

1999 1. 8.

Special Lagrangian Geometry II : (I is on Monday)

\*  $X$  C-Y manifold :

cpt cpx mfd w/ nowhere vanishing hol.  $n$ -form  $\Omega$  and a Ricci-flat metric w/ Kähler form  $\omega$ , and

$$\frac{\omega^n}{n!} = (-1)^{\frac{n(n-1)}{2}} \left(\frac{i}{2}\right)^n \Omega \wedge \bar{\Omega}$$

Def:  $M \subseteq X$  is special Lagrangian if

$$\dim_{\mathbb{R}} M = \dim_{\mathbb{C}} X \text{ and } \omega|_M = 0, \text{Im} \Omega|_M = 0.$$

SYZ conjecture: If  $X$  and  $\check{X}$  are a Mirror pair, then there exists fibrations  $f: X \rightarrow B$ ,  $\check{f}: \check{X} \rightarrow B$  whose fibers are s. lag  $T^n$  (in general and such that  $f$  and  $\check{f}$  are dual fibrations

$$T^n = V/\Lambda, (T^n)^\vee \cong V^\vee/\Lambda^\vee$$

$$H^1(T^n; \mathbb{R}) \cong V^\vee; H^1(T^n; \mathbb{Z}) \cong \Lambda^\vee.$$

$$X_0 \subseteq X$$

$$\downarrow f_0 \quad \downarrow f$$

$$B - \Delta = B_0 \hookrightarrow B \supseteq \Delta \text{ discriminant locus}$$

and  $\check{X}_0 \subseteq \check{X}^\vee$

$$\downarrow \check{f}_0 \quad \downarrow \check{f}$$

$$B_0 \subseteq B$$

$f$  and  $\check{f}$  are dual says

$$\check{f}_0: \check{X}_0 \rightarrow B_0 \text{ winds}$$

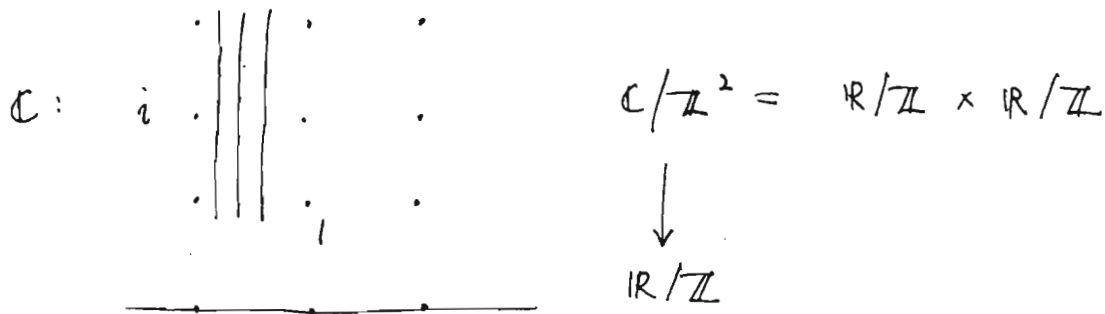
with  $R^1 f_{0*} \mathbb{R} / R^1 \check{f}_{0*} \mathbb{Z}$ .

$R^1 f_* \mathbb{Z}$  is a sheaf on  $B$  associated to the presheaf:  $U \mapsto H^1(f^{-1}(U), \mathbb{Z})$

$$(R^1 f_* \mathbb{Z})_b = H^1(X_b, \mathbb{Z})$$

Away from  $\Delta$ ,  $R^1 f_* \mathbb{Z}$  is a local system.

Examples: ① Elliptic curve  $\mathbb{C}/\mathbb{Z}^2$ :  
s.lag. fibration



So, The dual of an elliptic curve is an elliptic curve

② K3 surfaces:

Mirror symmetry for K3 surfaces

(Pinkham, Nikulin, Todorov, Dolgachev,  
Aspinwall + Morrison)

Let  $S$  be a K3 surface,  $L = H^2(S, \mathbb{Z}) \cong \mathbb{Z}^{22}$

$L \times L \rightarrow \mathbb{Z}$  is even unimodular w/ signature  $(3, 19)$ .

Let  $M \subseteq L$  be a primitive sublattice of signature  $(1, t)$  for some  $t$ .

(primitive  $\iff L/M$  is torsion free)

Def: A marked  $M$ -polarized K3 surface  $S$  is one with a fixed  $\cong \phi: H^2(S, \mathbb{Z}) \xrightarrow{\sim} L$  such that  $M \subseteq \phi(\text{Pic } S)$ .

$\exists$  a moduli space of marked  $M$ -polarized  
K3 surfaces.

Now we describe the "Mirror family":

$$T := M^\perp \in L$$

Suppose  $T$  contains  $H = \mathbb{R}^2$  with  $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ ,  
can then write  $T = H \oplus \check{M}$ . Then

"The family of  $M$ -polarized K3 surfaces is  
mirror to the family of  $\check{M}$ -polarized K3 surfaces"

Existence of  $H \subseteq T$  is equivalent to existence  
of a large complex structure limit point in  
the moduli space of  $M$ -polarized K3's.

(actual statement is slightly weaker!)

SYZ picture vs the above:

$$\begin{array}{l} S, \Omega, \omega \\ H \subseteq T \\ E, E' \\ \text{generators} \end{array} \quad \begin{array}{l} E \cdot E' = 1 \\ E^2 = E'^2 = 0 \\ \text{choose } \theta \text{ so that } (\text{Im } e^{i\theta} \Omega) E = 0. \end{array}$$

Replace  $\Omega$  by  $e^{i\theta} \Omega$ .

(Recall on Monday):  $\exists$  another  $cp^1$  structure  
 $K$  on  $S$  w/ the same metric st.

$$\Omega_K = \text{Im } \Omega + i\omega$$

$$\omega_K = \text{Re } \Omega$$

$M \subseteq S_K$  holomorphic  $\iff M \subseteq S$  S. Lag.

$$E \cdot \text{Im } \Omega = 0, \quad E \cdot \omega = 0 \quad \therefore E \cdot \Omega_K = 0$$

standard result in K3 surface  $\Rightarrow$

$E \in \text{Pic } S_K$ ,  $E^2 = 0$ .

Shafarevich: If  $S$  is a K3 surface and  $\exists E \in \text{Pic } S$  with  $E^2 = 0$ , then  $\exists$  a map

$f: S \rightarrow \mathbb{P}^1$  with elliptic fibers.

Thus  $\exists f: S_K \rightarrow \mathbb{P}^1$  elliptic fibration (with fiber  $\cong E$  in general)

This gives a s.lag.  $T^2$  fibration  $f: S \rightarrow S^2$ .

In general,  $f$  has 24 singular fibers of Kodaira type  $I_1$ :

$\alpha$

To see the Mirror, at this moment we only talk about topology:

"Dualizing an elliptic fibration doesn't change it!"  
for a single elliptic curve  $E = V/\Lambda$

$$H^1(E, \mathbb{Z}) \times H^1(E, \mathbb{Z}) \rightarrow H^2(E, \mathbb{Z}) \cong \mathbb{Z}$$

so  $H^1(E, \mathbb{R})^\vee \cong H^1(E, \mathbb{R})$ , so  $V^\vee \cong V$ .

