

Variation with  $p$  of the number  $N(p)$  of solutions  
 mod  $p$  of poly equations.

Introduction:

(1) Def of  $N(p)$ .

$$f(x_1, \dots, x_n) \in \mathbb{Z}[x_1, \dots, x_n]$$

$$N(p) = |\{(x_1, \dots, x_n), x_i \in \mathbb{Z}/p\mathbb{Z} = \mathbb{F}_p, f(x) = 0 \text{ in } \mathbb{Z}/p\mathbb{Z} \text{ for every } x\}|$$

$A = \mathbb{Z}[x]/f$  ring of finite type over  $\mathbb{Z}$ .

$(x) \in \mathbb{F}_p^n, f(x) = 0 \Leftrightarrow \text{from } A \rightarrow \mathbb{F}_p = \text{max ideal of } A \text{ of index } p$

Recall: in  $A$ , every maximal ideal has finite index  
 $A \supset m$ ,  $A/m$  finite field. (eg. Bourbaki)  
 comm alg.

$q = p^e, e \geq 1, N(q) \text{ nb of sol in } \mathbb{F}_q.$

"vertical"  $\{p, p^2, p^3, \dots\}$

horizontal:  $p \rightarrow \infty$  (less known, our interest)

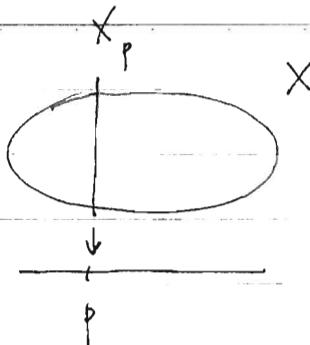
Scheme point of view:

$A$ ,  $\text{Spec } A$  prime ideals in  $A$ , Zariski top, sheaf  $\mathcal{A}$   
 local rings.

$\downarrow$   
 $\text{Spec } \mathbb{Z}$

Generalization: Consider  $X \xrightarrow{\pi} \text{Spec } \mathbb{Z}$  a scheme (always assume separated)  
 $\pi$  of finite type.

i.e.  $X$  has a finite covering by open sets, of type  
 $\text{Spec}(A)$ ,  $A$  f.g. over  $\mathbb{Z}$  subschemes



$X_p$  scheme over  $\mathbb{F}_p$  (fiber)  
in scheme theory, there are 2  
notions of "points". in order not  
to get into that, consider only

$N_{X(\mathbb{F}_p)}$  = number of closed points  $x \in X$ ,  $|k(x)| = p$ .

for general case  $\mathbb{F}_q$ , need to consider

$$X(\mathbb{F}_q) = \{(x, \varphi), x \in X \text{ closed}, \varphi : k(x) \rightarrow \mathbb{F}_q\}$$

e.g.  $p^2$ ,  $k(x) : \mathbb{F}_q \rightarrow \mathbb{F}_q$   $\rightarrow$   $g=2$ , count 2 points

② Results to be proved later:

relate  $N_{X(\mathbb{F}_p)}$  with the topology of  $X(\mathbb{C})$

Ex. affine case,  $f_\lambda$ ,  $X(\mathbb{C}) = \text{set of cpx sl.}$   
top space,  $\mathbb{C}$ -analytic.

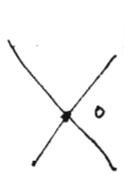
Theorem 1.  $X(\mathbb{C}) = \emptyset \Leftrightarrow N_{X(\mathbb{F}_p)} = 0$  for all large enough  $p$ .

Theorem 2.  $m > 0$

(a)  $\dim X(\mathbb{C}) \leq m \Leftrightarrow N_{X(\mathbb{F}_p)} = O(p^m)$  when  $p \rightarrow \infty$ .  
i.e. there exists  $p_0, C, p_0$  prime,  $C > 0$   
 $N_{X(\mathbb{F}_p)} \leq C p^m$  for all  $p \geq p_0$ .

(b). Let  $e$  be the number of  $\mathbb{C}$ -irreducible components  
(of  $X(\mathbb{C})$ ) of dim  $m$ , Then  $\limsup_{p \rightarrow \infty} \frac{N_{X(\mathbb{F}_p)}}{p^m} = e$   
assume  $\dim X \leq m$ .

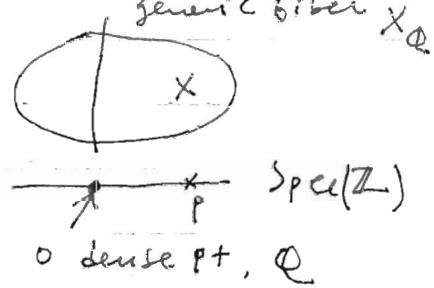
Ex.  $x^2 + y^2 = 0$        $\mathbb{F}_2$        $N(x) = 2$



slopes in  $\mathbb{F}_p$ ,  $p \equiv 1 \pmod{4}$ ;  $N(x) = 2p - 1$  if  $p \equiv 1 \pmod{4}$ ;  $N(x) = 1$  if  $p \equiv -1 \pmod{4}$ .

(c) let  $e_Q$  be the number of  $\mathbb{Q}$  irreducible components of  $\dim m$  of  $X/\mathbb{Q}$ . Then

$$\sum_{p \leq x} N(x(p)) = e_Q \frac{x^{m+1}}{\log(x^{m+1})} + O\left(\frac{x^{m+1}}{(\log x)^2}\right).$$



(the prime # thm! ).

Rigidity property of  $p \mapsto N(x(p))$ :

Theorem 3. Let  $X, Y$  be 2 schemes of finite type over  $\mathbb{Z}$ , Assume  $N_X(p) = N_Y(p)$  for a set of primes of density 1, Then there exists  $p_0$ , st.

$$N_X(p^e) = N_Y(p^e) \text{ for every } p \geq p_0, e \geq 1.$$

Theorem 4. Let  $n \geq 1$ , at  $\mathbb{Z}/n\mathbb{Z}$  ( $X$  f.type  $\mathbb{Z}$ )

The congruence  $N(p) \equiv a \pmod{n}$

has infinite many sol (in  $\mathbb{Z}$ ) if

$q = \text{Euler characteristic of } X(\mathbb{F})$ .

(They make a set of the primes with density  $> 0$ , and  $\mathbb{Q}$ )

$X(C)$  locally compact. //

$T$  loc. cpt. 2 kinds of coh.

$H^i(T, \mathbb{Q})$  coh. with "arbitrary support",  $H^0 =$  set of loc. const fun which are 0 outside some cpt.

$H_c^i(T, \mathbb{Q})$  coh. with "cpt support",  $H^0 =$  set of loc. const fun which are 0 outside some cpt.

If the  $H^i$  are finite dim and = 0 for  $i$  large, then one defines Euler-Poincaré:

$$EP(T) = \sum H_i^i \dim H^i(T, \mathbb{Q})$$

$$EP_c(T) = \sum H_i^i \dim H_c^i(T, \mathbb{Q})$$

Theorem (Grothendieck, Lichtenbaum)

If  $T = X(C)$ ,  $C \mathbb{R}$

$$EP(T) = EP_c(T)$$

Notice: This is not true in general, e.g.  $X = \mathbb{R}$  by Poincaré dual

### ③ Examples:

(1).  $\dim X(C) = 0$ ,  $f \in \mathbb{Z}[x]$ ,  $f \neq 0$ .  $X = \text{Spec } \mathbb{Z}[x]/(f)$

$N_{X(p)} = \text{nb of sol of } f(x) = 0 \pmod{p}$ .

$$f = x^2 + 1, \quad N_{X(p)} = \begin{cases} 1 & \text{if } p \equiv 2 \\ 2 & \text{if } p \equiv 1 \pmod{4} \\ 0 & \text{if } p \equiv -1 \pmod{4}. \end{cases}$$

If not just count numbers, we want to find sol.

From the computational point of view:

$$p \equiv 1 \pmod{4}, \sqrt{-1} \in \mathbb{F}_p?$$

Find it in polynomial time wrt  $\log p$ :

• There is a "probability method" for all  $f(x)$ : Legendre

Now ask for "deterministic method" even for  $\sqrt{-1}$

J.-F. Mestre, René Schoof ~ 1985

$NX(p)$ ,  $X$  elliptic curve.  $O(\log p)^8$ . later Atkin ...

Cov. Algorithm for computing square roots in poly time.

Rank: # primes  $p \equiv 1 \pmod{4}$ ,  $p \leq x \sim \frac{1}{2} \pi(x)$ .

Sarnak + Rubinstein "Chebychev bias" a gap 0.998.

