

Definition 1.

- (1) A locally of finite type morphism $f : X \rightarrow Y$ of schemes is called **unramified** at $x \in X$ if $\mathcal{O}_{X,x} / \mathfrak{m}_{f(x)} \mathcal{O}_{X,x}$ is a finite separable field extension of $k(f(y))$.
- (2) A morphism $f : X \rightarrow Y$ of schemes is called **étale** if f is locally of finite type, flat and unramified.

Proposition 2. Let A be a finite algebra over a field k . TFAE:

- (a) A is separable over k .
- (b) $\bar{A} = A \otimes_k \bar{k}$ is isomorphic to a finite product of copies of \bar{k} .
- (c) A is isomorphism to a finite product of separable field extension of k .
- (d) The discriminant of any basis of A over k is nonzero.

proof: (a) \Rightarrow (b): \bar{A} has only finitely many prime ideals and they are all maximal. By the assumption, their intersection is zero. The conclusion follows from Chinese remainder theorem.

(b) \Rightarrow (c): By Chinese remainder theorem, A/\mathfrak{J} is isomorphic to a finite product $\prod k_i$ of finite field extension of k , where \mathfrak{J} is the Jacobson radical of A . Then $\text{Hom}_k(A, \bar{k})$ has $\sum [k_i : k]_s$ elements. Since $\text{Hom}_k(A, \bar{k}) = \text{Hom}_{\bar{k}}(\bar{A}, \bar{k})$, which has $[\bar{A} : \bar{k}]$ elements by the assumption, we have

$$[A : k] = [\bar{A} : \bar{k}] = \sum [k_i : k]_s \leq \sum [k_i : k] = [A/\mathfrak{J} : k] \leq [A : k]$$

The equality holds, which implies k_i are separable.

(c) \Rightarrow (d): If $A = \prod k_i$ with k_i separable field extension of k , then $\text{disc}(A) = \prod \text{disc}(k_i)$, which is nonzero since k_i are separable.

(d) \Rightarrow (a): If $x \in \mathfrak{J}(\bar{A})$, then xa is nilpotent for any $a \in \bar{A}$, and hence $\text{Tr}_{\bar{A}/\bar{k}}(xa) = 0$. Note that the discriminant of A and \bar{A} are the same, therefore $x = 0$. \square

Recall the Hensel's lemma in number theory:

Theorem 3. Let A be a complete discrete valuation ring with k the residue field and $f \in A[T]$ monic. If $\bar{f} = g_0 h_0 \in k[T]$ for some $g_0, h_0 \in k[T]$ monic coprime, then $f = gh$ for some $g, h \in A[T]$ monic with $\bar{g} = g_0, \bar{h} = h_0$.

Definition 4. A local ring A is called **Henselian** if the conclusion of Hensel's lemma holds.

Theorem 5. Let A be a local ring and x be the closed point of $X = \text{Spec } A$. TFAE:

- (a) A is Henselian.

- (b) Any finite A -algebra B is a direct product of local rings $B = \prod B_i$.
- (c) If $f : Y \rightarrow X$ is quasi-finite and separable, then $Y = Y_0 \sqcup \dots \sqcup Y_n$, where $x \notin f(Y_0)$ and for $i \geq 1$, $Y_i = \text{Spec } B_i$ is finite over X , where B_i are local rings.
- (d) If $f : Y \rightarrow X$ is étale and there is a point $y \in Y$ such that $f(y) = x$ and $k(y) = k(x)$, then f has a section $s : X \rightarrow Y$.
- (e) Let $f_1, \dots, f_n \in A[T_1, \dots, T_n]$. If there exists an $a = (a_1, \dots, a_n) \in k^n$ such that $\overline{f_i}(a) = 0$ and $\det \left(\left(\frac{\partial \overline{f_i}}{\partial T_j} \right) (a) \right) \neq 0$, then there is a $b \in A^n$ such that $\overline{b} = a$ and $f_i(b) = 0$.

[c.f. Étale Cohomology, Milne, p.32]

Proposition 6. Any complete local ring A is Henselian.

proof: Let B be an étale A -algebra, and suppose that there is a section $s_0 : B \rightarrow k$. Write $A_r = A/\mathfrak{m}^{r+1}$. It suffices to show that there exist compatible sections $s_r : B \rightarrow A_r$, then they induce a section $s : B \rightarrow A$. It is clear for $r = 0$, and for $r > 0$, the existence of s_r follows from the existence of s_{r-1} and the following fact: Given an X -morphism $g_0 : X'_0 \rightarrow Y$, there is an X -morphism $g : X' \rightarrow Y$ such that the diagram commutes

$$\begin{array}{ccc} Y & \xleftarrow{g_0} & X'_0 \\ f \downarrow & \swarrow g & \downarrow \\ X & \xleftarrow{\quad} & X' \end{array}$$

[c.f. EGA.IV.17][c.f. Milne, p.30] □

A ring A is a subring of its completion \widehat{A} , hence any local ring A is a subring of Henselian ring. We define the Henselization of A to be the Henselian ring A^h with a local homomorphism $i : A \rightarrow A^h$ such that for any other local homomorphism from A to a Henselian local ring factors through i uniquely. It is clear that the Henselization is unique if it exists. To prove the existence of the Henselization, we introduce the étale neighborhood.