This is canonical, so extends to give an isomorphism between  $f: S \rightarrow S^2$  and  $\check{f}: \check{S} \rightarrow S^2$ .

Later we will see for  $yx$  structures, this will lead to new results.

③ 3-dim'l example; — + Wilson:

Borcea + Voisin:

$S$  a K3 surface with an involution

$\iota: S \rightarrow S$  such that

Fix( $\iota$ ) =  $C_1 \cup \dots \cup C_N$ ,  $C_1, \dots, C_N$  non-sing. curves with  $C_2, \dots, C_N \cong \mathbb{P}^1$ ,  $C_1$  a curve of genus  $N'$ . Let  $E$  be an elliptic curve:

$$Y = (S \times E) / (\iota, -1) \text{ is singular}$$

$$\begin{array}{ccc} & \uparrow & \text{resolution such that} \\ & X & X \text{ is } C-Y \end{array}$$

$$h^{1,1}(X) = 11 + 5N - N'$$

$$h^{1,2}(X) = 11 + 5N' - N$$

want to interchange  $N$  and  $N'$ :

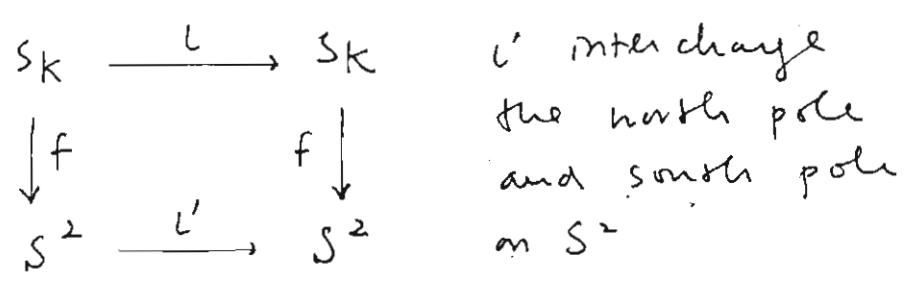
If  $\check{S}$  is in the mirror family to  $S$ , then  $\exists$  a involution  $\check{\iota} : \check{S} \rightarrow \check{S}$  interchange  $N$  and  $N'$ .  
(Rmk: topologically  $\check{S}$  is  $S$ , but NOT holomorphically!)

This part B-V use Nikulin's result. Thus

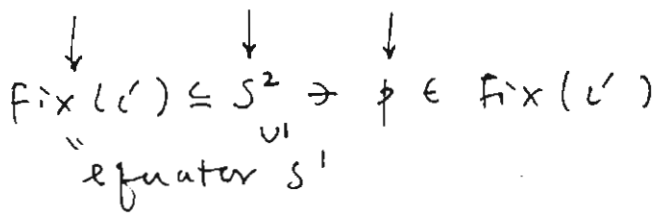
$$\check{X} \rightarrow \check{S} \times E / (\check{\iota}, -1) \text{ has}$$

$$h^{1,1}(X) = h^{1,2}(\check{X}); \quad h^{1,2}(X) = h^{1,1}(\check{X})$$

If the  $U(1)$  structure and metric on  $S$  are chosen carefully,  $\iota : S_K \rightarrow S_K$  is anti-holo



Fix( $\iota$ )  $\subseteq S_K \cong \mathbb{E} \hookrightarrow \iota$  anti-holo involution

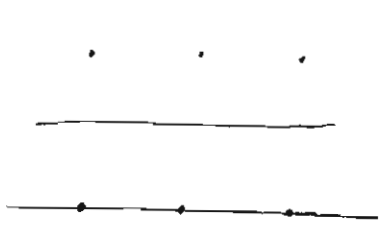


Two sorts of anti-holo involutions

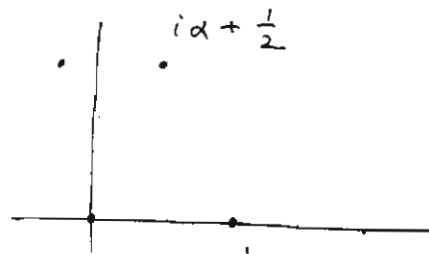
$z \mapsto \bar{z}$  on  $\mathbb{C}/\langle 1, \tau \rangle$ ,  $\tau = i\alpha$  (1st case)

$\text{Fix}(u) = S' \cup S'' = \{ \text{Im } z = 0 \} \cup \{ \text{Im } z = \frac{\alpha}{2} \}$

2nd case:  $\tau = i\alpha + \frac{1}{2}$ ,  $\text{Fix}(u) = S' = \{ \text{Im } z = 0 \}$

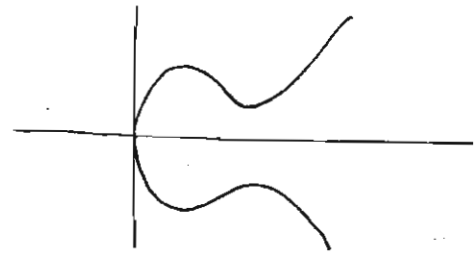
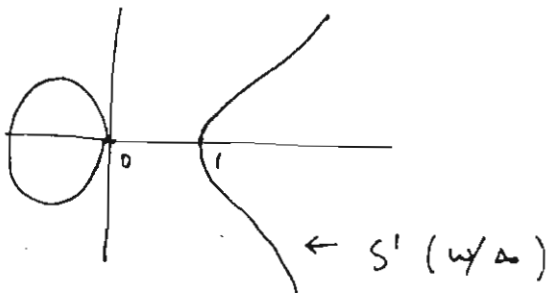


Case 1.



Case 2.

eg.  $y^2 = (x-1)(x+1)$ ;  $y^2 = x(x^2+1)$



for singular fibers:

$\text{Fix}(u) \subseteq S_K$

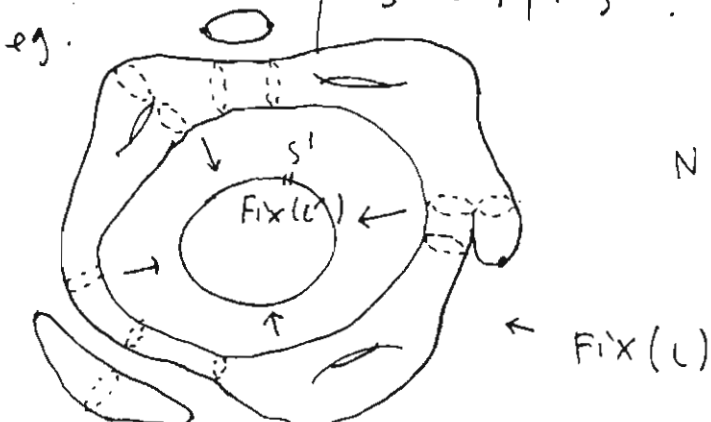
$S_b \equiv \alpha : I_1$  fiber  
 $y^2 = x^2(x+1)$

$\downarrow$   $\downarrow$   
 $\text{Fix}(u') = S' \subseteq S^2$

$S_b \equiv y^2 = x^2(x-1)$

So,

$\text{Fix}(u) = \left\{ \begin{array}{l} \text{Figure 8} \\ S' \cup \{pt\} \end{array} \right.$



$N=3, N'=4$

$$\begin{array}{ccc}
 SK & \xrightarrow{L} & SK \\
 \downarrow & & \downarrow \\
 S^2 & \xrightarrow{L'} & S^2
 \end{array}
 \qquad
 \begin{array}{ccc}
 SK & \xrightarrow{\check{L}} & SK \\
 \downarrow & & \downarrow \\
 S^2 & \xrightarrow{L'} & S^2
 \end{array}$$

$\check{L} = (-1) \circ L$  with  $-1: SK \rightarrow SK$  negation on fibers.

