

The First

NCTS Summer School on Algebraic Geometry

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(Notes by Chin-Lung Wang)

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# NCTS Summer School in Algebraic Geometry (1999)

Prof. Yujiro Kawamata

lecture I. 7/19 at Academia Sinica

## \* Algebraic Fiber Spaces, overview

complex algebraic varieties:

reduced, irreducible, finite type scheme /  $\mathbb{C}$

Relative situation: alg. fiber space

$$f: X \rightarrow Y \quad \text{morphism}$$

$$\begin{matrix} U & \xrightarrow{\psi} & \eta \\ X_{\eta} & \rightarrow & \eta \end{matrix} \quad \text{generic point}$$

generic fiber /  $\mathbb{C}(Y)$  = rat. function field of  $Y$

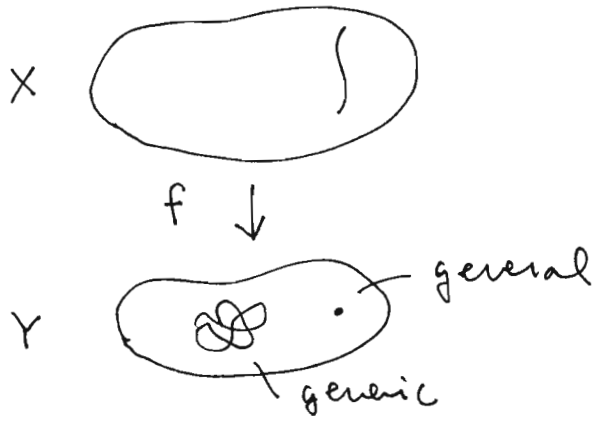
$\mathbb{C}(Y) \subset K$  (alg. closed) eg.  $K = \overline{\mathbb{C}(Y)}$

$X_{\eta}$  geometric generic fiber

Def:  $f$  alg-fiber space

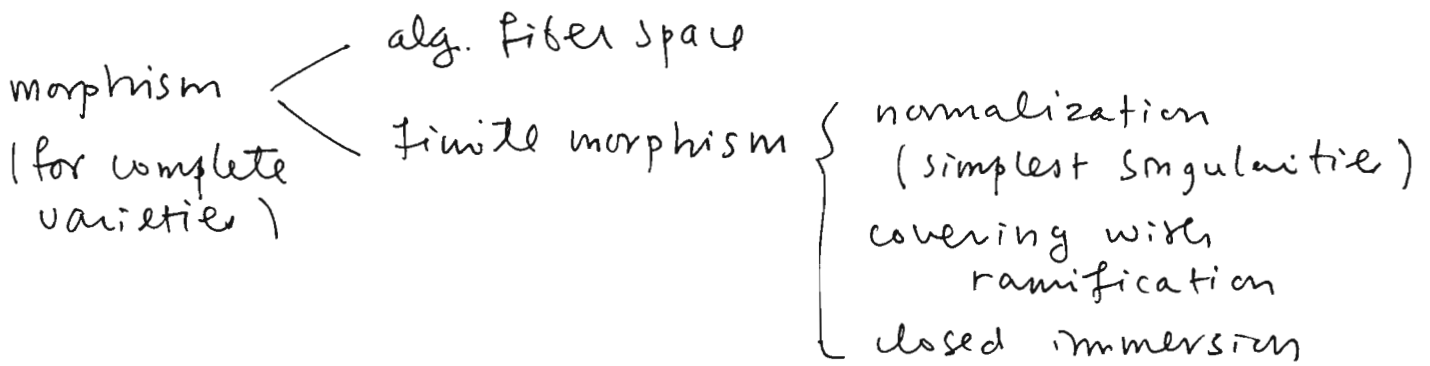
$$\iff X_{\eta} \text{ algebraic variety}$$

$$\iff \text{general fiber are alg. v. / } \mathbb{C}$$



$$Y \supset U \ni \forall p \text{ open}$$

$$X_p = f^{-1}(p) = \text{variety}$$



We consider only alg. fiber space.

fiber bundle  $\Rightarrow$  no degeneration

good model of alg. f. space  $\Rightarrow$  controlled degeneration

Very important example:

Elliptic surface (Kodaira theory)

$$f: X \rightarrow Y \quad \dim X = 2, \dim Y = 1$$

$X_p$  generic fiber = elliptic curve

good model  $\leftrightarrow$   $X, Y$  smooth, no  $(-1)$  curve.

good model for a variety " = " smooth

$Z \subset X$ ,  $X$  var.  $Z =$  closed subset

Theorem:  $\exists \mu: \tilde{X} \rightarrow X$  birational morphism

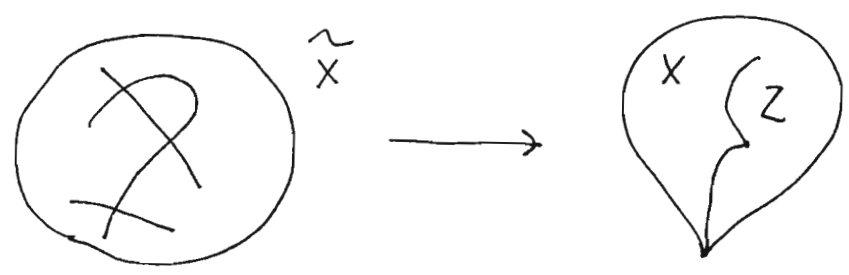
$$\begin{array}{ccc} U & & U \\ \tilde{U} & \xrightarrow{\sim} & U \end{array} \text{ open}$$

$\tilde{X}$  smooth,  $\tilde{Z} = \mu^{-1}(Z) =$  normal crossing divisor

$(\tilde{X}, \tilde{Z})$  smooth pair.

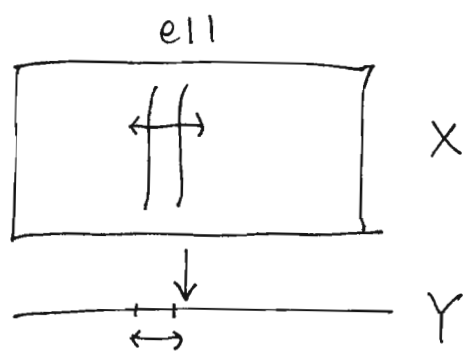
locally  $(\tilde{X}, \tilde{Z})$  looks like  $(\mathbb{C}^n, \text{union of } \text{cov. hyperplanes})$

$$x_1 x_2 \cdots x_r = 0$$



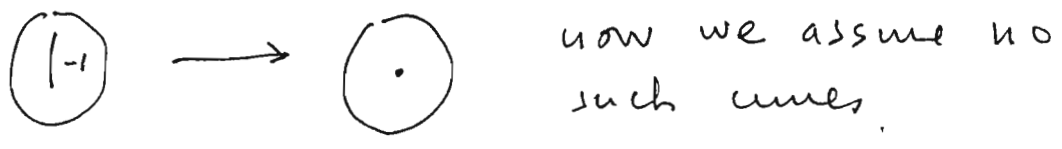
This is "birational geometry" since  $\mathbb{C}(X) \cong \mathbb{C}(X\tilde{~})$ .

For elliptic surface  
 ① moduli for general fibers  
 $X_p \cong \mathbb{C}/\mathbb{Z} \oplus \mathbb{Z}\tau$

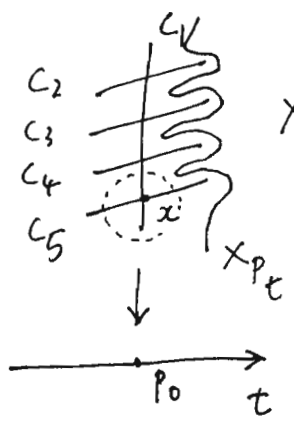


$J: Y \rightarrow \mathbb{P}^1 \setminus \{\infty\}$ ,  $J$  function  
 $\leftrightarrow$  positivity of curvature (Griffiths)

② Degenerate fibers (singular fibers)  
 completely classified by Kodaira  
 (-)-curves:  $C \subset X$ ,  $C \cong \mathbb{P}^1$ ,  $N_C/X \cong \mathcal{O}(-1)$   
 $\rightsquigarrow$  contractible to a smooth point



One typical case:



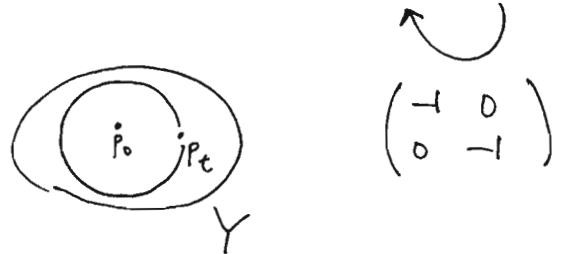
$C_i \cong \mathbb{P}^1$   
 $X_{p_0} = F = 2C_1 + C_2 + C_3 + C_4 + C_5$   
 $f^*t = x^2y$   
 $x=0 \rightarrow C_1$   
 $y=0 \rightarrow C_5$

scheme-theoretic fiber

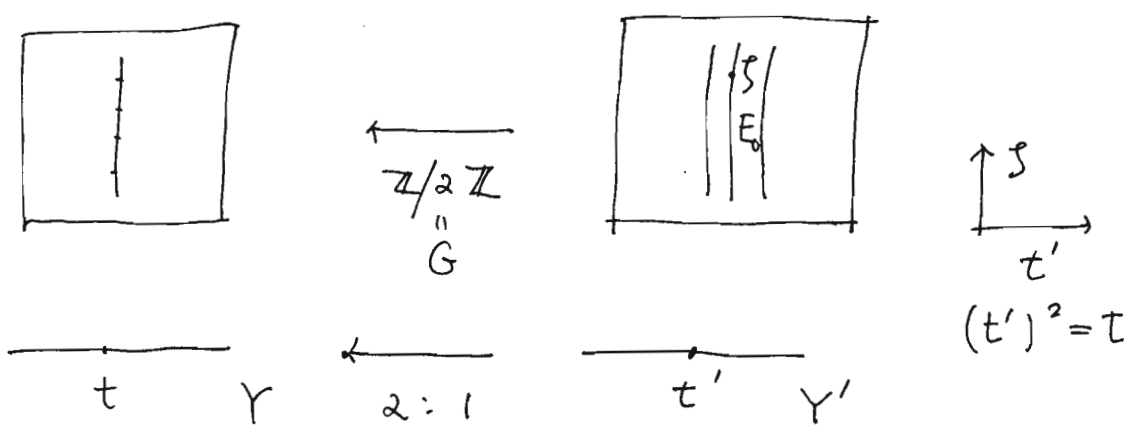
$X_{p_t}$  = general fiber = smooth elliptic curve.

