

NCTS Advanced Course in Alg geom

"Flips and higher dim alg-geom"

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What is a flip?

a flip is a special type of "codim ≥ 2 "
bimerismal map (i.e. small)

Examples of flips:

consider $B = \mathbb{C}^4 / \mathbb{C}^*$ with weights
 $(-k, -1, 1, 1)$

$$(x_0, x_1, y_0, y_1) \mapsto (\lambda^{-k} x_0, \lambda^{-1} x_1, \lambda y_0, \lambda y_1)$$

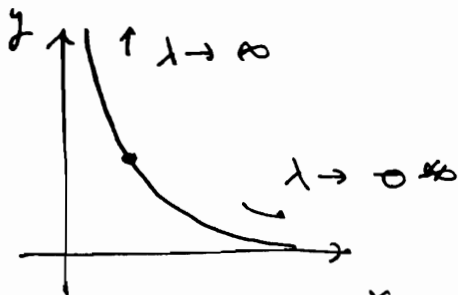
Quotient B/\mathbb{C}^* ?

There are 2 "reasonable" way to do the quotient,
they are related by a flip.

The naive quotient B/\mathbb{C}^* is not a Hausdorff

space: some orbit in
x-axis and in y-axis
becomes close.

(Look at $\lim_{\lambda \rightarrow \infty} \frac{y_0}{x_0} = \lim_{\lambda \rightarrow 0} \frac{y_0}{x_0}$)



Solution: Mumford: either throw
away x-axis or y-axis!

GIT: There are 2 \mathbb{C}^* -linearized line
bundles on B , called L_{\pm}

L_{\pm} is characterized by:

$$\Gamma(B, L_+^{\otimes n})^{\mathbb{C}^x} = \{ f \mid f(\lambda a) = \lambda^n f(a) \}$$

$$\Gamma(B, L_-^{\otimes n})^{\mathbb{C}^x} = \{ f \mid f(\lambda a) = \lambda^{-n} f(a) \}$$

i.e. $L_- = L_+^*$

$$B_+^{ss} = \{ b \mid \exists u > 0, s \in \Gamma(B, L_+^{\otimes u})^{\mathbb{C}^x}, s(b) \neq 0 \}$$

$$= B \setminus \{ y_0 = y_1 = 0 \}$$

there is a reasonable Hausdorff quotient

$$B_+^{ss} / \mathbb{C}^x =: X_+$$

Similarly $B_- = B \setminus \{ x_0 = x_1 = 0 \}$, $B_- / \mathbb{C}^x = X_-$.

for example, X_- is covered by 2 affine charts:

$$U_-^0 = \{ x_0 \neq 0 \} \cong \mathbb{C}^3 / \mu_k$$

"coordinates" $1, x_1, y_0, y_1$

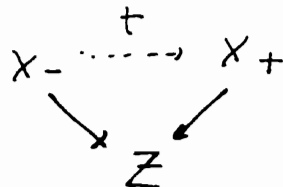
$$\mu_k \text{ action } \mapsto \zeta^{-1} x_1, \zeta y_0, \zeta y_1$$

note: it is singular.

$$U_-^1 = \{ x_1 \neq 0 \} \cong \mathbb{C}^3, \text{ smooth.}$$

X_-, X_+ have morphisms to

$$Z = \text{Proj } \mathbb{C}[B]^{\mathbb{C}^x}$$

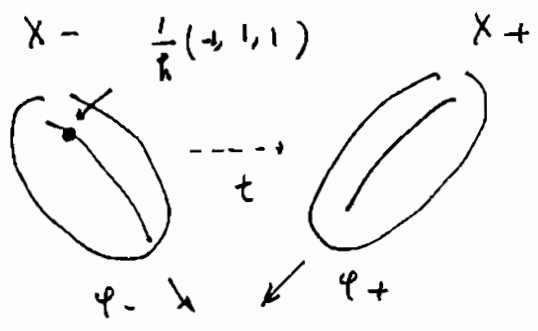


t is an example of flip, the simplest known flip.

exceptional set in X_- is $\{ y_0 = y_1 = 0 \}$

$$= \mathbb{P}^1(-k, -1) \cong \mathbb{P}^1$$

exc set in X_+ is also \mathbb{P}^1



$\varphi_-(p') = p$
 $\varphi_- : X_- \setminus \{p'\} \cong \mathbb{Z} \setminus p.$

$p \in \mathbb{Z}$

Warning: This is a very easy example. more complicate example:

$B = \{f=0\} \subset \mathbb{C}P^3 \hookrightarrow \mathbb{C}^4, (a_0, a_1, b_0, b_1, b_2)$
 (or complete intersection in $\mathbb{C}P^3$, codim 3 ...)
 see work of Brown, Reid, Mori.

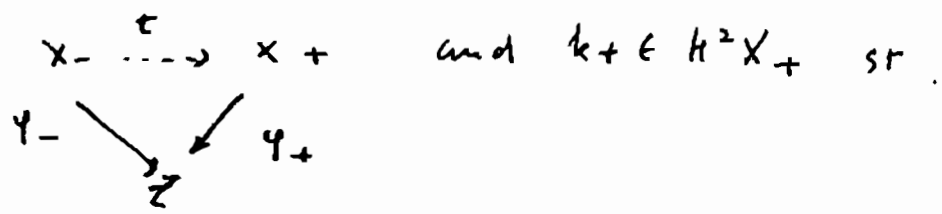
definition (K-opposite)

see Kollar "Flips, flops, minimal models etc"

start with a small \checkmark birational map
 $\varphi_- : X_- \rightarrow \mathbb{Z}$

and $k_- \in H^2 X_-$ (rat'l coefficient) negative
 i.e. $\int_C k_- < 0$ for all alg curve $C \subset X_-$ st $\varphi_-(C) = pt$

the k_- -opposite is a diagram



- (1) φ_+ is a small proper birat'l map
- (2) k_+ is positive
- (3) $k_- = k_+$ on $X_- \setminus \text{Exc}(\varphi_-) = X_+ \setminus \text{Exc}(\varphi_+)$ //

1.4 Note: Another way to say (3) is

$$H^2 X_- \xrightarrow{p} H^{2d-2} X_- \quad (\text{Poincaré duality})$$

||

$$H^2 X_+ \xrightarrow{p} H^{2d-2} X_+ ; \quad p k_- = p k_+$$

It is not hard to see that X_+ is unique if it exists, but will not do this here.

Conjecture on existence of flips:

If X_- has terminal singularities $k_- = c_1(K) \in H^2$, then the opposite exists, and is called a flip classically.

If X is \mathbb{Q} -singular, $K_X = \Lambda^{\text{top}} T_X^*$ is a line bundle. In the example, it is easy to make sense of K as an "orbifold" line bundle and

$$\text{for } k > 1: \begin{cases} c_1(K) \in H^2(X_-, \mathbb{Q}), \\ c_1(K_-) \cap [\mathbb{P}^1] = -\frac{k-1}{k}, \\ c_1(K_+) \cap [\mathbb{P}^1] = k-1. \end{cases}$$

X normal alg. var. / Weil divisors

There is a 1-1 correspondence

$$\text{Weil divisors on } X \Big/ \cong \quad \equiv \quad \left\{ \begin{array}{l} \text{divisorial} \\ \text{sheaves on } X \end{array} \right\} \Big/ \text{iso.}$$

linear equiv.

def: a divisorial sheaf on X is a coh. sh. \mathcal{F}

(1) generic of rk 1

(2) torsion-free and saturated i.e. $\mathcal{F} = \bigcap_p \mathcal{F}_p$

equivalently: (2') $\mathcal{F} \xrightarrow{\sim} \mathcal{F}^{\vee\vee}$. p. 5
 notice that the sp law is

$$\mathcal{F}_1, \mathcal{F}_2 \mapsto (\mathcal{F}_1 \otimes \mathcal{F}_2)^{\vee\vee} =: \mathcal{F}_1 [\otimes] \mathcal{F}_2$$

since $\mathcal{F}_1 \otimes \mathcal{F}_2$ may violate both (1) & (2).