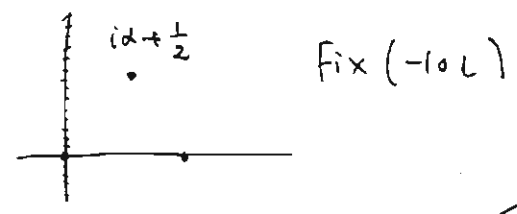
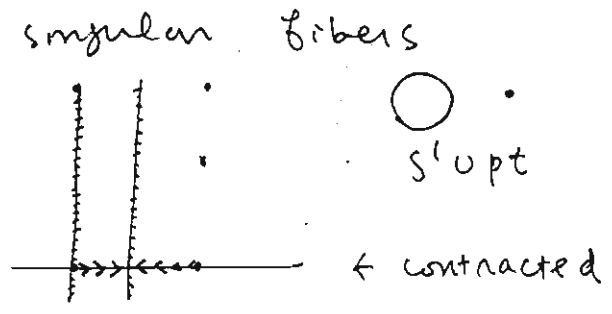
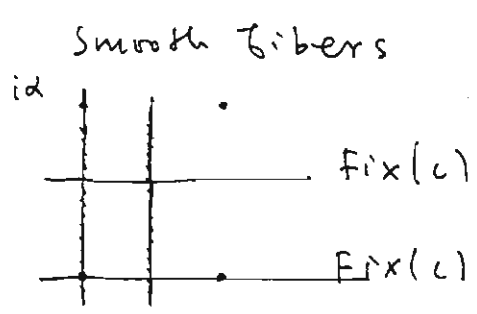
dual  $\rightarrow$

$$\begin{array}{ccc}
 \check{S}_K & \xrightarrow{L^t} & \check{S}_K \\
 \downarrow & & \downarrow \\
 S^2 & \xrightarrow{L'} & S^2
 \end{array}$$

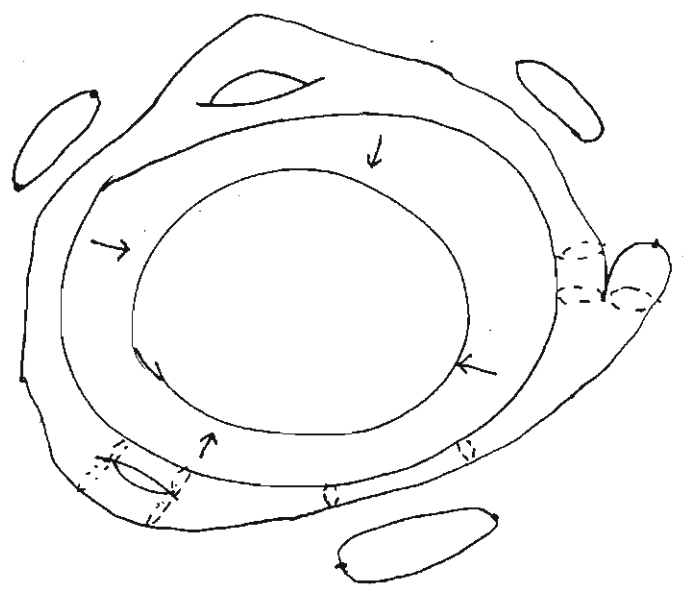
$L^t, \check{L}$  coincide with.

Can show: Given the canonical identification between  $SK$  and  $\check{S}_K$ ,  $L^t, \check{L}$  coincide with  $\check{L}$  is anti-holomorphic. How does  $\text{Fix}(\check{L})$  and  $\text{Fix}(L)$  diffeomorphic?

On singular fibers, two sorts of Fixed loci are interchanged!



Use this to get  $N=4, N'=3$ :



3-dim'l picture :

p. 8

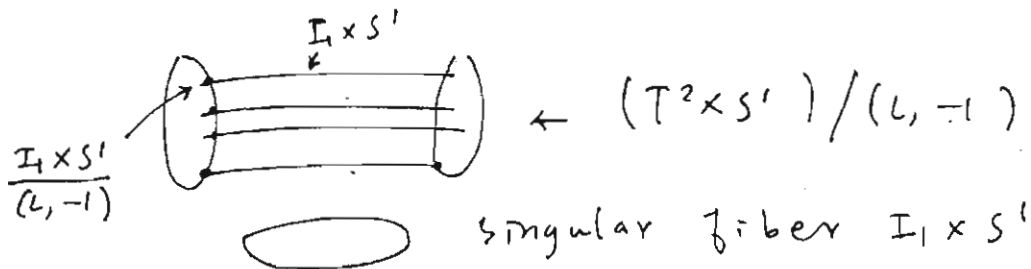
$S \times E$   $T^3$  fibration  $\text{slag}$  in  
product metric

$\downarrow$   
 $S^2 \times S^1$  has points  $p_1, \dots, p_n \in S^2$  st.  
 $f^{-1}(p_i)$  is sing. of type  $I_1$ .

The discr. locus of  $S \times E \rightarrow S^2 \times S^1$  is  
 $U(\{p_i\} \times S^1)$  with singular fibers being  $I_1 \times S^1$ .

$S \times E / (\mathcal{L}, -1)$   $\Delta$  consists of the image of  
 $\{p_i\} \times S^1$  which is an:  
 $S^1$  if  $\mathcal{L}(p_i) \neq p_i$   
 $\downarrow$  interval if  $\mathcal{L}(p_i) = p_i$   
 $S^2 \times S^1 / (\mathcal{L}, -1) \cong S^3 \supseteq \Delta$

And the image of  $\text{Fix}(\mathcal{L}, -1)$  :



$$\chi(I_1 \times S^1) = 0$$

$$\chi((T^2 \times S^1) / (\mathcal{L}, -1)) = \frac{0}{2} + \frac{0}{2} = 0 \text{ since}$$

$$\chi(F/\mathcal{L}) = \frac{1}{2} \chi(F - \text{Fix}(\mathcal{L})) + \chi(\text{Fix}(\mathcal{L}))$$

$$= \frac{1}{2} \chi(F) + \frac{1}{2} \chi(\text{Fix}(\mathcal{L}))$$

$$\chi(I_1 \times S^1 / (\mathcal{L}, -1)) = \frac{1}{2} \chi(I_1 \times S^1) + \frac{1}{2} \chi(\text{Fix}(\mathcal{L}, -1))$$

"0"

$$\text{Fix}(\mathcal{L}, -1) = \text{"}\infty\text{"} + \text{"}\infty\text{"} = \pm 1 \text{ depends on the}$$

"0." + "0."

2 cases.