+1 -1 +1 ... competing primes

(2) Cubic example:  $x^3 - x - 1 = 0$ , disc = -23.

$$N(-23) = 21,$$

$$p \neq 23 : \left( \frac{p}{23} \right) = -1, N(p) = 1, (p \equiv \dots)$$

$$\left( \frac{p}{23} \right) = 1, N(p) = \begin{cases} 0 : p \text{ is not} \\ 3 : p \text{ is repr by the} \\ a^2 + 23b^2 \end{cases}$$

$\{ \pm 23, \overline{\mathbb{F}_p}, 3 \text{ sd. } X(\overline{\mathbb{F}_p}) \text{ has 3 elements.}$

$x \in X \Rightarrow x^p \in X$ , Frob. permutation of  $X$

$\sigma_p$  of order 2: ,  $\sigma_p \in \text{Sym}_X \cong S_3 \cong P_3$

$\sigma_p$  of order 3: 0

in respected order in  $X$   
 $\sigma_p$  of order 1: 3

:  $\uparrow$  Frob. fixed pts.

Chebotarev density thm:

each of three cases has a density:  $\frac{3}{6} = \frac{1}{2}$ ,  $\frac{3}{6} = \frac{1}{3}$ ,  $\frac{1}{6}$ .

A bit Alg. number theory:

$$\begin{array}{c} E \\ \overbrace{\quad\quad\quad}^3 \\ \mathbb{Q}(\sqrt{-23}) \end{array}$$

$\mathbb{Q}(\sqrt{-23}) \xrightarrow[2]{} \mathbb{Q}(\sqrt{1})$

$$x^3 - x - 1 = 0$$

$E$  is a Galois ext of  $\mathbb{Q}$   
with Galois gp  $P_3$ .

$\sigma_p \in P_3 = \text{Gal}(E/\mathbb{Q})$ , what do we know about it?

$\sigma_p$  in  $\mathbb{Q}(\sqrt{-23})/\mathbb{Q}$  is the Frob of that ext

$\Leftrightarrow$  order  $\frac{1}{2}$  if  $-23$  square mod  $p \Leftrightarrow \left(\frac{1}{23}\right) = 1$   
not square  $\Leftrightarrow \left(\frac{1}{23}\right) = -1$ .

$P_3 \rightarrow (\pm 1)$ .

Investigate the nice tower:

$$L_3 = \text{Gal}(E/\mathbb{Q}(\sqrt{-23}))$$

$\mathbb{Q}(\sqrt{-23})$   
 $\backslash$   
 $\text{is unramified.}$

is a quotient of the class gp.

$\mathcal{O} = \text{integers of } \mathbb{Q}(\sqrt{-23})$ , is a Dedekind ring.

$$\text{cl}(\mathcal{O}) = \text{Pic}(\mathcal{O})$$

order of  $\text{cl}(\mathcal{O}) = h(-23)$ , the class number

So,  $E$  is the maximal unramified

abelian ext of  $\mathbb{Q}(\sqrt{-23})$  i.e. Hilbert class field

How do we decide the role  $\sigma_p$ ?

$\mathfrak{P}, \bar{\mathfrak{P}}$  ideals of  $\mathcal{O}$  of norm  $p$ ,  $\mathcal{O}/\mathfrak{P} = \mathbb{F}_p$

If  $\mathfrak{P}$  is a principal ideal, then  $\sigma_p = 1$

i.e.  $\exists \pi \in \mathcal{O}$  s.t.  $\pi \bar{\pi} = \text{Norm}(\pi) = p$

if not,  $\sigma_p$  is of order 3.

thus it is almost clear

$$\pi = \lambda + \mu \sqrt{-23}$$

$$\text{Norm}(\pi) = \lambda^2 + 23\mu^2$$

Modular interpretation of  $N(p)$ :

$$f = q \prod_{n=1}^{\infty} (1 - q^n)(1 - q^{23^n}) = \eta(z) \eta(23z)$$

[where  $q = e^{2\pi iz}$ ,  $\eta = q^{\frac{1}{24}} \prod_{n=1}^{\infty} (1 - q^n)$ .]

$$= \sum a_n q^n = q - q^2 - q^3 + \dots$$

$$= \frac{1}{2} \left\{ \sum_{a,b \in \mathbb{Z}} q^{a^2+ab+6b^2} - \sum_{a,b \in \mathbb{Z}} q^{2a^2+ab+3b^2} \right\} \text{ theta series.}$$

Theorem:  $N(p) = 1 + ap$ .

These 2 forms are easy to remember, since  $\text{disc} = -23$ .

e.g.  $p=3$ ,  $N(3) = 1 - 1 = 0$ . ( $x^3 - x - 1 = 0$ ,  $0 - 1 = 0$  not possible.)

Also  $-1 \leq ap \leq 2$ .

Dirichlet series:  $L_f(s) = \sum_{n \geq 1} a_n n^{-s}$ .

$$\textcircled{E}: \text{highly non-obviously: } = \prod_p \frac{1}{1 - ap p^{-s} + (\frac{p}{23}) p^{-2s}}; \quad \text{if } p=23$$

The  $a_n$ 's are mult:  $a_{nn'} = a_n a_{n'}$  if  $(n, n') = 1$ .

weight:  $k$   $N=23$ ,  $k=1$ , & the Legendre

level:  $N$  character mod 23.

char mod  $N$ : & cusp forms, 1-dimensional

Both  $\textcircled{A}, \textcircled{B}$  are modular forms, but not cusp.

after substraction, can get 1-dim cusp forms.

Kernel by Hecke operators get Euler prod  $\textcircled{E}$ .

Modular forms = Galois representations of dim 2:

In weight 1;  $\rho: \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{GL}_2(\mathbb{C})$

Our case:  $\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{Gal}(\mathbb{E}/\mathbb{Q}) \xrightarrow{\quad} \text{GL}_2(\mathbb{C})$

$\begin{smallmatrix} s_1 \\ p_3 \end{smallmatrix}$

obvious

representation

$$\rho(\sigma_p) \begin{cases} \text{trace } \alpha_p \\ p \neq 23 \end{cases}$$

$$\det \epsilon(\sigma_p)$$

$$\text{So if it is really Artin L-series} = \prod_p \frac{1}{1 - \det(1 - \rho(\sigma_p)p^{-s})}$$

$$\left( = \prod_p \frac{1}{1 - \text{Tr}(\sigma_p)p^{-s} + \det(\sigma_p)p^{-2s}} \right)$$

$$N(p) = \text{nb of fixed pt of } \sigma_p$$

$$\alpha_p = \text{trace of } \rho(\sigma_p)$$

$$\rho_3 = 1 \oplus \rho, \rho_3 \text{ is the permutation repr of } D_3 \text{ on 3 elts}$$

This example has many features

Some extends, some don't.

$$x^3 - x - 1 \quad \Delta = -27 + 4 = -23$$

$$x^3 + x - 1 \quad \Delta = -27 - 4 = -31, \text{ play the same game. } \ell(-31) =$$

f levels 31, wt 1, Legendre char mod 31,

$$f = \frac{1}{2} \left\{ \sum q a^2 + q b + q b^2 = \sum q 2a^2 + q b + 4b^2 \right\} = \sum a n q^n$$

$$N(p) = 1 + \alpha_p.$$

Before:  $p=23, \eta(z)\eta(23z), 23 \text{ is essential since } 1+23=24$   
 to get  $q^{\frac{1}{24}}q^{\frac{23}{24}} = q$ .

Now an exercise:

$$f = q + \dots = q(1-q)^{\alpha_1}(1-q^2)^{\alpha_2} \dots \text{ such exp f!}$$

Exercise: for ~~p=31~~, this case,  $\alpha_i$  not bounded!  
 So no explicit prod formula.

Hint: Show that the modular form  $f(e^{2\pi i z})$  has a zero  
 in the upper half plane, hence not const with kis f.

## About the Lectures :

NCP : Chebotarev theorem  
applications of  $\ell$ -adic coh

Next lecture will go to motives, Langlands.

③ Reminder of  $\ell$ -adic cohomology / over a finite field  $\mathbb{F}_q$  with  $q$  elements.

a)  $X$  scheme of finite type over an alg closed field  $k$   
separated. (required). e.g. (char 0 or  $p$   
are ok.)

Since we do not consider fine  $L$ ;  $U = L - \{0\}$   
cohomology of non-sep space.  $L'$ ;  $U' = L' - \{0\}$

in scheme theory, can glue freely  
glue  $L$  and  $L'$  over  $U \cong U'$ .

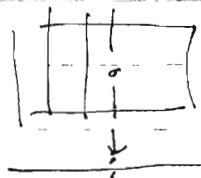
We can define coh  
étale coh gp, modules  
for  $X$  with coeff in  
a finite gp  $\mathbb{Z}/m\mathbb{Z}$ .

replace open sets by  
étale open sets.

$\overset{\circ}{\rightarrow} U$  by  $\overset{\circ}{\subseteq} \downarrow$

(\*)  $\longrightarrow$  non separated  
even in classical top.

Even in Diff geom:



plane  $\cong \{0,0\}$

equiv:  $x, y$  are in  
the same conn. comp  
of fiber.