X normal, ω_X makes sense as a divisorial sheaf.

def: X proper, ω_X has trace map
 $t: H^n(\omega_X) \rightarrow k$ st. $(n = \dim X)$
 \forall coherent sheaf \mathcal{F} ,
 $H^n(\mathcal{F}) \times \text{Hom}(\mathcal{F}, \omega) \rightarrow H^n(\omega_X) \xrightarrow{t} k$
 is a perfect pairing.

Notice: a pre dualizing sheaf of X
 is a sheaf ω_X st the above holds.

note: in terms of Grothendieck duality
 $\omega_X = \mathcal{H}^{-n}(\mathbb{D}_X)$; \mathbb{D}_X the dualizing complex.

Proposition (Katzshnik book): If X is normal, then ω_X is a divisorial sheaf.

pf: exercise: ω is torsion free
 will show saturated:

$$0 \rightarrow \omega \rightarrow \omega^{\vee\vee} \rightarrow \mathcal{F} \rightarrow 0$$

$$H^{-1} \mathcal{F} \rightarrow H^{-1} \omega \xrightarrow{\sim} H^{-1}(\omega^{\vee\vee}) \rightarrow H^{-1} \mathcal{F} \rightarrow 0$$

cod Supp $\mathcal{F} \geq 2$

$$\begin{matrix} \text{"} & & \text{Id} & & \text{Id} & & \text{"} \\ 0 & \leftarrow & \text{Hom}(\omega, \omega) & \leftarrow & \text{Hom}(\omega^{\vee\vee}, \omega) & \leftarrow & 0 \end{matrix}$$

$$0 \leftarrow \text{Hom}(\omega, \omega) \leftarrow \text{Hom}(\omega^{\vee\vee}, \omega) \leftarrow 0$$

so $\exists s: \omega^{\vee\vee} \rightarrow \omega$ splitting this seq.

hence $\Rightarrow \mathcal{F} = (0)!$ \square

P.6 Thus $\Rightarrow T_0 : H^0(\omega_X) \xrightarrow{\sim} k$

this fact is NOT clear to hold without going through the above.

So ω_X is a divisorial sheaf, i.e. a linear equivalence class of Weil div. traditionally called "the" canonical divisor.

defⁿ: a Weil div sheaf $\mathcal{O}_X(D)$ is \mathbb{Q} -Cartier $\Leftrightarrow \mathcal{O}_X(nD)$ is a line bundle for some $n > 0$.

i.e. if $\mathcal{O}_X(D) = \mathcal{O}(D)$, I saying $\mathcal{O}(nD)$ is everywhere locally principal.

def^m: X has terminal (canonical) sing if

(1) K_X is \mathbb{Q} -Cartier

(2) for all $f: Y \rightarrow X$ resolution of singularities

$$K_Y = f^* K_X + \sum_{E_i \text{ exceptional}} a_i E_i \quad \text{if I write}$$

then $a_i > 0$ ($a_i \geq 0$)

Example: $\dim X = 2$,

$\left\{ \begin{array}{l} \text{terminal} \Leftrightarrow \text{non-singular} \end{array} \right.$

$\left\{ \begin{array}{l} \text{canonical} \Leftrightarrow \text{DuVal (KDP, ADE,} \end{array} \right.$

$\dim X = 3$, there is a reasonable explicit

description of terminal singularity,

a "good" general theory of

canonical singularity.

NOT for higher dim.

the "canonical cover":

p. 7

X affine, \mathcal{L} is a \mathbb{Q} -Cartier div. sh.

$\exists r > 0$, minimal st $\mathcal{L}^{(r)} \simeq \mathcal{O}_X$, called
index (\mathcal{L}). $\pi: Y = \text{Spec } \bigoplus_{i=0}^{r-1} \mathcal{L}^{(i)} \rightarrow X$.

$\pi: Y \rightarrow X$ is finite, and $\pi^* \mathcal{L}$ is Cartier on Y
Mr cyclic, Galois cover

i.e. If $X^0 \subset X$ is largest st. $\mathcal{L}|_{X^0}$ is a
line bundle and $Y^0 = \pi^{-1} X^0$, $\pi_0: Y^0 \rightarrow X^0$
then $\pi^* \mathcal{L}|_{X^0}$ extends to a line bd on Y .

See Reid [YPG].

$\dim X = 3$: Reid proves

- If $p \in X$ is a terminal singularity and K_X is a line bundle, then $p \in X$ is a CDV sing.
i.e. $\cong \{ f(x, y, t) + z \{ g(x, y, z, t) = 0 \} \subset \mathbb{C}^4$
a DuVal sing.

(This is a VERY HARD result.)

- Mori: If $r = \text{index } K_X > 1$, the canonical cover is CDV, and there is an explicit description of all possibilities.

the main case reads $(xy + f(z^r, t) = 0)$

$\mathbb{C} \frac{1}{r} (a, -a, 4, 0) = \mathbb{C} 4/mk$ - for certain
weight of action. // f 's.

In general X terminal (can) then the can. cover also has \neq terminal (can) sing.
(Exercise).

P. 8 Two main research directions in
HDCG.

- ① To do explicit stuff in dim 3
 - ② To do the general theory in dim ≥ 4 .
-

Summary:

- (a) def of opposite and flip
- (b) terminal & canonical singularities

If X alg var (non singular, projective)
canonical ring

$$R = \bigoplus_{n \geq 0} H^0(X, nK_X)$$

the canonical model of X is: $\bar{X} = \text{Proj } R$,
provided R is f.g.

X is general type if $|nK| : X \dashrightarrow \mathbb{P}^{P_n-1}$
is birat'l for some $n > 0$.

Theorem: R f.g., X general type
 $\Rightarrow \bar{X}$ has canonical singularities.

[Proof in YFG].

- log terminal & log canonical singularities
pairs (X, B)

$$\begin{array}{l} \text{normal} \\ B = \sum b_i B_i ; \quad 0 < b_i \leq 1, b_i \in \mathbb{Q} \\ \text{prime divisors} \end{array}$$

Why do we care for pairs?

- (1) when all $b_i = 1$, and X proj, we care
about $U = X \setminus B$.

It is well-known that, if $B \subset X$
normal crosscap div, then

$H^0(X, n(K_X + B))$ depends only on U .

$$\text{(so } R(U, K_U) = \bigoplus_{n \geq 0} H^0(X, n(K_X + B)) \text{),}$$

this leads to the Dltaka program.)