Punch line: dualizing  $\equiv$  "divide by transpose"

$$\begin{array}{ccc}
 S \times E / (C, -1) & & \check{S} \times \check{E} / (\check{C}, -1) \\
 \downarrow & & \downarrow \\
 S^2 \times S' / (L', -1) & & S^2 \times S' / (L', -1)
 \end{array}$$

- Thus, ①  $X, \check{X}$  are related by dualizing  
 ② Fibers with Euler characteristic  $\pm 1$  are interchanged.

This is the 1st 3-dim'l example, and so far the only complete example we know.

One cheating point: these examples are singular! After smoothing, one has no idea whether still has fibration structure.

Question: Does dualizing interchange Hodge numbers? (3-fold case)

Has to understand

$$H^i(X, \mathbb{Q}), H^i(\check{X}, \mathbb{Q})$$

$f: X \rightarrow B$ , Use Leray spectral sequence


Problem is that we don't know the details of the singular fiber.

$$\begin{array}{ccc}
 X_0 \hookrightarrow X & & \\
 f_0 \downarrow & & \downarrow f \\
 B_0 \hookrightarrow B & & \\
 & i & 
 \end{array}$$

Def: We say  $f$  is "simple" if

$$L^* R^p f_* \mathbb{Z} \cong R^p f_* \mathbb{Z}$$

"Cohomology of the singular fibers is determined by the monodromy about the discriminant locus".

$S \quad T: H^i(X_b, \mathbb{Z}) \hookrightarrow \text{monodromy}$   
 $\downarrow \quad H^i(X_0, \mathbb{Z}) = \ker(T - I)$   
  
 in this case, this is the vanishing cycles.

Explanation:

$p=0: f_{0*} \mathbb{Z} = \mathbb{Z},$   
 $f_* \mathbb{Z} = \mathbb{Z},$  if all fibers are connected,  
 $i_* \mathbb{Z} = \mathbb{Z}$

$p = \dim_{\mathbb{C}} X: R^n f_{0*} \mathbb{Z} = \mathbb{Z}$

$(R^n f_* \mathbb{Z})_b = H^n(X_b, \mathbb{Z})$  need not be  $\mathbb{Z}$

eg.  $n=2, H^2(\text{Im}, \mathbb{Z}) = \mathbb{Z}^m$

condition holds for  $n$  if all fibers are irreducible.

\* Theorem: Given certain simple regularity assumptions (eg.  $f$  real analytic), and assuming all fibers are irreducible, then in  $\dim 3$ ,  $f$  is simple.

$$T^n = V/\Lambda$$

$$H^i(T^n, \mathbb{R}) \cong \wedge^i(V^\vee)$$

$$H^{n-i}((T^n)^\vee, \mathbb{R}) \cong \wedge^{n-i}(V) \text{ and know}$$

$$\wedge^i(V^\vee) \cong \wedge^{n-i}(V)$$

via the perfect pairing  $\wedge^i V^\vee \times \wedge^{n-i} V \rightarrow \wedge^n V \cong \mathbb{R}$

$\exists$  canonical isom. (Poincaré duality)

$$R^i f_{0*} \mathbb{R} \cong R^{n-i} f_{0*}^\vee \mathbb{R} \text{ on } B_0$$

so  $i_* R^i f_* \mathbb{R} \cong i_* R^{n-i} f_{0*}^\vee \mathbb{R} \text{ on } B,$

Simplicity  $\Rightarrow R^i f_* \mathbb{R} \cong R^{n-i} f_*^\vee \mathbb{R} !$

待續 #

1999. 1. 9

Special Lagrangian Geometry III

$$f: X \rightarrow B \quad ; \quad \check{f}: \check{X} \rightarrow B$$

$f, \check{f}$  dual fibrations and simple

$$\Rightarrow R^i f_* \mathbb{Q} \cong R^{n-i} \check{f}_* \mathbb{Q}$$

Leray Spectral sequence

$$E_2^{p,q} = H^p(B, R^q f_* \mathbb{Q}) \Rightarrow H^n(X, \mathbb{Q})$$

For  $n=3$ ,  $f_* \mathbb{Q} = \mathbb{Q}$ ,  $R^3 f_* \mathbb{Q} = \mathbb{Q}$  (Poincaré dual)

Assume  $b_1(X) = 0$  hence  $b_1(B) = 0$

(in fact will also implies  $b_1(\check{X}) = 0$ , let's assume it)

$$\begin{array}{ccccc} \mathbb{Q} & \xrightarrow{0} & \mathbb{Q} & & \mathbb{Q} \\ & \searrow & \downarrow & & \downarrow \\ ? & H^1(R^2 f_* \mathbb{Q}) & H^2(R^2 f_* \mathbb{Q}) & & 0 * \\ & & \downarrow & & \downarrow \\ 0 * & H^1(R^1 f_* \mathbb{Q}) & H^2(R^1 f_* \mathbb{Q}) & & ? \\ & \searrow & \downarrow & & \downarrow \\ \mathbb{Q} & \xrightarrow{0} & \mathbb{Q} & & \mathbb{Q} \end{array}$$

$$\begin{array}{l} b_1(B) = 0 \Rightarrow * = 0 \\ H^0(R^2 f_* \mathbb{Q}) \cong H^0(R^1 \check{f}_* \mathbb{Q}) = 0 \\ \text{via the similar picture} \\ \text{in } \check{f}: \check{X} \rightarrow B, \Rightarrow ? = 0 \end{array}$$

Now

$$H^1(B, R^1 f_* \mathbb{Q}) \xrightarrow{d} H^3(B, \mathbb{Q}) \xrightarrow{f^*} H^3(X, \mathbb{Q}) \text{ is exact}$$

$$\text{Vol}(T^3) = \int_{T^3} \Omega \neq 0 \text{ so } [T^3] \in H^3(X, \mathbb{Q}) \text{ is non zero}$$

$\therefore f^*$  is injective. Similarly

$$[Re \Omega] \rightarrow \text{something} \neq 0$$

$$H^3(X, \mathbb{R}) \xrightarrow{\hat{d}} H^0(B, R^3 f_* \mathbb{R}) = \mathbb{R} \xrightarrow{d} H^2(B, R^2 f_* \mathbb{R})$$

$\therefore d=0$ . So Spectral Seq. degenerates at  $E_2$  term.

compare with  $\check{f}: \check{X} \rightarrow B$  gives interchanging of Hodge numbers. In fact, more is true

P.12

$$H^{2*}(X, \mathbb{Q}) \cong H^3(\check{X}, \mathbb{Q})$$

but still not canonical yet.

Question: Construct an canonical isomorphism

Integral coefficients:

$$H^{2*}(X, \mathbb{Z}[\frac{1}{2}]) \cong H^3(\check{X}, \mathbb{Z}[\frac{1}{2}])$$

Question: what is the meaning of the filtration

on  $H^3(X, \mathbb{Q})$  induced from the L. S. S.?

(Answer: NOT the Hodge filtration since Hodge filt. is defined /  $\mathbb{C}$ , not /  $\mathbb{Q}$ . The possible correct answer is the "monodromy weight filtration" (Later will come back to this.))

MacLean: Deformation theory of calibrated submfd's. eg  $M \subseteq X$  is s. Lag.

What is the moduli space of def. space of  $M$  as a s. Lag. submfd?