The quot get (\*) .

$$H^i(X, \mathbb{Z}/m\mathbb{Z})$$

$$H_c^i(X, \mathbb{Z}/m\mathbb{Z})$$

: good properties when

$$(m, \text{char}(k)) = 1$$



} Finite (for given  $i, m$ ),  
o if  $i > 2 \dim X$ .

Next step:  $\ell$  prime  $\neq$  char  $k$ .

$$H^i(X, \mathbb{Z}_\ell) = \text{def } \varprojlim_n H^i(X, \mathbb{Z}/\ell^n \mathbb{Z})$$

$\mathbb{Z}_\ell$ -module of finite type.

Rmk: may have torsion, dep. thm of Gabber,  
 $\exists$  torsion only for finite many  $n$ .

$$H^i(X, \mathbb{Q}_\ell) = \mathbb{Q}_\ell \otimes_{\mathbb{Z}} H^i(X, \mathbb{Z}_\ell) \text{ ... Same def'' for } H_c^i.$$

Remarks: a)  $\dim H^i(X, \mathbb{Q}_\ell)$  is conjectured to be rdsp of  $\ell$   
known for  $X$  smooth projective.

Theorem (M. Artin)

If  $k = \mathbb{C}$ , then coh gps  $H^i(X, \mathbb{Z}/m\mathbb{Z})$ ,  $H_c^i(X, \mathbb{Z}/m\mathbb{Z})$   
are the same as the coh gps of  $X(\mathbb{C})$  in the top sense

b)  $k$  not necessarily alg. closed,  $\bar{k}$  some alg. closure.

$\bar{X} = X_{\bar{k}}$ ,  $H^i(\bar{X})$ ,  $H_c^i(\bar{X})$  are well-defined

we do not use  $H^i(X)$  since  
they are too complicated!



$\bar{k}$ : sep closure they are modules over  $\text{Gal}(\bar{k}/k)$  by  
"transport de structure" (by functoriality)

$$X = \text{Spec } A$$

$$\bar{X} = \text{Spec}(A \otimes_k \bar{k}) \quad \text{get}$$

$$\bar{X}$$

$$\downarrow \\ \text{Spec } \bar{k}$$

$H^i(\bar{X}, \mathbb{Q}_\ell)$ ,  $H_c^i(\bar{X}, \mathbb{Q}_\ell)$  these Galois repr's are  
fundamental objects.

a)  $k = \mathbb{F}_q$ , finite,

$$\bar{X} \xrightarrow{\pi} \bar{X} \quad \text{choose } \bar{\mathbb{F}}_q$$

$$\downarrow \quad \swarrow$$

$$\text{Spec}(\bar{\mathbb{F}}_q)$$

$\pi$ : Frobenius morphism:

$$x_1, \dots, x_n; \pi_q(x_1, \dots, x_n) = (x_1^q, \dots, x_n^q)$$

$\pi$  acts on the coh : sep. proj.

Two possible def'ns of the "action of Frobenius"

Method (a)  $\pi_q$  acts on  $H^i(\bar{X})$ ,  $H_c^i(\bar{X})$ , "geometric Frobenius"  
since it is a morphism,  $X \rightarrow Y$ ,  $H^i(X) \in H^i(Y)$

Method (b)  $\sigma_p + \text{Gal}(\bar{\mathbb{F}}_q/\mathbb{F}_q)$  acts on  $H^i(\bar{X})$ , "arithmetic  
Frobenius"

Thm:  $\sigma_p$  and  $\pi_q$  are inverse to each other!

geometric fib: is not uniform in  $p$ , it grows as  
 $p \rightarrow \infty$ .

to be continued.

## 3. Cohomology:

$$X/\mathbb{F}_q, \bar{X} = X/\mathbb{F}_q$$

geometric Frobenius  $\pi_q: \bar{X} \rightarrow \bar{X}$

$x \in k + \text{pts of } X \text{ in } \bar{X} \iff x \in X(\mathbb{F}_q) \iff \pi_q(x) = x$ .

i.e.  $\mathbb{F}_q$ -rat  $\iff$  fixed by  $\pi_q$

Weil's idea: "Lefschetz formula"

$N(X(\mathbb{F}_q)) = |X(\mathbb{F}_q)| = \text{uber of fixed pts of } \pi_q$ .

Grothendieck:

Using  $H_c$

proper support

$\pi_q: \bar{X} \rightarrow \bar{X}$  "proper"

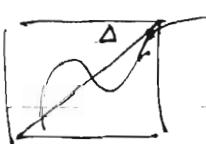
$H^i(\bar{X}) \leftarrow H^i(\bar{X})$  indeed

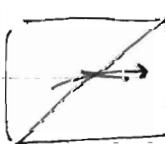
$H_c^i(\bar{X}) \leftarrow H_c^i(\bar{X})$  "finite morphism"

Theorem:  $|X(\mathbb{F}_q)| = \sum_{i=0}^{\dim X} (-1)^i \operatorname{Tr}(\pi_q: H_c^i(\bar{X}, \mathbb{Q}_\ell))$

for every  $\ell \neq \text{char.}$

Remarks (surprising for several reasons)

1)  top left: count pts with multiplicities  
but now NO! just count 1!

  $X \mapsto X^q$  derivative is "0"  
graph of  $\pi$  ??

so  $\pi$  is transversal to the diagonal!

$\Rightarrow \text{mult} = 1$ .

2) Why  $H_c$ ?  $H_c$  has additive property

$X \supset Y$ ,  $Y$  closed,  $U = X - Y$

$$H_c^i(U) \rightarrow H_c^i(X) \rightarrow H_c^i(Y) \xrightarrow{\delta} H_c^{i+1}(U) \rightarrow \dots$$

ie.  $H_c$  compatible with  $\pi_q$  action.

$$\mathrm{Tr}[\pi, H_c(\bar{x})] = \sum (-1)^i \mathrm{Tr} H^i$$

Simple exercise  $\Rightarrow X_c(x) = X_c(v) + X_c(y)$ .

which should be true  $N_x(q) = N_y(q) + N_v(q)$ .

Deligne's thm's:

(W.1. X proj sum of dim d

$\pi_q$  acts on  $H^i(\bar{x}, \mathbb{Q}_\ell)$ , eigenvalues

Thm (Deligne, 1974), the eigenvalues of  $\pi_q$  are  
"q-Weil numbers of weight i".

Def": integral q-Weil number of height i

$\mathfrak{C}, \alpha : \text{alg. nt. } |\alpha|_v = q^{i/2}$

for every archimedean abs value of  $\mathbb{Q}(\alpha)$

$|\alpha| = q^{i/2}$ , all the Galois conjugate has abs val  $q^{i/2}$ .

The char poly of  $\pi_q$  has coeff  $\in \mathbb{Z}$ , and is indep of  $\ell$ .

Let  $\pi_q^e = \pi_{q^e}$ ,  $e \geq 1$ .

Cor:  $\mathrm{Tr}[\pi_q^e, H_c(\bar{x}, \mathbb{Q}_\ell)]$  is an integer, indep of  $\ell$   
and its abs val is  $\leq b_i q^{ei/2}$   
where  $b_i = \dim H_c^i(\bar{x}) = H^i$ .

Cor: Assume  $\bar{x}$  is irreducible (=connected) of dim d.

$$H^{2d}(\bar{x}, \mathbb{Q}_\ell) = \Phi_\ell(-d) \quad (\text{Tate twist}).$$

What's that?  $\ell$  prime canonical, compatible  
with Galois action

$M_\ell^e$ ,  $\ell^n$ -th roots of unity in  $\bar{k}$ ,  $T_\ell = \varprojlim M_\ell^e$

( $\cong \mathbb{Z}_\ell$  with  $\mathrm{Gal}(\bar{k}/k)$  acting by the cycl. char /  $\chi$ )

$$V_\ell = T_\ell[\frac{1}{\ell}] = T_\ell \otimes_{\mathbb{Z}_\ell} \Phi_\ell = T_\ell \otimes_{\mathbb{Z}} \mathbb{Q}$$

(1-dim vector space with an action of Gal. (via  $\chi$ )).

i.e. it is a "lisse bundle".

$$n \geq 0, V_{\ell} = \Phi_{\ell}(n), \quad V_{\ell} = \Phi_{\ell}(1).$$

$\Phi_{\ell}(-n)$  = dual of  $\Phi_{\ell}(n)$ , action  $x^{-n}$ .

$$M/\Phi_{\ell}, \quad M(n) := M \otimes \Phi_{\ell}(n).$$

$$\text{Then we have: } X(\sigma_q) = q$$

and Fr acts by  $q^{-d}$  on  $H^{2d}(X; \Phi_{\ell}) = \Phi_{\ell}(-d)$

geom fr "  $q^d$

$$Nx(q) = \sum_{i=2d} (-1)^i \operatorname{Tr}(\cdot, H^i)$$

$$i = 2d \rightarrow q^d$$

$\Rightarrow$  Cov.  $\bar{X}$  proj sum, dim d, red.

$$|Nx(q) - q^d| \leq A q^{d-\frac{1}{2}}, \quad A = \sum_{i=0}^{2d-1} b_i$$

"  $X(\sigma_q)$

what's the next step?