$\curvearrowright$  : monodromy

$$H^1(X_{p_t}, \mathbb{Z}) \cong \mathbb{Z} \oplus \mathbb{Z}$$



This can be constructed by



$$E_0/G \cong \mathbb{P}^1, \quad \mathbb{C}^2 \begin{cases} s \rightarrow -s \\ t' \rightarrow -t' \end{cases}$$

degenerate fibers  $\rightarrow$   $\begin{cases} \text{monodromy} \\ \text{multiple fiber} \\ \infty\text{-moduli point} \end{cases}$

multiple fiber:  $F_i = m F_0$ ,  $F_0$  elliptic  
 $m \in \mathbb{N}$ , multiplicities



$\infty$ -moduli  $J(p_0) = \infty$

$F_i = \text{nodal } \mathbb{P}^1$ , chain of  $\mathbb{P}^1$ 's.

$$K_X = f^*(K_Y + \text{positive } \mathbb{Q}\text{-divisor } \Theta)$$

$$\Theta = \sum a_i P_i, \quad f^{-1}(P_i) \text{ singular fiber, } a_i \in \mathbb{Q}^+$$

eg.  $f$  :

		$mF_0$
$a = 1/2$	$a = 1/2$	$a = \frac{m-1}{m}$

$K$  = canonical divisor

$$= \text{div}(\text{differential } n\text{-form}) = \sum n_i D_i$$

$D_i$  : codim 1 sub. v.  $n_i$  order of 0 or  $\infty$ .

Example:  $X = \mathbb{C} \xrightarrow[\mu]{m:1} \mathbb{C} = Y, \quad t \rightarrow t^m = s$

$\downarrow$   
 $P_0$

$$K_X = \text{div}(\mu^* ds) = \text{div}(m t^{m-1} dt) = (m-1) P_0 + K_Y$$

$$\text{so } K_X = \mu^* K_Y + (m-1) P_0$$

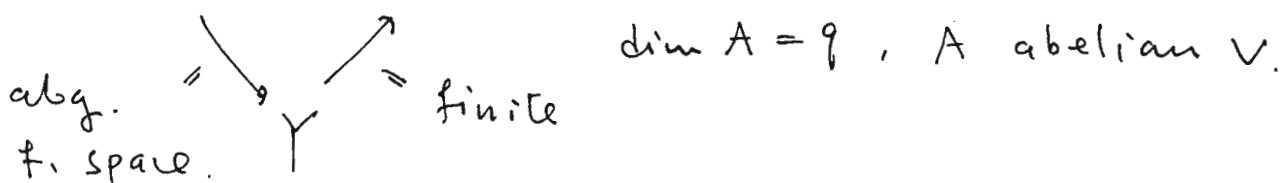
More Examples :

① Albanese fiber space

$X$  smooth complete variety

$$H^0(X, \Omega^1) = \{ \text{hol. 1-forms} \} \neq 0 \quad q\text{-dim}$$

$$\Rightarrow X \longrightarrow A \quad \text{stein factorization}$$

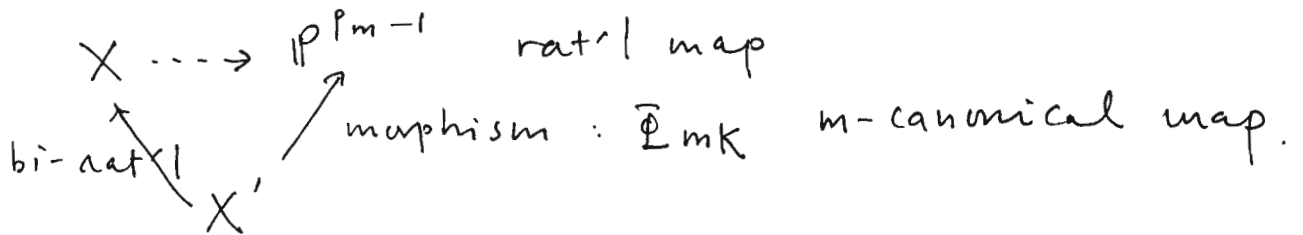


② Itaka Fiber space

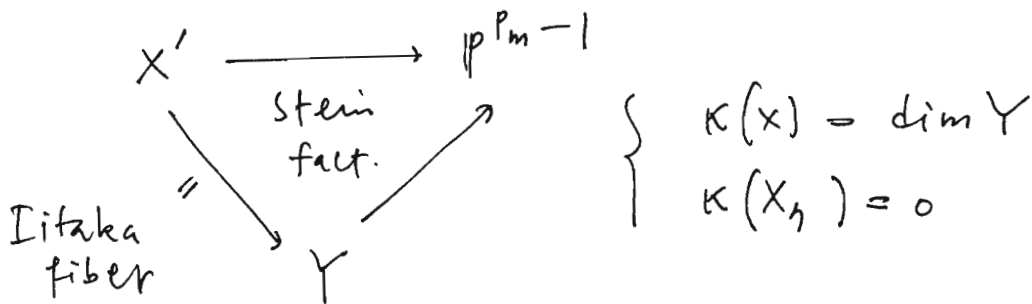
$H^0(X, mK) = \{ m\text{-ple canonical forms} \} \neq 0$   
 $P_m$ -dim, basis  $\omega_0, \dots, \omega_{P_m-1}$

$$X \dashrightarrow \mathbb{P}^{P_m-1}$$

$$x \mapsto (\omega_0(x) : \omega_1(x) : \dots : \omega_{P_m-1}(x))$$



$\max(\dim \mathbb{P}^m K(x)) = \kappa(x)$  Kodaira dimension  
 maximal dim is attained at  $m$



Both examples are constructed using differential forms. Now another extreme:

③ Mori fiber space:

assume  $K_X$  is not nef:

$$\deg K|_C = K \cdot C < 0 \quad \exists C \text{ curve on } X$$

$\Rightarrow \exists$  contraction associated to an extremal ray  
 $f: X \rightarrow Y$ . alg. fiber space (including birational morphism)



④ Moduli space & universal family

$$\begin{array}{l}
 U \longrightarrow G(n, m) \\
 \text{universal} \qquad \text{Grassmannian} \\
 \mathcal{C} \longrightarrow \mathcal{M} \text{ moduli of stable curves} \\
 \mathcal{U} \longrightarrow \text{Hilb} \text{ etc.}
 \end{array}$$

⑤ Twistor space for HyperKähler manifold

$X$  : cpt Kähler mfd,  $2n$ -dim  
 $\mathbb{C} \cong H^0(X, \Omega^2) \ni \omega$  hol. 2-form  
 $\wedge^n \omega =$  nowhere vanishing  $2n$ -form  
 $g$  : Kähler metric

$$(X, g, \omega) \rightsquigarrow \mathcal{X} \xrightarrow{f} \mathbb{P}^1 \text{ family of HK mfd's}$$

For previous algebraic examples (alg. fiber space)  
 we always have "positivity". For this analytic  
 case, it is false:

$$\begin{array}{l}
 \mathcal{X} \cong X \times S^2 \quad (\mathbb{C}^\infty) \\
 f : \text{smooth morphism of } \mathbb{C}^p \times \text{manifolds} \\
 f^* \omega_{\mathcal{X}/\mathbb{P}^1} := f^* \mathcal{O}(K_X - f^* K_{\mathbb{P}^1}) = \mathcal{O}(-n), \quad n > 0.
 \end{array}$$

Abramovich - Karu

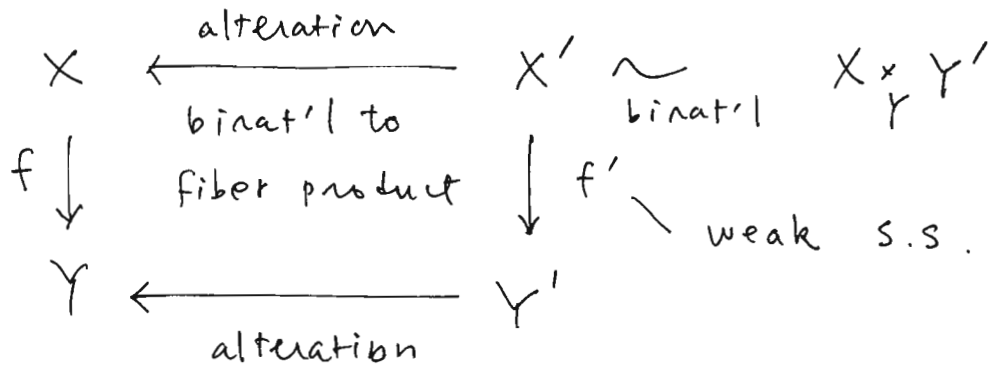
weak semi-stable model

cf. variety = resolution to smooth model

birational morphism

fiber space alteration

= birat'l morphism + finite covering.



case :  $\dim Y = 1$  :

semi-stable :  $\left\{ \begin{array}{l} X, Y \text{ smooth, all fibers are} \\ \text{reduced normal crossing div.} \end{array} \right.$   
 $\text{div}(f^*t) = D_1 + D_2 + \dots$   
 $\cup D_i \text{ NCD. eg. } \nexists \text{ not.}$

General case :

$X, Y$  smooth,  $Y \supset E$  NCD  $x \in X$   
 $X \supset D$

$x \in X, y = f(x) \in Y$ , local coor.  $y \in Y$   
 $x_1, \dots, x_n, y_1, \dots, y_m$

$D = \text{div}(x_1 \dots x_n) = D_1 + \dots + D_s$  at  $x$

$E = \text{div}(y_1 \dots y_m) = E_1 + \dots + E_t$  at  $y$

$f^*E_i = D_{j_{i-1}+1} + \dots + D_{j_i}$  ;

locally product of s.s. with  $\dim Y = 1$ .

This is called semi-stable model which is conjectured to exist, but not proved yet.

Rmk : the necessity to use alteration is already clear even in  $\dim = 1$ , eg. prev. example.