— Deformation theory is unobstructed,

Tangent space: vector field  $\vec{v}$  on  $M$

$\leftarrow \begin{matrix} M \\ M \\ M \end{matrix} \quad (\iota(\vec{v})\omega)|_M$  is a well-defined 1-form on  $M$

$\leftarrow \quad (\iota(\vec{v})\text{Im}\Omega)|_M$  (h-1) form on  $M$

On  $M$ ,  $* \iota(\vec{v})\omega = - \iota(\vec{v})\text{Im}\Omega$ .

MacLean showed that if  $\vec{v}$  is the normal v.f. to a deformation of  $M$  as s.Lag. sub-mtd,

Then  $\begin{cases} d \iota(\vec{v}) \omega = 0 \\ d \iota(\vec{v}) \text{Im } \Omega = 0 \end{cases} \iff \iota(\vec{v}) \omega \text{ is harmonic.}$

$\Rightarrow$  Tangent Space =  $H^1(M, \mathbb{R})$ , the space of harmonic 1-forms on  $M$ .

$T^n \subseteq X^n$  s.Lag.  $H^1(T^n, \mathbb{R}) = n$

Global action-angle coordinates (Duistermaat)

$f: X \rightarrow B$  a s.Lag. fibration

There is an action of  $T_B^*$  on  $X$ :

Given 1-form  $\alpha$  on  $B$ ,  $\exists \vec{v}$  on  $X$  st.

$\iota(\vec{v}) \omega = f^* \alpha$ ,  $\vec{v}$  will be tangent to fibers.

Let  $T_\alpha: X \rightarrow X$  be the time 1 flow of  $\vec{v}$ .  
 $\downarrow \quad \swarrow$   
 $B$

$T_\alpha$  is a symplectomorphism  $\iff d\alpha = 0$

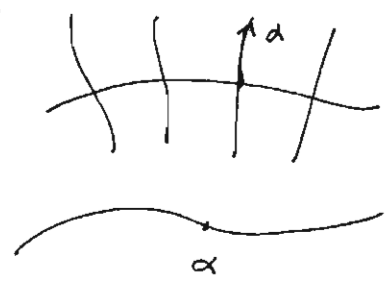
Can show that  $T_\alpha: X_b \rightarrow X_b$  only depends on the value of  $\alpha$  at  $b \in B$ .

Assume that  $f: X \rightarrow B$  has a Lagrangian section

$\sigma_0: B \rightarrow X$ ,

Define a map  $T_B^* \xrightarrow{\pi} X$  by  $\alpha \in T_{B,b}^*$

$\mapsto T_\alpha(\sigma_0(b))$ :



Let  $X^\# = X - \text{Crit}(f)$ .

$\text{Crit}(f) = \{x \in X \mid f_*: T_{X,x} \rightarrow T_{B,f(x)} \text{ has } \text{rk} < n\}$

$\pi$  surjects onto  $X^\#$  if  $f$  is simple.

$\pi^*\omega$  is the canonical symplectic form on  $T_B^*$ ,

ie. if  $y_1, \dots, y_n$  are loc. coord. on  $B$   
 $x_1, \dots, x_n \quad \dots \quad T_B^*$

ie.  $(y_1, \dots, y_n, x_1, \dots, x_n)$  coincides with  $\sum x_i dy_i$ ,

Then  $\pi^*\omega = \sum dx_i \wedge dy_i$ .

Notice that  $\pi$  depends on the Lag. section  $\sigma_0$  and the Symp. form  $\omega$ .

(Here we don't need  $d\alpha = 0$ , still get diffeom. )  
on each fiber

"  $T_B^* \xrightarrow{\pi} X^\# ; \pi^*\omega = \sum dx_i \wedge dy_i$  "

Think of  $X^\#$  as a sheaf:

$X^\#(U) = \{ \text{sections } U \rightarrow X^\# \mid U \}$ , get

$0 \rightarrow R^{n-1} f_* \mathbb{Z} \rightarrow T_B^* \rightarrow X^\# \rightarrow 0$

since  $0 \rightarrow \Lambda \cong H^{n-1}(T^n, \mathbb{Z}) \rightarrow V \rightarrow V/\Lambda \rightarrow 0$ .

Given any  $\sigma$  of  $X^\#(U)$ , get a translation

$T_\sigma: F^{-1}(U) \rightarrow \mathbb{S}$  (Thinking of  $\sigma_0$  as the zero section)

$\Gamma(B, T_B^*) \rightarrow \Gamma(B, X^\#)$

$\rightarrow H^1(B, R^{n-1} f_* \mathbb{Z}) \rightarrow H^1(B, T_B^*) = 0$

Hence,  $H^1(B, R^{n-1} f_* \mathbb{Z}) =$  space of sections of  $f$  modulo those homotopic to "zero sections".

Given a section  $\sigma$ ,  $T_\sigma: X \rightarrow X$  and

P. 15

$$T_\sigma^*: H^p(X, \mathbb{Q}) \rightarrow H^p(X, \mathbb{Q})$$

only depends on class of  $\sigma$  in  $H^1(B, R^{n-1} f_* \mathbb{Z})$ .

Recall, At large cpx str. limit pt: have monodromy transformations  $T_1, \dots, T_s$ ,  $s = h^{1, n-1}(X)$ .

CONJECTURE:

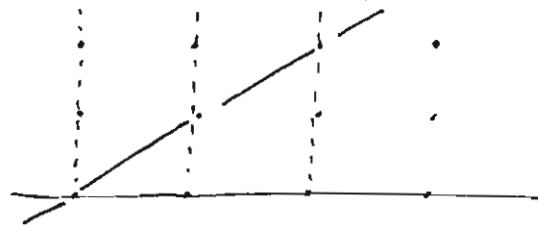
$\exists$  sections  $\sigma_1, \dots, \sigma_s \in H^1(B, R^{n-1} f_* \mathbb{Z})$  st.

$$T_{\sigma_i}^* = T_i$$

(since we haven't proven  $\dim H^1(R^{n-1}) = h^{1, n-1}$  yet. so for restrict this to  $n \leq 3$ .)

eg. Elliptic curves:

this section gives  
Dehn twist.



For K3 Surfaces, easy to check that this gives the right action on cohomology:

This  $T_{\sigma_i}$  and the monodromy diffeomorphisms are equivalent up to  $C^0$  isotopy.

(see Dolgachev)

Monodromy weight filtration (let  $n=3$ ):

$$N_i = \log T_i; \quad N = \sum a_i N_i, \quad a_i > 0$$

$$\exists! \text{ filtration } 0 \subseteq W_0 \subseteq W_2 \subseteq W_4 \subseteq W_6 = H^3(X, \mathbb{Q})$$

$$W_0 = \text{Im } N^3, \quad W_4 = \ker N^3$$

$$W_2/W_0 = \text{Im } N^2, \quad \dots$$

Cattani-Kaplan  $\Rightarrow$  This filtration does not depend on choices of  $a_i$ .

Rmk: Part of the data defines MHS.

CONJECTURE (—, Wilson, D. Morrison)

The Leray filt.  $\equiv$  The weight filt.

Easy to prove given the monodromy conjecture:

$$\sigma \in H^1(B, R^{n-1}f_*\mathbb{Z})$$

$$T_\sigma^* : H^p(X, \mathbb{Z}) \hookrightarrow \text{or } T_\sigma^* - I$$

Basic question: understand  $T_\sigma^* - I$  in terms of  $\sigma$ , and its action on  $R^i f_* \mathbb{Z}$ ; and Leray filt...