Remark:  $i=2d$  is the main term :  $q^d$

Lemma:  $i=2d-1$  is related to the Albavere variety

$X \mapsto A$  Alb. univ. property or (Picard) of  $X$ .

Picard essentially connected

for ab grp : component of Groth's Pic

$G_m \quad M_{\ell}^n$

$$A[\ell^n] = \ker \ell^n: A \rightarrow A$$

$$T_{\ell} A = \varprojlim A[\ell^n], \quad V_{\ell}(A) = \Phi_{\ell} \otimes T_{\ell} A$$

$\pi_q$  acts on  $V_{\ell}(A)$   $H_1$  homology.

eigenvalues of char poly.  $q^{1/2}$ , weight of wt 1.

$$\operatorname{Tr}(\pi_q, H^{2d-1}) = q^{d-1} \operatorname{Tr}(\text{Foot end of } A)$$

weight  $d - \frac{1}{2}$ .

$$\left| N_X(q) - q^d + q^{d-1} \text{Tr}(\pi_q, A) \right| \ll q^{d-1}$$

This is about Deligne I.  $\sum_{i \leq 2d-2} b_i$

Deligne (1980) CWII. No hypo on  $X$

(except finite type over  $\mathbb{F}_q$ , Separated)

Thm: The eigenvalues of  $\pi_q$  act on  $H^i_c(\bar{X}, \mathbb{Q}_\ell)$   
are Weil numbers, of height  $\leq i$ .

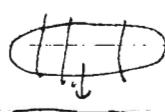
In top dim, same as in the proj smooth case.

$$H^2_c(\bar{X}, \mathbb{Q}_\ell) = \mathbb{Q}_\ell(-d)$$

can

Rank:  $|N_X(q) - q^d| \ll q^{d-\frac{1}{2}}$  is proved earlier 1954

by Lang-Weil, Nishevici, using tool:



Scher = curve, then use Weil (1940-48)

For curves, eigenvalues.

$H^0, H^1 = \text{Jacobian}, H^2$

measurable curves:  $q+1 + O(q^{1/2})$ .

The general method also use fiberization by curves.

#### 4. Examples in dim 1 and 2.

4.1,  $g=0$ , conic/ $\mathbb{F}_q$ ,  $\mathbb{P}^1$   $H^0$  dim 1  $H^1 = 0$  others.  
i.e. top  $S^2$ :  $H^2$  dim 1

$\pi_q$  on  $H^0 \rightarrow 1$ ,  $\pi_q$  on  $H^2 \rightarrow q \Rightarrow$  hb of pts =  $q+1$ .

Notice that this is also true for conic!

hence solve Chevalley-Warning prob.  $\Rightarrow$  it has a pt.

4.2,  $g=1$ ,  $\dim H^1 = 2$ ,

$\pi_q: X_1/\mathbb{F} \quad (\alpha) = q^{1/2}, \beta = \bar{\alpha}, \alpha\bar{\alpha} = q$ .

16

$$N(q) = q - a + \frac{1}{q} \quad |a| \leq 2\sqrt{q}$$

$$H^2 \cong \mathbb{F}_q + \mathbb{F}_{q^2} \quad H^0 \Rightarrow N(q) \geq (\sqrt{q}-1)^2 > 0$$

$\Rightarrow$  elliptic curves over finite field has a point!

Examples of computation of  $a$ :

4.2.1.  $y^2 = x^3 - x \subset \mathbb{P}^2$  ( $\infty$  included)

bad reduction at 2. (cond. 32)

for  $p \neq 2$ ,  $a_p = ?$

$$p \equiv -1 \pmod{4} \Rightarrow a_p = 0 \quad \text{nb of pts} = 1+p$$

$$p \equiv 1 \pmod{4} \Rightarrow$$

$$\text{write } p = a^2 + b^2, \text{ st.}$$

$$a+bi \equiv 1 \pmod{(1+i)^2}$$

$$\text{Then } a_p = 2a.$$

$$N(p) = 1+p-2a$$

$$\text{eg. } p=5, 5 = 2^2 + 1^2.$$

This is a CM curve since besides

$$y \mapsto -y, x \mapsto x, \text{ has also}$$

$$x \mapsto -x, y \mapsto iy.$$

Q: In general it is not easy

$$\text{to present } p = a^2 + b^2.$$

Remark: for  $y^2 = f(x)$ , can write

$$\text{No. of sol} = \sum_{x \in \mathbb{F}_p} \left( 1 + \left( \frac{f(x)}{p} \right) \right) = \text{nb of affine sol.} \\ \text{in time } O(p).$$

4.3.

Next example: Elliptic curve without CM.

$$y^2 - y = x^3 - x^2$$

$\mathbb{Z}[i]$  Gauss int.

$$\mathfrak{p} = \pi \bar{\pi}, \pi = a+b i$$

$$p = a^2 + b^2, a, b \in \mathbb{Z}$$

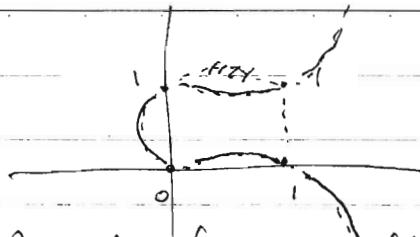
$\pi$  can be chosen in unique way st.

$$\pi \equiv 1 \pmod{2(i+1)} \\ \dots \quad (i+1)^2$$

or any ideal of  $\mathbb{Z}[i]$  prime to  $(1+i)$ , it has a unique generator  $\pi_\alpha$

$$\equiv 1 \pmod{(i+1)^2}.$$

$\text{only } 5 \text{ pts mod 2.}$



$$C_5 = E(\mathbb{Q})$$

good reduction outside  $p=11$ .

How to get  $a_p$ ?

Modular form:  $f(q) = q \prod_{n=1}^{\infty} (1-q^n)^2 (1-q^{11n})^2 = q^2(1)q^2(11)$

$$q = e^{2\pi i z}$$

$$= \sum_{n=1}^{\infty} a_n q^n$$

Theorem:  $a_p = \text{Trace of } T_p \text{ acting on } H^1(\bar{E})$ .

$$p=2, \quad q_2 = -2, \quad N(E) = p - a_p + 1, \quad p \neq 11$$

(for  $p=2$ :  $2+2+1=5$ )

For modular form, eigen form of wt. 2, level 11,  
unique (starting with  $q$ ).  $T_p f = a_p f$ . (Hecke op.)

$X_0(11), X_1(11)$  happen to be homogeneous

$$\begin{aligned} & y^2 - y = x^3 - x \\ \text{the eq''} & \text{ is more complicate.} \end{aligned}$$

Eichler: mod  $p$  gets  $\times$  then with?

Taniyama, Weil and others: every elliptic curve  $1/\mathbb{Q}$   
is obtained in this way. (Wiles, Taylor-Wiles)

4.4. Surfaces: a quadric  $\mathbb{CP}^3$

$$ax^2 + by^2 + cz^2 + dw^2 = 0, \quad ab, cd \neq 0, \quad \text{char} \neq 2.$$

It's well-known that over  $\mathbb{C}$ ,  $\cong \mathbb{P}^1 \times \mathbb{P}^1$

In general,  $\cdots$  requires  $\sqrt{abcd}$ .

If  $abcd$  is a square,  $ab$  pts  $\equiv (q+1)^2 = q^2 + 2q + 1$

From top. Also easy from Frobenius.  $\frac{1}{4} \times \frac{1}{2} \times \frac{1}{4} = \frac{1}{16}$

If  $abcd$  is not a square,

$H^2$  over  $\mathbb{F}_p$  doesn't  $\xrightarrow{\alpha \beta}$ , Trace = 0.

So get  $q^2+1$  pts,

$$\begin{pmatrix} 0 & K \\ * & 0 \end{pmatrix}$$

Restriction of scalars:  $F'/F$  variety  $X'$  over  $F'$ ,

$\Rightarrow$  var  $X/F$  dim  $X = \dim X' \cdot [F/F']$ . (if sm)

$X(F) = X'(F')$ . (just like var/ $\mathbb{C}$  to  $/\mathbb{R}$ )

$X$  quadric  $\Leftrightarrow F'/F$  quadratic extension

$$X' = \mathbb{P}^1.$$

$\mathbb{P}^1/\mathbb{F}_{q^2}$  à la Weil, hence get  $q^2 + 1$  pts.