Definition 7. An **étale neighborhood** of a local ring A is a pair (B, \mathfrak{q}) where B is an étale A -algebra and \mathfrak{q} is a prime ideal of B lying over \mathfrak{m} such that the induced map $k \rightarrow k(\mathfrak{q})$ is an isomorphism.

Lemma 8.

- (a) If (B, \mathfrak{q}) and (B', \mathfrak{q}') are étale neighborhoods of A with $\text{Spec } B'$ connected, then there is at most one A -homomorphism $f : B \rightarrow B'$ such that $f^{-1}(\mathfrak{q}') = \mathfrak{q}$.

- (b) Let (B, \mathfrak{q}) and (B', \mathfrak{q}') be étale neighborhoods of A . Then there is an étale neighborhood (B'', \mathfrak{q}'') of A with $\text{Spec } B''$ connected and A -homomorphisms $f : B \rightarrow B'', f' : B' \rightarrow B''$ such that $f^{-1}(\mathfrak{q}'') = \mathfrak{q}, f'^{-1}(\mathfrak{q}'') = \mathfrak{q}'$.

proof: (a) Use the fact: Let $f, g : Y' \rightarrow Y$ be X -morphisms with Y' connected and Y étale separated over X . If there exists a point $y' \in Y'$ such that $f(y') = g(y') = y$ and the maps $k(y) \rightarrow k(y')$ induced by f, g coincide, then $f = g$.

(b) Let $C = B \otimes_A B'$. Then we have a map $C \rightarrow k$ induced by $B \rightarrow k$ and $B' \rightarrow k$. Let \mathfrak{q}'' be the kernel. Take $c \notin \mathfrak{q}''$ and let $B'' = C_c$. Then $(B'', \mathfrak{q}''B'')$ is as desired. \square

Corollary 9. For any local ring A , the Henselization A^h exists.

proof: The étale neighborhoods of A with connected spectra form a filtered direct system. Define $(A^h, \mathfrak{m}^h) = \varinjlim (B, \mathfrak{q})$. Then A^h is a local A -algebra with maximal ideal \mathfrak{m}^h and $A^h/\mathfrak{m}^h = k$, and it is indeed a Henselian ring. \square

Definition 10. Let X be a scheme and let $x \in X$. An **étale neighborhood** of x is a pair (Y, y) where Y is an étale X -scheme and $y \in Y$ is mapped to x such that $k(x) = k(y)$.

Similarly, the connected étale neighborhoods of x form a filtered system and $\varinjlim \Gamma(Y, \mathcal{O}_Y) = \mathcal{O}_{X,x}^h$.

Definition 11. A Henselian ring A is **strictly Henselian** if the residue field of A is separably algebraically closed.

Some of above conclusion can be rewritten for strictly Henselian rings. The **strict Henselization** of A is a pair (A^{sh}, i) , where A^{sh} is a strictly Henselian ring and $i : A \rightarrow A^{sh}$ is a local homomorphism such that for any other local homomorphism from A to a strictly Henselian ring factors through i .

Definition 12. Let X be a scheme and $\bar{x} : \text{Spec } k \rightarrow X$ a geometric point of X , where k is a separably closed field. An **étale neighborhood** of \bar{x} is a commutative diagram

$$\begin{array}{ccc} \text{Spec } k & \longrightarrow & U \\ & \searrow \bar{x} & \downarrow \\ & & X \end{array}$$

with $U \rightarrow X$ being étale.

Similarly $\mathcal{O}_{X,x}^{sh} = \varinjlim \Gamma(U, \mathcal{O}_U)$ where the limit is taken over all étale neighborhoods of \bar{x} .

Proposition 13.

- (a) A composite of étale morphisms is étale.
- (b) An étale morphism $X \rightarrow Y$ remains étale after an arbitrary base extension $Z \rightarrow Y$.
- (c) Given morphisms $X \xrightarrow{f} Y \xrightarrow{g} Z$. If $g \circ f$ and g are étale, then so is f .

In the version of rings:

- (c') Let $A \rightarrow B \rightarrow C$ be ring extensions. If B and C are étale over A , then C is étale over B .

For a scheme X , denote $\hat{\text{Ét}}(X)$ to be the category of all étale extensions of X , considered as a full subcategory of all X -schemes. All of morphisms in $\hat{\text{Ét}}(X)$ are étale by (c). Similarly define $\hat{\text{Ét}}(A)$ for a ring A .