$T_\sigma^* - I : R^i f_* \mathbb{Z} \hookrightarrow$  is zero since action of  $T_\sigma$  on  $X_b$  is coh. trivial.

Let  $0 \subseteq F_0 \subseteq \dots \subseteq F_p = H^p(X, \mathbb{Z})$  be the Leray filtration:  $(E_\infty^{p-i}, i = \text{Gr}_p := F_p / F_{p-1})$

can show:  $(T_\sigma^* - I)(F_i) \subseteq F_{i-1}$ .

What is the action

$$T_\sigma^* - I : F_i / F_{i-1} \longrightarrow F_{i+1} / F_i \quad ?$$

$$\text{SII} \qquad \qquad \qquad \text{SII}$$

$$H^{p-i}(B, R^i f_* \mathbb{Z}) \longrightarrow H^{p-i+1}(B, R^{i-1} f_* \mathbb{Z})$$

(if the Leray s.s. degenerates at  $E_2$ , say  $n=3$ )

$$\sigma \in H^1(B, R^{n-1} f_* \mathbb{Z}) \cong H^1(B, R^1 \check{f}_* \mathbb{Z})$$

construct

$$\langle \cdot, \sigma \rangle : H^{p-i}(B, R^i f_* \mathbb{Z}) \longrightarrow H^{p-i+1}(B, R^{i-1} f_* \mathbb{Z}) \text{ by}$$

$$\alpha \in H^{p-i}(B, R^i f_* \mathbb{Z}) \cong H^{p-i}(B, R^{n-i} \check{f}_* \mathbb{Z})$$

$\exists$  a pairing



$$H^i(B, R^j \check{f}_* \mathbb{Z}) \times H^{i'}(B, R^{j'} \check{f}_* \mathbb{Z}) \rightarrow H^{i+i'}(B, R^{j+j'} \check{f}_* \mathbb{Z}) \quad \text{P.17}$$

$$\text{get } \alpha \cup \sigma \in H^{p-i+1}(B, R^{n-i+1} \check{f}_* \mathbb{Z}) \\ \cong H^{p-i+1}(B, R^{i-1} \check{f}_* \mathbb{Z})$$

Theorem. The map induced by  $T_\sigma^* - I$  is  $\langle \cdot, (H)^i \sigma \rangle$ .

Let  $N_\sigma = T_\sigma^* - I$ :

$$\begin{array}{ccccccc} \cdot & N_\sigma & 0 & & 0 & \cdot & \\ & \searrow & & & & & \\ 0 & & \cdot & N_\sigma & \cdot & & 0 \\ & & & \searrow & & & \\ 0 & & \cdot & & \cdot & N_\sigma & 0 \\ \cdot & & 0 & & 0 & \searrow & \cdot \end{array} \quad \begin{array}{l} N_\sigma^3(1) = D^3 \cdot [T^3] \\ \text{where } D \in H^1(B, R^1 \check{f}_* \mathbb{Z}) \\ = \text{Pic}(\check{X}) \\ \text{corresponding to } \sigma. \end{array}$$

$\Rightarrow$  weight filtration = Leray filtration.

Fact (from Nilpotent orbit thm):

- knowledge of the monodromy
- $\Rightarrow$  asymptotic behavior of (1,2) - Yukawa coupling
- $\Rightarrow$  const. term of (1,2) - Yukawa coupling
- $\Rightarrow$  The const. term agree w/ the const. term of the (1,1) - Yukawa coupling of the mirror.

More topological result:

$H^1(B, R^2 \check{f}_* \mathbb{Z}) \cong H^1(B, R^1 \check{f}_* \mathbb{Z}) = \text{Pic}(\check{X})$  gives correspondence between line bundles on  $\check{X}$  and sections of  $f$ :  $D \mapsto \sigma_D \in H^1(B, R^2 \check{f}_* \mathbb{Z})$ .

$\sigma_D$  is a section, hence defines coh. class in  $H^3(X, \mathbb{Z})$ .

CONJECTURE (Mirror Riemann-Roch)

P.18

$$\sigma_0 \cdot \sigma_D = \chi(\mathcal{O}_X(D)).$$

(predicted by Kontsevich's homological m.s. program.)

← 真

1999. 1. 11.

$f: X \rightarrow B$ ; construct dual  $\rightsquigarrow \check{f}: \check{X} \rightarrow B$  ?

Given Kähler and cpx structure on  $X$ ,  
what is the structure on  $\check{X}$  ?

$$\begin{array}{ccc}
 X & & \check{X} \\
 \Omega & \dashrightarrow & \check{\Omega} \\
 B + i\omega \in \frac{H^2(X, \mathbb{C})}{H^1(X, \mathbb{Z})} & \dashrightarrow & \check{B} + i\check{\omega}
 \end{array}$$

Mirror symmetry suggests that if we put

$$\Omega_n = \Omega / \int_{T^n} \Omega \quad \text{vol}(T^n) \quad (\text{so } \int_{T^n} \Omega_n = 1)$$

we want

$$\textcircled{1} \quad [\Omega_n] - [\sigma_0] \in H^1(B, R^{n-1}f_* \mathbb{C}) \cong H^1(B, R^1\check{f}_* \mathbb{C})$$

in good cases :  $\cong H^2(\check{X}, \mathbb{C})$

corresponds to  $\check{B} + i\check{\omega}$ .

$$\textcircled{2} \quad B + i\omega \in H^1(B, R^1f_* \mathbb{C}) \cong H^1(B, R^{n-1}\check{f}_* \mathbb{C})$$

should correspond to  $[\check{\Omega}_n] - [\check{\sigma}_0]$ .

Recall :

$$0 \rightarrow R^{n-1}f_* \mathbb{Z} \rightarrow T_B^* \xrightarrow{\pi} X^\# \rightarrow 0$$

the LHS inclusion has another interpretation :

$$(R^{n-1}f_* \mathbb{Z})_b \cong H_c^{n-1}(X_b^\#, \mathbb{Z}) \cong H_1(X_b^\#, \mathbb{Z})$$

Define a map  $H_1(X_b^\#, \mathbb{Z}) \hookrightarrow T_{B,b}^*$  as follows :

$$\gamma \mapsto \left( \vec{v} \mapsto \int_\gamma \iota(\vec{v}) \omega \right)$$

lifting is not unique, but  
contracts with  $\omega$  is then unique.

In fact, this embedding coincides w/ embedding of last lecture. Dual side on  $\check{X}$  has:

$$0 \rightarrow R^1 f_* \mathbb{Z} \rightarrow T_B \rightarrow \check{X}^\# \rightarrow 0$$

Two methods for constructing  $\check{\omega}$  on  $\check{X}^\#$ :

① Embed  $R^1 f_* \mathbb{Z} \hookrightarrow T_B^*$  via  $(R^1 f_* \mathbb{Z})_b = H_{n-1}(x_b, \mathbb{Z})$

$H_{n-1}(x_b, \mathbb{Z}) \hookrightarrow T_B^*$  via

$$\gamma \mapsto \left( \vec{v} \mapsto \int_\gamma \iota(\vec{v}) \text{Im} \Omega_n \right) \quad (\text{due to Hitchin})$$

② There is a natural metric  $h$  on  $B$  given by

$$h(\vec{v}, \vec{w}) = - \int_{x_b} \iota(\vec{v}) \omega \wedge \iota(\vec{w}) \text{Im} \Omega_n$$

Use this to identify  $T_B$  and  $T_B^*$ , giving

$$0 \rightarrow R^1 f_* \mathbb{Z} \hookrightarrow T_B^* \rightarrow \check{X}^\# \rightarrow 0$$

$$0 \rightarrow R^{n-1} \check{f}_* \mathbb{Z} \xrightarrow{\quad} T_B^*$$

Fact: ①, ② gives the same embedding.