Rational surfaces ( $\text{birat}' \cong \mathbb{P}^2/\mathbb{k}$ )

$$\begin{aligned} & (\dim - H^0 \\ & H^2 = NS \otimes \mathbb{Q}_\ell(-1)). \end{aligned}$$

$$(\dim - H^4 \quad \text{Néron-Severi gp})$$

Cubic surface, rk NS = 7.  $H^2$  has dim 7.

27 lines gives 27 pts in  $H^2$ .

Look automorphisms of the graph of these lines:

$$= \text{Weyl}(E_6) \quad E_6 :$$



Every cubic surface  $/k$  has

$$\text{Gal}(\mathbb{k}/k) \rightarrow \text{Weyl}(E_6)$$

$\mathbb{F}_q \rightarrow$  conjugacy class in Weyl.

$$\text{Cubic surface: } N(q) - (q^2 + q + 1) = q^9$$

$a = \text{trace of } \sigma_p \quad \begin{matrix} H^0 \\ H^1 \\ H^2 \end{matrix} \quad \begin{matrix} H^0 \\ H^1 \\ H^2 \end{matrix} \quad \begin{matrix} H^0 \\ H^1 \\ H^2 \end{matrix}$

viewed as an element  $\sim$  the pts by cut by planes

of  $\text{Weyl}(E_6)$ , with  $-3 \leq a \leq 6$ .

The next interesting one will see with odd  $H^1$  especially, Calabi-Yau varieties. But will not do it here.

## 5. Chebotarev Theorem (in dim 1)

$E/K$  Galois ext (finite) of number fields.

$\mathcal{O}_E, \mathcal{O}_K$  ring of integers.

$V_K$  set of non-archimedean places of  $K$

i.e.  $v \in V_K \iff$  prime ideal of  $\mathcal{O}_K$  (not tent of writing  $\mathfrak{p}$ )

"Nv", write  $|v| = \#$  of elts of the residue field  $k(v)$

$w \in V_E$  elts.  $\begin{matrix} w \\ \downarrow \\ v \end{matrix}$ ,  $D_w$ : decomposition gp in  $G$   
 $I_w$ : inertial gp  $\quad g \in G, g w = w$

$D_w/I_w = \text{Gal}(k(w)/k(v))$   $\quad g \in G, g w = w$ , gaits trivially  
: finite.  $\quad$  on  $k(w)/k(v)$ .

$\sigma_{w/v}$  can generator of  $D_w/I_w$ , Frob.

Rank:  $I_w$  is almost always 1,  $w$  is ram.,  $I_w \neq 1$ .

when  $v, w$  is unram.,  $\sigma_v$  the conj class of  $\sigma_w/v$   
for any  $w/v$ .

Theorem (Cheb + Artin). Let  $C$  be a subset of  $G$ ,  
stable under inner auto, (i.e. a union of conj classes)

let  $V_{K,C} = \{v \mid v \text{ unram. } \sigma_v \in C\}$ .

For  $X \rightarrow \infty$ ,

$$\left| \#\{v \in V_{K,C} \mid |v| \leq x\} - \frac{|C|}{|G|} \left[ \text{Li}(x) \right] \right| \leq \text{const.}$$

(with no hypothesis),  $\leq \text{const. } x \cdot \exp(-c' \sqrt{\log x})$ .  
(with GRH)  $\leq \text{const. } x^{1/2} \log x$ ;  $c' > 0$ .

$$\text{Li}(x) = \int_2^x \frac{dt}{\log t} \sim \frac{x}{\log x} \quad \text{logarithmic integral.}$$

Sometimes  $\text{li}(x) = \int_0^x$  (notice put 1 does not count.)

really mean p.v.  $\lim_{\epsilon \rightarrow 0} \left[ \int_{-\epsilon}^{1-\epsilon} \frac{dt}{\log t} + \int_{1+\epsilon}^x \frac{dt}{\log t} \right]$

$$\text{li}(x) = \text{Li}(x) + \text{li}(2) = 1.045 \dots$$

$$L(x) = \frac{x}{\log x} \left( 1 + \frac{1}{\log x} + \frac{2!}{(\log x)^2} + \dots \right)$$

asym exp.  
not conv.

Corollary:

The set  $V_{k,c}$  has density  $\frac{|C|}{|G|}$ . Hence  $k$  is infinite if  $C \neq \emptyset$ .

To be continued.

5. Chebotarev  $E/K$ ,  $C \subset G$

Theorem:  $\left| \sum_{\substack{v \in V_{K,C} \\ |v| \leq x}} 1 - \frac{|C|}{|G|} L(v) \right| \ll \begin{cases} x^{\frac{c}{2}} \exp(-c\sqrt{\log x}) \\ x^{1/2} \log x \text{ (with GRH)} \end{cases}$

$|v| \leq x$ ,  $v \in V_K$ , or  $v \in C$  unramified

Based on: Artin L function attached to a linear gp  $x$   
repr of  $G$ :  $\rho$  with char  $X$ , called  $V$

$$\begin{aligned} L(\rho, s) &= \prod_{v \in V_K} \frac{1}{\det(1 - \sigma_v(V^{Iw} \cdot (\rho)^{-s}))} \quad \sigma_w + Dw/Iw \\ &= \prod_v \frac{\prod_{\alpha} 1}{\prod_{\alpha} (1 - \alpha|v|^{-s})} \end{aligned}$$

$\alpha$  are the eigenvalues of  $\sigma_v$  acting on  $V^{Iw}$

= finite of  $\prod_v \frac{1}{\det(1 - \sigma_v(v)^{-s})}$

$\operatorname{Re}(s) > 1$ ,  $|v| = Nv$ ,  $\Phi$ ,  $N\rho$

$\frac{dL/ds}{L(s)} = \sum \chi(\sigma_v) |v|^{-s} + \dots$

First case: for the L function attached to abelian char  
of class gp (modulo  $\dots$ )

Hecke (1917), analytic conti,  $\mu^{-1} \in \mathbb{Q}^\times$

$$\chi \neq 1, \text{ non-zero}, \quad \operatorname{Re}(s) = 1$$

Next case, Artin,  $X$  abelian char

reciprocity law (1926), became same as Hecke

$$G, X = \sum u_x \operatorname{Ind} I^w \dots //$$

Complements:

1) Ch  $\Rightarrow$  for every conjugacy class  $C$ , the set  $V_{K,C}$  is infinite  
with density  $|C|/|G|$ .

2) (Analytic method)  $\sum_{\substack{v \in V_{K,C} \\ |v| \leq x}} 1 = \frac{|C|}{|G|} L(v) + \epsilon(v)$

$$|\epsilon(v)| \leq \epsilon_0(x) = x \exp(-c\sqrt{\log x})$$

may replace this by others,

Will need 2 cases :  $\operatorname{reg} \sigma \geq 0$

$$\text{Ex. } \sum |v|^d = \frac{|C|}{|G|} L_i(x^{d+1}) + O(x^d \varepsilon_0(x))$$

$$\sum x_i^{-1} = \frac{1}{|G|} \log \log(x) + O(1)$$

$$\text{eg. } \sum_{p \leq x} \frac{1}{p} = \log \log(x) + O(1), \quad x \rightarrow \infty$$

$$\text{so, } \sigma_0, \sigma_1, \sigma_2 \in C, \sum_v \frac{1}{|v|} = \infty$$

This is by Abel summation / or integration by parts.

$$A_d(x) = \sum_{|v| \leq x, v \in V_K, C} |v|^d \quad A(x) = A_0(x) = d L_i(x) + \varepsilon(x)$$

$$\text{let } \alpha = \frac{|C|}{|G|} \quad A_d(x) = \int_0^x t^d dA(t)$$

strictly  $\geq$  integral

in the sense of Schwartz distribution

$$A_d(x) = \left[ t^d A(t) \right]_2^x - d \int_2^x t^{d-1} A(t) dt$$

$$= O(1) + x^d A(x) - d \int_2^{x+1} t^{d-1} A(t) dt$$

list out from

simplify : write

$$A(t) = \alpha L_i(t) + \varepsilon(t)$$

$$x \int_2^x t^d dL_i(t) = \alpha \int_2^x t^{d+1} \frac{dt}{\log t}, \quad u = t^{d+1}$$

$$\frac{du}{u} = (d+1) \frac{dt}{t}, \quad = \alpha \int_{2^{d+1}}^{x^{d+1}} u \frac{du}{u} \frac{1}{d+1} = \alpha \int_{2^{d+1}}^{x^{d+1}} \frac{du}{\log u}$$

$$\rightarrow L_i(x^{d+1}) - L_i(2^{d+1}) = L_i(x^{d+1}) + O(1).$$

Translation to "Frobenius functions" if  $v \in V_K$ .