P. 10 (2) Kodaira's adjunction formula.

$f: X \rightarrow \mathbb{C}$ elliptic surface (fiber genus = 1)

$$K_X = f^* \left(K_{\mathbb{C}} + M + \sum_i \frac{m_i - 1}{m_i} P_i \right)$$

$M = f^* K_X / \mathbb{C}$ a (semi-positive) line bundle

$m_i =$ multiplicity of fiber over P_i

$$R(X, K_X) = R(\mathbb{C}, K_{\mathbb{C}} + M + \sum_i \frac{m_i - 1}{m_i} P_i)$$

• pairs also appears naturally in construction

(3) $\pi: X' \rightarrow X$ finite

$$K_{X'} = \pi^* \left(K_X + \sum_i \frac{e_i - 1}{e_i} B_i \right)$$

(4) Restriction/adjunction

$$S \subset X: K_S + \text{Div}_S = (K_X + S)|_S$$

to be discussed later

(5) Resolution:

$$X = \mathbb{C}^2 / \mathbb{Z}/n = \frac{1}{n} (1, \mathbb{Z})$$

$f: Y \rightarrow X$ min resol.

notation: action with weights $1, \mathbb{Z}$

$$f^* K_X = K_Y + \sum \alpha_i E_i, \alpha_i \in \frac{1}{n} \mathbb{Z}$$

key point: ter. can. sing. do not always behave well in various constructions, need log-version.

(6) subadjunction,

X non-normal + reasonable assumptions

$$v: \tilde{X} \rightarrow X$$

normalization can often make sense
of a formula $\nu^*K_X = K_Y + \Delta$.

P. 11

Remark: (3) may be better written

$$\text{using div on } X': K' + R = \pi^*(K + B)$$

see Kollar's paper "sing of pairs".

Defⁿ (X, B) has klt (plt, lc) sing if
for all good resolutions of sing.

$f: Y \rightarrow X$, I can write

$$K_Y = f^*(K_X + B) + \sum a_j E_j \quad \text{where}$$

all $a_j > -1$ ($a_j > -1$ if E_j is exceptional,
 $a_j \geq -1$.)

$a_j =:$ discrepancy of divisor $E_j = a(E_j)$

note $a(B_i) = -b_i$, so for klt $b_i < 1 \forall i$.

note: the definition implicitly requires
that $K_X + B$ be \mathbb{Q} -Cartier.

Example: $\dim X = 2$,

if $B = \emptyset$, X has klt $\Leftrightarrow X$ has plt

\Leftrightarrow locally analytically $X = \mathbb{C}^2/G$
for $G =$ finite group.

pf is based on:

- Proposition: $\pi: X' \rightarrow X$ finite étale in codim 1,
 $B \subset X$, $B' = \pi^*B$, then
 $K_X + B$ is klt (plt, lc) $\Leftrightarrow K_{X'} + B'$ is so.

This is the reason that pairs is more
suitable for constructions. pf is not hard.

p.12 pf of example :

\Leftarrow follows immediately from prop.

\Rightarrow : let $x' \rightarrow X$ be the canonical cover, then x' has plt sing and $K_{x'}$ is Cartier (by construction).

all $a_j > -1$, they are integers $\Rightarrow a_j \geq 0$
 x' has canonical sing.

$\Rightarrow x' = \mathbb{C}^2/G$ where $G \subset SL(2, \mathbb{C})$.

$\Rightarrow X = x'/\mu_k$ also has quotient sing.

Inversion of adjunction :

Adjunction formula, in good generality, we can make sense of the following

prime divisor $S \subset X$, " $K_S = K_X + S|_S$ "

eg. S, X nonsingular, (only need X)


$$0 \rightarrow N_S^\vee X \rightarrow T_X^\vee|_S \rightarrow T_S^\vee \rightarrow 0$$

$$\Rightarrow K_S = (K_X \otimes N_S^\vee X)|_S = (K_X + S)|_S$$

However, in general we may need

when X is singular

$$K_S + \text{Diff} = (K_X + S)|_S$$

Example, $X =$  $\subset \mathbb{P}^3$, quadric cone.
 $S = \text{ruling} \subset X$

$$K_S \neq K_X + S|_S !$$

$$\mathcal{O}_{\mathbb{P}^1}(-2)$$

$$\mathcal{O}_{\mathbb{P}^3}(-4+2)$$

$$2S \sim \mathcal{O}(1)$$

$$\Rightarrow S \sim \mathcal{O}(\frac{1}{2}) !$$

$$\text{Thus } K_S + \frac{1}{2}P = K_X + S|_S$$

Theorem: provided that everything makes sense,

$$K + S + B \text{ p.t.} \iff K_S + \underbrace{\text{Diff}}_{B_S} + B|_S \text{ is p.t.}$$

in a nbhd of S

pf see [FA, §17.7]

problem \uparrow is hard (need connectedness)

Minimal Model Program:

X normal projective

(X, B) either $\begin{cases} B = \emptyset & \text{ter. can} \\ B \neq \emptyset & \text{ker, p.t.} \end{cases}$ or

Mori cone:

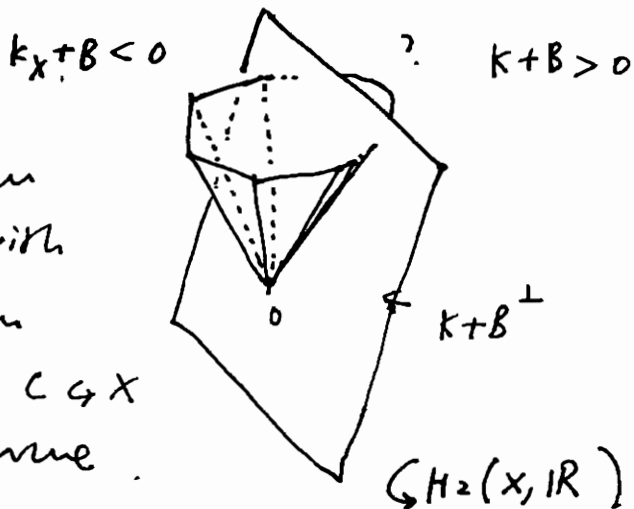
$$\overline{NE}(X) = \overline{\sum_{C \subset X \text{ curve}} R_+[C]} \subset H_2(X, \mathbb{R})$$

Theorem of the cone:

$\overline{NE}(X)$ is locally finitely generated in the half space $\{K+B < 0\}$.

(if $a \in H_2(X, \mathbb{R})$, $(K+B) \cdot a := \langle (K+B), a \rangle$.)

let $R \subset \overline{NE}(X)$ be an extremal ray with $(K+B) \cdot R < 0$, then $R = R_+[C]$ where $C \subset X$ is a rational curve.



P. 14

Contraction Theorem

Let $R \subset \bar{\mathbb{N}}(x)$ be an extremal ray with $(K+B) \cdot R < 0$, There exists a morphism:

$\varphi = \text{Contr}_R : X \rightarrow Y$ st for $C \subset Y$ any curve, $\varphi(C) = \text{pt} \Leftrightarrow [C] \in R$.

defⁿ: X is \mathbb{Q} -factorial if any Weil div on X is \mathbb{Q} -Cartier.