# Weakly semi-stable model :

$X$  : only Gorenstein quotient singularities

$$\begin{array}{ccc} \psi \\ x & \tilde{X} \xrightarrow{\pi} X & \text{(local covering)} \\ & \text{smooth} & \parallel \\ & & \tilde{X}/G \end{array} \quad G : \text{finite gp}$$

$\tilde{X}$  : loc.  $x_1, \dots, x_n$

$$(\mathbb{C}^*)^n = \{x_1 \cdots x_n \neq 0\} \text{ mult gp str. } \supset G$$

$$g \in G, g = \begin{pmatrix} \alpha_1 & & \\ & \ddots & \\ & & \alpha_n \end{pmatrix}; \alpha_i \text{ roots of unity}$$

$\tilde{X}, Y$  smooth,  $Y \supset E, \tilde{X} \supset \tilde{D}, \text{NCD}, \tilde{f} = f \circ \pi$

$x \in X, y \in \tilde{f}(x) \in Y$ , local coord.  $x_1 \cdots x_n, y_1 \cdots y_m$

$$\begin{array}{ccc} \tilde{X} \supset \tilde{D} & \tilde{D} = \text{div}(x_1 \cdots x_s) = D_1 + \cdots + D_s \text{ at } x \\ \downarrow & \downarrow \\ X \supset D & E = \text{div}(y_1 \cdots y_t) = E_1 + \cdots + E_t \text{ at } y \\ \downarrow & \downarrow \\ Y \supset E & \tilde{f}^* E_i = \tilde{D}_{j_{i-1}+1} + \cdots + \tilde{D}_{j_i} \\ & E_1 \rightsquigarrow \tilde{D}_1 + \tilde{D}_2, E_2 \rightsquigarrow \tilde{D}_3 + \tilde{D}_4 \text{ etc..} \end{array}$$

Now the condition reads :  $f^* E_i = D_{k_{i-1}+1} + \cdots + D_{k_i}$  is a reduced divisor, quotient of NCD.

If  $\dim Y = 1$  :

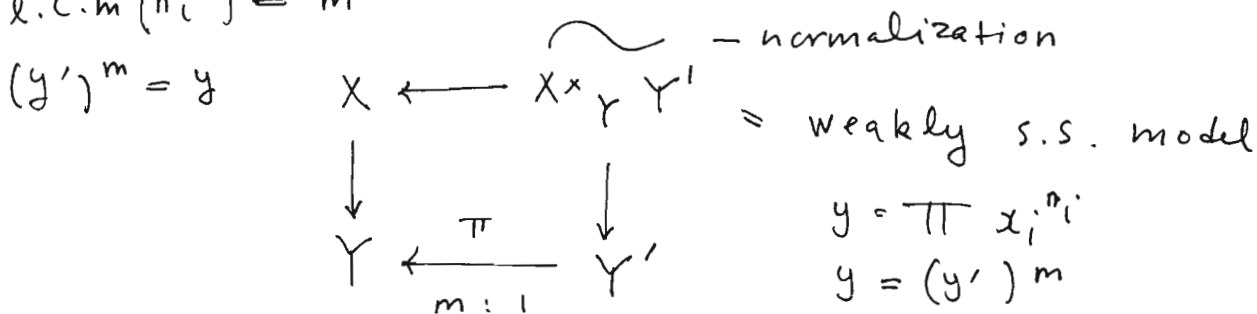
s.s. reduction theorem (Mumford et. al)

in Book : Toroidal Embeddings I.

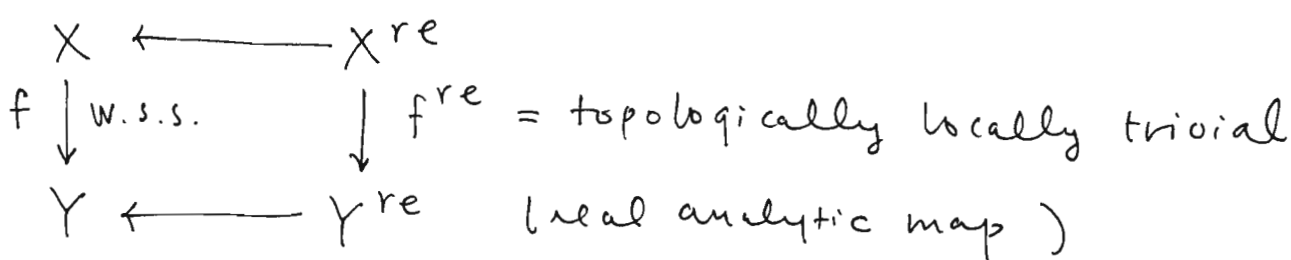
By Hironaka,  $X, Y$  smooth, fiber normal crossing

$$\begin{array}{ccc} X & & \text{but not reduced} \\ \downarrow f & & \\ Y \rightarrow Y & & \end{array} \quad f^* y = \sum n_i D_i, n_i \in \mathbb{N}$$

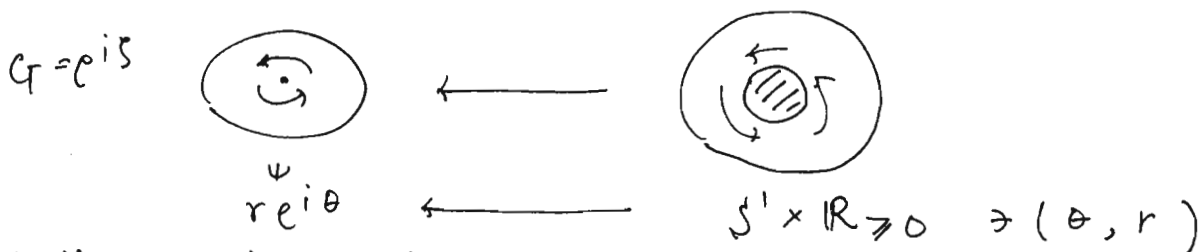
$\text{l.c.m}(n_i) = m$



Real Blow up:



eg.  $(\mathbb{C}, 0)$  smooth pair  $\longleftarrow \mathbb{C}^{re}$



different from blow-up of real alg. v.

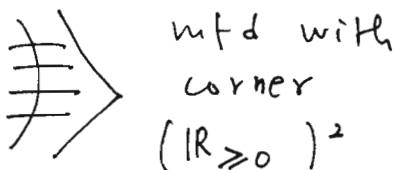
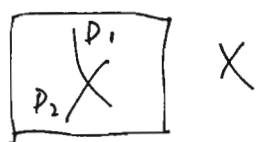
general case is product of this.

$(\mathbb{C}^2, +) = (\mathbb{C}, 0) \times (\mathbb{C}, 0)$

$(\mathbb{C}^2)^{re} = \mathbb{C}^{re} \times \mathbb{C}^{re}$  etc ...

$(X/G)^{re} = X^{re}/G$

$((X,D)/G)^{re} = (X,D)^{re}/G$  ← free quotient!



will use this approach to algebraic case to adjunction theory ...  $\square$



Hodge Theory for algebraic Fiber Spaces 7/23

weakly semi-stable model  $f: X \rightarrow S = \text{smooth}$

$p \in X, \mathcal{Q} = f(p) \in S$

$\exists$  Galois covering  $\tilde{U} \xrightarrow[\sigma]{G} U \rightarrow p$

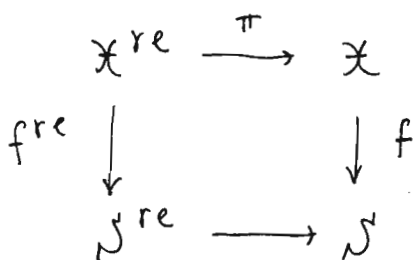
$x_1, \dots, x_n$   $G$  acts diagonally

$V \ni \mathcal{Q}, y_1, \dots, y_m$

$\sigma^* f^* y_i = x_{j_{i-1}+1} x_{j_{i-1}+2} \dots x_{j_i}$

- $\Rightarrow$   $f$  flat equi-dim-1
- $X$  quotient sing ( $\Rightarrow$  CM)
- $f$  has reduced fibers

Real Blow-Up :



$f^{re}$  topologically locally trivial family

MONODROMY :

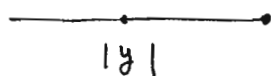
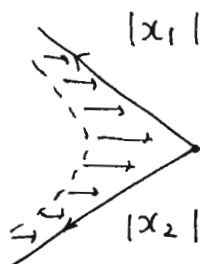
$\pi_1(S^{re}, *) \rightarrow \text{Aut}(H^p(X_*^{re}, \mathbb{Z})) \quad * \in S^{re}$

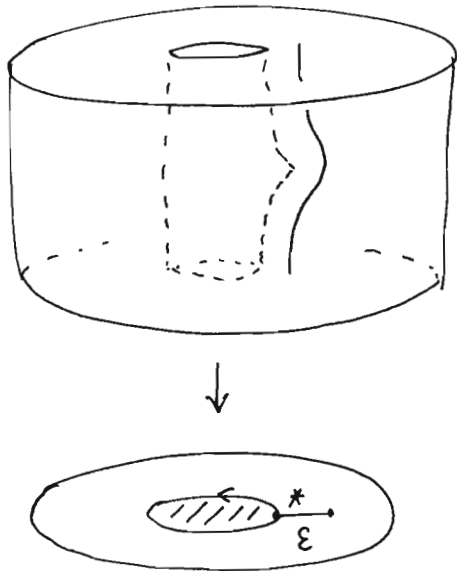
$X_s^{re} = f^{re^{-1}}(s), s \in S^{re}$

Simplest examples :

$y = x_1 x_2$

$|y| = |x_1| \cdot |x_2|$





usually need to take base point with  $\text{dist} = \epsilon$   
 now for real blow up, can take  $\epsilon = 0$ . this makes things easier. Will prove:

- locally unipotent monodromy
- globally semi-simple.