Let  $S$  be a finite subset of  $V_K$

$S^c$  be a set (with discrete top.)

$$f: V_K \setminus S \rightarrow S^c$$

Def: We say that  $f$  is  $S$ -Frobenius, if there exists a finite Galois extension  $E/K$ , Galois gp, unramified outside  $S$ , and a map  $\varphi: G \rightarrow S^c$

inv by conjugacy ( $\varphi: \text{cl}(G) \rightarrow \mathbb{R}$ ) s.t.

$$f(v) = \varphi(\sigma v) \text{ for all } v \in V_K - S.$$

Exercise: There is a smallest  $t$  such that  $E/K$ .

Frobenius :=  $S$ -Frob for some  $S$ .

$$\bullet K = \mathbb{Q}, \mathcal{R} = \mathbb{Z}/n\mathbb{Z}, S = \{p, p|m\}$$

$f: p \mapsto \text{residue mod } m$ , is a  $S$ -Frob for  $\mathcal{L}(S_n)/\mathbb{Q}$

If  $f$  takes the value  $w + \sqrt{2}$  for some  $v$ , it does so for  $\infty$ -many  $v$ 's (with density  $> 0$ , not  $1$ ).

$$H(x) \in \mathbb{Z}[x], P \neq 0$$

$$N_H(p) = \text{nb of sol mod } p$$

$S$  = set of primes which divides the disc ( $H$ )

$\mathcal{R} = \mathbb{Z}, p \mapsto N_H(p)$  is  $S$ -Frobenius

(Take  $E$  gen by roots of  $H$ ).

Let  $f: V_K - S \rightarrow \mathcal{R}$  be a Frobenius map.

" $f(1)$ ": choose  $E/K, G, \varphi$ , then define  $f(1)$  as  $\varphi(1)$   
 $f(v) = \varphi(\sigma v)$

Eg. in the case  $H$  has no repeated roots;

$f$  = number of roots, then  $f(1) = \text{nb of } \mathbb{Q}_p$  roots.

$K \hookrightarrow \mathbb{R}$  ( $v_\infty$  conj class in  $G$ , under 1 or 2)

$f(v_\infty) = p(v_\infty) + \dots$  and  $f(v_\infty) = \text{nb of real roots}$  (up to  $\infty$ )

Cov. There are  $\infty$ -many  $v$ 's embedding  $K \hookrightarrow \mathbb{R}$ ).

s.t.  $H$  has  $\deg H$  roots in  $K(v)$ .

Eg.  $p \mapsto p \bmod m, \mathcal{R} = \mathbb{Z}/m\mathbb{Z}$

val at  $1 \rightarrow 1$

$\infty \rightarrow -1$

$f \in S\text{-Frob. } (\Psi^e f)(v) := \varphi(\sigma_v^e)$ ,  $e$  integer.

$T_p$  operators in modular form theory [  $T_p$  modus ]

$N_{X(p)}$  for  $X$  an abelian scheme of finite type over  $\mathbb{Z}$

[  $p \mapsto N_{X(p)} \bmod m^n$  for an  $m$ 's ]

6. The Furtwängler property of the  $T_p$ 's:

$N$ . level,  $k \geq 1$ ,  $\varepsilon$  char mod  $N$ .

$M(N, k, \varepsilon)$  = mod forms with these data. (C-v.s.)

Hecke operator  $T_p$ ,  $p \nmid N$ . has a basis made of

Consider  $\Lambda = \text{Submodule}$

$$\sum a_n q^n, a_n \in \mathbb{Q}_K.$$

$$\sum a_n q^n, \text{ all } a_n \in K \text{ (first } \mathbb{Q}_K \text{ )}$$

$T_p \Lambda \subset \Lambda$ , choose  $m \geq 1$ .

Thm:  $p \mapsto T_p + \text{End}(\Lambda)/m \text{End}(\Lambda)$

is  $S$ -Frob with  $S = \text{prime div of } N_m$

How to see this without Hecke op?  $\star$  ( $S$  finite?)

Let  $\sum a_n q^n$  be a mod form with coeff  $\in \mathbb{Q}_K$ ,

let  $m \geq 1$ . Then the function  $p \mapsto a_p \pmod{m}$  is furtwängler

value at:  $1 \not\equiv 2q_1 \pmod{m}$

$$\Rightarrow 0 \pmod{m}$$

Cor: The set of  $p$ 's with  $a_p \equiv 0 \pmod{m}$  has density  $\geq 0$

$$a_p \in m \mathbb{Q}_K,$$

$\Delta = \sum \tau(n) q^n$ ,  $\tau(n) \equiv 0 \pmod{691}$  for  $n$ 's of density 1.

Fourier form of Hecke (cusp form)

$$\text{norm } a_1 = 1 - q + q^2 - q^3 + \dots$$

if  $n$  is an integer s.t.  $n \equiv p n' \pmod{691}$ ,  $(n', p) = 1$ ,  $a_p \equiv 0 \pmod{n}$   
 then  $a_n \equiv 0 \pmod{n}$ .

Fact: Let  $P$  be a set of prime which is Frobenian.

(= ch  $f$  of  $P$ 's is Frobenian) character function  
of density  $\alpha > 0$ .

Then the set of  $n \in \mathbb{N}$ , divisible by an elt of  $P$   
(with exp 1) has density 1.

( $n \equiv 0 \pmod p$ ) density  $\frac{p-1}{p} \approx 1$

$$\prod_{p \text{ in the set}} \left(1 - \frac{1}{p}\right), \sum \frac{1}{p} \approx \log \log x \rightarrow \infty$$

The pf consists of taking a better basis of modular forms.  
basis made up of old forms and new forms.

$F = \sum a_n q^n$  - eigenform, return to new forms,

$$= q + \dots \quad (n, N) = 1 \quad a_n = \text{eigenvalue of } T_n$$

$a_p$  designs, that for every  $\ell$ ,  $\ell = \text{adic repr}$   
 $\ell : \text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q}) \rightarrow \text{GL}_2(\mathbb{Q}_\ell)$ ,

$$p \text{ large}, \begin{cases} \text{Tr}_{\ell}(a_p) = a_p \\ \det_{\ell}(a_p) = p^{k-1} \varepsilon(p) \end{cases} \quad \text{Tr}(\ell_e(a_p w_j)) = 0,$$

$\text{Gal} \rightarrow \text{GL}_2(\mathbb{Q}_\ell)$

$\check{\ell} \circ \ell$  of a f. ext.

$\text{GL}_2(\mathbb{Q}_\ell/\ell^m)$

for every  $m$ , there are  $\infty$ -many  $p$ 's  $a_p \equiv 2q_1 \pmod m$ .

7. Back to  $N_X(p)$ .  $\times$  f.t.  $S \subset \mathbb{Z}$  (or  $\mathbb{Q}_p$ )

$S$ : finite set of primes,  $P$  set of all primes

max Galois ext of  $\mathbb{Q}$  w.r.t. arts of  $S$ :  $G_S$

Profinite  $\mathbb{G}_S = \varprojlim_{\mathbb{F}/\mathbb{Q}} \text{Gal}(\mathbb{F}/\mathbb{Q})$

$\mathbb{F}/\mathbb{Q}$  w.r.t. outside  $S$

cont.  $\ell$ -adic repr of  $\mathbb{G}_S$

$\rho : \mathbb{G}_S \rightarrow \text{GL}_n(\mathbb{Q}_\ell)$  some  $n$ ,

up to conj.,  $\rho$  takes values in  $\text{GL}_n(\mathbb{Z}_\ell)$ , cpt &  $\ell$ -adic gp

$\text{Tr}(\rho(\sigma_p)) + \mathbb{Z}_\ell$  trace of image of Frobenius

$X = \text{char } \rho$ ,  $X(\sigma_p)$  not  $\in$  first mod  $\ell^M$  for every  $M$ .

$X$ , choice  $\ell$  prime. ref?  $\psi : \mathbb{G}_S \rightarrow \mathbb{Z}_\ell$  a generalized charac.

Theorem: finite if it can be written as  $\sum_d \text{char } X_d$

There exists a set  $S$ , containing  $\ell$ , finite.  $\mathbb{F}$  finite gp  
a generalized char  $\psi_{X,\ell}$  of  $\mathbb{G}_S$ , st.

$$N_X(p) = \psi_{X,\ell}(\sigma_p) \text{ for all } p \notin S. (\in \mathbb{Z}_\ell)$$

Cor.:  $p \mapsto N_X(p)$  is  $S$ -frob. For every  $M \geq 0$ ,

$$\text{Better formula: } N_X(p^e) = \psi_{X,\ell}(\sigma_{p^e}), \psi^e N_X.$$

$X$  :  $\mathbb{Q}$ -alg. var.