Types of Contr_R :

φ_R birational $\left\{ \begin{array}{l} \varphi \text{ contracts a divisor } E \quad \textcircled{1} \\ \varphi \text{ small} \quad \textcircled{2} \end{array} \right.$

φ fibering : $\dim Y < \dim X$. $\textcircled{3}$

Remark: $\textcircled{1}$ If E is \mathbb{Q} -Cartier then $E = E \times_C Y$ and $(Y, \varphi(B))$ have same singularities as (X, B) . E is not auto \mathbb{Q} -Cartier!!
($E = E \times_C Y$ is auto)

Note: \mathbb{Q} -factorial is a local property in Zariski top. but not in the analytic top.

* Example: $X = (xy + zt = 0) \subset \mathbb{C}^4$
is NOT \mathbb{Q} -factorial. eg. $(x=0-z) \subset X$
is not \mathbb{Q} -Cartier.

However, let $X_4^3 \subset \mathbb{P}^4$ be non-singular outside a ordinary double pt, then X is \mathbb{Q} -fact.

indeed: $H_4(X, \mathbb{Q}) = \mathbb{Q}$

p. 15

$$\text{Bl}_p X = Y \hookrightarrow \text{Bl}_p \mathbb{P}^4 = \hat{\mathbb{P}}$$
$$\begin{array}{c} \pi \downarrow \\ X \end{array}$$

the key point: $Y \subset \hat{\mathbb{P}}$ is a curve,
hence by Lefschetz,

$$\mathbb{Q}^2 = H^2 \hat{\mathbb{P}} \cong H^2 Y = \mathbb{Q}^2$$

$$\Rightarrow H_4(X, \mathbb{Q}) = \langle \epsilon, \pi^* \mathcal{O}(1) \rangle = \langle \mathcal{O}(1) \rangle$$

This explains the subtlety of the global nature of \mathbb{Q} -factoriality.

MMP: (X, B) \mathbb{Q} -factorial, let (or let)

$K+B$ nef? if not, $\varphi_R = \text{cont}_R: X \rightarrow Y$

$$\left\{ \begin{array}{l} \varphi_R \text{ divisorial} \Rightarrow \text{cont with } (Y, \varphi(B)) \\ \varphi_R \text{ fibering} \Rightarrow \text{stop} \\ \varphi_R \text{ small} \Rightarrow \text{flip} \end{array} \right.$$

Notice that in dealing with flips, we usually need to consider analytic topology, hence loose the \mathbb{Q} -factorial condition, i.e. we do flips always without \mathbb{Q} -fact assumption.

Conjecture: flips exist and terminate

Why do we believe that flips terminate?

Theorem: let $X \xrightarrow{t} X'$ be a flip.

$$\varphi_R \searrow \quad \varphi' \swarrow$$

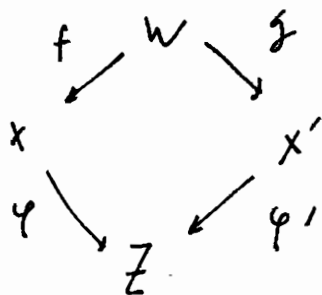
φ_R small contr. of $R = \mathbb{R}[C]$, then

$a(E, K+B) \leq a(E, K'+B')$ and $<$ at least one.

P. 16

philosophy: the larger the discrepancies, the better the singularity.

pf of thm:



$$K_W + \tilde{B} + E$$

$$= f^*(K + B) + \sum a_i E_i$$

(all $a_i > 0$)

$$= g^*(\underbrace{K' + B'}_{\varphi' \text{-positive}}) + \sum a'_i E_i$$

φ' -positive

$$g^*(K' + B') \equiv_f \sum (a_i - a'_i) E_i \text{ is } f\text{-nef}$$

General Lemma:

$f: W \rightarrow X$ birational proper morphism, exceptional divisor E_i .

$A := \sum \alpha_i E_i$ f -nef $\Rightarrow \alpha_i \leq 0$. And if moreover $A \neq 0$, then some $\alpha_i < 0$.

pf of lemma: If W is a surface, this is well-known and elementary. in fact $(E_i \cdot E_j) < 0$. The general case is reduced to the surface case by slicing with hyperplane.

□

§1. Reduction to pl-flips

defⁿ: $(X, S+B)$ $B = \sum b_i B_i$,
 prime div. $0 < b_i < 1$
 $\omega_{\text{eff}} = 1$

a flipping contr. for $K+S+B$ is pl if:

$\begin{matrix} X \\ f \downarrow \\ Z \end{matrix}$ $\begin{matrix} \text{ie. } f \text{ small \& } \\ K+S+B \text{ is } f\text{-neg} \end{matrix}$

(1) X is \mathbb{Q} -factorial

(2) S is f -negative

(i) Note that $f \circ \text{Exc } f$ is lsc if $C \subset X$ is contracted, then $S \cdot C < 0 \Rightarrow S > C$.

(ii) Philosophy: pl-flips are easier than general flips: since by (i), "everything happens in S ".

Notice this is already in Shokurov's 1991 paper, but it takes 10 yrs to justify that pl-flips is easier.

Theorem: pl flips exist \Rightarrow klt flips exist.

Pf [FA, 18.11, 18.12]:

Step 1: fix resolution $Z' \rightarrow Z$ and div. $F_j \subset Z'$ generate $N'(Z'/Z)$.

choose $\bar{H} \subset Z$ reduced Cartier st.

P. 18

$$\left\{ \begin{array}{l} f^* \bar{H} = H \supset \text{Exc } f \\ \bar{H} \supset \text{Sing}(Z, f(B)) \\ \bar{H} \supset \pi(F_j) \end{array} \right.$$

\Rightarrow (*) If $h: Y \rightarrow Z$ is any proper bi-rat'l morphism with exc div E_i , then $N'(Y/Z)$ is gen by E_i and components of \bar{H}' . $\setminus (\cdot)$ always means proper transf.

Let $f: X \rightarrow Z$ be a ker flipping contr.

for $K_X + B$ ($B = \sum b_i B_i$),

Step 2. choose a resolution

$$\begin{array}{ccccc} Y & \xrightarrow{g} & X & \xrightarrow{f} & Z & \text{which is an iso} \\ & & & & & \text{over } Z \setminus \bar{H}. \end{array}$$

Run a MMP for $K_Y + B' + H' + \sum E_j$,
notice in this case all flips needed are
all pl-flips:

if $C \subset Y$ flipping curve, then

$$0 = (f^* \bar{H} \cdot C) = (\sum H_j' + \sum \beta_i E_i, C)$$

and at least one of $\beta_i > 0$

thru $H_j' \cdot C$; $E_i \cdot C \neq 0 \Rightarrow$ at least one
of this is < 0 .

At the end, I may assume

$$K_Y + B' + \sum H_j' + \sum E_i \text{ is nef.}$$

Note: a \pm flip is a flip for
 $K + S_1 + S_2 + B$ with S_1 negative,
 S_2 positive. step 2 uses only \pm flips.
 philosophy: \pm flips are "even easier"
 than general pl-flips.

step 3. I have a partial resolution

$$Y \xrightarrow{f} X \xrightarrow{t} Z \quad \text{which is iso over } \mathbb{C} \setminus \bar{H}$$

and $K_Y + B' + \sum E_i + H'$ nef

If $K_Y + B' + \sum E_i$ is already nef, then
 run a MMP for $K_Y + B' + \sum E_i$. The lc
 model of the final product is the test
 flip I want to construct.

[cf. Reid "surface of small degree"].