This gives  $\check{\omega}$  on  $\check{X}$ , which is of the described cohomology class. In fact, for all  $b \in B_0$ ,

$$\gamma \in H_1(\check{X}_b, \mathbb{Z}) \cong H_{n-1}(x_b, \mathbb{Z}), \quad \vec{v} \in T_{B,b}$$

$$\int_\gamma \iota(\vec{v}) \omega = \int_\gamma \iota(\vec{v}) \text{Im} \Omega_n$$

$\Rightarrow \check{\omega}$  and  $\text{Im} \Omega_n$  represent the same class in

$$H^1(B, R^1 \check{f}_* \mathbb{R}) \cong H^1(B, R^{n-1} f_* \mathbb{R})$$

This should give the symplectic structure on  $\check{X}$ , at least on the smooth points.

$$0 \rightarrow R^{n-1} f_* \mathbb{Z} \rightarrow T_B^* \rightarrow X^\# \rightarrow 0$$

0-section  $\mapsto \sigma_0$

This held w/ choice of  $\sigma_0$ . Even w/o a choice,

$$0 \rightarrow R^{n-1} f_* \mathbb{Z} \rightarrow T_B^* \rightarrow J^\# \rightarrow 0$$

$X^\# \subseteq J^\#$  iff  $X^\#$  had a Lagrangian section  
 $J^\# \rightarrow B$  is the Jacobian of  $X^\# \rightarrow B$ , which clearly has a Lag. section.

The set of fibration  $X^\# \rightarrow B$  with Jacobian  $J^\# \rightarrow B$  is in fact equal to  $H^1(B, \Lambda(J^\#))$ , where  $\Lambda(J^\#)$  is the sheaf of Lag. sections of  $J^\#$ .

Complex structure?

Recall: To specify cpx str, enough to specify cpx valued  $n$ -form  $\Omega$  st.

①  $d\Omega = 0$

②  $\Omega$  is locally decomposable ( $\Omega = \sigma_1 \wedge \dots \wedge \sigma_n$ )

③  $\Omega \wedge \bar{\Omega}$  should be nowhere zero.

If  $y_1, \dots, y_n$  cov. on base,  $x_1, \dots, x_n$  cov. on  $T_B^*$  st.  $\omega = \sum dx_i \wedge dy_i$ , can write

$$\Omega = V \cdot \Lambda_i (dx_i + \sum_j \beta_{ij} dy_j)$$

where  $\Omega|_{T^n} = V dx_1 \wedge \dots \wedge dx_n = \text{Vol}(T^n)$

If  $(g_{ij})$  denotes metric on the fibers, then

$$V = \sqrt{\det(g_{ij})}$$

\* Calculation ①: (simple)

$$(-1)^{n(n-1)/2} \left(\frac{i}{2}\right)^n \Omega \wedge \bar{\Omega} = V^2 \det(\text{Im } \beta) \cdot \frac{\omega^n}{2^n}$$

So for Ricci-flat metrics, want  $\det(\text{Im } \beta) = V^{-2}$ .

\* Calculation ②:  $\omega$  is a positive (1,1) form p. 22  
 $\Leftrightarrow \beta = (\beta_{ij})$  is symmetric and  $\text{Im} \beta$  is positive definite.

\* Calculation ③:  $\text{Im} \beta_{ij} = g_{ij}$  and the spaces

$$(T_{X_{b,x}})^\perp = J(T_{X_{b,x}})$$

are spanned by  $\left\{ \frac{\partial}{\partial y_j} - \sum \text{Re} \beta_{ij} \frac{\partial}{\partial x_i} \mid 1 \leq j \leq n \right\}$ .

$\therefore \Omega$  is determined by  $\beta$ .

$\text{Im} \beta \Leftrightarrow$  metric on fibers

$\text{Re} \beta \Leftrightarrow$  Horizontal connection, with horizontal spaces being Lagrangian.

Now consider  $d\Omega = 0$ : write  $\beta = \sum \beta_{ij} dy_i \otimes \frac{\partial}{\partial x_j}$ .

• Theorem: The almost complex structure determined by  $\Omega$  (ie.  $\theta_1, \dots, \theta_n$  span (1,0) forms) is integrable

iff 
$$dy \beta - \frac{1}{2} [\beta, \beta] = 0$$

Here  $\beta = \sum \beta_i \frac{\partial}{\partial x_i}$ ,  $\beta_i$ : 1-forms,

$$dy \beta = \sum (dy \beta_i) \frac{\partial}{\partial x_i}; \quad dy := \text{exterior diff only involving } y_1, \dots, y_n.$$

If  $\alpha = \sum \alpha_i dy_i$ ,  $\beta = \sum \beta_j dy_j$ . Then

$$[\alpha, \beta] := \sum_{i,j} [\alpha_i, \beta_j] dy_i \wedge dy_j$$

(This is the analog in K-S theory, with  $\mathbb{R}, \mathbb{E}$  replaced by  $\mathbb{Y}$  and  $\mathbb{X}$ )

Now a very "hard calculational" theorem:

• Theorem:  $d\Omega = 0 \Leftrightarrow dy \beta - \frac{1}{2} [\beta, \beta] = 0$  and  $d\Omega$  contains no terms of the form:

$$dy_1 \wedge dx_1 \wedge \dots \wedge dx_n.$$

$$\Omega = V dx_1 \wedge \dots \wedge dx_n + \sum_{i < j} (-1)^{i-1} \beta_{ij} dy_j \wedge dx_1 \wedge \dots \wedge \widehat{dx_i} \wedge \dots \wedge dx_n$$

for  $\beta = b + ig$ , this is equiv. to  $\dots + \dots$

$$\textcircled{1} \underbrace{dy b - \frac{1}{2} [b, b] + \frac{1}{2} [g, g]}_{\text{curvature of horizontal connection}} = 0$$

$$\textcircled{2} dy g - [b, g] = 0$$

$\textcircled{3}$  The 1-forms  $dx_1, \dots, dx_n$  are harmonic on fibers.

$\textcircled{4}$  The Volume form  $V dx_1 \wedge \dots \wedge dx_n$  is inv. under parallel translation by the connection.

This is the recipe for constructing Ricci flat structure from an "symplectic structure".

