_y^n B \forall n \in \mathbb{N}$$

Notice that  $B = A + m_y^n B$  and  $m_y^n B \subseteq m_y^n \hat{A}$ , so  $\forall b \in B$ , it can be represented as  $a + \epsilon, a \in A, b \in m_y^n B, m_y^n \hat{A} \cap B \subseteq m_y^n B$ .

Conversely, if  $b \in m_y^n \hat{A}, a \in m_y \hat{A} \cap A = m_y^n$ , thus  $b \in m_y^n B$ . Thus we only need to prove  $\hat{A} \rightarrow \hat{B}$  is an isomorphism, but  $\mathcal{O}_x = \mathcal{O}_y + m_x^n, m_x^n = m_x^{n+1} + m_y^n \forall n \in \mathbb{N}$ , thus  $\hat{A} \rightarrow \hat{B}$  is an isomorphism. Also, by (II 8.6A),  $\dim_{k(x)} \Omega_{X/Y} \otimes k(x) \geq \text{tr.deg } k(x)/k(y)$ , equality holds if and only if  $k(x)$  is separately extended over  $k(y)$ . Thus in this case,  $k(x)$  is separately extended over  $k(y)$  of transcendental degree 0, i.e. a separable algebraic extension.

**Recall.** A group variety  $G$  is a variety  $G$  over an algebraically closed field  $k$ , together with morphisms  $\mu : G \times G \rightarrow G, \rho : G \rightarrow G$  s.t.  $G(k)$ ,  $k$ -rational points of  $G$ , becomes a group under the operation induced by  $\mu$ , with  $\rho$  giving the inverses.

We say that a group variety  $G$  acts on a variety  $X$  if we have a morphism  $\theta : G \times X \rightarrow X$  which induces a homomorphism  $G(k) \rightarrow \text{Aut} X$  of groups.

A homogeneous space is a variety  $X$ , together with a group variety  $G$  acting on it, such that the group  $G(k)$  acts transitively on  $X(k)$ .

**Remark.** A homogeneous space is necessarily a nonsingular variety.

**Theorem. 9** Let  $X$  be a homogeneous space with group variety  $G$  over an algebraically closed field  $k$  of characteristic 0. Let  $f : Y \rightarrow X, g : Z \rightarrow X$  are two morphisms between nonsingular varieties. For  $\sigma \in G(k)$ , let  $Y^\sigma$  be the same variety with  $Y$  but with morphism  $\sigma \circ f : Y^\sigma \rightarrow X$ . Then  $\exists V \subseteq G$  s.t.  $\forall \sigma \in V(k), Y^\sigma \times_X Z$  is nonsingular and either empty or has the dimension  $\dim Y + \dim Z - \dim X$ .

**Proof.** Define  $h : G \times Y \rightarrow X$  as the composition of  $f$  and  $\theta : G \times X \rightarrow X$ . We first prove that it's smooth. Now nonsingular is equivalent to smooth over  $k$  by **Proposition 0.2**, and  $G$  is nonsingular by the **Remark** above, therefore  $G \times Y$  is nonsingular by **Proposition 1(d)**.

Apply **Proposition 7**, we see that  $\exists U \subseteq X$  s.t.  $h^{-1}(U) \rightarrow U$  is smooth.

Now  $G$  acts on  $G \times Y$  by left multiplication on  $G$ ;  $G$  acts on  $X$  by  $\theta$ , and these two actions are compatible with the morphism  $h$ , by construction. Thus  $\forall \sigma \in G(k), h^{-1}(U^\sigma) \rightarrow U^\sigma$  is smooth. Since  $U^\sigma$  cover  $X$ , we conclude  $h$  is smooth.

Next consider the diagram:

$$\begin{array}{ccc} W & := & (G \times Y) \times_X Z \xrightarrow{h'} Z \\ & & \downarrow g' \qquad \qquad \downarrow g \\ & & G \times Y \xrightarrow{h} X \\ & & \downarrow pr_1 \\ & & G \end{array}$$

Then  $h'$  is smooth again by **Proposition 1(b)**, and remind that  $Z$  is smooth over  $k$  by **Proposition 0.2**, using **Proposition 1(c)**,  $W$  is nonsingular. Consider

$$q = pr_1 \circ g' : W \rightarrow G$$

Again apply **Proposition 7**,  $\exists V \subseteq G$  s.t.  $q^{-1}(V) \rightarrow V$  is smooth.

Therefore  $\forall \sigma \in V(k), W_{\bar{\sigma}}$  is nonsingular, where the result and notation follow from **Theorem 2**. But  $W_{\bar{\sigma}}$  is just  $W_\sigma$  since  $k$  is algebraically closed. Also,  $W_\sigma$  actually coincides to  $Y^\sigma \times_X Z$ , which proves the first statement.

For the second statement, we notice that  $h$  is smooth of dimension  $\dim G + \dim Y - \dim X$ , so is  $h'$  by **Proposition 1(b)**, thus

$$\dim W - \dim Z = \dim G + \dim Y - \dim X$$

Also,  $q|_{q^{-1}(V)}$  is smooth of dimension  $\dim W - \dim G$ , thus by the definition of smoothness and **(III 9.6)**,  $\forall \sigma \in V(k)$

$$\dim W_\sigma = \dim W - \dim G = \dim Y + \dim Z - \dim X$$



**Corollary. 10** Let  $X$  be a nonsingular projective variety over an algebraically closed field  $k$  of characteristic 0. Let  $\mathfrak{d}$  be a linear system without base points. Then almost every element of  $\mathfrak{d}$ , considered as a closed subscheme of  $X$ , is nonsingular (but maybe reducible).

**Proof.** Let  $f : X \rightarrow \mathbb{P}^n$  be the morphism determined by  $\mathfrak{d}$ , applying (II 7.8.1). Consider  $\mathbb{P}^n$  as a homogeneous space under the action of  $\mathrm{PGL}(n)$  by (II 7.1.1). Take an arbitrary hyperplane  $H \hookrightarrow \mathbb{P}^n$  and apply **Theorem 9** on it, then for almost every  $\sigma \in G(k)$ ,  $X \times_{\mathbb{P}^n} H^\sigma = f^{-1}(H^\sigma)$  is nonsingular. But  $f^{-1}(H^\sigma)$  is just some element of  $\mathfrak{d}$ , thus the result.

**Remark.** In (Ex. 11.3), if  $\dim f(X) \geq 2$ , then all the divisors in  $\mathfrak{d}$  are connected. Hence almost all of them are irreducible and nonsingular.

**Remark.** In fact,  $X$  is not need to be projective if  $\mathfrak{d}$  is finite-dimensional. In particular, if  $X$  is projective, a straightforward and more general statement is that "a general member of  $\mathfrak{d}$  can have singularities only at the base points."

**Remark.** This result fails in characteristic  $p > 0$ . Take the same example in **Remark of Lemma 5**, the morphism  $f$  corresponds to the one-dimensional linear system  $\{pP \mid P \in \mathbb{P}\}$ . Thus every divisor in  $\mathfrak{d}$  is a point with multiplicity  $p$ .