$R^p f_* \mathbb{Z}_{\mathcal{X}}$  are local system over  $S^{\text{re}}$

① Smooth fiber  $X$  of  $f: \mathcal{X} \rightarrow S$

$$\mathbb{C}_X \xrightarrow[\text{q.i.}]{\sim} \Omega_X^\bullet$$

$$\cup$$

$$\mathbb{Z}_X$$

stupid filtration:

$$0_X \rightarrow \Omega_X^1 \rightarrow \dots \rightarrow \left[ \Omega_X^p \rightarrow \Omega_X^{p+1} \rightarrow \dots \right]$$

$$0 \rightarrow 0 \rightarrow 0 \rightarrow \dots \rightarrow \Omega_X^p \rightarrow \Omega_X^{p+1} \rightarrow \dots$$

$\begin{matrix} \parallel \\ \text{FP} \end{matrix}$

as complexes

$$F^0 = \Omega_X^\bullet \supset F^1 \supset \dots \supset F^n = \Omega_X^n[-n] \supset 0 \quad (\text{shifted by } n)$$

filtered complex  $\Rightarrow$  spectral sequence

$$E_1^{p,q} = H^{p+q}(X, \text{Gr}_F^p) \Rightarrow H^{p+q}(X, \Omega_X^\bullet)$$

$$\parallel \quad \begin{matrix} \parallel \\ \text{FP} / \text{FP}^{+1} \\ \parallel \\ H^{p+q}(X, \mathbb{C}) \end{matrix}$$

$$H^{p,q} := H^q(X, \Omega_X^p) \quad \Omega_X^p[-p]$$

Th. degenerate at  $E_1$  <sup>arbitrary int.</sup>

$$\rightsquigarrow \text{Hodge structure on } H^m(X, \mathbb{C}) = \bigoplus_{p+q=m} H^{p,q}$$

Th.  $\bar{H}^{p,q} = H^{q,p}$  (this does not follow from deg. at  $E_1$ )

② Variation of Hodge Structures

$$f: X \longrightarrow S \quad \text{relative situation}$$

$$U \quad U$$

$$f_0: X_0 \longrightarrow S_0 \quad \text{smooth}$$

$$\quad \quad \quad \uparrow$$

$$\quad \quad \quad f^{-1}(S_0)$$

$$f^{-1} \mathcal{O}_{S_0} \xrightarrow[\text{q.i.}]{\sim} \Omega_{X_0/S_0}^\bullet = \mathcal{O}_{X_0} \longrightarrow \Omega_{X_0/S_0}^1 \longrightarrow \dots$$

$$E_1^{p,q} = R^q f_{0,*} \Omega_{X_0/S_0}^p \Rightarrow R^{p+q} f_{0,*} \mathbb{C}_{X_0} \otimes \mathcal{O}_{S_0}$$

degenerate at  $E_1$

$$\begin{array}{c} \mathbb{F}^{p+q} \text{ locally free} \\ \parallel \\ \mathbb{F}^0 \supset \mathbb{F}^1 \supset \dots \\ \text{hol. subbundles} \end{array}$$

$H^m(X^{re}, \mathbb{Z})$ : mixed Hodge structure

$X$  smooth projective  $\supset D$  NCD

$$X_0 = X \setminus D, \quad j: X_0 \subset X$$

Deligne:  $H^m(X_0, \mathbb{Z})$  sheaf of hol. forms with log poles  
 cohomological mixed Hodge complex: " " log poles

$$Rj_* \mathbb{C}_{X_0} \xrightarrow[\text{q.i.}]{\sim} j_* \Omega_{X_0}^\bullet \xleftarrow[\text{q.i.}]{\sim} \Omega_X^\bullet(\log D)$$

Though LHS and RHS has no direct connection, but in the derived category, they are equal.

$$\begin{array}{ccccccc}
 \mathcal{F}_{\leq n}(K^\bullet) & \subset & K^\bullet & & & & \\
 \dots \rightarrow & K_{n-1} & \rightarrow & K_n & \xrightarrow{\alpha} & K_{n+1} & \rightarrow \dots \\
 & \cup & & \cup & & \cup & \\
 \dots \rightarrow & K_{n-1} & \rightarrow & \text{Ker } \alpha & \rightarrow & 0 & \rightarrow 0 \dots
 \end{array}$$

$$\mathcal{F}_{\leq n}(K^\bullet) \subset \mathcal{F}_{\leq n+1}(K^\bullet) \subset \dots$$

then  $Gr_n^{\mathcal{F}}(K^\bullet) \cong H^n(K^\bullet)[-n]$

$$Rj_* \mathbb{C}_{X_0} \xrightarrow[\text{q.i.}]{\sim} j_* \Omega_{X_0}^\bullet \xleftarrow[\text{q.i.}]{\sim} \Omega_X^\bullet(\log D)$$

W = cano.

(cano = W  
stupid = F)

W: weight filtration = cano filt = filtration according to the order of log poles  
(can be proved)

F: Hodge filtration

$$Gr_n^W(\Omega_X^\bullet(\log D)) \xrightarrow[\text{residue}]{\sim} \Omega_{X[n]}^\bullet[-n]$$

disjoint union of intersection of comp of D.

(may apply usual Hodge)

eg.

X



$$X[0] = X$$

$$X[1] = \cup$$

$$X[2] = \cdot$$

Theorem:

$$\left\{ \begin{array}{l}
 E_1^{p,q} = H^q(X, \Omega^p(\log D)) \Rightarrow H^{p+q}(X_0, \mathbb{C}) \\
 \text{deg.} \\
 E_1^{p,q} = H^{p+q}(X, Gr_{-p}^W) \Rightarrow H^{p+q}(X_0, \mathbb{C}) \\
 \text{deg. at } E_2
 \end{array} \right.$$



On  $H^m(X^{re}, \mathbb{Z})$  mixed Hodge structure

P. 15

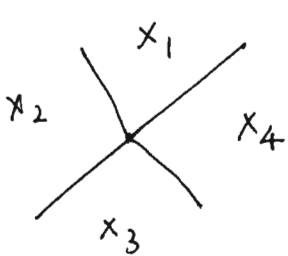
via homological mixed Hodge complex

$\mathbb{Z}$ -level:  $0 \rightarrow \mathbb{Z}_{X^{re}} \rightarrow \mathbb{Z}_{X^{re}[0]} \rightarrow \mathbb{Z}_{X^{re}[1]} \rightarrow \dots$

Mayo-Vietoris

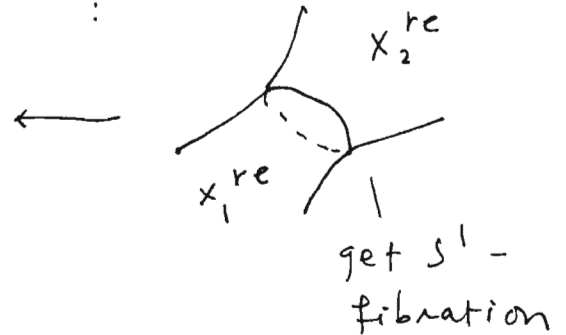
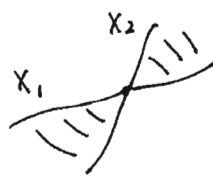
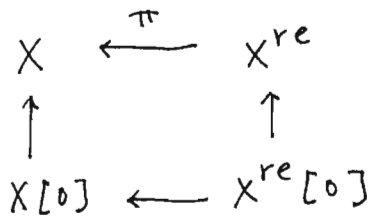
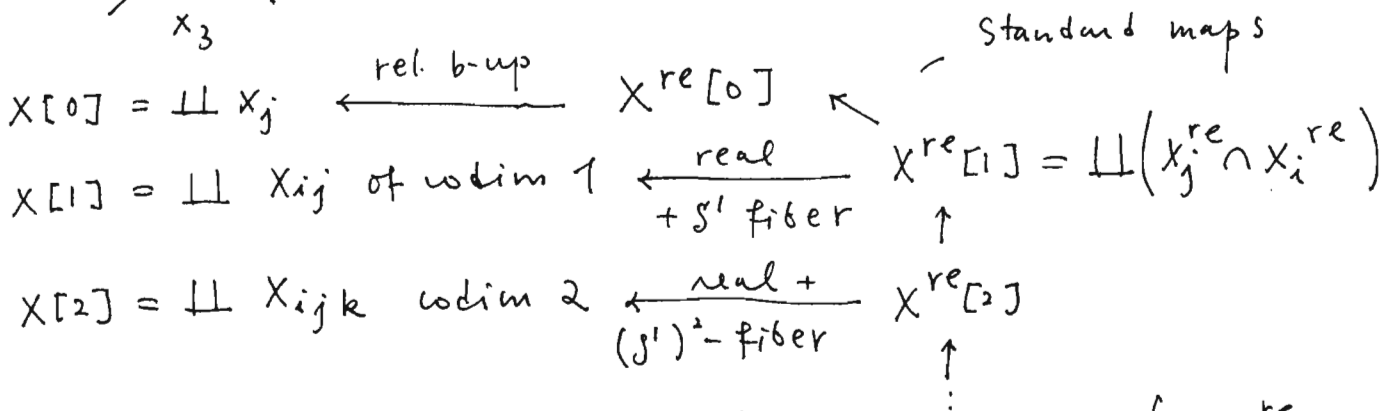
$X = f^{-1}(*) = X_1 \cup X_2 \cup \dots$  irred. wump  
generalized normal crossing variety

ex.



$x_4 = 0$

$ZW = 0$



$W_n = \left( \tau_{\leq n} R\pi_* \mathcal{Q}_{X^{re}[0]} \right) \rightarrow \tau_{\leq (n+1)} R\pi_* \mathcal{Q}_{X^{re}[1]}$

$\rightarrow \tau_{\leq n+2} \left( R\pi_* \mathcal{Q}_{X^{re}[2]} \right) \rightarrow \dots$

$G_n^W = R^n \pi_* \mathcal{Q}_{X^{re}[0]}[-n] \oplus R^{n+1} \mathcal{Q}_{X^{re}[1]}[-n-2] \oplus$

$R^{n+2} \pi_* \mathcal{Q}_{X^{re}[2]}[-n-4] \oplus \dots$

$\mathbb{C}$ -level:

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$$R\pi_* \mathbb{C}_{X^{re}} \simeq R\pi_* M \xleftarrow{\sim} \Omega_X^i(\log)$$

real str.  $x = re^{i\theta}$

$\left[ d\theta, \frac{dr}{r}, \log r \right]$  can also be defined alg'ly.

$$\Omega_X^i(\log) \quad x = U = \tilde{U}/G, \text{ take } \Omega_U^i(\log) = \Omega_{\tilde{U}}^i(\log)^G$$

$\Omega_S^i(\log)$  - the usual is locally free because the action is diag'l. and  $\frac{dx}{x}$  is inv.

$$\Omega_{x/s}^i(\log) = \Omega_x^i(\log) / f^* \Omega_S^i(\log) \quad \text{loc. free.}$$

$$\Omega_X^i(\log) = \Omega_{x/s}^i(\log) \otimes \mathcal{O}_X$$

get

$$\Omega_X^i(\log) \rightarrow \Omega_X^i(\log) \otimes \mathcal{O}_{X[0]} \rightarrow \Omega_X^i(\log) \otimes \mathcal{O}_{X[1]} \rightarrow \dots$$