Cor: If  $d\Omega = 0$  and the horizontal connection is flat, then the metric  $g$  is constant on fibers (flat on fibers).

pf: curvature = 0  $\Rightarrow$   $[g, g] = 0$

Let  $g^i = \sum g^{ij} \frac{\partial}{\partial x_j}$ , then  $[g^i, g^j] = 0$

Let  $w_1, \dots, w_n$  be the dual basis on  $TX_b$ ,

ie:  $w_i = \sum g_{ij} dx^j$

$$dw_i(g^j, g^k) = g^j(w_i(g^k)) - g^k(w_i(g^j)) - w_i([g^j, g^k]) = 0$$

Then  $\exists$  a function  $\varphi_i$  on  $\mathbb{R}^n$  (univ. cover of  $X_b$ )

$$\text{st. } \frac{\partial \varphi_i}{\partial x_j} = g_{ij} \quad (d\varphi_i = w_i)$$

since  $\frac{\partial^2 \varphi_i}{\partial x_j \partial x_i} = \frac{\partial^2 \varphi_i}{\partial x_i \partial x_j}$ , can find  $\varphi$  on  $\mathbb{R}^n$  st.

$$g_{ij} = \frac{\partial^2 \varphi}{\partial x_i \partial x_j}$$

choose  $\varphi$  to be of the form

P. 24

$$\varphi_{\text{quad}} + \varphi_{\text{per}} \quad \text{with} \quad \int_{X_b} \varphi_{\text{per}} = 0$$

Can calculate  $*dx_i = v^{-1} \omega_1 \wedge \dots \wedge \hat{\omega}_i \wedge \dots \wedge \omega_n$

$$\text{By } \textcircled{3}, \quad 0 = d(v^{-1} \omega_1 \wedge \dots \wedge \hat{\omega}_i \wedge \dots \wedge \omega_n)$$

$$= \pm \underbrace{g^i}_{=0} (v^{-1}) \omega_1 \wedge \dots \wedge \omega_n$$

$\forall i$  so  $v^{-1}$  is constant on fiber.

But  $v = \sqrt{\det(g_{ij})}$ , thus  $\det\left(\frac{\partial^2 \varphi}{\partial x_i \partial x_j}\right) = C$

Monge-Ampère Eq'n  $\Rightarrow \varphi_{\text{per}} = 0$  via standard PDE theory.

Recall  $\check{\omega}$  satisfied  $\int_Y c(\check{\nu}) \check{\omega} = \int_Y c(\check{\nu}) \text{Im } \check{\Omega}_n$ , we also want (by symmetry)

$$\textcircled{*} \quad \int_Y c(\check{\nu}) \text{Im } \check{\Omega}_n = \int_Y c(\check{\nu}) \omega$$

Recall metric  $h$  on  $B$ , metric  $\check{h}$  on  $B$ , once  $\check{\Omega}$  is constructed,  $\textcircled{*}$  is equiv. to  $h = \check{h}$ .

This was expected by Hitchin, now was put in a more clear way.

Hitchin Solution: Given  $f: X \rightarrow B$ , can construct  $\check{\Omega}$  on  $\check{f}_0: \check{X}_0 \rightarrow B$  by taking the connection to be the Gauss-Manin connection. i.e.

$\nabla_{\text{GM}}$  on  $(R^1 f_* \mathbb{R}) \otimes C^\infty(B)$ , whose horizontal sections are the sections of  $R^1 f_* \mathbb{R}$  and take the metric to be  $\check{g}_{ij} = h_{ij}$ .

(Rmk: Compare with Hitchin's original form)

But this can't be true since flat metric can't degenerate to singular fiber by collapsing cycles.



Twisted Hitchin's Solution:

Let  $\mathcal{A}$  be the sheaf on  $B$  defined by

$$\mathcal{A}(U) = \left\{ \text{sections } \sigma: U \rightarrow \check{f}^{-1}(U) \text{ for which} \right. \\ \left. T_{\sigma}^* \check{\omega} = \check{\omega} \text{ and } T_{\sigma}^* \check{\Omega}_n = \check{\Omega}_n \right\}$$

Can show  $\mathcal{A} \cong R^{n-1} \check{f}_*(\mathbb{R}/\mathbb{Z})$ , i.e. only those sections which are horizontal.

Elements of  $H^1(B_0, \mathcal{A})$  give rise to non-isom choices  $\check{X}'_0 \rightarrow B_0$ . (Some of these may not have Lagrangian sections.)

Refined Mirror Symmetry Conjecture:

Let  $X$  be a compact complex  $C-Y$   $n$ -fold, w/  $\omega, \Omega, f: X \rightarrow B$  a simple s. Lag. fibration.

Then for each  $\underline{B} \in H^1(B, R^1 f_* \mathbb{R}/\mathbb{Z})$  there exists a  $C-Y$   $n$ -fold  $\check{X}, \check{\omega}, \check{\Omega}$  and s. Lag. fibration  $\check{f}: \check{X} \rightarrow B$  (not nec. has a section) st.

① For each  $U \subseteq B_0 = B - \Delta$  on which  $f$  and  $\check{f}$  both have sections,  $f^{-1}(U) \rightarrow U$  and  $\check{f}^{-1}(U) \rightarrow U$  are topologically dual.

②  $\forall b \in B_0, \gamma \in H_1(\check{X}_b, \mathbb{Z}) \cong H_{n-1}(X_b, \mathbb{Z})$  and  $v \in T_{B,b}$ ,

$$\int_{\gamma} \iota(v) \check{\omega} = \int_{\gamma} \iota(v) \text{Im } \Omega_n$$

③ For  $\gamma \in H_{n-1}(\check{X}_b, \mathbb{Z}) \cong H_1(X_b, \mathbb{Z})$ :

$$\int_{\gamma} \iota(v) \omega = \int_{\gamma} \iota(v) \text{Im } \check{\Omega}_n$$

④  $\check{f}$  has a  $C^\infty$  section if  $\underline{B} \in H^1(B, R^1 f_* \mathbb{R}) / H^1(B, R^1 f_* \mathbb{Z})$ .

In this case, if  $\check{\omega}$  is a section, then

p. 26

$$[\operatorname{Re} \check{\Omega}_n] - [\check{\omega}]$$

defines a class in  $H^1(B, R^{n-1} \check{f}_* \mathbb{R})$  which is well-defined modulo  $H^1(B, R^{n-1} \check{f}_* \mathbb{Z})$  and this element coincides with  $\underline{B}$ .

- ⑤ If  $\check{f}$  is the Jacobian of  $\check{X}$  then  $\check{X}$  is obtained from  $\check{Y}$  as a symplectic manifold via re-gluing using  $\underline{B} \in H^1(B, R^{n-1} \check{f}_* \mathbb{R}/\mathbb{Z}) \rightarrow H^1(B, \Lambda(\check{f}^\#))$ .
- ⑥ Once  $\check{X}$ ,  $\check{\omega}$  is fixed,  $\check{\Omega}_n$  is unique up to translation by a section.

THEOREM: The conjecture holds for K3 surfaces.

This pf does not use Hodge theory (Torelli thm)! but does Yau's thm on Calabi-Conj!!

What's left?

- ① Regularity for special Lagrangian currents.
- ② Regularity of s. Lag. fibrations.
- ③ Existence of s. Lag. cycles.  
(cf. Schoen + Wolfson in 4-dim'l case)
- ④ Existence of s. Lag. fibrations  
(could be the hardest!)
- ⑤ Classification of singular fibers.
- ⑥ Topological compactification of the dual.

- ⑦ Symplectic compactification .
- ⑧ Complex structure  
( solving the eq'ns )
- ⑨ What does this have to do with counting  
rational curves .

End 