$\downarrow$   $\downarrow$   $H^i_c(\bar{X}, \mathbb{Q}_\ell)$  has an action of

$\text{Spec } \mathbb{Z} \supset \text{Spec } \mathbb{Q}$   $\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$

SGA 4, 4½, 5: unr outside a finite set of primes  $S$

assume  $S \ni \ell$ .

$$q = \sum (-1)^i \operatorname{Tr} \rho_i, \quad \rho_i \text{ repr. of } G_S \text{ given by } H_c(\bar{x}, \varphi_e).$$

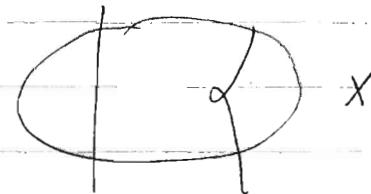
(this is why need virtual repr. virtual character)

- need to relate (identify) the coh of the  $p$ -fiber  $\bar{X}_p$  with that of  $\bar{X}$  itself.

Use Grothendieck's direct image

$$(R^i\pi_* \mathbb{Q}_e) \text{ "constructible"}$$

proper support i.e. there is an open dense subscheme  $p$  of the base over which it is lisse



- The formation of  $R^i\pi_* \mathbb{Q}_e$  is compatible with base change.

so the stalk at  $p$  of  $R^i\pi_* \mathbb{Q}_e$  is  $H_c(\bar{x}_p, \varphi_e)$ .

Then the thm is just Grothendieck's trace formula

$$N_X(p) = \sum (-1)^i \operatorname{Tr}(T_q, H_c(\bar{x}, \varphi_e)).$$

\*\*

Back to Thm 3 of last 1.

$X, Y$  finite type /  $\mathbb{Z}$ . If  $N_X(p) = N_Y(p)$  for a set of prime of density 1, then there is a  $p_0$  s.t.

$$N_X(p^e) = N_Y(p^e) \text{ for all } p \geq p_0, \text{ all } e \geq 1.$$

Pf:  $\varphi_X, \varphi_Y$  of  $G_S$ ,

$\varphi_X(\sigma_p) = \varphi_Y(\sigma_p)$  if  $p$ 's in a set of density 1,  
(so such  $\sigma_p$ 's are dense in  $G_S$ )

by continuity:  $\varphi_X = \varphi_Y$ . \*\*

## 28. Sewes' lecture 4.

(8. Proof of the archimedean estimate for  $N_X(p)$ )

Erratum: geom Fr |  $H^i_C$  in last lecture  
with Fr |  $H^i_C$  ~ replace by dual

The pf is now relatively easy.

$X$  scheme of finite type / $\mathbb{Z}$ , sep.  $\dim^{d+1} \sim$  just  
"geometric fiber of  $\dim d$ " is all we need.

$X_{\mathbb{Q}}$  has abs. red comp (i.e.  $X_{\bar{\mathbb{Q}}}$ ) of  $\dim d$ .

Let  $I$  be the set of such comp.

$\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$  acts on  $I$ .

linear repr of  $\text{Gal}$  of  $\dim |I|$ , runs outside finite set  $S$   
 $p \notin S$ ,  $\sigma_p$  will deposit part of  $|I|$

G acting  $I$ ,  $g+G$ ,  $|I^g| = \text{Tr}(g)$ ,  $\text{Tr}(\sigma_p)$

Formula:

$$N_X(p) = \text{Tr}(\sigma_p) \cdot p^d + O(p^{d-\frac{1}{2}}) \quad \text{for } p \text{ large enough.}$$

$p$  large, the geom. red comp of  $X_p$

are just the red mod  $p$  of the ones of  $X_{\bar{\mathbb{Q}}}$

cf. EGA: (1) this is only true for



$X_p$

↓ "abs. red"

i.e. may identify  $I \cong I_p$  (large  $p$ )

$\text{Tr}(\sigma_p) = \# \text{ of } \bar{\mathbb{F}}_p \text{-red comp of } X_p$

which are rational over  $\mathbb{F}_p$ .

To prove the formula, we simply use that the Betti numbers of  $X_p$ 's are constant, hence bounded.

②  $\text{Tr}(\sigma_p) = |I|^{\sigma_p}$ , first fact of  $f$

$0 \leq \text{Tr}(\sigma_p) \leq |I|$ ,  $\text{Tr}(\sigma_p) = |I|$  for a set of  $p$ 's  
of density  $> 0$ : "value at 1"  $= |I|$ .

If  $p$  is chosen s.t.  $I^{(p)} = I$ , then

$$N_X(p) = |I| p^d + O(p^{d-\frac{1}{2}})$$

Cor.  $\limsup \frac{N_X(p)}{p^d} = |I|$ , Thus B Thm 2 (b).

$$\sum_{p \leq x} N_X(p) = \sum_{p \leq x} p^d \text{Tr}(e_p) + O(x^{d+\frac{1}{2}})$$

f Frobenius fcn with value in  $\mathbb{F}$ :

$$I(f) = \int f \text{ mean value of } f = \int_G \varphi$$

$G \rightarrow \mathbb{F}_p$ ,  $f(p) = f(e_p)$ , we have measured,  
now just finite gp

$$\varphi = \frac{1}{|G|} \sum_{g \in G} \varphi(g)$$

$$\sum_{p \leq x} f(e_p) p^d = I(f) \text{Li}(x^{d+1}) + O(\dots) \quad \begin{array}{l} x^{d+1} \exp(-c\sqrt{\log x}) \\ \text{or} \\ GRH \quad x^{d+\frac{1}{2}} \log x \end{array}$$

$G$  on  $I$ :

Tr : rel to the now linear repr

$I(\text{Tr}) = \dim \text{fixed pts} = |\text{Tr}| = \# \text{ of } G\text{-orbits in } I$

Also known as Burnside's Lemma:

$$\# \text{ of orbits of } G \text{ acting on } I = \frac{1}{|G|} \sum_{g \in G} |I^g|$$

$$\text{Then } (*) = \varphi \text{Li}(x^{d+1}) + O(\dots)$$

$\varphi = \# \text{ of orbits of } G \text{ in } I = \# \text{ of } \mathbb{Q}\text{-irred comp.}$

Lang: 1957, Weil: comes 1948.

Can then use fibres by curves. i.e. Actually can get the result using just the Weil bound.

9. Prime numbers thm, Ch density for higher dim.

$X$  finite type /2, Sep.

$x \in |X|$  closed pt,  $|x| = \text{nt of residue fields}$  (norm of  $x$ )

Hyp:  $X$  is flat over  $\mathbb{Z}$

Lie. if  $X = \text{Spec } A$ ,  $A$  has "no torsion",

i.e.  $A \rightarrow A \otimes \mathbb{Q}$  is inj.)

Ex.  $A = \mathbb{Z}$ ,  $|x| = \text{set of primes}$ ,  $x = p$ ,  $|x| = p$

$A = \mathcal{O}_K$ ,  $|x| = \text{prime ideals } v$ ,  $|v| = \# \text{residue field}$ .

recall,  $\sum_{p \leq x} 1 = \text{Li}(x) + \dots$

Theorem. (let  $X = \emptyset$ )

Let  $\delta$  be the dimension of  $X$ ,  $e$  no number of

irred comp. of  $X$  of dim  $\delta$ . Then

$$\textcircled{*} \quad \sum_{\substack{x \in |X| \\ |x| \leq x}} 1 = e \text{Li}(x^\delta) + O(-)$$

↑ a number

a closed,  $K(x) = \mathbb{F}_{p^e}$ ,  $e = \deg(x)$

$e=1$  is of particular interest,  $K(x) = \mathbb{F}_p$

$$\sum_{K(x) = \mathbb{F}_p} 1 = N(x(p)) \text{, so }$$

$$\textcircled{*} = \sum_{p \leq x} N(p) + \sum_{\substack{x \in |X| \\ |x| \leq x \\ \deg(x) \geq 2}} 1 \quad \begin{aligned} &\text{one finds this is} \\ &O(x^{\delta-1} \log x) \end{aligned}$$

This is well-known in  
Alg # th. that prime with  
 $\deg \geq 2$  is of density 0  
More or less the same argn.

Analogue of Chebotarev's density thus:

$G$  finite gp acting on a scheme  $Y$  (f.t. sep), Assume that  $Y$  has a covering by affine open sets which are  $G$ -stable then  $X = Y/G$  can be defined as a scheme.

Note that  $G$  is not assumed to act faithfully.

$\begin{matrix} y \in Y \\ \downarrow \\ \sigma_y \end{matrix}$  will define just like Artin's theory

$$G \supset D_y \supset I_y. D_y \text{ set of } g \in G, g y = y$$

$I_y \subset \dots$ , and  $g$  acts trivially on residue field,

$$\sigma_y + D_y/I_y, = \text{Gal}(\mathbb{F}(y)/\mathbb{F}(x))$$

Let  $\varphi$  be a class function on  $G$ , with values in  $\mathbb{C}$ .

$$\varphi(x) = \text{mean value of } \varphi(g)$$

def., for all  $g \in D_y$ , whose image in  $D_y/I_y$  is  $\sigma_y$ .