Another Mayer-Vietoris ( $\Rightarrow$  weight filt)

$$\text{Th. } \textcircled{1} \quad E_1^{p,q} = H^q(X, \Omega^p(\log)) \Rightarrow H^{p+q}(X^{re}, \mathbb{C})$$

degenerate at  $E_1$ .

$$\textcircled{2} \quad E_1^{p,q} = H^{p+q}(X, Gr_{-p}^W(R\pi_* \mathbb{C}_{X^{re}})) \Rightarrow H^{p+q}(X^{re}, \mathbb{Q})$$

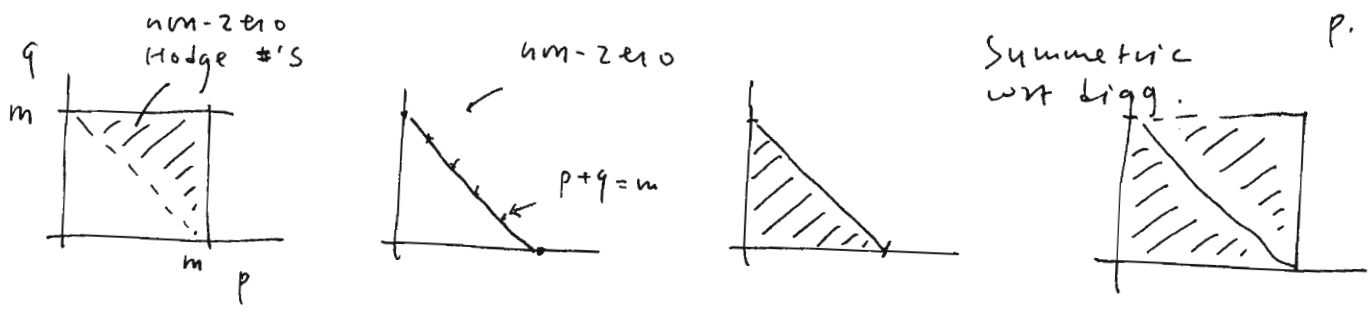
degenerate at  $E_2$ .

Hodge numbers:

$$Gr_n^W H^m(X_0, \mathbb{C}) = \bigoplus_{p+q=m+n} H^{p,q}, \quad \overline{H}^{p,q} = H^{q,p}$$

$$\text{ie. } Gr_F^p(Gr_n^W H^m(Y, \mathbb{C})) = H^{p,q}, \quad p+1 = n+m$$

in general.

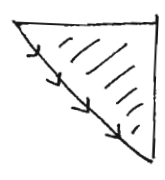


$X_0 = X \setminus D$       compact       $X$  singular cpt       $X^{re}$   
 $\Gamma \subseteq u \rightarrow \Gamma \subseteq u_{n+1} \rightarrow \dots$

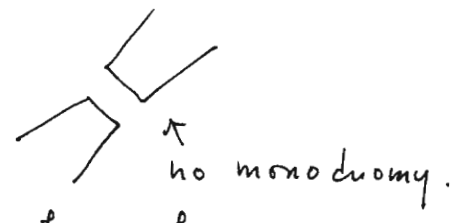
Cor.  $R^q f_* \Omega_{X/S}^p(\log)$  is locally free over  $S$   
 (this follows from de Rham + loc. trivial in part (1) of Th.)

Cor. Monodromy is unipotent (Even easier cor.)

$Gr_n^W(R\pi_* \mathbb{Z}_{X^{re}})$



bec.



Non-degenerate Hermitian Sym. Bilinear form  $h$ :

$$f_* \omega_{X/S} = f_* \Omega_{X/S}^n(\log) \quad n = \dim X$$

$$(R^q f_* \omega_{X/S}) \quad \omega_{X/S} = \mathcal{O}_X(K_{X/S}) = \mathcal{O}(K_X - f^* K_S)$$

$E$  holo. v.b. on cpx. mfd  $S (=S_0)$

$$h: E \rightarrow \bar{E}^* \text{ (} C^\infty \text{-isom.)} \quad h(u, \bar{v}) \in \mathbb{C}, \quad \begin{matrix} u \in E \\ \bar{v} \in \bar{E} \end{matrix}$$

$$E = R^n f_* \mathbb{C}_X \otimes \mathcal{O}_S |_{S_0}$$

$$h(u, v) = (u \wedge \bar{v}) [X_S], \quad u, v \in H^n(X_S, \mathbb{C})$$

this is non-definite!



② Riemann-Hodge bilinear relation

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$$\dim X = 2n$$

$$\text{Ker} \left( H^{n-q}(X, \mathbb{C}) \xrightarrow[\text{Lefschetz}]{\wedge^q [L]} H^{n+q}(X, \mathbb{C}) \xrightarrow{[L]} H^{n+q+2}(X, \mathbb{C}) \right)$$

$$=: H_0^{n-q}(X, \mathbb{C})$$

primitive

$$F^n(H^n(X, \mathbb{C})) \subset H_0^n(X, \mathbb{C})$$

"

$$H^0(X, \Omega^n)$$

$$(-1)^{\frac{n(n-1)}{2} + q} (\sqrt{-1})^n h(H_0^{p,q}, H_0^{p,q}) \gg 0$$

important part:  $(p, q) \rightarrow (p+1, q-1)$  change sign

$$F^n \longleftrightarrow (F^{n-1} \cap H_0) / F^n \text{ sign-change}$$

Now Griffiths' pf follows easily.

$$F = F^n$$

$$E \text{ flat} \Rightarrow \mathbb{H}E = 0$$

and  $h_G, h_F$  diff sign.  $\square$

Th:  $f^* \omega_{X/S}$  is a numerically semi-positive vector bundle.

To be continued

For Lecture 3: Adjunction theory

Lecture 4: Fano Manifolds.

Lecture III. Adjunction Theory

First we need to finish Lect. II :

Def:  $X$  proj. v.  $E$  : locally free sheaf  
 $\mathcal{P}(E)$  proj. bundle over  $X$  :  $\pi: \mathcal{P}(E) \rightarrow X$   
 $\mathcal{O}(1)$  tautological quotient line bundle of  $\pi^*E$ .  
 $E$  is "numerically semi-positive"

$$\Leftrightarrow \mathcal{O}(1) \text{ nef. i.e. } \forall c \in \mathcal{P}(E), (\mathcal{O}(1), c) \geq 0.$$

Th.  $f: X \rightarrow Y$  alg. fiber sp.  $X, Y$  smooth  
 $Y_0 \subset Y$ ,  $Y - Y_0 = E$  normal crossing divisor

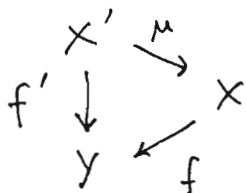
$f_0 = f|_{f^{-1}(Y_0)}$  smooth

$X_0 = f^{-1}(Y_0)$ ,  $n = \dim X - \dim Y$ .

Assume: local monodromies of  $R^{n+g} f_* \mathbb{Z}_{X_0}$   
 around  $E$  are unipotent

$$\Rightarrow R^g f_* \omega_{X/Y} \text{ is num. semi-positive.}$$

Remark: This is birational invariant for  $X$   
 but not for  $Y$ .



$$R^g f'_* \omega_{X'/Y} \cong R^g f_* \omega_{X/Y}.$$

in fact  $X$  has Gorenstein quotient sing.  
 is OK. just like in smooth case, and

$f$  weakly s.s.  $\Rightarrow X$  Gorenstein canonical.

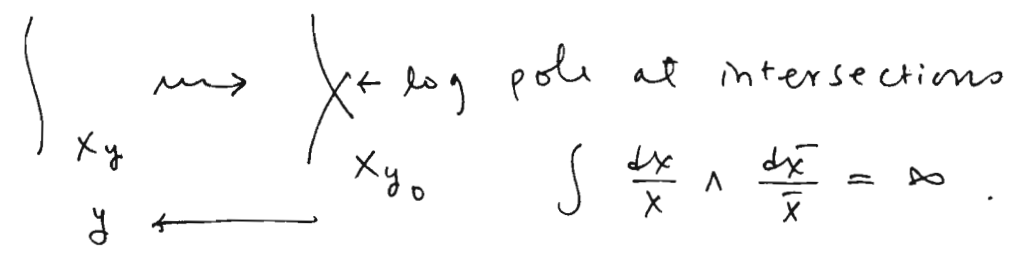
$\mathcal{F}_0 = \mathbb{R}^g \mathcal{F}_0 \times \omega_{x_0/y_0}$  has a pos. definite metric with semi-positive curvature,  $\mathcal{F}_0 = \mathcal{F}|_{Y_0}$

$\Rightarrow \mathcal{O}(1)|_{\pi^{-1}(Y_0)}$  has a metric with s.p. curvature. (singular hermitian metric)

Def: Singular hermitian metric  $h$ :  $L$  line bundle on a variety  $Y$ , locally on  $Y$ ,  $h = e^{-\varphi} h_0$   
 $h_0$ :  $C^\infty$ -metric,  $\varphi$ : weight function  $\in L^1$ .

general fiber of  $\mathcal{F} = h \circ l$ .  $n$ -forms  $\alpha$

$$h(\alpha, \alpha) = * \int_{X_y} \alpha \wedge \bar{\alpha}$$



$h$  grows at the order of  $|\log y|^m$ ,

$\varphi \sim \log \log |y|$ .

$\Rightarrow$  curvature form  $\Theta = \partial \bar{\partial} (-\log h)$

As a distribution  $\Theta = \partial \bar{\partial} (-\log h) + \partial \bar{\partial} \varphi$

and 1st Chern form:  $c_1 = \frac{\sqrt{-1}}{2\pi} \Theta$

there is no boundary contribution to  $\Theta$ :

$$\Theta = \underbrace{\Theta}_{\text{absolute conti.}} \text{ab.c} + \underbrace{\Theta}_{=0} \text{sing}$$

In general, non-unip monodromy  $\Rightarrow \Theta \text{sing} \neq 0$ .

since  $\Theta \text{ab.c}$  is s.p.  $\Theta$  is s.p.!

Remark: There is an algebraic proof due to Kollár via vanishing theorem, which is easier but more tricky. (after the existence of the analytic pf).