Definition 14. A presheaf \mathcal{F} on $\hat{\text{Ét}}(X)$ of abelian groups is a contravariant functor

$$\mathcal{F} : \hat{\text{Ét}}(X) \rightarrow (\text{Ab})$$

A presheaf \mathcal{F} on $\hat{\text{Ét}}(A)$ of abelian groups is a covariant functor

$$\mathcal{F} : \hat{\text{Ét}}(A) \rightarrow (\text{Ab})$$

Definition 15. A finite family $\mathcal{B} = (U_i \xrightarrow{\phi_i} U, i \in I)$ of étale morphisms is called an **étale covering** of a scheme U if $U = \bigcup_{i \in I} \phi_i(U_i)$.

Definition 16. A presheaf \mathcal{F} is called a sheaf if the sequence

$$\mathcal{F}(U) \rightarrow \prod_i \mathcal{F}(U_i) \rightrightarrows \prod_{i,j} \mathcal{F}(U_i \times_U U_j)$$

is exact for all coverings $(U_i \rightarrow U)$.

Consider the category of all étale coverings of a fixed object $B \in \hat{\text{Ét}}(A)$. A map between two coverings $\mathcal{B} \rightarrow \mathcal{B}'$, where

$$\mathcal{B} = (B \rightarrow B_i, i \in I), \quad \mathcal{B}' = (B \rightarrow B'_j, j \in J)$$

is given by a map $\sigma : J \rightarrow I$ of the index sets and a family of homomorphisms $B_{\sigma(j)} \rightarrow B'_j$. For each covering $\mathcal{B} = (B \rightarrow B_i)$, denote $\mathcal{F}(\mathcal{B})$ the set of all families $s_i \in \mathcal{F}(B_i)$ with the above compatibility property.

Lemma 17.

- (a) For any two coverings \mathcal{B} and \mathcal{B}' , there is a covering \mathcal{B}'' together with morphisms $\mathcal{B} \rightarrow \mathcal{B}''$ and $\mathcal{B}' \rightarrow \mathcal{B}''$.

(b) Two morphisms $\mathcal{B} \rightrightarrows \mathcal{B}'$ induce the same map $\mathcal{F}(\mathcal{B}) \rightarrow \mathcal{F}(\mathcal{B}')$.

So we may consider $\widetilde{\mathcal{F}}(B) = \varinjlim_{\mathcal{B}} \mathcal{F}(\mathcal{B})$. In general, $\widetilde{\mathcal{F}}$ is not a sheaf, however, we have the following proposition:

Proposition 18.

- (a) If $\mathcal{F}(B) \rightarrow \mathcal{F}(\mathcal{B})$ is injective, then $\widetilde{\mathcal{F}}$ is a sheaf.
- (b) $\widetilde{\mathcal{F}}(B) \rightarrow \widetilde{\mathcal{F}}(\mathcal{B})$ is always injective.

Therefore $\widehat{\mathcal{F}} = \widetilde{\mathcal{F}}$ is always a sheaf, called the sheaf generated by the presheaf \mathcal{F} . The category $\text{Ét}(X)$ is small, then the collection of all presheaves with the natural transformations as morphisms forms a category. We consider the category of sheaves as a full subcategory.

Fact: The category of presheaves of abelian groups and the category of sheaves of abelian groups are abelian, and every sheaf is a subsheaf of an injective sheaf. The functor $\mathcal{F} \mapsto \mathcal{F}(X)$ (respectively, $\mathcal{F}(A)$) is left exact.

Hence now we define the étale cohomology as

$$H_{\text{ét}}^i(X, \mathcal{F}) = R^i(\mathcal{F} \mapsto \mathcal{F}(X)), \quad H_{\text{ét}}^i(A, \mathcal{F}) = R^i(\mathcal{F} \mapsto \mathcal{F}(A))$$

In particular, if $X = \text{Spec } A$, for any sheaf \mathcal{F} on X , let \mathcal{F}_0 be a sheaf on A defined by $\mathcal{F}_0(B) = \mathcal{F}(\text{Spec } B)$. This gives an equivalence of categories, therefore we have

$$H_{\text{ét}}^i(\text{Spec } A, \mathcal{F}) = H_{\text{ét}}^i(A, \mathcal{F}_0)$$

Definition 19. Let \mathcal{F} be a presheaf. Define the **stalk** of \mathcal{F} at the geometric point \bar{x} to be the limit

$$\mathcal{F}_{\bar{x}} = \varinjlim \mathcal{F}(U)$$

where U runs through all étale neighborhoods of \bar{x} .

Definition 20. Let $f : X \rightarrow Y$ be a morphism of schemes, \mathcal{F} a sheaf on X . The direct image is defined to be

$$(f_*\mathcal{F})(U) = \mathcal{F}(X \times_Y U), \quad U \rightarrow Y \text{ étale}$$

which is a sheaf on Y . Note that the functor $\mathcal{F} \mapsto f_*\mathcal{F}$ is left exact, hence we can define the higher derived image $R^i f_*\mathcal{F}$.

Proposition 21. $R^i f_*\mathcal{F}$ agrees with the sheaf generated by the presheaf

$$U \mapsto H^i(X \times_Y U, \mathcal{F}_U)$$

where we denote by \mathcal{F}_U the restriction of \mathcal{F} w.r.t. the étale map $X \times_Y U \rightarrow X$.