Still assume  $Y, X$  flat  $/\mathbb{Z}$ . Let  $d$  be the dim of  $Y = \dim X$ .

Then "  $\sum_{\substack{x \in X \\ |x| \leq \underline{x}}} \varphi(\sigma_x) = \langle \varphi, r_I \rangle L_I(x^d) + O(-)$  "

scalar prod.

$I_y = \text{set of red comp over } \bar{\mathbb{Q}} \text{ of } Y/\bar{\mathbb{Q}}$

Action of  $G$

$r_I = \text{char of the perm repr of } G \text{ (acting on } I)$

$$\langle \varphi, r_I \rangle = I(r_I, \varphi) = \frac{1}{|G|} \sum_{g \in G} r_I(g) \varphi(g)$$

$$\begin{array}{ccc} E & \text{Spec } \mathbb{O}_E & \bar{\mathbb{Q}} \times \dots \times \bar{\mathbb{Q}} \\ \frac{E}{G} & \text{Spec } \mathbb{Z} & \bar{\mathbb{Q}} \\ \frac{G}{\mathbb{Q}} & & \end{array} \quad ?? \quad \text{Seems to be a contradiction!}$$

$\underline{x} = 1, d = 1$

Homework: Make it correct!! may be need to define

$I = \text{set of red. comp of } Y \text{ of dim } f$ .

$G$  acts faithfully

Assume  $\gamma$  inv.  $\sum \varphi(\sigma_x) = 1(\varphi)$  ... (use analytic # th  
on base)  
for every CCG, conj class, there are as many  
 $x \in X$  with  $\sigma_x \in C$  and they are Zar dense in  $X$ .

10. Conjectures related to Motives/Langlands

$X/\mathbb{Q}$  sm proj (not assume conn.)

choose  $X/\mathbb{Z}[\frac{1}{N}]$  sm proj, st.  $X \otimes \mathbb{Q} = X/\mathbb{Q}$

$$\text{By Deligne, } N_X(p) = \sum_{i=0}^{\dim H^i_c(X, \mathbb{Q}_p)} (-1)^i \operatorname{Tr}(\pi_p | H^i_c(X, \mathbb{Q}_p))$$

eigenvalues has abs value  $= p^{i/2}$

$$= \sum (-1)^i p^{i/2} \varphi_i^X(p), \text{ i.e.}$$

$$-b_i \leq \varphi_i = p^{-i/2} \operatorname{Tr}(\pi_p | H^i_c(X, \mathbb{Q}_p)) \leq b_i$$

$$b_i = \dim H^i_c(X, \mathbb{Q}_p)$$

Would like to have arch analogue to  $\mathbb{Q}$ -adic repr.

Take a finite family of  $X_i$ , get  $\varphi_i^X$

Conj: There exists a compact Lie gp  $K$   
and a family of conj classes  $\mathfrak{g}_p \in K$   
(defined for  $p \geq p_0$ ) and char  $\chi_i^X \in K$  st.

$$\textcircled{a} \quad \varphi_i^X(p) = \chi_i^X(\mathfrak{g}_p) \quad p \geq p_0$$

\textcircled{b} The  $\mathfrak{g}_p$  are equi-distribution in the cpt set  $C\ell(K)$

$K$  Haar measure  
(total measure = 1)

$$\operatorname{Gal}(\mathbb{Q}/\mathbb{Q}) \rightarrow K/K^\circ$$

$\downarrow$   
 $C\ell(K)$  direct image.

The trivial case is  $\dim 0$ . Then  $K = \operatorname{Gal}(\mathbb{Q}/\mathbb{Q})$

\textcircled{b} = ch density theor.

• Ell. curve: No CM (over  $\bar{\mathbb{Q}}$ )

$$1 + p - \alpha_p \quad , \quad \alpha_p = \text{trace } \pi_p \quad \varphi_p(p) = \frac{\alpha_p}{p^{1/2}} ; [-2, 2]$$

In this case,  $K = \text{SU}_2$

$\varphi_0 = \varphi_2 = 1$ .  $\varphi_1$  = natural repr of  $\text{SU}_2$ .

$$\alpha_p = 2 \cos \theta_p \cdot p^{1/2} , \quad \begin{pmatrix} \varphi_{p,\text{dash}}(e^{i\theta_p}) & 0 \\ 0 & e^{-i\theta_p} \end{pmatrix}$$

Then (+)  $\Leftrightarrow$  Sato-Tate conjecture.

$$\text{cl. } K = [-2, 2] = [0, \pi] . \quad \text{Image of Haw} = \frac{2}{\pi} \int_0^\pi \sin^2 \theta \, d\theta$$

Sato-Tate proved recently over  $\mathbb{Q}$ , modulo some technical condition, by Harris, Taylor, Clozel.

• 2 ell. curves without CM, non-isog. over  $\bar{\mathbb{Q}}$ .

$K = \text{SU}_2 \times \text{SU}_2$  predicts indep.

Symp. Pure Math AMS: Motives.

"motivated" means cut out by alg cycles  
not just some stupid badic cycles

ellip. line,  $H^1$ -motive,  $G_M \subset GL_2 = GL(H^1)$

$H^1, H^1 \otimes \dots \otimes H^1$ , dual motive gp

$G_M$  are essentially the gp fixes this spans.

for non-CM, this is known.

general case,  $G_M \subset GSp_{2g}$ ,  $G_M \rightarrow G_m$ , eg  $G_m \subset GL_2$   
 $G_M \xrightarrow{\text{det}} G_m$  get  $\downarrow$   $\det$

$G_M \rightarrow G_M \xrightarrow{T} G_m$

weight Tate  
map map.

$G_M' = \ker G_M \rightarrow G_m$ , elliptic  $G_M' = SL_2$ . ( $SL_2(\mathbb{C})$ )

$K := G_M'(\mathbb{C})$  maximal cpt, should be the  $K$ .

There should be Frob. element.

+ good red.,  $\sigma_p \in [cl G_M](\mathbb{Q})$

$$\sigma_p : G_M \xrightarrow{T} G_m \text{ eg. } G_m \xrightarrow{\text{any}} GL_2 \xrightarrow{\text{if }} G_m$$

$$\sigma_p \mapsto r \quad p^{1/2} \quad (\chi_p, \bar{\chi}_p)$$

$w : G_m \rightarrow G_M$  is in the center

$$g_p = w(p^{-1/2}) \sigma_p.$$

This is  $(K, g_p)$ . and we expect equidistribution.

Langlands theory (1966-67).

Grothendieck defines motives

Weil : Tamagawa - Weil conj.

Langlands : Yale Notes :

Reductive gp, root system

$G \quad G' \quad$  (Langlands dual)

$$G = GL_n, \quad G^L = GL_n \quad \xrightarrow{\text{for } \alpha \text{ simple}} \quad \text{orth gp}$$

$$G = PGL_2, \quad G^L = SL_2 \quad \xrightarrow{\text{for } \alpha \text{ simple}} \quad \text{2l+1}$$

$\infty$ -dim rep  $G$

Langlands dual

symp gp  $2l$ .

"tempered" (mainly satisfying the Ramanujan conj.)

Action of some Hecke alg.

$\leftrightarrow$  conjugacy class in  $G^L(\mathbb{C})$  of cpt type.

This is striking since:

start with rep  $\tau_Q$ , get automor + of  $G(\mathbb{A}_f)$

$\rightarrow$  for each  $p \times$  conj. class in the dual gp

Should have motivic  $g_p$ 's be special cases of L-dual.

Punk:

motivic gp for elliptic curve / k

No CM GL<sub>2</sub>

CM defined over ground field! T max tors & GL<sub>2</sub>

(CM not defined .. : N = normalizer of T  $\begin{pmatrix} * & 0 \\ 0 & * \end{pmatrix} \begin{pmatrix} 0 & * \\ * & 0 \end{pmatrix}$ )  
In general, for H<sup>i</sup>, odd,  $\pi g = \dim H^i$

$$-2g \leq \text{Tr}(\sigma_F/\rho^{1/2}) \leq 2g.$$

Conj  $\Rightarrow$  they are dense, e.g. w.r.t a measure μ  
with supp [−2g, 2g]

why? K, G<sup>M</sup>, has circle gp  $S_1 \rightarrow K$  get

Hodge numbers p. q.  $S_1 \xrightarrow{\text{true}} [-2g, 2g]$  lie  
on  $\rightarrow 2\cos \theta$  onto

This means that there is no way to improve the Weil bound

$$(2g-\varepsilon)^{1/2} \leq \text{Tr}(\sigma_F) \leq 2g + \varepsilon^{1/2}$$

(equiv. conj): very L function:  $\sim s - \text{many}$   
but at  $\Re(s)=1$  and not zero

$L(s, \chi) \times \text{char. } K, \chi \bmod \#$   
mixed

cf. Langlands A Märchen  
(Fairy tale)

End

and Arthur.