---

Adjunction Theory:

$\mathbb{Q}$ -divisors:  $D = \sum_{\text{finite}} d_j D_j$ ,  $d_j \in \mathbb{Q}$ ,  $D_j$  prime div

nef  $\Leftrightarrow (D.C) \geq 0 \quad \forall$  curve

A ample  $\mathbb{Q}$ -div  $\Leftrightarrow \exists m \gg 0$  st.  $mA$  very ample

nef divisor is a limit of ample divisors:

$$\left. \begin{array}{l} A \text{ ample} \\ D \text{ nef} \end{array} \right\} \Rightarrow A + D \text{ ample}$$
 (eg. Nakai criterion)

$\varepsilon A + D$  ample  $\varepsilon > 0$ ,  $\lim_{\varepsilon \rightarrow 0} (\varepsilon A + D) = D$ .

Sometimes it will be important to consider also

$\mathbb{R}$ -divisor  $\sum d_j D_j$ ,  $d_j \in \mathbb{R}$ .

Remark: semi-ample

$\Rightarrow$  metric semi-positive  $\Rightarrow$  nef

other directions are false

semi-ample  $\Leftrightarrow \exists m \gg 0$ ,  $|mD|$  free.

Correction: In Kodaira's formula

$$K_S \sim_{\mathbb{Q}} f^*(K_C + \mathbb{H})$$

$K$  can. div is defined only up to linear equiv.  $\sim$

$\omega = \mathcal{O}(K)$ ,  $A \sim_{\mathbb{Q}} B \Leftrightarrow mA \sim mB$ ,  $\exists m > 0$ .



In this way, sheaf theoretic expression is stronger than div. notation.

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Theory of singularities :

Surface case :

Zariski decomposition (in his book)  
(really the starting point of all MMT)

$X$  : sm. proj. surface,  $D$  ef. div.  $\sum d_j D_j, d_j \in \mathbb{N}$

$\Rightarrow D = P + N$  as  $\mathbb{Q}$ -divisors, uniquely st.

$P$  : nef  $\mathbb{Q}$ -div,  $N$  ef.  $\mathbb{Q}$ -div =  $\sum n_j N_j$  st.

①  $P N_j = 0 \quad \forall j$

②  $[(N_j N_k)]_{j,k}$  negative definite.

the pf is a simple linear algebra. But its truth will implies many conjectures. eg. MMP. (in higher dim)

connection with minimal models :

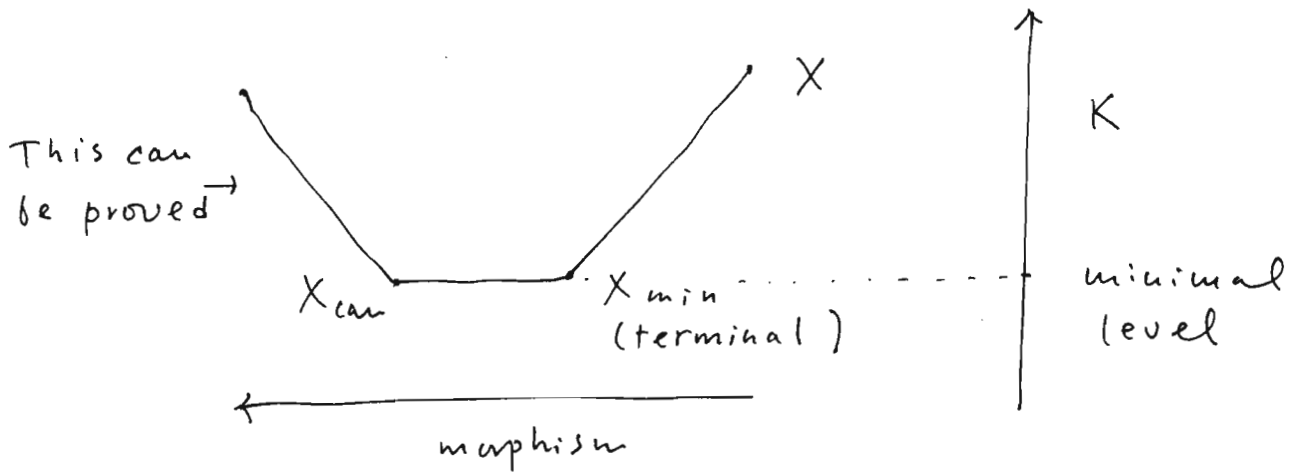
$$\begin{array}{l} X \text{ sm. proj. surf. } K_X \geq 0 \\ \downarrow \\ f : \text{contraction of } (-1) \text{ curves} \\ \downarrow \\ X_{\min} \text{ minimal model} \end{array}$$

$$K_X = f^* K_{X_{\min}} + N \text{ is the } \mathbb{Z}\text{-decomp.}$$

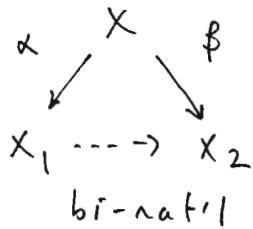
$$K_X = 2 : C \subset X_{\min} (K_{X_{\min}} \cdot C) = 0 \Leftrightarrow C \text{ } (-2) \text{ curve}$$

$$\begin{array}{l} X_{\min} \text{ (Artin's rational singularities)} \\ \Downarrow \text{contraction of } (-2) \text{ curves} \\ X_{\text{can}} \text{ canonical model (Mumford)} \\ \rightarrow \text{can. ring is finitely generated.} \end{array}$$

$$K_{X_{can}} \text{ ample, } g^* K_{X_{can}} = K_{X_{min}}$$



This picture is also true for higher dimensions !



may compare :

$$\alpha^* K_{X_1} \geq \beta^* K_{X_2}$$

$$X_{min} \iff K_{X_{min}}$$

(broader sense : categorical sense in terms of morphisms)

$$X_{min} \iff \text{minimal terminal (narrow sense)}$$

Question : For a fixed bi-nat'l ( $K \geq 0$ ) class, there exists only a finite number (up to isom.) of minimal models ?

For dim 3, general type finiteness is proved but for C-Y, it is still unknown.

# Adjunction Formula :

$X$  sm. variety,  $D$  sm. divisor

$$K_X + D \Big|_D = K_D$$

log  $n$ -forms  $\xrightarrow{\text{residue}}$   $(n-1)$  forms

log pair:  $(X, B)$   $X$  normal v.  $B$  ef.  $\mathbb{Q}$ -div

$X$  sm. proj. surface,  $B$  n.c.d. (wrt 1)

$(X \setminus B)$  open surface  $\leftarrow$  Deligne's approach

Kawamata's approach is really look at the pair:

if  $m(K+B)$  effective  $\exists m > 0$ ,

$$K_X + B = P + N = f^*(K_{X_{\text{et}}} + B_{\text{et}}) + N$$

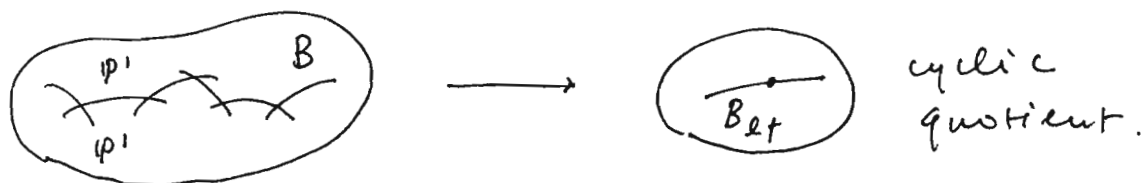
Supp  $N$  is contracted,  $X \xrightarrow{f} X_{\text{et}}$  Grauert  
Artin (rational singularities),  $X_{\text{et}}$  contraction projective.

$B \rightarrow B_{\text{et}} = f_* B$  reduced.

$(X_{\text{et}}, B_{\text{et}})$  has singularities classified by

$\rightsquigarrow$  quotient sing. + standard divisor

ex.



Def:  $(X, B)$   $X$  normal,  $B$  ef.  $\mathbb{Q}$ -div

①  $K_X + B$   $\mathbb{Q}$  Cartier. ie.  $\exists m > 0$ ,  $m(K_X + B)$  is Cartier div (pull back can be defined).

②  $\mu: Y \rightarrow X$  resol. of sing.

$\bigcup E$  NCD (log resol)

"  
 $\sum E_j$   $\left\{ \begin{array}{l} Y-E \cong X - \mu(E) \\ \mu^{-1}(\text{supp } B) \subset E \end{array} \right.$

$$\frac{1}{m} \mu^*(m(K_X + B)) \quad \left\{ \begin{array}{l} Y-E \cong X - \mu(E) \\ \mu^{-1}(\text{supp } B) \subset E \end{array} \right.$$

$$\mu^*(K_X + B) = K_Y + \sum e_j E_j$$

log-canonical :  $e_j \leq 1$

$\left\{ \begin{array}{l} \text{klt (kawamata)} : e_j < 1 \\ \text{dlt (divisorial)} : e_j < 1 \ \forall E_j \text{ exceptional, } e_j \leq 1 \\ \text{plt (purely)} : \text{dlt and } E_j \text{ with } e_j = 1 \text{ are disjoint.} \end{array} \right.$

Rmk: In 2-dim case Mumford defines the intersection theory in all cases, hence condi ① is not needed. but for higher dim, M. Reid impose it. so that one may pull back etc. and define int. theory.

Def.  $X$  normal

①  $K_X$   $\mathbb{Q}$ -Cartier

②  $\mu: Y \rightarrow X$  resol.  $\mu^* K_X = K_Y + E$ ,  $E = \sum e_j E_j$

$X$  terminal  $\iff e_j < 0$  (sing in ter. min. model)

$X$  cano  $\iff e_j \leq 0$  (sing in min model in broader sense)

ter  $\Rightarrow$  can  $\Rightarrow$  klt  $\Rightarrow$  plt  $\Rightarrow$  dlt  $\Rightarrow$  lc

$\uparrow$   
 $B=0$

Thm:  $(X, B)$  dlt  $\Rightarrow X$  has only rational sing.

hence Cohen-Macaulay.

Rmk: lc may not be CM, eg. cone over abelian surf.

Th.  $X$  normal proj.  $(X, B)$  klt

$L$  Cartier div. st.  $L - (K_X + B)$  nef & big

$$\Rightarrow H^p(X, L) = 0 \quad \forall p > 0.$$

Also, klt  $\Leftrightarrow L^2$  analytically.

$(X, B)$ ,  $m(K+B) \longleftrightarrow L$  line bundle



(rational  $m$ -ple  $n$ -form)

$$\varphi = \frac{1}{m} (dx_1 \wedge \dots \wedge dx_n)^{\otimes m} \text{ on } X_{\text{reg}}$$

$\left| \int \text{locally } \sqrt[m]{\varphi \wedge \bar{\varphi}} \right| < \infty$  easily from definition by calculating in the resolution.