Subtract little bits of H' , look at

$$K_Y + B' + \sum E_i + (1-\varepsilon)H'$$

with ε larger st this is nef. (if $\varepsilon = 1$
 then I'm finished.)

\Rightarrow There exists $R \in \bar{NE}(Y/Z)$

$$\text{with } H' \cdot R > 0, (K_Y + B' + \sum E_i) \cdot R < 0$$

operate on this R :

If R is a flipping ray, then it is pl.

$$0 = (t^* H' \cdot R) = (H' + \sum \beta_i E_i) \cdot R \Rightarrow \text{one of}$$

$E_i \cdot R < 0$, "almost" end of pf. \square

20.

- Notice in Step 3, since H^1 do not have w.r.t 1, it is not a \pm flip, it is a pl-flip.
- There is also an issue with termination of pl-flips, which is not discussed yet, usually this needs to assume a lower-dim MMP to get it. Thus "almost".

§2. Set up for construction of pl-flips.
B-divisors (after Shokurov)

defⁿ: X normal var. a model of X is a
proj birat'l morphism $f: Y \rightarrow X$.

a b-divisor is an element

basically, $D = \sum d_i D_i$

$$D \in \lim_{\substack{Y \rightarrow X \\ \text{models}}} W\text{-Div } Y.$$

where D_i is a valuation of $k(X)$ with center on X .

if $Y \rightarrow X$ is a model, $D_Y := \sum_{D_i \subset Y} d_i D_i$

$D_i \subset Y$
is a divisor

= trace of D on $Y \in W\text{-Div } Y$.

example. Cartier closure.

D : \mathbb{Q} -Cartier div on X ,

\bar{D} = Cartier closure of D is a b-divisor st.

$\bar{D}_Y = f^* D$ for $f: Y \rightarrow X$.

* defⁿ: IM on X is mobile, if $\exists Y \rightarrow X$ st.

(1) $IM = \bar{IM}_Y$ (as an integral div.)

(2) IM_Y is free from base points.

Example: D integral div on X . p. 21

$M = \text{Mob } D$ (the mobile part of D)

is defined by: for all $f: Y \rightarrow X$

$$\left\{ \begin{array}{l} M_Y \in \text{Mob } f^* D \\ f(M_Y) \subset D \end{array} \right.$$

notice that this def applies to also non-Cartier D ,
for D Cartier, this is the same as $\text{Mob } [f^* D]$.

Now fix a pl-contraction:

$$(X, S+B) \xrightarrow{f} Z$$

choose a general element $D \in |r(K+S+B)|$
form $M_i = \text{Mob}(iD)$. \uparrow
to get Cartier.

note $M_i + M_j \geq M_{i+j}$.

Defⁿ: $D \mapsto \mathcal{O}_X(D)$

$$H^0(X, \mathcal{O}_X(D)) = \{ f \in k(X) \mid \text{div}_X f + D \geq 0 \}$$

can form algebra:

$$R = \bigoplus_{i \geq 0} H^0(X, M_i) = \bigoplus_{i \geq 0} H^0(X, iD)$$

Notice that these 2 algebras
are the same!

Fact: flip exists $\Leftrightarrow R$ is finitely generated.

Mobile restriction:

M mobile on X , $S \subset X$ $\dim = 1$, $S \notin \text{Supp } M_X$

\Rightarrow it makes sense to restrict $\text{res}_S M = M^0$.

Take $f: Y \rightarrow X$ high enough to make $*$.

then $M^0 := \overline{M_Y|_S}$.

Consider $M_i^\circ = \text{res}_S M_i = \text{res}_S \text{Mob}_X i^* D$

and $R^\circ = \bigoplus H^0(S, M_i^\circ)$.

($R \rightarrow R^\circ$ is integral)

Lemma: $R^\circ \text{ f.g.} \Rightarrow R \text{ f.g.}$

consider a sequence of mobile divisors on X ,

M_i , st. $\textcircled{1} M_i \neq 0$

$\textcircled{2} M_{i+j} \geq M_i + M_j$

denote $D_i = \frac{1}{i} M_i$.

A pbd algebra is an alg of the form

$$R(X, D_\bullet) = \bigoplus_{i \geq 0} H^0(X, M_i) \quad \text{"}i D_i\text{"}$$

Rmk: We "may" always assume Z affine, hence any div on X is mobile, since $f: X \rightarrow Z$ small and Z affine.

Limiting criterion:

$R(X, D_\bullet)$ is f.g. $\Leftrightarrow \exists i_0 > 0$ st. $D_{i_0} = D_i, \forall i \geq i_0$

ie. D_\bullet "stabilizes" (pf: exercise)

Key properties:

1. D_\bullet is bounded (ie. $\exists D$ st. $D_i \leq D \forall i$).

2. Saturation.

defⁿ: D, C : \mathbb{Q} -divisors on X . D is C -saturated if $\text{Mob } \lfloor D + C \rfloor \leq D$.

(we require though C is not nec. ef. but $\lfloor D + C \rfloor \geq 0$)

key example: $f: Y \rightarrow X$ proj. binat. l. P. 23

D : \mathbb{Q} -Cartier, integral Weil div on X
and $D \geq 0$. $C = \sum \beta_i E_i \geq 0$ on Y , E_i are
 f -exceptional. Then

$\Rightarrow f^*D$ is C -saturated!

This is a complicated way to say

$$f_* \mathcal{O}_Y(\Gamma f^*D + \sum \beta_i E_i) = \mathcal{O}_X(D).$$

in general only get \subset . If $\beta_i \geq 0$ then $\Rightarrow "="$.

(to say "=" is the same as saying $f_* \mathcal{O}_Y$ is saturated.)

\mathcal{O} is C -saturated if

$$\text{Mob } \Gamma \mathcal{O}_Y + C_Y \leq \mathcal{O}_Y$$

on all high-enough models $Y \rightarrow X$

i.e. All $Y \rightarrow Y_0 \rightarrow X$ where $Y_0 \rightarrow X$ is
the same fixed model.

Example: (X, B) klt pair

$A = A(X, B)$ = discrepancy St.

$$K_Y = f^*(K_X + B) + A_Y$$

when $C = A$, I simply say \mathcal{O} is saturated.

defⁿ: \mathcal{O} is asymptotically saturated if
for all i, j ,

$$\text{Mob } \Gamma j \mathcal{O}_Y + A_Y \leq j \mathcal{O}_Y$$

on high (depending on i, j) models.

defⁿ: $R(X, \mathcal{O})$ (for a pair (X, B)) is a
Shokurov algebra if \mathcal{O} is bounded and
asymptotically saturated.

p. 24

Conjecture: (X, B) klt pair, $f: X \rightarrow \mathbb{Z}$
proj, birat'l, $-(K+B)$ nef / \mathbb{Z} : affine
 \Rightarrow All Shokurov algebras on X are f.g.

(in applications, we use $X \leftarrow S$, $\mathbb{Z} \leftarrow f(S)$.)

Example: $X = \mathbb{A}^1$, $B = b \cdot 0$ (origin) ($0 < b < 1$)

$$A = -b \cdot 0, \quad D_j = d_j \cdot 0$$

$$\text{condition } (i+j)d_{i+j} \geq id_i + jd_j$$

$$\Rightarrow d = \lim d_j \text{ exists.}$$

asymptotical saturated means

$$\lfloor jd_i - b \rfloor \leq jd_j$$

$$i \rightarrow \infty \text{ get } \lfloor jd - b \rfloor \leq jd \quad \forall j,$$

this $\Rightarrow d \in \mathbb{Q}$ and $d_j - d \quad \forall j$ sufficiently divisible.