Remark: the finiteness is false for dlt and also the above vanishing thm is wrong.

$(X, B)$ ,  $\mu: Y \rightarrow X$  log resol.  $B = \sum b_j B_j$ ,  $0 < b_j < 1$

$$\mu^*(K_X + B) = K_Y + E, \quad E = \sum e_j E_j$$

consider the sheaf: multiplier ideal sheaf.

$$I = \mu_* \mathcal{O}(\Gamma - E + T)$$

Ex.  $(X, B)$  klt  $\Rightarrow \Gamma - E + T \geq 0 \Rightarrow I = \mathcal{O}$

In general, under assumption on  $B$ , get  $I \subset \mathcal{O}_X$

With  $I$ , would make things  $L^2$ :

$$I \otimes \mathcal{O}(L) \text{ sheaf of } L^2 \text{ sections}$$

Th:  $X$  normal proj.  $(X, B)$  pair

$L$  Cartier,  $L - (K+B)$  big & nef.

$$\Rightarrow H^p(X, I \otimes \mathcal{O}(L)) = 0 \quad \forall p > 0.$$

Def:  $(X, B)$  l.c. (non klt), call

$E_j$  place of l.c. sing  $\iff e_j = 1$

$\mu(E_j)$  center of l.c. sing.

Prop:  $Y \xrightarrow{\mu} X$  for  $E_j$  a place of l.c. sing.

$\cup$   $E_j \xrightarrow{\mu_0} \mu(E_j) = W_j$ . if  $W_j$  is minimal center

$\Rightarrow \mu_0$  alg. fiber space,  $W_j$  normal.

Prop:  $W_1, W_2$  centers of l.c. then  $W$  an irred.

comp of  $W_1 \cap W_2 \Rightarrow W$  center of l.c.

so has the notion of minimal center.

Rmk: scheme structure could be defined by  $\mathcal{O}/\mathcal{I}$ .

so l.c.  $\Rightarrow \text{Spec}(\mathcal{O}/\mathcal{I})$  is reduced.

Th.  $(X, B^\circ)$  klt,  $X$  projective,  $B > B^\circ$ ,

$(X, B)$  l.c.  $x \in X$ ,  $W$  min center for  $(X, B)$

through  $x$ .  $H$  ample,  $\epsilon > 0$

$\Rightarrow K + B + \epsilon H|_W \sim_{\mathbb{Q}} K_W + \underline{B}_W$  klt.

This is the Main Result (very non-trivial),

which generalizes " $K + D|_D = K_D$ " via residue.

This appears in many intermediate steps in induction

eg.  $\Rightarrow$  rational  $\Rightarrow$  boundedness of mult. etc.

To be continued.

## Fano Manifolds

Defined by Iskovskih :  $X$  proj. smooth,  $-K_X$  ample

and by him : classification of Fano 3-folds

ex). 1) quartic 3-fold :  $\text{Bir}(X) = \text{Aut}(X)$

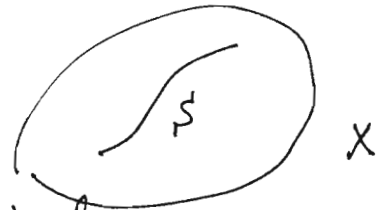
2)  $\mathbb{P}^3$  :  $\text{Bir}(\mathbb{P}^3)$  very large  $\gg \text{Aut}(X)$

existence of a good member in  $| -K |$ ,

$\exists S \in | -K |$ ,  $S = K^3$  surface

and use knowledge of  $S$  to

classify  $X$ .



Mukai : use  $C \in | (-K)|_S |$  : canonical curve

ladder :  $C \subset S \subset X$  (Fujita's idea)

Kawamata's study of good member

- study of linear system

Def:  $(X, B)$  log Fano variety

(1)  $X$  projective

(2)  $(X, B)$  klt

(3)  $-(K+B)$  ample

This is the "correct" category for study.

Recall: Base Point Free Theorem :

$X$  Proj,  $(X, B)$  klt,  $D$  Cartier div on  $X$

assume ①  $D$  nef ②  $D - (K+B)$  nef & big (ample)

$\Rightarrow \exists m_0 > 0$  st.  $|mD|$  free  $\forall m \geq m_0$ .

$(X, B)$ ,  $R$ : extremal ray

$\Rightarrow D$  satisfies umdi (1), (2)

$$(D.C) = 0 \iff [G] \in R$$

$\Rightarrow X \xrightarrow[\Phi(mD)]{\varphi} Y$  contraction of  $R$  (alg. fiber sp.)  
 $\varphi(G) = pt \iff (D.G) = 0$

And  $(K+B)$  not wf  $\Rightarrow \exists R$  ) .

Variety  $\xrightarrow[\log MMP]{MMP}$  { minimal model:  
ie.  $K+B$  nef, or  
Mori fiber space:  
ie.  $f: X \rightarrow Y$  contr. of  $R$   
 $\dim X > \dim Y$

for  $\eta \in Y$ ,  $(X_\eta, B_\eta)$  is log Fano .

For minimal model:

$X \xrightarrow{m(K+B)} Y$  : "biregular" Iitaka fiber space  
this is the abundance conjecture:  
 $\exists m > 0$  st.  $|m(K+B)|$  free .

For original BPF Thm. The problem now is:  
effective bound  $m_0$ , or a "weaker - stronger":

Problem:  $(X, B)$  klt,  $D$  nef,  $D - (K+B)$  ample  
 $? \Rightarrow |D| \neq \emptyset ?$

Remark: It is equiv. to assume just big & wf  
(exercise) .



Fano index :=  $r$  (largest) st.

p. 31

$$-(K+B) \sim_{\mathbb{Q}} rH, \quad H \text{ Cartier ample, } r \in \mathbb{Q}$$

Truth of Prob  $\Rightarrow |H| \neq \emptyset$ , which is very strong!

(Kawamata with a counterexample for the prob.)

Rmk:  $r \leq n+1$  ( $n = \dim X$ ):

$$P(t) = \chi(X, tH) \text{ poly of order } n, \quad t \in \mathbb{Z}$$

$$HP(X, tH) = 0 \quad \begin{cases} p > 0 \\ t > -r \end{cases}$$

$$tH - (K+B) = (t+r)H$$

$$H^0(X, tH) = 0, \quad t < 0, \text{ hence}$$

$$\chi(X, tH) = 0 \text{ for } t = -1, -2, \dots, -(n+1) \Rightarrow$$

$$-(n+1) > -r \Rightarrow \text{contradiction, so } r \leq n+1$$

$$\text{and equality } \Rightarrow X \cong \mathbb{P}^n, B = 0.$$

$\left\{ \begin{array}{l} \text{Non-Vanishing } |D| \neq \emptyset \leftarrow \text{Riemann-Roch formula} \\ \text{shape of general element } \leftarrow \text{adjunction theorem} \\ S \in |D| \end{array} \right.$

Th: (Ambro; thesis) :  $(X, B)$  log-Fano

$$-(K+B) \sim_{\mathbb{Q}} rH, \quad r > n-3 \Rightarrow H^0(X, H) \neq 0.$$

Th: (Kawamata) :  $\dim X = 2$ ,  $(X, B)$  klt,  $D$  nef Cartier,

$$D - (K+B) \text{ ample } \Rightarrow h^0(X, D) \neq 0.$$

Th: (-) :  $(X, B)$ ,  $D$  as in Prob.

Prob is true if  $D$  ample  $\Rightarrow$  Prob. true in general.

explanation: (reduction)

$$\phi: X \xrightarrow{|\omega_D|} Y \text{ alg. fiber space}$$

$$D \text{ } m \gg 0, E \text{ Cartier ample, } D = \phi^* E \text{ (BPF thm)}$$

$$H^0(D) \neq 0 \Leftrightarrow H^0(E) \neq 0$$

$$\begin{array}{l} \exists B' \text{ m } Y, (Y, B') \text{ klt} \\ E - (K_Y + B') \text{ ample} \end{array} \left. \vphantom{\begin{array}{l} \exists B' \text{ m } Y, (Y, B') \text{ klt} \\ E - (K_Y + B') \text{ ample} \end{array}} \right\} \rightarrow \text{semi. posi thm.}$$

Cor:  $v(X, D) = K(X, D) \leq 2 \Rightarrow$  Problem (too).

So it is very difficult to find counter examples  
(can one find in toric varieties?)

Proof of Thm 1 (Ambro):

$$p(t) = \chi(tH) \quad d = H^n > 0, b = H^{n-1} B \geq 0$$

$$= \frac{t^n H^n}{n!} - \frac{t^{n-1} H^{n-1} K}{2(n-1)!} + \dots + 1$$

$$= \frac{d}{h!} t^n + \frac{rd+b}{2(n-1)!} t^{n-1} + \dots + 1$$

$$= \frac{d}{n!} (t+1) \dots (t+h-3) \left( t^3 + At^2 + Bt + \frac{n(n-1)(n-2)}{d} \right)$$

$$A = \frac{n(n-r+s)}{2} - 3 + \frac{bn}{2d}$$

$$0 \leq (-1)^n p(-n+2) = -1 + \frac{d}{n(n-1)} \left( (n-2)^2 - A(n-2) + B \right)$$

$$\begin{array}{l} \text{"} \\ (-1)^n H^n(X, (-n+2)H) \text{ (call it } P_{n-2}) \end{array}$$

$$\text{then } p(1) = n-1 + P_{n-2} + \frac{d(n-r+3)+b}{2} > 0 \quad \square$$

Rmk: this is first done by Alexeev for the case  $r > n-4$ .  
(already very clever)

In Prob.  $D - (k+B)$  ample

$$\Rightarrow H^p(X, \mathcal{O}(D)) = 0 \quad \forall p > 0 \quad \text{so } h^0(X, \mathcal{O}(D)) = \chi(X, \mathcal{O}(D))$$

which is numerical! That's one reason why the prob. may be possible.