Next Time:

X pl flipping contraction, $S+B$

\downarrow

\mathbb{Z}

$$D \sim r(K+S+B)$$

$R^\circ := R(S, D^\circ)$ is a Shokurov algebra

$$D_i^\circ = \frac{1}{i} \text{res}_S (\text{Mob}_X(iD)). \quad \text{ie. asymp. sat. holds.}$$

Flips : lecture 4 (VII, VIII)

Recap : mobile b -div M on X ie. $\exists f: Y \rightarrow X$ prop birat' / sr

(1) $M = \bar{M}_Y$

(2) $|M_Y|$ is free(I say that M "descends to Y ")Example : $X = \mathbb{P}^2$, $M_X = \text{line on } \mathbb{P}^2$

(1) $M := \bar{M}_X$ (linear sys $|O_{\mathbb{P}^2}(1)| = |O_X(M)|$)

(2) $Y = \text{Bl}_p \mathbb{P}^2$, $p \in M_X$, $M_Y = \text{proper transf}$

$M := \bar{M}_Y$ (linear sys $|m_p(1)| = |O_X(M)|$)

mobile part :

let D on X a b -div,let $V \subset H^0(X, O(D)) \subset \{ \varphi \in k(X) \mid \text{div}_X \varphi$ $M = \text{Mob}_V D$ is defined as $+ D \geq 0 \}$

$\text{mult}_E M := - \min_{\varphi \in V} \text{mult}_E \varphi$

(on a curve $\text{Mob}_V D = D - \text{Fix } V$)In the example, $p \in L \subset \mathbb{P}^2$ be a line,

$V = H^0(\mathbb{P}^2, O(1))$ $\text{Mob}_V L = \textcircled{1}$

$V = H^0(\mathbb{P}^2, m_p(1))$ $\text{Mob}_V L = \textcircled{2}$

Key point :

$\text{Mob}_V D = \text{Mob}_V \hat{D}$

proper transf.

P. 26 start of Today :

$$\begin{array}{ccc} X & \supset & S \\ f \downarrow & & \downarrow \\ Z & \supset & T \end{array}$$

FACT :

pl-flipping contraction ; flip exists \Leftrightarrow
for $k+S+B$

$$R = R(X, M) := \bigoplus_{i \geq 0} H^0(X, \mathcal{O}(M_i)) \quad \text{is f.g.}$$

where $M_i = \text{Mob } iD$

$$D \sim r(K+S+B)$$

If D general, $S \not\subset \text{Supp } D$. It makes sense
to form restriction $M_i^0 = \text{res}_S M_i$.
(Notice this requires to be in a higher model)

$$R^0 = R(S, M^0)$$

FACT : R is f.g. $\Leftrightarrow R^0$ is f.g.

A word on this : $R^0 =$ quotient of R by
a principal ideal (cf for S), since we
in fact work with $P(X/Z) = 1$, all div
are linearly-equiv.

(or $R = \text{int closure of } R^0 \dots$) Not too hard.

defⁿ : $D_i = \frac{1}{i} M_i$ asymptotically saturated

$$\text{if } \text{Mob } \Gamma_j D_i \cdot \gamma + A_\gamma \leq j D_j \cdot \gamma$$

on $Y \rightarrow X$ "high enough". (depends on i, j)

(Crucial pt: If can get Y indep of i, j
then can prove flip conj.)

Thm 1. R° is asymptotically sat. p. 27

(i.e. is a Shokurov algebra)

Conjecture: If (X, B) is a klt pair,
 $f: X \rightarrow Z$ a weak log-Fano morphism
(i.e. $-(K_X + B)$ is f -nef, f -big), then
every Shokurov alg on X is f.g.

(Even the case $Z = \text{pt}$ is very interesting)

This conj is OK if $\dim = 2$, and
it implies existence of 3-fold flips,
details see Corti's paper.

This is today's Thm 2.

proof of Thm 1: \checkmark weak log-Fano

Lemma: $(X, S+B)$ plt pair, M is except.
sat. on $X \Rightarrow M^\circ = \text{res}_S M$ is $A(S, B_S)$ -sat.

($M \circ \beta \uparrow M_T^\circ + A_T \uparrow \leq M_T^\circ$ on high $T \rightarrow S$)

This is essentially the case $i=1$. The general
case is the same, only notationally more
involved.

pf (X-method):

Take $f: Y \rightarrow X$ that sat's field M

let $T = f_*^{-1} S \subset Y$. Then

$M_T^\circ = M_Y|_T$ (by def'n of M°).

p. 28

I want to understand

 $H^0(T, (M_T^\circ + A_T))$ in terms of restriction from Y .

$$K_T = f^*(K_S + B_S) + A_T^{(S, B_S)} \quad (\text{def. of } A_T)$$

$$K_{Y+T}|_T \quad " \quad " \quad K + S + B|_S \quad \text{by def. of } B_S$$

$$K_Y = f^*(K + S + B) + A(X, S + B)_Y \quad (\text{adjunction formula})$$

$$\Rightarrow A(S, B_S)_T = (T + A(X, S + B)_Y)|_T.$$

$$\text{So, } (M_Y + T + A_Y)|_T = M_T^\circ + A_T$$

we are OK if

$$\textcircled{1} H^0(Y, M_Y + T + (A_Y)) \Rightarrow H^0(T, (\dots)|_T),$$

$$\textcircled{2} \text{Mob}(M_Y + T + (A_Y)) \leq M_Y.$$

— this together is exceptional
so $\textcircled{2}$ holds.

$$\begin{aligned} \text{for } \textcircled{1}, \quad H^1(Y, M_Y + (A_Y)) &= 0 \quad ; \quad \text{since it} \\ &= H^1(Y, K_Y + M_Y + (-f^* K + S + B)) \\ &= 0 \quad \text{by Kawamata-Viehweg van thm.} \end{aligned}$$

done. \square

The following outline in the 4-fold case
is not finalized yet. it may contain error.
see notes to be handed later.

4 fold flips :

$$\begin{array}{ccc}
 X \subset \tilde{X} & & \tilde{M}_i = \text{Mob } i\tilde{D} \\
 f \downarrow & \tilde{f} \downarrow & \mathcal{D} \sim r(\tilde{K} + X + \tilde{B}) \\
 Z \subset \tilde{Z} & & \Rightarrow M_i = \text{res}_X \tilde{M}_i
 \end{array}$$

4-fold pl-flipping contr. for $\tilde{K} + X + B$

$$R = R(x, M_i)$$

$$D_i = \frac{1}{i} M_i$$

We want to show that $\exists h$ st $D_{hi} = D_i \forall i$.

* Method to show the f.g. conj for $f: X \rightarrow Z$ needs the additional data that it is inside the flipping conj. (so this does not really prove Shokurov's conj) bi-rat!!