Th.  $f: X \rightarrow G = \text{curve}$ , alg. fiber space

$$(X, B) \text{ klt, } D \text{ cartier on } X, \quad D \sim_{\mathbb{Q}} K_{X/G} + B$$

$$\Rightarrow f_* \mathcal{O}(D) \text{ s.p. (log version, via covering tech.)}$$

Proof of thm 2 (Kawamata):

may assume  $X$  smooth (min. resd.)

$$\text{R.R. } \chi(D) = \frac{1}{2} D(B+H) + \chi(\mathcal{O}_X)$$

$$\chi(\mathcal{O}_X) \geq 0 \Rightarrow \begin{cases} D \equiv 0 \sim \chi(0) = 1 \\ D(B+H) > 0; \text{ o.k.} \end{cases}$$

$$\chi(\mathcal{O}_X) = 1 - g < 0, \quad X \xrightarrow{f} G, \quad g(G) = g$$

generically  $\mathbb{P}^1$ -bundle

$$f_* \mathcal{O}(D - f^* K_G) \text{ s.p.}$$

(condi. satisfied since:

$$(D - f^* K_G) \sim_{\mathbb{Q}} K_{X/G} + B + H, \quad H \sim_{\mathbb{Q}} B \text{ small.})$$

$D$   $f$ -wf  $\Rightarrow D$   $f$ -generated. i.e.

$$f^* f_* \mathcal{O}(D) \twoheadrightarrow \mathcal{O}(D)$$

$$f^* f_* \mathcal{O}(D - f^* K_G) \twoheadrightarrow \mathcal{O}(D - f^* K_G)$$

s.p. s.p.

$$(D - f^*K_C)(B+H) \geq 0$$

$$D(B+H) = 2g-2, \quad \deg K_C = 2g-2$$

$\Rightarrow$  contradiction.  $\square$

General member of linear system:

Thm (Ambro):  $(X, B)$  log-Fano,  $r > n-3$ ,

$Y \in |H|$  general member (since know  $\neq \emptyset$ ),

$\Rightarrow (X, B+Y)$  p.l.t.

ie.  $\mu: Z \rightarrow X$ :

$$\mu^*(K_X + B + Y) = K_Z + \mu_*^{-1}B + \mu_*^{-1}Y + \sum e_j E_j$$

$$\forall e_j < 1$$

$$K_X + B + Y|_Y = K_Y + B_Y, \quad B_Y = B|_Y$$

since  $Y$  Cartier div.

$$\text{RHS}|_{\mu_*^{-1}Y} = K_{\mu_*^{-1}Y} + \boxed{\phantom{0}}|_{\mu_*^{-1}Y}$$

$\searrow$   $\omega_{\text{eff}} < 1$

ie.  $(Y, B_Y)$  p.l.t.

$-(K_Y + B_Y) \sim_{\mathbb{Q}} (r-1)H|_Y$ , hence  $\exists$  ladder,

may proceed ...

Theorem (Kawamata):  $\dim X = 4$

$X$  canonical Gorenstein,  $-K \sim D$  Cartier ample

$$\Rightarrow \textcircled{1} h^0(X, D) \neq 0$$

$\textcircled{2} Y \in |D|$  gen.  $\Rightarrow (X, Y)$  p.l.t. ( $\leadsto Y$  can. Gorenstein)

$$\textcircled{3} h^0(D|_Y) \neq 0$$

$$\textcircled{4} Z \in |D|_Y \Rightarrow (Y, Z)$$
 p.l.t

$$\textcircled{5} X \text{ smooth} \Rightarrow Y \text{ smooth.}$$

Proof by adjunction theorem:

suppose  $(X, Y)$  not plt,

$$0 < c = \max \{ c \mid (X, B + cY) \text{ l.c.} \} \leq 1$$

$c$  threshold.

$(X, B + cY)$  properly l.c. (l.c. & not plt)

$W$  minimal center

$$K_X + B + cY + \varepsilon H \Big|_W \sim_{\mathcal{O}_W} K_W + B_W \quad \text{plt}$$

$$-rH + cH + \varepsilon H$$

$$\dim W \geq 3, \quad n \geq 4, \quad r > 1$$

$r - c - \varepsilon > 0 \therefore (W, B_W)$  is log Fano

so  $H^0(W, H_W) \neq 0$  by vanishing result proved before (Ambro, Kawamata).

get  $*$ : because  $W \subset B_S | H |$  (Bertini's thm)

$$H^0(X, H) \rightarrow H^0(W, H) \rightarrow H^1(X, I_W \otimes \mathcal{O}(H)) = 0$$

by perturbation, multiplier =  $I_W$   
( $W$  is only center)

So  $*$ .  $\square$ .

Finally let's prove the adjunction theorem:

Th.  $f: X \rightarrow Y$  alg. f. space,  $X, Y$  smooth

$$f_0: X_0 \rightarrow Y_0 \quad Y - Y_0 \text{ NC} = E, \quad X_0 = f^{-1}(Y_0)$$

$f^{-1}(E) \cup \text{Supp } B$  NC.  $(X, B)$  sub plt

(with  $< 1$ )  $B$  may be non-ef.

$\mathcal{O}_Y \rightarrow f_* \mathcal{O}_X(\Gamma - BT)$  surjective over  $Y_0$

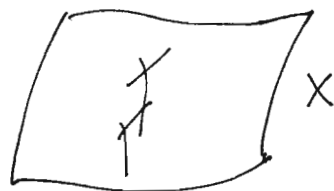
$K+B \sim_{\mathcal{Q}} f^*(KY+L)$ ,  $L: \mathcal{Q}$ -Cartier

$B' = \sum e_j E_j$ ,  $e_j$  min st.  $(X, B + f^*(E - B'))$  lc

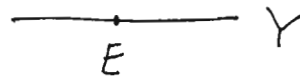
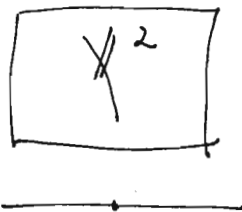
$\Rightarrow L - B'$  nef. (call  $M = L - B'$ , and  $\Delta = B'$  later)

ex.  $f$  semi-stable  $\Rightarrow B' = 0$ .

( $\& B = 0$ )



But for:



then  $e = 1/2$ .

So  $B'$  is something like how much need to do to the semi-stable reduction case.

Proof: Semi-stable case: log version of s.p. thm.  
 general case: by covering

Th.  $Y$  proj. sm.  $E$  NCD =  $\sum_{j=1}^N E_j$

$m_j \in \mathbb{N}$  ( $j=1 \dots N$ ), then

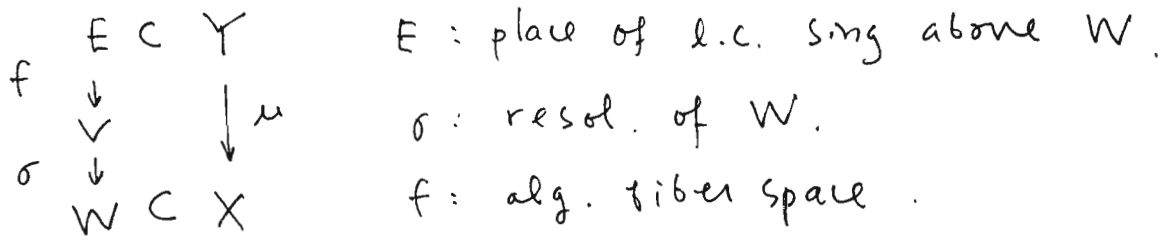
$\exists \pi: X \rightarrow Y$  finite Galois,  $X$  smooth

$\pi^* E_j = m_j \bar{E}_j$ ,  $\bar{E}_j$  reduced and  $\sum \bar{E}_j$  NC.

ie. locally via covering is the semi-stable case with additional term  $B'$ . (correction term)

$B' \leftrightarrow$  eigenvalues of monodromy! (rk 1 case)

for v.b. case still don't know the formulation.



$$\mu^*(K+B) \sim_{\mathbb{Q}} K_Y + E + F; \quad \text{with } F < 1$$

$$\mu^*(K+B)|_E \sim_{\mathbb{Q}} K_E + F|_E \quad \text{— ample}$$

$$\sigma^*(K+B+\epsilon H|_W) \sim_{\mathbb{Q}} K_V + (M + \epsilon \sigma^* H - \epsilon' Q) + \Delta + \epsilon' Q$$

this formula follows from positivity result.

$Q$ :  $\sigma$ -exceptional eff,  $0 < \epsilon' \ll \epsilon$

so  $K+B+\epsilon H|_W$  is klt.  $\square$

Remark: If  $\text{codim } W = 2$ , then  $\epsilon$  is not necessary.

reason: good moduli theory for  $S$  curves with  $g=0$  with marked pts. In this case  $f: \begin{array}{c} E \\ \downarrow \\ V \end{array}$  is good and in fact  $M$  is semi-ample.

Finally, Fujita's conjecture (this is the source of all these l.c. center business).

Fujita Conjecture:  $X$  sm. proj.  $\dim = n$ .  $H$  ample

$$\Rightarrow K_X + mH \text{ free: } m \geq n+1$$

$$\text{very ample: } m \geq n+2.$$

still open!

Idea of proving this:

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$L$  ample,  $L^n > n^n$  (eg.  $L = (n+1)H$ )

fix  $x \in X$ , R.R.  $\exists D \in |NL|$   $\text{mult}_x D > N \cdot n$

$\text{mult}_{\frac{D}{N(1+\alpha)}} =: n$ ,  $(X, B)$  not klt at  $x$ .

l.c. threshold  $c \leq 1$ :

$(X, cB)$  properly l.c. at  $x$ .

$W$  minimal center.

Suppose  $x$  isolated pt of  $W$

$$\Rightarrow H^0(x, K+L) \rightarrow H^0(x, K+L|_W) \rightarrow H^1(I_W \otimes \mathcal{O}(K+L))$$

$\Rightarrow |K+L|$  free at  $x$ .

In this approach, it is necessary to extend Fujita's conj. to singular varieties:

Correct Version:

$(X, B)$  klt,  $x \in X$

$$Y \xrightarrow{\mu} X, \mu^*(K+B) = K_Y + \sum e_j E_j$$

minimal log discrepancy

$$\sigma := \min \left\{ 1 - e_j \mid \mu(E_j) = \{x\} \text{ for all } \right\} > 0$$

resolution  $\mu$

ideal condition:

$\forall W \ni x$ , sub. v. thr  $x$  of  $\dim = d$

$L^d W > \sigma^d \stackrel{?}{\Rightarrow}$  free at  $x$ . and "maybe"

$L^d W > (\sigma+1)^d \stackrel{?}{\Rightarrow}$  very ample at  $x$ .



Ask for proof in

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• Surface case ?

• Tonic case ?

Partial result on surface :

Kawachi - Masek :  $L^2 > \text{mult}(x, x) \sigma^2 \rightsquigarrow R.R.$