Lemma: (X, B) klt pair, $f: X \rightarrow Z$ w. log Fano
 $\Rightarrow \exists \bar{B} < \bar{B}$ st. $K + \bar{B} \sim 0$ and (X, \bar{B}) is log canonical and $\exists! E$ with $a(E, K + \bar{B}) = 1$.

pf: ^{idea:} choose some D , take

$$\bar{B} = B + t \cdot D$$

$$t_0 := \sup \{ t \mid K + B + tD \text{ log can.} \} \square$$

Theorem 1: If E is as in the lemma, (there exists $M'_i \sim M_i$ st.) $\text{mult}_E M'_i = 0 \forall i$.

ie. it makes sense to restrict to E .

the (...) part is auto since M_i is general.

P.30 What is good about this?

$\text{res}_E M. = M.^\circ$ is asymp sat.

(if E is created in the lemma, then good Kodaira vanishing works.)

$\dim E = 2$, hence I know that D_i° stabilizes, i.e. $D_i^\circ = D_j^\circ = D^\circ \forall i, j$ "on E "



I would like:

\exists Zariski open U of $C \times E$ st. $D|_U$ stabilizes.

This is the "whole idea",

similar to Grothendieck's proof of the Lefschetz thm.

However, this can't work directly, since for each i , need certain model Y and the U can be made. for i varies, then U is not fixed.

Theorem 2. There exists models $Y_m \xrightarrow{f_m} Z$ resolving D_m ($D_m = \overline{D_m Y_m}$), st. and Zariski open subset $U_m \subset Y_m$ such that

if I write: (← here is the reason we want $K + \bar{B} \sim 0$)

$f_m^*(K_Z + \bar{B}_Z) = K_{Y_m} + \bar{B}_m^+ - \bar{B}_m^-$, with both being > 0 ,
the the following holds:

① $C_E Y_m = E_m$ is a divisor $\subset U_m$ and P. 31
 $U_m \cap \bar{B}_m^- = \emptyset$.

② $D_m|_{U_m}$ descends to U_m , i.e. $D_m|_{U_m} = \overline{(D_m|_{U_m})_{U_m}}$.

③ $D_i|_{U_m} = D_i|_{U_m} \quad \forall i \geq 1$.

Rank: Algebraically U_i makes ** works:

i.e. $g: Y \rightarrow Z$ resolves D_1 on $U \subset Y$ st

$$g^*(K_Z + \bar{B}_Z^-) = K_Y + \bar{B}^+ - \bar{B}^-, \text{ then}$$

① $C_Y E = E'$ divisor $\subset U$ and $U \cap \bar{B}^- = \emptyset$

② $D_1|_U$ descends to U .

③ $D_i|_U = D_i|_U$ all $i \geq 1$.

i.e. works for D_1 , and almost works for D_i ,
only over U , The U_m is try to enlarge
 U .

Theorem 3. There exists a plt pair (Z', \bar{B}')
and $h: Z' \rightarrow Z$ proper bi-rational,
 $(K_{Z'} + \bar{B}') = h^*(K_Z + \bar{B}_Z^-)$, and a Zariski open
 $U' \subset Z'$ st. ①, ②, ③ holds.

Key point: \exists only finite such pairs (Z', \bar{B}') .

Theorem 4: There exists such a pair
 Z', \bar{B}' where $U = Z'$.

Next time will explain thm 2. Thm 3 is not
a big deal. Will say something about thm 4.



Corti 2004, 2/9

" Last lecture on flips "

$$\begin{array}{ccc}
 X & \subset & \tilde{X} \\
 \downarrow f & & \downarrow \tilde{f} \\
 \mathbb{P}^2 & \subset & \tilde{\mathbb{P}}^2
 \end{array}$$

pl contr. for $\tilde{K} + X + B$

$$K + B = \tilde{K} + X + B|_X ; \tilde{R}$$

$$R = \text{res}_X \tilde{R}$$

Quasistable models (shokurov calls this "distab" model, but this is strange and I (Corti) can't use it!)

$\varphi : X \dashrightarrow \mathbb{P}^n$ nat'l, need. of base locus of a linear system by Y .



mk: for non-smp surfaces, simply blowing-up the pt with largest mult. then repeat. (can do this in a min way.)

Take Y to be "minimal" resd. of base locus. (How?)

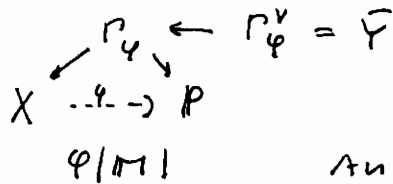
X , mobile b -divisor M on X , the stable model is

$$\bar{Y} = \text{Proj}_{\mathbb{P}^n} \left(\bigoplus_{i \geq 0} H^0(X, \mathcal{O}_X(iM)) \right)$$

(if $Y \rightarrow X$ is st. M_Y is free, $M = \bar{M}_Y \leftarrow R$)

then $R = R(Y, M_Y)$.

p. 34 basically the stable model is the normalized closure of the graph of $\varphi = \varphi|_{\mathbb{P}^1} : (\text{due to Zariski})$



Any min. resd. must go through \bar{Y} .

Def'n: Let (X, B) be a klt pair and M a mobile b -divisor on X . A lt (lc) quasi-stable model of M is a model

$\mathcal{J}: Y \rightarrow X$ st. one of the following equivalent cond's holds: (where $F_i \subset Y$ the \mathcal{J} -exc div's)

- ① $K_Y + B' + \sum F_i$; $(Y, \sum F_i + B')$ is lt (lc) is nef over \bar{Y} . (ample) we do not treat lt before, but we only require the lc case.
- ② $(Y, \sum F_i + \tilde{B})$ is lt (lc) and $K_Y + \sum F_i + B' + c_0 M_Y$ is nef (ample) over X . (where $c_0 = 2 \dim X + 1$).
- ③ $(Y, \sum F_i + \tilde{B})$ is lt (lc) and $K_Y + \sum F_i + B' + c M_Y$ is nef (ample) over X for all $c \geq k_0$. (k_0 some constant)

① is most natural.

explanations :

P-35

$1 \Rightarrow 2$: $Y \rightarrow \bar{Y} \rightarrow X$ so $|M_Y|$ is free
& $M = \bar{M}_Y$

I want to show that

$K_Y + \sum F_i + B' + c_0 M_Y$ is nef / X . If not,

\exists extr. ray $R \subset \overline{NE}(Y/X)$ with

$$R \cdot (K_Y + \sum F_i + B') < 0 \quad (\text{since } M_Y \text{ free})$$

Indeed ; $R = [R + P]$ where " $-P \cdot (K_Y + \sum F_i + B') < c_0$ "

then $P \cdot M_Y = 0$ (can't be > 0).

then P maps to ... in X *

\uparrow
by
Kawamata (?)

construction of $Y \rightarrow X$:

(i) Take $Y \rightarrow \bar{Y}$ a resolution. Run $K_Y + \sum F_i + B'$
MMP over \bar{Y} . Or

(ii) Take $Y \rightarrow X$ a resolution. Run
 $K_Y + \sum F_i + B' + c_0 M_Y$ MMP over X .

Rank : It quasi-stable model exists in $\dim = n$
if MMP exists in $\dim = n$.

In some cases, existence of \pm -flips and
termination is sufficient.

(see "reduction to pl flips", there is one
step which uses only \pm flips.)

\pm flips are NOT discussed here. We will
simply use it when needed.

P. 36 X, M

Rmk: $g: Y \rightarrow X$ lc quasi-stable model

$$K_Y + \sum F_i + B' = g^*(K_X + B) + \underbrace{\sum a_i F_i}_{\text{let all } a_i > 0}$$

write $F = \frac{1}{c_0} \sum a_i F_i$, then

$$\frac{1}{c_0} (K_Y + \sum F_i + B' + c_0 M_Y) = F + M_Y \text{ is ample}/X.$$

Shokurov calls the pair (Y, F) the "distal" model of (X, B, M) .

Indeed, F in the pf of next statement.

BACK TO 4-FOLD FLIPS:

Lemma. Let \tilde{K} be exponentially asymptotically saturated and \tilde{E} a prime divisor st.

1. $\text{mult}_{\tilde{E}} \tilde{D}_i = 0$ all i ,
2. $\tilde{D}_i^{\circ} = \text{res}_{\tilde{E}} \tilde{D}_i$ is constant in i .

\Rightarrow If $g: \tilde{Y} \rightarrow \tilde{Z}$ is the lc quasi-stable model $\tilde{K} \tilde{F} \subset \tilde{Y}$ is as before, then $C_{\tilde{Y}} \tilde{E} \subset \tilde{Y} \setminus \tilde{F}$.

pf: There exists $p > 0$ integer st.

$j \tilde{M}_1 + p \tilde{F}$ is mobile for $j > 0$ and divisible free

saturation says

$$\text{Mob}(j \tilde{M}_1 + p \tilde{F}) \leq \tilde{M}_j.$$

$$\text{So } \tilde{D}_1 + \frac{p}{j} \tilde{F} \leq \tilde{D}_j.$$

\tilde{E} does not appear in $\tilde{D}_j \Rightarrow \tilde{E}$ does not appear in \tilde{F} .

restricting to \tilde{E} get $\text{res}_{\tilde{E}} \tilde{F} = 0$

$$\Rightarrow C_{\tilde{F}} \tilde{E} \cap \tilde{F} = \emptyset.$$

Theorem 1 (= thm 2 last time):

slightly diff

$$\begin{array}{ccc} X & \subset & \tilde{X} \\ f \downarrow & & \downarrow f \\ Z & \subset & \tilde{Z} \end{array}$$

4 fold

pl-contr; \tilde{R}
etc.

• choose $\tilde{B}_1 > B_1$ st.

$K_{\tilde{X}} + X + \tilde{B}_1 \sim 0$ &
 $B_1 = \tilde{B}_1|_X$ not klt,
why pl+

Abuse notation:

$$B_1 = f(B_1) \subset Z.$$

There exists a model
 $g: Y \rightarrow Z$, $\emptyset \neq U \subset Y$ open,
 $m > 0$ integer st. the following
conditions. Where I define

$$B_1^* = B_1^+ - B_1^- \text{ by}$$

$$\begin{aligned} g^*(K + B_1) &= K_Y + B_1^* \\ &= K_Y + B_1^+ - B_1^- \end{aligned}$$

(1) $U \cap B_1^- = \emptyset$,

(2) If E is a valuation st. $\text{res}_E D_{m_i} = \text{res}_E D_m$
for all i , then $C_Y E \subset U$.

(3) $D_m|_U$ descends to U .

(4) $D_{m_i}|_U = D_m|_U$ for all $i \geq 1$.

proof: recall \tilde{D}_i, D_i we find E ,
 $D_i^\circ = \text{res}_E D_i$ which we want
to prove stabilization.

P. 38 by thm 1 of last time (no pf),
there exists $\epsilon, m > 0$ st.

$\text{res}_E Dm_i$ is independent of i .

let $\tilde{f}: \tilde{Y} \rightarrow \tilde{X}$ be the log-canonical
quasi-stable model of \tilde{M}_m

(this uses only \pm flips, details left
to readers to check.)

$$\text{let } \tilde{F} = \frac{1}{c_0} (K_{\tilde{Y}} + \sum \tilde{F}_i + \tilde{B}_1' - \tilde{f}^* (K_{\tilde{X}} + \tilde{B}_1))$$

as before.

so $\tilde{F} > 0$ supported on the whole exc div's
of $\tilde{Y} \rightarrow \tilde{X}$, and $\tilde{F} + M_{\tilde{Y}}$ ample / \tilde{X} .

I claim that $Y = \text{normalization of}$

$$\tilde{f}_X^{-1}(X) \rightarrow \tilde{X} \quad \text{and} \quad U = Y \setminus \tilde{F}$$

satisfy the conditions.

Lemma \Rightarrow (2). $B_1^* = \tilde{B}_1^*|_X$ and \tilde{B}_1^- is exc.

hence $c\tilde{F}$, hence $\tilde{B}_1^-|_U = 0$, so (1).

(3) is ok by construction.

(4): ~~by (2)~~ $\text{tr}_U Dm_i|_U = \text{tr}_{\tilde{U}} Dm_i|_{\tilde{U}}$.

$\tilde{U} := \tilde{Y} \setminus \tilde{F} \rightarrow \tilde{X}$ is small, therefore \leftarrow
and restricting to U :

$$\text{tr}_U Dm_i|_U = \text{tr}_U Dm_i|_U.$$

Not too hard then to show

$$Dm_i|_U = Dm_i|_U. \quad \square$$

Key point is in the lemma :

9.39

Exceptionally asymp sat is a very strong condition. \tilde{R} being exc. asymp. sat \Rightarrow

R is lc asymp sat. This is NOT enough to get $C\tilde{\gamma}\tilde{E} \subset \tilde{\gamma} \cup \tilde{E}$ in the γ, x level. This is also a reason why we get stuck in $\dim > 4$.

Theorem 2. As in th 1, except that also

$$B_1^- = \emptyset. \text{ i.e. } (\gamma, B_1^*) \text{ is left.}$$

pf (sketch) : Run a MMP for $K_Y + B_1^+$,

this eventually eliminates B_1^- & does not change U . \square

Theorem 3. As in th 2, but $U = Y$.

Lemma : Let $Y \not\cong U$, as in th 2. There exists $m | m'$, $m < m'$ and E , $C_Y E \not\subset U$, st. $\text{res}_E D_{m_i} = \text{res}_E D_{m'} \quad \forall i$.

idea : to inflate C more :

$$K + \bar{B} = K + B + t_0 D$$

$$t_0 = \max \{ t \mid K + B + tD \text{ lc} \}$$

now work with $B < \bar{B} < \bar{\bar{B}}$

(messy bit : this creates non lc sing., need to make sense they happen "far away") \square

P. 40 $Y_1 \rightarrow X$, $U_1 \subset Y_1$, m_1 . if $U_1 = Y_1$ OK.
 otherwise using thm 1 to construct
 $Y_2 \rightarrow X$, $U_2 \subset Y_2$, $m_1 | m_2$. If $U_2 = Y_2$ OK,
 otherwise $Y_3 \rightarrow X$, $U_3 \subset Y_3$, $m_2 | m_3$

At some point, $U_m = Y_m$:

if $U_n \neq Y_n \forall n$, then get infinite sequence
 But there are only finitely many klt pairs
 Y^*, B_i^* with $F_{Y^*} + B_i^* = \text{pull back } (K_Y + B_i')$,
 so I may assume that $Y_i = Y$.

then $U_1 \subsetneq U_2 \subsetneq U_3 \subset \dots$

is a contradiction \square

Exercise: (for $*$) : (X, B) is a klt pair
 $\{E \mid \nu(E, K+B) \leq 0\}$ is finite.

End